Public Disclosure Authorized

OFFICIAL DOCUMENTS

THE WORLD BANK
IBRD • IDA | WORLD BANK GROUP

OZAN SEVIMLI
Country Manager
World Bank Office, Tajikistan

Date: June 29, 2022

Mr. Faiziddin Qahhorzoda
Minister of Finance
Ministry of Finance
3, Akademikov Rajabovykh Ave.
Dushanbe, 734025
Republic of Tajikistan

Re: IDA Grant D9780-TJ
(Tajikistan Preparedness and Resilience to Disasters Project)
Additional Instructions: Disbursement and Financial Information Letter

Dear Mr. Qahhorzoda:

I refer to the Financing Agreement between Republic of Tajikistan (the "Recipient") and the International Development Association (the "Association") for the above-referenced Project. The General Conditions, as defined in the Financing Agreement, provide that the Recipient may from time to time request withdrawals of Grant amounts from the Grant Account in accordance with the Disbursement and Financial Information Letter, and such additional instructions as the Association may specify from time to time by notice to the Recipient. The General Conditions also provide that the Disbursement and Financial Information Letter may set out Project specific financial reporting requirements. This letter constitutes such Disbursement and Financial Information Letter ("DFIL") and, may be revised from time to time.

I. Disbursement Arrangements, Withdrawal of Grant Funds, and Reporting of Uses of Grant Funds.

The *Disbursement Guidelines for Investment Project Financing*, dated February 2017, ("Disbursement Guidelines") are available in the Association's public website at https://www.worldbank.org and its secure website "Client Connection" at https://clientconnection.worldbank.org. The Disbursement Guidelines are an integral part of the DFIL, and the manner in which the provisions in the guidelines apply to the Grant is specified below.

***(i)* Disbursement Arrangements**

- ***General Provisions*** (Schedule 1). The table in Schedule 1 sets out the disbursement methods which may be used by the Recipient, and conditions, information on registration of authorized signatures, processing of withdrawal applications (including minimum value of applications and processing of advances), instructions on supporting documentation, and frequency of reporting on the Designated Account.
- ***Special Provision.*** Projects in situations of urgent need of assistance or capacity constraints: Disbursements under contracts for goods, works, non-consulting services and consulting services procured or selected through international open or limited competition or Direct Selection, as set out in the procurement plan, shall be made only through Direct Payment and/or Special Commitment disbursement methods.

***(ii)* Electronic Delivery.** Section 11.01 (c) of the General Conditions.

The Recipient shall deliver Withdrawal Applications (with supporting documents) electronically through the Association's web-based portal "Client Connection" at https://clientconnection.worldbank.org.

Public Disclosure Authorized

THE WORLD BANK
IBRD • IDA | WORLD BANK GROUP

This option shall be effected after the officials designated in writing by the Recipient who are authorized to sign and deliver Withdrawal Applications have registered as users of "Client Connection". The designated officials shall deliver Applications electronically by completing the Form 2380, which is accessible through "Client Connection". By signing the Authorized Signatory Letter, the Recipient confirms that it is authorizing such persons to accept Secure Identification Credentials (SIDC) and to deliver the Applications and supporting documents to the Association by electronic means. The Recipient may exercise the option of preparing and delivering Withdrawal Applications in paper form on exceptional cases (including those where the Recipient encounters legal limitations), and which were previously agreed with the Association. By designating officials to use SIDC and deliver the Applications electronically, the Recipient confirms through the authorized signatory letter its agreement to: (a) abide by the Terms and Conditions of Use of Secure Identification Credentials in connection with Use of Electronic Means to Process Applications and Supporting Documentation, available in the Association's public website at https://worldbank.org and "Client Connection"; and (b) to cause such official to abide by those terms and conditions.

II. Financial Reports and Audits.

(i) Financial Reports. The Recipient shall cause the Project Implementing Agencies through MoF PIU to prepare and furnish to the Association not later than forty-five (45) days after the end of each calendar quarter, combined interim unaudited financial reports ("IFR") for the Project covering the quarter, in form and substance satisfactory to the Association.

(ii) Audits. The Recipient shall cause the Project Implementing Agencies through MoF PIU to have the Project Financial Statements periodically audited by independent auditors acceptable to the Association, in accordance with consistently applied auditing standards acceptable to the Association. Each audit of the combined Project Financial Statements shall cover the period of one fiscal year of the Recipient, commencing with the fiscal year in which the first withdrawal was made. The audited combined Project Financial Statements for each such period shall be furnished to the Association not later than six (6) months after the end of such period and made publicly available in a timely fashion and in a manner acceptable to the Association.

III. Other Information.

For additional information on disbursement arrangements, please refer to the Loan Handbook available on the Association's website (http://www.worldbank.org/) and "Client Connection". The Association recommends that you register as a user of "Client Connection". From this website you will be able to prepare and deliver Applications, monitor the near real-time status of the Grant, and retrieve related policy, financial, and procurement information. For more information about the website and registration arrangements, or if you have any queries in relation to the above, please contact the Association by email at AskLoans@WorldBank.org using the above reference.

Yours sincerely,

Ozan Sevimli

Ozan Sevimli
Country Manager
World Bank Office, Tajikistan

THE WORLD BANK
IBRD • IDA | WORLD BANK GROUP

Attachments

1. Form of Authorized Signatory Letter (ASL)
2. Form of Statement of Expenditures (SOE)
3. Form of Designated Account Reconciliation Statement (DARS)

With copies:

Mr. Yusuf Majidi
Deputy Minister / Acting Director
Project Implementation Unit "Access to Green and Rural Development Finance"
Ministry of Finance
3, Akademic Rajobovkho Street
Dushanbe, 734025
Republic of Tajikistan

Mr. Azim Ibrohim
Minister
Ministry of Transport
14 Ayni Street,
Dushanbe, Republic of Tajikistan

Mr. Shujoat Sirojzoda
First Deputy Minister / Director of the Project Implementation Group under the Ministry of Transport
Ministry of Transport
14 Ayni Street,
Dushanbe, Republic of Tajikistan

Mr. Rustam Nazarzoda
Chairman
Committee of Emergency Situations and Civil Defense under the Government of the Republic of Tajikistan
26 Lohuti Street,
Dushanbe, Republic of Tajikistan

Schedule 1 : Disbursement Provisions

<table>
<tr><th colspan="6">Basic Information</th></tr>
<tr><td rowspan="3">Grant Number</td><td rowspan="3">IDA D9780-TJ</td><td>Country</td><td>Republic of Tajikistan</td><td rowspan="2">Closing Date</td><td rowspan="2">Section III.B.2 of Schedule 2 to the Financing Agreement.</td></tr>
<tr><td>Recipient</td><td>Republic of Tajikistan</td></tr>
<tr><td>Name of the Project</td><td>Tajikistan Preparedness and Resilience to Disasters Project</td><td>Disbursement Deadline Date
Subsection 3.7 **</td><td>Four (4) months after the closing date.</td></tr>
<tr><th colspan="6">Disbursement Methods and Supporting Documentation</th></tr>
<tr><td colspan="2">Disbursement Methods
Section 2 (**)</td><td>Methods</td><td colspan="3">Supporting Documentation
Subsections 4.3 and 4.4 (**)</td></tr>
<tr><td colspan="2">Direct Payment</td><td>Yes</td><td colspan="3">Copy of records</td></tr>
<tr><td colspan="2">Reimbursement</td><td>Yes</td><td colspan="3">• SOE for all expenditures in the format provided in Attachment 2 of the DFIL</td></tr>
<tr><td colspan="2">Advance (into a Designated Account)</td><td>See Additional Instructions</td><td colspan="3">• SOE for all expenditures in the format provided in Attachment 2 of the DFIL
• DARS in the format provided in Attachment 3 of the DFIL</td></tr>
<tr><td colspan="2">Special Commitments</td><td>Yes</td><td colspan="3">Copy of Letter of Credit</td></tr>
<tr><th colspan="6">Designated Account (Sections 5 and 6 **) (This method is allowed only after the lapsed loan issue is resolved)</th></tr>
<tr><td colspan="2">Type</td><td colspan="2">Following 3 Segregated DAs for category 1
DA-A managed by MoF PIU
DA-B managed by MoT PIG
DA-C managed by CoESCD PIU</td><td>Ceiling</td><td>Fixed</td></tr>
<tr><td colspan="2">Financial Institution - Name</td><td colspan="2">To be established at a financial institution acceptable to the Association</td><td>Currency</td><td>USD</td></tr>
<tr><td colspan="2">Frequency of Reporting
Subsection 6.3 (**)</td><td colspan="2">Monthly</td><td>Amount</td><td>DA-A - $500,000
DA-B - $1,000,000
DA-C - $500,000</td></tr>
<tr><th colspan="6">Minimum Value of Applications (subsection 3.5)</th></tr>
<tr><td colspan="6">The minimum value of applications for Reimbursement, Direct Payment and Special Commitment is USD 50,000 equivalent.
Payments against contracts subject to Special Provision under Section I(i) of this letter are not subject to minimum value of applications threshold.</td></tr>
<tr><th colspan="6">Authorized Signatures (Subsection 3.1 and 3.2 **) The form for Authorized Signatories Letter is provided in Attachment 1 of this letter
Withdrawal and Documentation Applications (Subsection 3.3 and 3.4 **)</th></tr>
<tr><td colspan="6">A letter in the Form attached (Attachment 1) should be furnished to the Association at the address indicated below providing the name(s) and specimen signature(s) of the official(s) authorized to sign Applications.

World Bank Office in Tajikistan
Business Center "Sozidanye" 3rd Floor, 48 Aini Street
TJ-734024 Dushanbe, Republic of Tajikistan
Attention: Ozan Sevimli, Country Manager

All Withdrawal Applications and its supporting documentation will be electronically sent via the Association's system Client Connection</td></tr>
</table>

Additional Instructions
1) For the reason set forth in Subsection 5.2 of the Disbursement Guidelines, advancing of Grant proceeds into a Designated Account is not a Disbursement Method currently available under this Grant. Please send Applications only in support of the other Disbursement Methods listed above until the lapsed loan issue under this country is resolved. The Recipient can open a Designated Account as mentioned above and start using the Advance as Disbursement Method once the lapsed loan issue is resolved. 2) Funds from the Designated Accounts (when allowed) may be transferred to Project/Transit Account in a financial institute acceptable to the Association to meet eligible project expenditures, provided that transactions and balance in these accounts are included in all project financial reports. 3) Disbursement mechanism under Category 3 of the Withdrawal Table [Contingent Emergency Response Component (CERC)]: • If CERC is activated under the Project, in order to provide necessary liquidity for fast response, the ceiling of the Designated Account (managed by the respective PIU) may be increased temporarily before the DFIL is revised to amend provisions of Advance method of disbursement. • The supporting documentation requirements for reporting on use of Grant proceeds under the CERC category will be same as for other categories. If there is a need, the supporting documentation requirement would be provided in a revised DFIL, once the CERC is activated under the Project and funds are allocated to this category.

** *Sections and subsections relate to the "Disbursement Guidelines for Investment Project Financing", dated February 2017.*

Attachment 1 – Form of Authorized Signatory Letter (ASL)

[Letterhead]
Ministry of Finance
[Street address]
[City] [Country]

[*DATE*]

International Development Association
1818 H Street, N.W.
Washington, D.C. 20433
United States of America

Re: Grant[1] No. **D9780-TJ**
(Tajikistan Preparedness and Resilience to Disasters Project)

Attention: _____, *Country Director*

I refer to the Financing Agreement ("Agreement") between the International Development Association (the "Association")[, acting as implementing of the][2] and the Republic of Tajikistan (the "Recipient"), dated _____, providing the above Grant. For the purposes of Section 2.03 of the General Conditions as defined in the Agreement, any [3][one] of the persons whose authenticated specimen signatures appear below is authorized on behalf of the Recipient to sign applications for withdrawal [and applications for a special commitment] under this Grant.

For the purpose of delivering Applications to the Association, including by electronic means, [4][each] of the persons whose authenticated specimen signatures appears below is authorized on behalf of the Recipient, acting [5][individually] [6][jointly], to deliver Applications, and evidence in support thereof on the terms and conditions specified by the Association.

---

[1] Instruction to the Recipient: use "Credit" or "Grant" as applicable. *Please delete this footnote in final letter that is sent to the Associations.*

[2] Instruction to the Recipient: add text in line with the Grant Agreement if applicable; if not applicable delete it. *Please delete this footnote in final letter that is sent to the Associations*

[3] Instruction to the Recipient: stipulate if more than one person needs to sign Applications, and how many or which positions, and if any thresholds apply. *Please delete this footnote in final letter that is sent to the Association.*

[4] Instruction to the Recipient: stipulate if more than one person needs to *jointly* sign Applications, if so, please indicate the actual number. *Please delete this footnote in final letter that is sent to the Association.*

[5] Instruction to the Recipient: use this bracket if any one of the authorized persons may sign; if this is not applicable, please delete. *Please delete this footnote in final letter that is sent to the Association.*

[6] Instruction to the Recipient: use this bracket only if several individuals must jointly sign each Application; if this is not applicable, please delete. *Please delete this footnote in final letter that is sent to the Association.*

This confirms that the Recipient is authorizing such persons to accept Secure Identification Credentials (SIDC) and to deliver the Applications and supporting documents to the Association by electronic means. In full recognition that the Association shall rely upon such representations and warranties, including without limitation, the representations and warranties contained in the *Terms and Conditions of Use of Secure Identification Credentials in connection with Use of Electronic Means to Process Applications and Supporting Documentation* ("Terms and Conditions of Use of SIDC"), the Recipient represents and warrants to the Association that it will cause such persons to abide by those terms and conditions.

This Authorization replaces and supersedes any Authorization currently in the Association records with respect to this Agreement.

[Name], [position] Specimen Signature: _____

[Name], [position] Specimen Signature: _____

[Name], [position] Specimen Signature: _____

Yours truly,

/ signed /

_____

Attachment 2 – Form of Statement of Expenditures (SOE)

Statement of Expenditure (SOE)
For
Goods, Non-Consulting Services, Consulting Services, Training and Operating Costs

Payments made during the period from _____ **to** _____

IDA Grant No.: _____
Application No.: _____
Category No.: _____
SOE No.: _____

1	2	3	4	5	6	7	8	9	10	11
Supplier's Name	WB Contract Number in Client Connection (for Prior Review Contracts)	Type of Good or Service (CW/GO/CS/OP/ TR) / Brief Description	Currency and Total Amount, and Date of Contract	Currency and Total Amount of Invoice Covered by Application	% Financed by IDA	Amount Eligible for Financing (5 x 6)	Currency and Amount Paid from Designated Account (if Applicable)	Exchange Rate	Date of Payment	Remarks
					TOTALS					

Supporting documents for this SOE retained at _____
(location)

Attachment 3 – Form of Designated Account Reconciliation Statement (DARS)

DESIGNATED ACCOUNT RECONCILIATION STATEMENT

IDA GRANT NUMBER _____
ACCOUNT NUMBER _____ WITH (BANK) _____

1.	TOTAL ADVANCED BY WORLD BANK (OR COFINANCIER)		US$/EUR _____
2	LESS: TOTAL AMOUNT RECOVERED BY WORLD BANK	-	US$/EUR _____
3.	EQUALS PRESENT OUTSTANDING AMOUNT ADVANCED TO THE DESIGNATED ACCOUNT (NUMBER 1 LESS NUMBER 2)	=	US$/EUR _____

==========

4.	BALANCE OF DESIGNATED ACCOUNT PER ATTACHED BANK STATEMENT AS OF DATE _____		US$/EUR _____
5.	PLUS: TOTAL AMOUNT CLAIMED IN THIS APPLICATION NO. _____	+	US$/EUR _____
6.	PLUS: TOTAL AMOUNT WITHDRAWN AND NOT YET CLAIMED REASON: _____	+	US$/EUR _____
7.	PLUS: AMOUNTS CLAIMED IN PREVIOUS APPLICATIONS NOT YET CREDITED AT DATE OF BANK STATEMENTS		

APPLICATION NO.	AMOUNT
_____	_____
_____	_____

	SUBTOTAL OF PREVIOUS APPLICATIONS NOT YET CREDITED	+	US$/EUR _____
8.	MINUS: INTEREST EARNED	-	US$/EUR _____
9.	TOTAL ADVANCE ACCOUNTED FOR (NO. 4 THROUGH NO. 9)	=	US$/EUR _____

10. EXPLANATION OF ANY DIFFERENCE BETWEEN THE TOTALS APPEARING ON LINES 3 AND 9:

_____

_____

11. DATE: _____ SIGNATURE: _____

TITLE: _____