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NEW PUBLICATIONS



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Technology transfer plays an increasingly critical role in an effective global

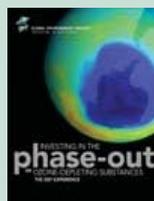
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The Global Environment Facility (GEF) submitted its report to the sixteenth session of the Conference of the Parties (COP 16) of the United National Framework Convention on Climate Change (UNFCCC). As an operating entity of the financial mechanism of UNFCCC, the GEF submits its report to the COP annually-this report covers the period through July 1, 2010. [More...](#)



## GEF ACTIONS ON TECHNOLOGY TRANSFER

In November 2008, the GEF unveiled the new Strategic Program on Technology Transfer in response to a request from the Thirteenth COP to the UNFCCC. The Fourteenth COP welcomed the GEF's Program, renaming it the Poznan Strategic Program on Technology Transfer. [More...](#)



## NEW GUIDANCE DOCUMENTS ON SUSTAINABLE TRANSPORT FROM THE GEF SCIENTIFIC AND TECHNICAL ADVISORY PANEL (STAP)

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## GEF AND CARBON FINANCE: GROWING OPPORTUNITIES

Given its extensive network of partner institutions and its strong track record in financing clean energy and the transfer of environmentally sound technologies, the GEF can play a useful and growing role in the emerging carbon markets.

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Following the amendments of the Stockholm Convention to list nine additional chemicals as persistent organic pollutants to the annexes of the Stockholm Convention, countries will have to review and update their national implementation plans (NIPs) and transmit the NIPs to the Conference of the Parties through the Secretariat of the Convention, two years following the entry into force of the amendments (August 2012). [More...](#)



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Editor: Patrizia Cocca

## EVALUATIVE EVIDENCE ON BIODIVERSITY IN INTERNATIONAL ORGANIZATIONS

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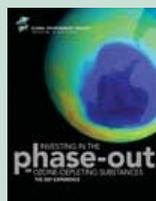
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By Ozamu Mizuno

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In prior reports, the GEF summarized its activities during the reporting period and its responses to specific COP guidance for GEF operations. This year's report takes a unique approach because COP 15 did not provide new guidance for GEF, and the report coincides with the conclusion of GEF-4 and the launch of the GEF-5 replenishment cycle.

This new reporting approach will give the COP a consistent and integrated assessment of both GEF's achievements to date and the GEF-5 strategic vision. The report consists of two parts and five annexes. Part I describes the achievements of the GEF since its establishment in 1991 to date, including the activities approved and conducted by the GEF during the reporting period from July 1, 2009, to June 30, 2010. Activities cover the GEF portfolio of climate change mitigation, technology transfer, climate change adaptation, and enabling activities funded from the GEF Trust Fund, as well as investments from the Least Developed Countries Fund (LDCF) and the Special Climate Change Fund (SCCF). Part II of the report describes the successful conclusion of the GEF-5 replenishment, significant GEF-5 reforms, and GEF-5 strategic programming goals. The Annexes summarize GEF projects, national communications, the LDCF and the SCCF, and the fourth GEF performance study (OPS4).

The GEF COP report has also been updated with a more appealing and easy to read design, and of course, available in English, French, and Spanish. Copies of the report are available here:

[http://www.thegef.org/gef/GEF\\_Report\\_UNFCCC\\_COP16](http://www.thegef.org/gef/GEF_Report_UNFCCC_COP16)

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## GEF ACTIONS ON TECHNOLOGY TRANSFER

By Chizuru Akoi

### Why is technology transfer important for climate change?

Technology lies at the core of the climate change challenge. It is often the source of greenhouse gas (GHG) emissions, and also a means to reduce such emissions and address impacts. Technological change has the potential to significantly reduce the cost to meet the climate change goals. In addition, technology transfer creates opportunities for economic growth: innovation is a foundation for industrial development, helping to create or expand markets for new products and processes and generating jobs. Simply put, the global climate change challenge cannot be addressed without technology transfer. This is why the subject is embedded in the very fabric of the United Nations Framework Convention on Climate Change (UNFCCC) and is a key topic of ongoing talks.

### What has the GEF done?

As an operating entity of the financial mechanism of the UNFCCC, the Global Environment Facility (GEF) holds a mandate to provide financial resources to support technology transfer in the context of both mitigation and adaptation under the guidance of the Conference of the Parties (COP). The GEF activities help catalyze the transfer of climate-friendly and country-driven environmentally sound technologies (ESTs) to meet a variety of development priorities.

GEF activities on climate change focus on removing barriers to the widespread adoption of technologies and practices that enable energy efficiency, renewable energy, and sustainable transport. In accordance with Convention guidance, the GEF also provides funding for technology needs assessments (TNAs) and other enabling and capacity-building activities. The GEF has also stepped up its programming in land use, land use change and forestry.

In recent years, the GEF has invested about \$250 million annually in energy efficiency; renewable energy; emerging, low-carbon, energy-generating technologies; cost-effective, short-term response measures; and sustainable urban transport.

### Poznan Strategic Program on Technology Transfer

In November 2008, the GEF unveiled the new Strategic Program on Technology Transfer in response to a request from the Thirteenth COP to the UNFCCC. The Fourteenth COP welcomed the GEF's Program, renaming it the Poznan Strategic Program on Technology Transfer. COP14 deemed it a step forward in scaling up the needed investment and also recognized its potential to enhance technology transfer activities under the Convention. The Poznan Strategic Program under GEF-4 consists of the following funding windows totaling \$ 50 million:

1. Conduct technology needs assessments (TNAs)
2. Pilot priority technology projects linked to TNAs
3. Disseminate GEF experience and successfully demonstrated, environmentally sound technologies.

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1. Technology Needs Assessment project: Technology needs assessment (TNA) is a country-driven activity to assist in identifying and analyzing the priority technology needs for mitigating and adapting to climate change. The TNA project, implemented by UNEP, has the objective to provide targeted financial and technical support to assist 35 to 45 developing countries in developing and/or updating their TNAs. The intention is that assisted countries go beyond identifying technology needs narrowly and develop national technology action plans for prioritized technologies that reduce GHG emissions, support adaptation to climate change, and are consistent with national development objectives. The project started in late 2009.

Fifteen countries were selected as first round countries in early 2010. They are: Argentina, Bangladesh, Cambodia, Cote d'Ivoire, Costa Rica, Georgia, Guatemala, Indonesia, Kenya, Mali, Morocco, Peru, Senegal, Thailand, and Viet Nam. These countries are currently carrying out analysis and stakeholder engagement to complete their TNAs and to develop their technology action plans. The selection process for the second round countries is expected to be completed by the end of 2010. The project and its progress will be presented at a side event at COP16.

2. Pilot technology projects: This funding window was created to finance pilot projects that support the deployment, diffusion, and transfer of technologies that have been identified as national priorities. Fourteen proposals of technology transfer pilot projects were selected in 2009. Total GEF resources requested for these projects amount to \$36.8 million from the Poznan Program, with additional \$21.2 million requested from the GEF Trust Fund. Total cofinancing comes to more than \$195 million.

The technologies targeted by these projects for development and transfer are diverse and innovative. They include technologies on renewable energy (solar, biomass, wind, wave, and hydrogen production and storage), energy efficiency (insulation materials, and efficient and hydrofluorocarbon-free appliances), transport (green trucks), composting, carbon capture and storage from sugar fermentation, and membrane drip irrigation for adaptation.

The projects take place in: Brazil, Cambodia, Chile, China, Columbia and Kenya, Code d'Ivoire, Jamaica, Jordan, Mexico, Russia, Senegal, Sri Lanka, Thailand, as well as Turkey and Cook Islands. Countries and agencies continued to develop projects in 2010 to submit them for GEF endorsement by the planned target dates. The project proposals are available at the GEF website:

[http://www.thegef.org/gef/gef\\_projects\\_funding](http://www.thegef.org/gef/gef_projects_funding).

3. Dissemination of GEF experience in EST demonstrations: The GEF recently launched an initiative on the dissemination of GEF experiences and successfully demonstrated ESTs. The objective of this initiative is two-fold:

- to gain better, more in-depth understanding of the process of technology transfer and the role of the GEF with specific cases of technologies; and
- to disseminate the technologies that have been successfully demonstrated through GEF support to a wider range of countries and audiences with a view to facilitating wider adoption of these technologies.

This initiative is managed by the GEF Secretariat in collaboration with relevant GEF agencies and other interested parties. As part of this initiative, the GEF plans to hold an event at COP16 on ESTs successfully demonstrated by GEF projects and launch a publication. Furthermore, the GEF plans to develop collaborative activities linked to the ongoing and emerging initiatives of the UNFCCC and other partners under this initiative.

### What is the GEF doing for technology transfer in GEF-5?

GEF-5 Climate Change Strategy: Under GEF-5 (2010-2014), technology transfer is a priority in the climate change focal area. The GEF-5 climate change strategy

promotes technology transfer at various stages of the technology development cycle, from demonstration of innovative, emerging low-carbon technologies to diffusion of commercially proven ESTs and practices.

The climate change mitigation strategy for GEF-5 consists of six objectives. The first objective focuses on innovative technologies at the stage of market demonstration or commercialization where technology push is still critical. The second to fifth objectives focus on technologies that are commercially available in the country but face barriers and require market pull to achieve widespread adoption and diffusion. The last objective is devoted to supporting enabling activities, including TNAs, and capacity building under the UNFCCC. The entire GEF climate change portfolio can be characterized as supporting technology transfer.

Long-Term Implementation of Strategic Program: COP14 requested the GEF to consider long-term implementation of the strategic program and report to COP16. The GEF is ready to establish and implement a long-term plan. Such a plan, if agreed upon by the COP, may entail the following elements to further scale up investment in ESTs in developing countries in accordance with the GEF-5 climate change strategy, and to enhance technology transfer activities under the Convention:

- Support for Climate Technology Centers and a Climate Technology Network
- Piloting Priority Technology Projects to Foster Innovation and Investments
- Public-Private Partnership for Technology Transfer
- Technology Needs Assessments (TNAs)
- GEF as a Catalytic Supporting Institution for Technology Transfer.

The GEF stands ready to work in partnership to implement innovative technology transfer programming with renewed enthusiasm under GEF-5.

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By Ozamu Mizuno xx

Sustainable transport is one of the emerging focuses in the GEF project portfolio. STAP and the GEF secretariat have been working together to enhance strategic development of sustainable transport projects.

As a result, STAP has produced two new guidance documents to present at the November GEF Council meeting. A new STAP Advisory Document titled "[Advancing Sustainable Low-carbon Transport through the GEF](#)" will be supplemented with a new methodology titled "[Manual for Calculating GHG Benefits of GEF Transport Projects](#)."

The STAP Advisory Document on sustainable transport is based on the major priorities of GEF's Climate Change Strategic Objective 4: "Promote energy efficient, low-carbon transport and urban systems." It provides strategic-level guidance to GEF partners on projects, programs, and policies that best support sustainable land transport--including urban transport, freight transport, and inland waterways--during GEF-5 and beyond.

The Manual for calculating GHG benefits provides a step-by-step methodology for development of baselines, impact estimation and calibration of transport projects across a wide range of transport interventions. Use of the methodology will also facilitate high quality project design, consistency and objectivity in impact estimation, and improves impact estimation when local data is scarce--leading to better projects and higher investment.

STAP advises GEF partners including recipient countries, GEF agencies and the GEF Secretariat to use the proposed documents consistently in preparing, submitting and evaluating GEF sustainable transport projects. Consistent use of the proposed documents will help to harmonize transport GHG data generation and reporting, advance global knowledge generation, and lead to better formulation of sustainable transport policies.

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## GEF AND CARBON FINANCE: GROWING OPPORTUNITIES

By Alexis Mariani

Given its extensive network of partner institutions and its strong track record in financing clean energy and the transfer of environmentally sound technologies, the GEF can play a useful and growing role in the emerging carbon markets.

During the fifth replenishment period, emerging opportunities will be explored to support the carbon markets. This is a new and important development for our institution. To date, GEF engagement with carbon finance has been limited to few, innovative projects. For example, the Chiller Energy Efficiency Project in India will establish a revolving fund, funded by the GEF, where Certified Emissions Reductions revenue streams from initial replaced chillers are returned to the fund and are used for replacing additional units.

GEF-5 strategy document describes a broader set of options for a potential GEF support to carbon finance:

- capacity building to help create enabling legal and regulatory environments;
- support of programmatic carbon finance and other activities under the post-2012 climate regime;
- demonstration of technical and financial viabilities of technologies;
- partial risk guarantees and contingent financing for carbon finance projects; and
- co-financing of innovative projects, with credits to be retained in the recipient country for further project replication.

The GEF is currently engaging with its 10 Agencies to explore further these options, discuss ideas and concepts, and ultimately foster the development of sound projects or programs to strengthen the GEF's role in the carbon markets.

A useful step on the road to Cancun !

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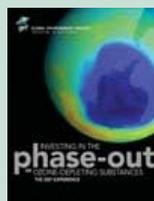
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By Ibrahima Sow

Following the amendments of the Stockholm Convention to list nine additional chemicals as persistent organic pollutants to the annexes of the Stockholm Convention, countries will have to review and update their national implementation plans (NIPs) and transmit the NIPs to the Conference of the Parties through the Secretariat of the Convention, two years following the entry into force of the amendments (August 2012).

The work will principally focus on inventorying new POPs and identifying priorities actions related to reduction and phase out activities. It is anticipated that the NIP update process will be deployed and implemented within a 12-month period and therefore allow countries to engage with the implementation of priorities that would be identified during the process.

The process will broadly follow the step-wise process as set out in the GEF's [Initial Guidelines for Enabling Activities For the Stockholm Convention on POPs](#) (GEF/C.17/04, April 6, 2001) and "[Guidelines for developing a NIP](#)" (UNEP/POPs/COP2.INF, May 2005).

For this process, the GEF, as the Financial Mechanism of the Convention will provide up to US \$ 250,000 to eligible countries under expedited approval procedures.

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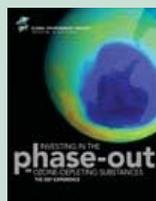
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By Carlo Carugi

On Thursday 21 October 2010, the GEF Evaluation Office hosted a side event at the CBD COP 10 in Nagoya, to discuss the available evaluative evidence on results achieved in biodiversity with support from international organizations.

The Director of the GEF Evaluation Office, Rob D. van den Berg, introduced the main findings of the Fourth Overall Performance Study of the GEF. Carlo Carugi, Senior Evaluation Officer, presented an overview of the most recent findings on biodiversity from ten evaluations conducted by the Office during the last two years. The event concluded with a presentation by Rob on "Ensuring Biodiversity in a Sustainable future: lessons from evaluations", a briefing note prepared by the Evaluation Cooperation Group of the International Financing Institutions, consisting of the World Bank Group and the regional multilateral banks, IMF and EIB, with support from the independent evaluation offices of the GEF, UNDP and FAO. The note was recognized as an information document of COP 10.

The discussion in the side event focused on two key questions, namely "What do the latest GEF evaluations tell us on relevance and results achieved by the GEF in biodiversity?", and "What lessons can we learn from the available evaluative evidence on support for biological diversity from international organizations?" Participants acknowledged the main message coming out from the three presentations: interventions to support biodiversity do work, are effective and have impact. However, this has not stemmed the tide of overall biodiversity loss.

The CBD goal of effectively conserving 10% of the world's ecological regions by 2010 has been achieved, also thanks to support from international organizations. Counterfactual impact analysis has shown that in some cases the proximity to a protected area emerged as having a positive effect on income and livelihoods. Evaluative evidence shows that protected areas are effective in reducing deforestation, increasing income, and assigning an important role to indigenous people. However, these interventions in themselves are not sufficient to stem the overall loss of biodiversity, as they do not reach the scale which would change the overall negative trend. Distribution of protected areas is uneven and there is lack of recognition of marine versus terrestrial ecosystems.

The side event concluded with a call for the UN and the international financial institutions to prioritize support to biodiversity in their strategies and implementation. The findings of the Evaluation Cooperation Group support and validate the findings that were presented in the Fourth Overall Performance Study of the GEF.

For more information, please contact the GEF Evaluation Office at [gefeo@thegef.org](mailto:gefeo@thegef.org)

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## NEW STAFF AT THE GEF

### GEF Secretariat new appointments since June 2010

- Aoki, Chizuru - Sr. Technology Transfer Officer (Climate & Chemicals)
- Bok Young, Jung - Sr. Environmental Specialist (Climate & Chemicals)
- Heath, Linda - Sr. Forest Climate Change Specialist (Climate & Chemicals)
- Ortiz-Montemayor, Claudia, Jr. Professional Associate (Climate & Chemicals)
- Rodgers, David - Sr. Energy Specialist (Climate & Chemicals)
- Sookdeo, Anil - Environmental Specialist (Climate & Chemicals)
- Xu, Henan - Jr. Professional Associate (Climate & Chemicals)
  
- Akhatova, Aziza - Jr. Professional Associate (Natural Resources)
- Hume, Andrew - Jr. Professional Associate (Natural Resources)
  
- Collins, Elisabeth - Jr. Professional Associate (External Affairs)
- Djemouai, Kamel - Sr. Country Relation Officer (External Affairs)
- Mpyoi Longila, Regine - Program Assistant (External Affairs)
  
- Ndiaye, Daouda - Adaptation Officer (Adaptation Fund)
- Raghav, Shyla - Jr. Professional Associate (Adaptation Fund)
  
- Shitta, Eniola - Jr. Professional Associate (Operations & Business Strategy)
- Vargas, Lucy - Program Assistant (Operations & Business Strategy)

### GEF Evaluation Office new appointments since June 2010

- Garcia, Jeneen - ET Consultant
- Nielsen, Sarah - ET Consultant
- Temnenko, Kseniya - Knowledge Management Officer
- Wadhwa, Baljit - Sr. Evaluation Officer

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[https://www.thegef.org/gef/gef\\_staff](https://www.thegef.org/gef/gef_staff)