IN: BIHAR KOSI BASIN DEVELOPMENT PROJECT  
(P127725)

I. General

1. **Bank’s approval Date of the procurement Plan**: Draft Procurement Plan dated May 20, 2015 Revision 0 agreed by the Bank on May 20, 2015

2. **Date of General Procurement Notice**: May 29 2014

3. **Period covered by this procurement plan**: 18 months

II. Goods and Works and non-consulting services.

1. **Prior Review Threshold**: Procurement Decisions subject to Prior Review by the Bank as stated in Appendix 1 to the Guidelines for Procurement:

<table>
<thead>
<tr>
<th>Category</th>
<th>Method of Procurement</th>
<th>Threshold (US$ Equivalent)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Goods and Non-consultant services</td>
<td>ICB</td>
<td>&gt;3,000,000</td>
</tr>
<tr>
<td></td>
<td>LIB</td>
<td>Wherever agreed by the Bank</td>
</tr>
<tr>
<td></td>
<td>NCB</td>
<td>Up to 3,000,000 (with NCB conditions)</td>
</tr>
<tr>
<td></td>
<td>Shopping</td>
<td>Up to 50,000</td>
</tr>
<tr>
<td></td>
<td>DC</td>
<td>As per Para 3.7 of the Bank Guidelines, wherever agreed and with prior agreement with the Bank</td>
</tr>
<tr>
<td></td>
<td>Force Account</td>
<td>As per Para 3.9 of Guidelines</td>
</tr>
<tr>
<td></td>
<td>Framework Agreements</td>
<td>As per Para 3.6 of Guidelines</td>
</tr>
<tr>
<td>Works and Supply and Installation</td>
<td>ICB</td>
<td>&gt;40,000,000</td>
</tr>
<tr>
<td></td>
<td>NCB</td>
<td>Up to 40,000,000 (with NCB conditions)</td>
</tr>
<tr>
<td></td>
<td>Shopping</td>
<td>Up to 50,000 carried out through a qualified local contractor selected through shopping (after inviting a minimum of three quotations in response to a written invitation with a minimum of 15 days notice period)</td>
</tr>
<tr>
<td></td>
<td>Force Account</td>
<td>As per Para 3.9 of Guidelines</td>
</tr>
<tr>
<td></td>
<td>DC</td>
<td>As per Para 3.7 of Guidelines</td>
</tr>
</tbody>
</table>

1. Prior Review by the Bank. The Bank will prior review the following contracts:
• Works: All contracts more than US$ 10.0 million equivalent;
• Goods: All contracts more than US$ 1.0 million equivalent;
• Non-Consulting Services: All contracts more than US$ 1.0 million equivalent;

2. First contract issued by each implementing agency will be prior reviewed by the Bank irrespective of value. In addition, the justifications for all contracts to be issued on LIB, single-source (>US$ 50,000) or direct contracting (>US$ 50,000) basis will be subject to prior review. These thresholds are for the initial 18 months period and are based on the procurement performance of the project, these thresholds will be modified. In addition, the Bank will carry out an annual ex post procurement review of the procurement falling below the prior review threshold mentioned above.

All contracts not covered under prior review will be subject to post review during supervision missions, and/or review by consultants to be appointed by the by Bank.

2. **Prequalification.** Not applicable

3. **Proposed Procedures for CDD Components (as per paragraph. 3.17 of the Guidelines):** [Refer to the relevant CDD project implementation document approved by the Bank or delete if not applicable]

4. **Reference to Project Operational/Procurement Manual:** BAPEPS has prepared the Project Procurement Manual and agreed with the Bank. All the contracts issued under the project will follow the World Bank’s Guidelines: Bank’s “Guidelines: Procurement under IBRD Loans and IDA Credits” dated January 2011 and revised in July 2014 and “Guidelines: Selection and Employment of Consultants by World Bank Borrowers” dated January 2011 and revised in July 2014, In case of any inconsistency between the Procurement Manual or Bihar Government Procurement Procedures and the Bank Guidelines, the latter shall prevail.

5. **Any Other Special Procurement Arrangements:** The bid evaluation will be carried out as per agreed timeline in the Procurement Manual.

NCB will be conducted in accordance with paragraph 3.3 and 3.4 of the Guidelines and the following provisions:

The Standard Bidding documents of the Bank as agreed with GoI task force (and as amended from time to time) for all procurement under NCB will be used. For ICB/LIB contracts Bank’s latest Standard Bidding Documents (SBDs) only will be used. The following conditions must be met in order for the bidding process under NCB to be acceptable to the Bank:

- only the model bidding documents for NCB agreed with the GOI Task Force (and as amended from time to time) shall be used for bidding;
- invitations to bid shall be advertised in at least one widely circulated national daily newspaper or on a widely used website or electronic portal with free national and international access along with an abridge version of the said advertisement being
published in a widely circulated national daily newspapers inter-alia giving the
website/electronic portal details from which the details of the invitation to bid can be
downloaded, at least 30 days prior to the deadline for the submission of bids;

- no special preference will be accorded to any bidder either for price or for other terms and
  conditions when competing with foreign bidders, state-owned enterprises, small-scale
  enterprises or enterprises from any given state;

- except with the prior concurrence of the Bank, there shall be no negotiation of price with
  the bidders, even with the lowest evaluated bidder;

- extension of bid validity shall not be allowed with respect to Contracts subject to Bank
  Prior review, without the prior concurrence of the Bank (i) for the first request for extension
  if it is longer than four weeks; and (ii) for all subsequent requests for extension irrespective
  of the period (such concurrence will be considered by the Bank only in cases of Force
  Majeure and circumstance beyond the control of the Purchaser/Employer;

- re-bidding shall not be carried out with respect to contracts subject to Bank Prior Review,
  without the prior concurrence of the Bank. The system of rejecting bids outside a pre-
determined margin or “bracket” of prices shall not be used in the project;

- Framework agreement using DGS&D rate contracts can be used to procure goods up to
  NCB threshold contracts will need to comply with the following:

  Use of DGS&D rate contracts as Framework Agreement must be reflected in the
  procurement plan agreed by the Bank for particular goods.

  Before issuing the purchasing order, the Task Team will have to advise the
government on carrying out a price analysis on the specific good that is intended to be
purchased. If after this due diligence the GoB concludes and Bank agrees that the
DGS&D rate contract is not suitable, then the GoB will have to proceed using NCB
or shopping depending on the value.

To meet the Bank's requirements for right to audit and F&C, these clauses may be
included in the Purchase Orders, in case the purchasers are directly placing the
purchase orders to DGS&D rate contract holders. On the other hand, if indent is
placed through DGS&D, the Purchaser has the option to sign a separate undertaking
with DGS&D rate contract holder, where Bank’s right to audit and F&C clauses
could be mentioned; and

  two or three envelop system shall not be used

6. **Summary of the Procurement Packages planned during the first 18 months after project
effectiveness**: Available in separate Excel file.
III. Selection of Consultants

1. **Prior Review Threshold**: Selection decisions subject to Prior Review by Bank as stated in Appendix 1 to the Guidelines Selection and Employment of Consultants:

<table>
<thead>
<tr>
<th>Category</th>
<th>Method of Procurement</th>
<th>Threshold (US$ Equivalent)</th>
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</thead>
<tbody>
<tr>
<td>Consultants’ Services</td>
<td>CQS/LCS</td>
<td>Up to 300,000 per contract</td>
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<tr>
<td></td>
<td>SSS</td>
<td>As per Para 3.9-3.11 of Guidelines</td>
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<td></td>
<td>Individuals</td>
<td>As per Section V of Guidelines</td>
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<td></td>
<td>Use of NGO</td>
<td>As per Para 3.16 of Guidelines</td>
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<tr>
<td>QCBS/QBS/FBS</td>
<td>(i) International shortlist</td>
<td>For all other cases</td>
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<td></td>
<td>(ii) Shortlist may comprise national consultants only</td>
<td>&gt; 800,000 Up to 800,000</td>
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</tbody>
</table>

Prior Review by the Bank. The Bank will prior review the following contracts:

- Consultancy Services: All contracts more than US$ 500,000 equivalent for firm; and
- Consultancy Services: All contracts more US$ 200,000 equivalent for individuals.

First contract issued by each implementing agency will be prior reviewed by the Bank irrespective of value. In addition, the justifications for all contracts to be issued on single-source (>US$ 50,000) or direct contracting (>US$ 50,000) basis will be subject to prior review. These thresholds are for the initial 18 months period and are based on the procurement performance of the project, these thresholds will be modified. In addition, the Bank will carry out an annual ex post procurement review of the procurement falling below the prior review threshold mentioned above.

All contracts not covered under prior review will be subject to post review during supervision missions, and/or review by consultants to be appointed by the Bank.

2. **Short list comprising entirely of national consultants**: Short list of consultants for services, estimated to cost less than $ 800,000 equivalent per contract, may comprise entirely of national consultants in accordance with the provisions of paragraph 2.7 of the Consultant Guidelines.

3. **Any Other Special Selection Arrangements**: The finalization of consulting services shall follows the timeline agreed in the Procurement Manual

4. **Consultancy Assignments with Selection Methods and Time Schedule** Available as separate Excel file
**E-procurement and use of SEPA:**

1. *Currently* many undertakings of the government of Bihar following e-procurement system for State and centrally funded projects through portal system. The NIC portal system has been reviewed by the Bank and has been cleared to be used for Bank financed project. Therefore, e-procurement shall be adopted for this project. Further, use of procurement plan monitoring tool SEPA has also been discussed and agreed to be used for this project.

2. *Procurement Review by BAPEPS:* Independent review or audit will be undertaken for the project for BAPEPS’s own internal due diligence, and as agreed in the implementation arrangements for the project.

   1. BAPEPS will review procurement documents for procurement of works and goods by IAs for all post review cases. For prior review cases, BAPEPS will carry out initial review and then forward it to the Bank for no-objection.
   2. *External audit:* The external auditor appointed by BAPEPS will conduct the audit of all implementing agencies and BAPEPS including procurement review/audit. In case there is any procurement related observation made by the external auditor in their audit report, the same shall be shared with Bank along with the comments of BAPEPS.
### WORKS

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### GOODS

|-----------------------------------|------------------|-----------|-------------|--------|-----------------|---------------------|-----------------------|---------------------|---------------|----------------------------------|-------------------------------|                                |                                        |                     |                                          |                 |                        |
|                                  |                  |           |             |        |                 |                     |                       |                     |               |                                  |                               |                                |                                        |                     |                                          |                 |                        |

### NON-CONSULTING SERVICES

|-----------------------------------|------------------|-----------|-------------|--------|-----------------|---------------------|-----------------------|---------------------|---------------|----------------------------------|-------------------------------|                                |                                        |                     |                                          |                 |                        |
|                                  |                  |           |             |        |                 |                     |                       |                     |               |                                  |                               |                                |                                        |                     |                                          |                 |                        |

### CONSULTING FIRMS

|-----------------------------------|------------------|-----------|-------------|--------|-----------------|---------------|---------------------|---------------------|-------------------------|-------------------------------|---------------------------------|-------------------------------|------------------------------------------|-----------------|---------------------|-----------------|                        |
|                                  |                  |           |             |        |                 |               |                     |                     |                         |                                 |                                 |                               |                                        |                 |                        |                 |                        |

### Project Details:

- Project Name: IN: BHAR KOSI BASIN DEVELOPMENT PROJECT
- Loan / Credit No.: IDA / 50960
- Executing Agency: Bihar Anugraha Rasoi, Jananirman Society

### Work Items

- **BKBDP Quality Party Consultancy QA-01**: Advice for ensuring the quality party systems for foods, beverages, and other items.
- **BKBDP Quality Party Consultancy NON WORKS**: Advice for non-work activities.
- **BKBDP Quality Party Consultancy Consulting Services**: Advice for consulting services.
- **BKBDP Quality Party Consultancy Consulting Firms**: Advice for consulting firms.
<table>
<thead>
<tr>
<th>ID</th>
<th>Project</th>
<th>Consultant Type</th>
<th>Start Date</th>
<th>End Date</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>BAPEPS/CONSULTANCY/FM</td>
<td>INDIVIDUAL CONSULTANTS</td>
<td>2019-01-07</td>
<td>2019-02-01</td>
</tr>
<tr>
<td>2</td>
<td>SC-02/56960</td>
<td>INDIVIDUAL CONSULTANTS</td>
<td>2019-01-14</td>
<td>2019-02-28</td>
</tr>
<tr>
<td>3</td>
<td></td>
<td>INDIVIDUAL CONSULTANTS</td>
<td>2019-02-20</td>
<td>2019-03-09</td>
</tr>
<tr>
<td>4</td>
<td></td>
<td>INDIVIDUAL CONSULTANTS</td>
<td>2019-03-20</td>
<td>2019-03-30</td>
</tr>
<tr>
<td>5</td>
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<td>INDIVIDUAL CONSULTANTS</td>
<td>2019-04-09</td>
<td>2019-05-04</td>
</tr>
<tr>
<td>6</td>
<td></td>
<td>INDIVIDUAL CONSULTANTS</td>
<td>2019-05-14</td>
<td>2021-05-13</td>
</tr>
</tbody>
</table>

**BAPEPS / CONSULTANCY / FM**

**SC-02**

**Hiring of Consultancy services for Financial Management support to BKBDP IDA / 56960**

**Post Quality And Cost Based Selection**

**Open - National**

**Funding: Implementation**

**Pending Implementation**

**2019-01-07**

**2019-02-01**

**2019-02-28**

**2019-03-09**

**2019-03-20**

**2019-04-09**

**2019-05-04**

**2019-05-14**

**2021-05-13**

**INDIVIDUAL CONSULTANTS**