World Bank Assisted
National Agricultural Higher Education Project

Education Division
Indian Council of Agricultural Research
Government of India

Procurement Plan
(Ist Phase – 18 months)
From: May 2017 to Sept., 2018
I. General

1. Project information

Country : India
Borrower : Government of India
Project Name : NATIONAL AGRICULTURAL HIGHER EDUCATION PROJECT (NAHEP)

Project Identification No (ID) : P151072

Project Implementing Agency (PIA): ICAR and all participating Agriculture Universities

2. Bank’s approval Date of the Initial Procurement Plan:

4. Date of General Procurement Notice: 16th December 2016

   Period covered by this procurement plan: First 18 months of the project i.e April, 2017 to Sept., 2018

5. Applicable Bank Guideline:

a) For Goods, Works and Non-consulting Services :"Guidelines: Procurement of Goods, Works and Non-Consulting Services under IBRD Loans and IDA Credits & Grants by World Bank Borrowers" dated January 2011 (Procurement Guidelines) and revised July 2014; and

b) For Consultancy Services :"Guidelines: Selection and Employment of Consultants under IBRD Loans and IDA Credits & Grants by World Bank Borrowers" dated January 2011 (Consultant Guidelines) and revised July 2014;
## II. Goods, Works and Non-Consulting Services

<table>
<thead>
<tr>
<th>Category</th>
<th>Method of Procurement</th>
<th>Threshold (US$ Equivalent)</th>
<th>Prior review threshold</th>
</tr>
</thead>
<tbody>
<tr>
<td>Goods and Non-consulting services (excluding TI contracts)</td>
<td>International competitive Bidding (ICB)</td>
<td>Contracts more than the equivalent of <strong>US$ 3 million</strong> per contract</td>
<td>All contracts equal to or greater than US$2 million equivalent;</td>
</tr>
<tr>
<td></td>
<td>Limited International Bidding</td>
<td>As per para 3.2 of the Guideline, all Contracts more than the equivalent of US $100,000 per contract</td>
<td></td>
</tr>
<tr>
<td></td>
<td>National competitive Bidding (NCB)</td>
<td>Contracts more than the equivalent of US$ 100,000 but less than the equivalent of US$ 3 million per contract (with NCB conditions)</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Shopping **</td>
<td>Up to $100,000</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Direct Contracting (DC)</td>
<td>As per para 3.7 of Guidelines</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Community participation</td>
<td>As per para 3.19 of the guideline</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Force Account</td>
<td>As per para 3.9 of Guidelines</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Framework Agreements (FA)</td>
<td>As per para 3.6 of Guidelines</td>
<td></td>
</tr>
<tr>
<td>Works</td>
<td>ICB</td>
<td>Civil works more or equivalent of US$40,000,000 per contract</td>
<td>All contracts equal to or greater than US$10 million equivalent</td>
</tr>
<tr>
<td></td>
<td>NCB</td>
<td>Civil works more than 100,000 and up to $40,000,000 (with NCB conditions)</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Shopping</td>
<td>Civil works up to $100,000</td>
<td></td>
</tr>
<tr>
<td></td>
<td>DC</td>
<td>As per para 3.7 of Guidelines</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Community participation#</td>
<td>As per para 3.19 of the guideline</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Force Account</td>
<td>As per para 3.9 of Guidelines</td>
<td></td>
</tr>
<tr>
<td>Consultants' Services</td>
<td>CQS/LCS</td>
<td>Contracts Up to $300,000 or less per contract</td>
<td>All contracts equal to or greater than US$1 Million equivalent for firms; and equal to or greater than</td>
</tr>
<tr>
<td>Category</td>
<td>Method of Procurement</td>
<td>Threshold (US$ Equivalent)</td>
<td>Prior review threshold</td>
</tr>
<tr>
<td>----------------------------------</td>
<td>-----------------------</td>
<td>----------------------------</td>
<td>----------------------------</td>
</tr>
<tr>
<td>Single source selection (SSS)</td>
<td>As per para 3.9-3.11 of Guidelines</td>
<td>US$300,000 equivalent for individuals</td>
<td></td>
</tr>
<tr>
<td>Individual contracts</td>
<td>As per Section V of Guidelines</td>
<td></td>
<td></td>
</tr>
<tr>
<td>QCBS/QBS/FBS</td>
<td>for all other cases</td>
<td></td>
<td></td>
</tr>
<tr>
<td>(i) International shortlist</td>
<td>&gt;$800,000</td>
<td></td>
<td></td>
</tr>
<tr>
<td>(ii) Shortlist may comprise national consultants only</td>
<td>Up to $800,000</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

* If a transaction comprises several contracts, lots or slices, the aggregate estimated value of all contracts, lots or slices will determine the applicable threshold amount.

**State Rate Contracts cannot be used at par with Shopping. If state rate contract exists for an item, the same can be considered as one of the 3 quotations to be sought under shopping procedures. However DGS&D rate contracts are acceptable under any procurement under the Shopping procedure.

# Community Procurement would be applicable to activities under learning/training pilots It would be based on World Bank “Guidance Note For Management of Procurement Responsibilities In Community-Driven Development Projects dated December 2009 and as included in the Procurement manual.

2. Pre-qualification: Not Applicable


4. Any Other Special Procurement Arrangements (Goods & Works):

   A. Contracts procured in advance will be financed under retroactive financing within the specified limits, as agreed to in the Credit Agreement provided they are procured in accordance with agreed processes and procedures for the project and have been cleared as part of procurement plan.

   B. National Competitive Bidding (NCB) method for procurement of goods, works and non-consulting services as per the above value thresholds will be conducted in accordance
with paragraph 3.3 and 3.4 of the World Bank Procurement Guidelines and the following provisions:

(i) Only the model bidding documents for NCB agreed with the GOI Task Force (and as amended for time to time), shall be used for bidding;

(ii) Invitations to bid shall be advertised in at least one widely circulated national daily newspaper (or on a widely used website or electronic portal with free national and international access) along with an abridged version of the said advertisement published in a widely circulated national daily inter-alia giving the website/electronic portal details from which the details of the invitation to bid can be downloaded), at least 30 days prior to the deadline for the submission of bids;

(iii) No special preference will be accorded to any bidder either for price or for other terms and conditions when competing with foreign bidders, state-owned enterprises, small-scale enterprises or enterprises from any given State.

(iv) Except with the prior concurrence of the Bank, there shall be no negotiation of price with the bidders, even with the lowest evaluated bidder;

(v) Extension of bid validity shall not be allowed with reference to contracts subject to prior review without the prior concurrence of the World Bank (i) for the first request for extension if it is longer than four weeks; and (ii) for prior approved packages, all subsequent requests for extension irrespective of the period. (Such concurrence will be considered by the Bank only in cases of Force Majeure and circumstances beyond the control of the Purchaser/Employer);

(vi) Re-bidding shall not be carried out with reference to Contracts subject to Bank prior review without the prior concurrence of the Bank.

(vii) The system of rejecting bids outside a pre-determined margin or "bracket" of prices shall not be used in the project.

(viii) Rate contracts entered into by Directorate General of Supplies and Disposals will not be acceptable as a substitute for NCB procedures unless agreed with the Bank on case to case basis. Such contracts will be acceptable however for any procurement under the Shopping procedures.

(ix) Two or three envelop system will not be used (except when using e-Procurement system assessed and agreed by the Bank).

(x) As per 1.1(e) of World Bank Procurement Guidelines, the Association's right to inspect accounts and records of the bidders, suppliers and contractors will be included in the bidding document.
Selection of Consultants

1. Methods and Value thresholds for Consultancy Services

<table>
<thead>
<tr>
<th>Consulting Services</th>
<th>Methods</th>
<th>Value Threshold*</th>
<th>Review Arrangements</th>
</tr>
</thead>
<tbody>
<tr>
<td>Consulting Services (Firms)</td>
<td>i) Quality and Cost Based Selection (QCBS)</td>
<td>Contracts estimated to cost equivalent of US$ 200,000 or less per contract.</td>
<td>As per the provisions of paragraph 3.8 to 3.11 of Consultant Guidelines</td>
</tr>
<tr>
<td></td>
<td>ii) Quality Based Selection (QBS)</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>iii) Selection based on a Fixed Budget (FBS)</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>iv) Selection Based on Least Cost Basis (LCS)</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>v) (Selection based on Consultant’s Qualification (CQS)</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>vi) Single Source Selection (SSS)#</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Individual Consultants</td>
<td>i) Competitive Selection</td>
<td>As per the provisions of paragraph 5.1 to 5.6 of Consultant Guidelines</td>
<td></td>
</tr>
<tr>
<td></td>
<td>ii) Single Source Selection (SSS)</td>
<td>As per the provisions of paragraph 5.1 and 5.3 to 5.6 of Consultant Guidelines</td>
<td></td>
</tr>
</tbody>
</table>

* If a transaction comprises several packages, lots or slices, the aggregate estimated value of contracts will determine the applicable threshold amount.

# Irrespective of contract value any hiring of Government or Research Institutes of unique and exceptional nature requires prior no objection of the World Bank on case to case basis.

2. Short list comprising entirely of national consultants: Short list of consultants for services, estimated to cost less than US $800,000 equivalent per contract, may comprise entirely of national consultants in accordance with the provisions of Para 2.7 the World Bank Consultant Guidelines.
3. Any Other Special Selection Arrangements (Consulting Services):

(a) Contracts procured in advance will be financed under retroactive financing within the specified limits, as agreed to in the Credit Agreement provided procurement is in accordance with agreed processes and procedures for the project.

(b) Requests for Expression of Interest (REOI) for assignments expected to cost more than US$ 300,000 shall be advertised in UNDB online in accordance with Para 2.5 of the Consultant Guidelines.

With respect to each contract for the employment of individual consultants estimated to cost the equivalent of US $ 30,000 or more, the qualifications, experience, terms of reference and terms of employment of the consultants shall be furnished to the World Bank for its prior review and No Objection

iv) Implementing Agency Capacity Building Activities with Time Schedule

<table>
<thead>
<tr>
<th>S. No.</th>
<th>Expected outcome / Activity Description</th>
<th>Start Date</th>
<th>Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Training in World Bank online STEP system</td>
<td>Immediate</td>
<td>To familiarise the procurement official in regard to electronic submissions to the Bank.</td>
</tr>
<tr>
<td>2</td>
<td>Trainings in Bank Procurement at ASCI</td>
<td>Within 3 months of the negotiations</td>
<td>To make procurement Officials from participating AU’s familiar with WB procurement procedures</td>
</tr>
<tr>
<td>3</td>
<td>Customized training for all selected official at PIU and selected AU’s.</td>
<td>Within 3 months of effectiveness.</td>
<td>Based on the learning from the project and hands on experience a customized training to be conducted for strengthening the specific understanding for all IA.</td>
</tr>
</tbody>
</table>
## WORKS

<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>070520-7717-048265</td>
<td>IBRD / 87760</td>
<td>Software</td>
<td>Ptu.</td>
<td>Request for Quotations</td>
<td>Single Envelope</td>
<td>Single Envelope</td>
<td>Single Envelope</td>
<td>15,511.00</td>
<td>0.00</td>
<td>Pending Implementation</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>070520-7717-048265</td>
<td>IBRD / 87760</td>
<td>Software</td>
<td>Ptu.</td>
<td>Request for Quotations</td>
<td>Single Envelope</td>
<td>Single Envelope</td>
<td>Single Envelope</td>
<td>7,756.00</td>
<td>0.00</td>
<td>Pending Implementation</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>070520-7717-048265</td>
<td>IBRD / 87760</td>
<td>Software</td>
<td>Ptu.</td>
<td>Request for Quotations</td>
<td>Single Envelope</td>
<td>Single Envelope</td>
<td>Single Envelope</td>
<td>3,500.00</td>
<td>0.00</td>
<td>Pending Implementation</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>070520-7717-048265</td>
<td>IBRD / 87760</td>
<td>Software</td>
<td>Ptu.</td>
<td>Request for Quotations</td>
<td>Single Envelope</td>
<td>Single Envelope</td>
<td>Single Envelope</td>
<td>13,511.00</td>
<td>0.00</td>
<td>Pending Implementation</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>070520-7717-048265</td>
<td>IBRD / 87760</td>
<td>Software</td>
<td>Ptu.</td>
<td>Request for Quotations</td>
<td>Single Envelope</td>
<td>Single Envelope</td>
<td>Single Envelope</td>
<td>77,067.00</td>
<td>0.00</td>
<td>Pending Implementation</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>070520-7717-048265</td>
<td>IBRD / 87760</td>
<td>Software</td>
<td>Ptu.</td>
<td>Request for Quotations</td>
<td>Single Envelope</td>
<td>Single Envelope</td>
<td>Single Envelope</td>
<td>8,033.00</td>
<td>0.00</td>
<td>Pending Implementation</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>070520-7717-048265</td>
<td>IBRD / 87760</td>
<td>Software</td>
<td>Ptu.</td>
<td>Request for Quotations</td>
<td>Single Envelope</td>
<td>Single Envelope</td>
<td>Single Envelope</td>
<td>32,806.00</td>
<td>0.00</td>
<td>Pending Implementation</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

## DOCUMENTS

<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>070520-7717-048265</td>
<td>IBRD / 87760</td>
<td>Software</td>
<td>Ptu.</td>
<td>Request for Quotations</td>
<td>Single Envelope</td>
<td>Single Envelope</td>
<td>Single Envelope</td>
<td>15,511.00</td>
<td>0.00</td>
<td>Pending Implementation</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>070520-7717-048265</td>
<td>IBRD / 87760</td>
<td>Software</td>
<td>Ptu.</td>
<td>Request for Quotations</td>
<td>Single Envelope</td>
<td>Single Envelope</td>
<td>Single Envelope</td>
<td>7,756.00</td>
<td>0.00</td>
<td>Pending Implementation</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>070520-7717-048265</td>
<td>IBRD / 87760</td>
<td>Software</td>
<td>Ptu.</td>
<td>Request for Quotations</td>
<td>Single Envelope</td>
<td>Single Envelope</td>
<td>Single Envelope</td>
<td>3,500.00</td>
<td>0.00</td>
<td>Pending Implementation</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>070520-7717-048265</td>
<td>IBRD / 87760</td>
<td>Software</td>
<td>Ptu.</td>
<td>Request for Quotations</td>
<td>Single Envelope</td>
<td>Single Envelope</td>
<td>Single Envelope</td>
<td>13,511.00</td>
<td>0.00</td>
<td>Pending Implementation</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>070520-7717-048265</td>
<td>IBRD / 87760</td>
<td>Software</td>
<td>Ptu.</td>
<td>Request for Quotations</td>
<td>Single Envelope</td>
<td>Single Envelope</td>
<td>Single Envelope</td>
<td>77,067.00</td>
<td>0.00</td>
<td>Pending Implementation</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>070520-7717-048265</td>
<td>IBRD / 87760</td>
<td>Software</td>
<td>Ptu.</td>
<td>Request for Quotations</td>
<td>Single Envelope</td>
<td>Single Envelope</td>
<td>Single Envelope</td>
<td>8,033.00</td>
<td>0.00</td>
<td>Pending Implementation</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
**CONSULTING SERVICES**

<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**CONSULTING FIRMS**

<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**INDIVIDUAL CONSULTANTS**

<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>