CHINA:

Hainan Health Sector Reform Project (P171064)

Draft ENVIRONMENTAL and SOCIAL COMMITMENT PLAN (ESCP)

Hainan Provincial Health Commission

December 24, 2019
ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN

1. The Government of Hainan Province (GoH) will implement the Hainan Health Sector Reform Project (the Project), with the involvement of Hainan Provincial Health Commission (HPHC) Hainan Provincial Health Security Administration (HPSA) represented by the jointly established Hainan Provincial Project Management Office (HPMO). The International Bank for Reconstruction and Development (the Bank) has agreed to provide financing for the Project.

2. The HPHC and HPSA (represented by HPMO) will implement material measures and actions so that the Project is implemented in accordance with the Environmental and Social Standards (ESSs). This Environmental and Social Commitment Plan (ESCP) sets out material measures and actions, any specific documents or plans, as well as the timing for each of these.

3. The HPHC and HPSA (represented by HPMO) will also comply with the provisions of any other environmental and social (E&S) documents required under the ESMF and referred to in this ESCP.

4. The HPHC and HPSA (represented by HPMO) is responsible for compliance with all requirements of the ESCP even when implementation of specific measures.

5. Implementation of the material measures and actions set out in this ESCP will be monitored and reported to the Bank by the HPHC and HPSA (represented by HPMO) as required by the ESCP and the conditions of the legal agreement, and the Bank will monitor and assess progress and completion of the material measures and actions throughout implementation of the Project.

6. As agreed by the Bank and the HPHC and HPSA, this ESCP may be revised from time to time during Project implementation, to reflect adaptive management of Project changes and unforeseen circumstances or in response to assessment of Project performance conducted under the ESCP itself. In such circumstances, the HPHC and HPSA will agree to the changes with the Bank and will update the ESCP to reflect such changes. Agreement on changes to the ESCP will be documented through the exchange of letters signed between the Bank and the HPHC and HPSA. The HPHC and HPSA will promptly disclose the updated ESCP.

7. Where Project changes, unforeseen circumstances, or Project performance result in changes to the risks and impacts during Project implementation, the HPHC and HPSA shall provide additional funds, if needed, to implement actions and measures to address such risks and impacts, which may include risks and impacts that are relevant to the Project.
<table>
<thead>
<tr>
<th>MATERIAL MEASURES AND ACTIONS</th>
<th>TIMEFRAME</th>
<th>RESPONSIBLE ENTITY/AUTHORITY</th>
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<tr>
<td><strong>MONITORING AND REPORTING</strong></td>
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<td>A REGULAR REPORTING</td>
<td>Semi-annually throughout the project lifecycle</td>
<td>HPMO</td>
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<td>Prepare and submit semi-annual environmental and social monitoring reports to the Bank that show status of compliance with the ESMF and ESCP.</td>
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<td>B INCIDENTS AND ACCIDENTS</td>
<td>Notify the Bank within 48 hours after learning of the incident/accident</td>
<td>HPMO</td>
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<td>Promptly notify the Bank of any environmental and social accident/incident which has, or is likely to have, a significant adverse effect on the environment, the affected communities, the public or workers. Provide sufficient details regarding the incident or accident, indicating immediate measures taken or that are planned to be taken to address it, and any information provided by any contractor and supervising entity, as appropriate. Subsequently, as per the Bank’s request, prepare a report on the incident or accident and propose any measures to prevent its recurrence.</td>
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<td>C RISK LEVEL</td>
<td>Once any High-risk subproject is identified and to be funded by the Project</td>
<td>HPMO</td>
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<td>Keep the Bank timely informed of the increase of environmental and social risks of subprojects and obtain the prior approval of the Bank at the risk screening stage in case any high-risk subproject is to be funded under the Project. Meanwhile, the Bank will upgrade the overall project risk level to “High” and the ESF requirements applicable to high-risk projects shall be implemented.</td>
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**ESS 1: ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIAL RISKS AND IMPACTS**

<p>| 1.1 ORGANIZATIONAL STRUCTURE | An organizational structure, including one dedicated E&amp;S management staff and two (2) external environmental and social specialists, will be established within two months after Project effectiveness and should be maintained throughout project implementation. | HPMO |
| Establish and maintain an organizational structure with qualified personnel and resource following the requirements of Environmental and Social Management Framework, including the recruitment of external environmental and social experts, to support the management of environmental and social risks. | | |</p>
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<tr>
<td><strong>1.2 MANAGEMENT TOOLS AND INSTRUMENTS</strong></td>
<td>Screen and prepare any proposed subproject in accordance with the Environmental and Social Management Framework (ESMF) prepared for the Project, and, thereafter, draft, adopt and implement appropriate environmental and social management instruments (such as, Environmental and Social Impact Assessment (ESIA), Environmental and Social Management Plan (ESMP), Ethnic Minorities Development Plan (EMDP), etc.), as required, in a manner acceptable to the Bank.</td>
<td>ESMF should apply throughout Project implementation, and Environmental and Social (E&amp;S) risk screening forms should be sent to the Bank for records and spot-check; E&amp;S documents/plans to be prepared during project implementation for the subprojects rated with Substantial (S) risks should be sent to the Bank for prior approval before implementation of the respective subproject. Once approved, the E&amp;S plans should be implemented throughout subproject implementation.</td>
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<td><strong>ESS 2: LABOR AND WORKING CONDITIONS</strong></td>
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<td><strong>2.1 OCCUPATIONAL HEALTH AND SAFETY (OHS) MEASURES</strong></td>
<td>Adopt and implement occupational, health and safety (OHS) measures in project-supported Primary Health Care (PHC) facilities, as specified in Annex 2 of the ESMF and consistent with the latest regulatory requirements and ESS2.</td>
<td>Throughout the implementation of subprojects supporting PHC facilities in Hainan</td>
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<td><strong>ESS 3: RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT</strong></td>
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<td><strong>3.1 MANAGEMENT OF HAZMAT AND WASTE</strong></td>
<td>Adopt and implement the measures for management of hazmat and waste in project-supported PHC facilities, as specified in Annex 2 of the ESMF and consistent with the latest regulatory requirements and ESS3.</td>
<td>Throughout the implementation of subprojects supporting PHC facilities in Hainan</td>
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<td><strong>ESS 4: COMMUNITY HEALTH AND SAFETY</strong></td>
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<td><strong>4.1 COMMUNITY HEALTH AND SAFETY</strong></td>
<td>Adopt and implement measures and actions (including emergency response) to manage specific health risks and impacts to the community associated with project-supported PHC facilities, as described in Annex 2 of ESMF and consistent with the latest regulatory requirements and ESS4.</td>
<td>Throughout the implementation of subprojects supporting PHC facilities in Hainan</td>
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<td><strong>ESS 5: LAND ACQUISITION, RESTRICTIONS ON LAND USE AND INVOLUNTARY RESETTLEMENT</strong></td>
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<td>5.1 SOCIAL/RESETTLEMENT DUE DILIGENCE REPORT</td>
<td>Prior to subproject approval</td>
<td>Subproject PIUs and HPMO</td>
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<td>Undertake a social compliance audit for any subproject involving associated facilities that already exist or are under construction and submit the draft social/resettlement due diligence report to HPMO for clearance before the subproject is approved.</td>
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| **ESS 6: BIODIVERSITY CONSERVATION AND SUSTAINABLE MANAGEMENT OF LIVING NATURAL RESOURCES**   |                                |                              |
| 6.1 PREVENTIVE MEASURE                                                                      |                                | Subproject PIUs and HPMO     |
| Develop, adopt and implement necessary mitigation measures as part of subproject E&S Impact Assessment (ESIA) against any negative impacts on biodiversity and non-critical natural habitat if identified during sub-project screening or deemed associated with associated facilities, consistent with the latest national regulations and ESS6. | Mitigation measures should be prepared prior to the implementation of subproject involving biodiversity or natural habitat issues. Once subproject ESIA is approved, the measures should be undertaken throughout subproject implementation. |                              |

| **ESS 7: INDIGENOUS PEOPLES/SUB-SAHARAN AFRICAN HISTORICALLY UNDERSERVED TRADITIONAL LOCAL COMMUNITIES** |                                |                              |
| 7.1 Follow the provision of ESMF for all subprojects located in the ethnic minority autonomous counties and/or poverty counties, individual SA for poverty county and both SA and EMDP for minority autonomous county will be prepared based on extensive consultations with affected individuals and communities by subproject PIUs. The completed SA and EMDP will be included as part of investment document package to be reviewed by HPMO, subject to spot check by the World Bank. | Prior to subproject approval | Subproject PIUs and HPMO     |
| 7.2 Implement EMEF (under SEP) for the subprojects in the ethnic minority autonomous counties or subprojects involved ethnic minorities people inhabited communities, and the results should be monitored and included in the progress monitoring report | Prior to subproject approval | Subproject PMO and HPMO     |

| **ESS 8: CULTURAL HERITAGE**                                                                 |                                |                              |
| ESS8 is not relevant to the Project                                                           |                                |                              |

<p>| <strong>ESS 9: FINANCIAL INTERMEDIARIES</strong>                                                           |                                |                              |
| ESS9 is not relevant to the Project                                                          |                                |                              |</p>
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<tr>
<td><strong>ESS 10: STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE</strong></td>
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<td>10.1 STAKEHOLDER ENGAGEMENT PLAN PREPARATION AND IMPLEMENTATION</td>
<td>Immediate after loan effectiveness and throughout project lifecycle</td>
<td>HPMO and subproject PIUs</td>
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<td>Adopt and implement Stakeholder Engagement Plan (SEP) at both provincial level and subproject level per the ESMF and update it as necessary.</td>
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<td>10.2 PROJECT GRIEVANCE MECHANISM</td>
<td>Immediate after loan effectiveness and throughout the project lifecycle</td>
<td>HPMO and subproject PIUs</td>
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<td>Adopt, maintain and operate the grievance mechanism for the project at both provincial level and subproject level per the ESMF.</td>
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<td><strong>CAPACITY SUPPORT (Training)</strong></td>
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<tr>
<td>CS1 Provide training to HPMO and subproject PIU staff on:</td>
<td>After loan effectiveness and throughout the project lifecycle</td>
<td>HPMO</td>
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<td>• World Bank’s Environmental and Social Framework (ESF)</td>
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<td>• E&amp;S management procedure per ESMF (throughout subproject screening, preparation, approval and implementation)</td>
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<td>• Stakeholder mapping and engagement per SEP, including EMEF</td>
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<td>CS2 Provide training to healthcare workforce in project-supported Primary Health Care (PHC) Facilities on environmental, health and safety (EHS) guidelines covering:</td>
<td>After loan effectiveness and upon the implementation of subprojects supporting the enhancement of PHC facilities</td>
<td>HPMO</td>
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<td></td>
<td>• Hazmat management</td>
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<td>• Wastewater and waste management</td>
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<td></td>
<td>• Community health and safety</td>
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<td></td>
<td>• Emergency prevention and preparedness and emergency response</td>
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