REPUBLIC OF HONDURAS
Ministry of Education (Secretaría de Educación - SE)

Honduras Early Childhood Education Improvement Project (P169161)

Draft
ENVIRONMENTAL and SOCIAL COMMITMENT PLAN (ESCP)

September XX 2019
ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN

1. The Republic of Honduras will implement the Honduras Early Childhood Education Improvement Project (the Project,) with the involvement of its Ministry of Education (Secretaría de Educación - SE). The International Development Association (hereinafter the Association) has agreed to provide financing for the Project.

2. The Republic of Honduras will implement material measures and actions so that the Project is implemented in accordance with the Environmental and Social Standards (ESSs). This Environmental and Social Commitment Plan (ESCP) sets out material measures and actions, any specific documents or plans, as well as the timing for each of these.

3. The Republic of Honduras will also comply with the provisions of any other environmental and social (E&S) documents required under the ESSs and referred to in this ESCP, such as the Environmental and Social Management Framework (ESMF), the Environmental and Social Management Plans (ESMPs) for subprojects, the Stakeholder Engagement Plan (SEP), the Indigenous Peoples Planning Framework (IPPF), specific Indigenous Peoples Plans that will be developed for sub-projects, and the Labour Management Procedures (LMP,.) and the timelines specified in those E&S documents.

4. The Republic of Honduras is responsible for compliance with all requirements of the ESCP even when implementation of specific measures and actions is conducted by the Ministry, agency or unit referenced in 1. above.

5. Implementation of the material measures and actions set out in this ESCP will be monitored and reported to the Association by the Republic of Honduras as required by the ESCP and the conditions of the legal agreement, and the Association will monitor and assess progress and completion of the material measures and actions throughout implementation of the Project.

6. As agreed by the Association and the Republic of Honduras, this ESCP may be revised from time to time during Project implementation, to reflect adaptive management of Project changes and unforeseen circumstances or in response to assessment of Project performance conducted under the ESCP itself. In such circumstances, the Republic of Honduras, through SE, will agree to the changes with the Association and will update the ESCP to reflect such changes. Agreement on changes to the ESCP will be documented through the exchange of letters signed between the Association and SE. SE will promptly disclose the updated ESCP.

7. Where Project changes, unforeseen circumstances, or Project performance result in changes to the risks and impacts during Project implementation, the Republic of Honduras shall provide additional funds, if needed, to implement actions and measures to address such risks and impacts.
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<thead>
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<th>MATERIAL MEASURES AND ACTIONS</th>
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<th>RESPONSIBLE ENTITY/AUTHORITY</th>
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<tbody>
<tr>
<td><strong>MONITORING AND REPORTING</strong></td>
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<tr>
<td>A  <strong>REGULAR REPORTING</strong></td>
<td>Submit to the Association bi-annual monitoring reports (every June 30 and</td>
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<td>Prepare and submit to the Association regular monitoring reports</td>
<td>December 30 of each calendar year) throughout Project implementation.</td>
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<td>on the environmental, social, health and safety (ESHS)</td>
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<td>performance of the Project, including but not limited to the</td>
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<td>implementation of the ESCP, status of preparation and</td>
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<td>implementation of E&amp;S documents required under the ESCP,</td>
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<td>stakeholder engagement activities, functioning of the</td>
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<td>grievance mechanism(s).</td>
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<td>B  <strong>INCIDENTS AND ACCIDENTS</strong></td>
<td>Notify the Association within 3 calendar days after learning of the</td>
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<td>Promptly notify the Association of any incident or accident</td>
<td>incident or accident. Subsequent report would be provided within a</td>
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<td>related to the Project which has, or is likely to have, a</td>
<td>timeframe acceptable to the Association, as requested.</td>
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<td>significant adverse effect on the environment, the affected</td>
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<td>communities, the public or workers including, inter alia,</td>
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<td>any accidents that result in death, serious or multiple injury</td>
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<td>Provide sufficient detail regarding the incident or accident,</td>
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<td>indicating immediate measures taken or that are planned to be</td>
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<td>taken to address it, and any information provided by any</td>
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<td>contractor and supervising entity, as appropriate. Subsequently,</td>
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<td>as per the Association’s request, prepare a report on the</td>
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<td>incident or accident and propose any measures to prevent its</td>
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<td>recurrence.</td>
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<td>C  <strong>CONTRACTORS MONTHLY REPORTS</strong></td>
<td>Report monthly/quarterly, upon Association’s request throughout Project</td>
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<td>Contractors are required to provide monthly monitoring reports</td>
<td>implementation.</td>
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<td>to SE. Such monthly reports would be submitted to the</td>
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<td>Association by SE upon request.</td>
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**ESS 1: ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIAL RISKS AND IMPACTS**

<p>| 1.1 <strong>ORGANIZATIONAL STRUCTURE</strong>                                 | The ES HS specialists will be contracted within 30 days after Project     | SE                          |
|   Establish and maintain an organizational structure with two     | effectiveness. The organizational structure will function                 |                             |
|   (2) qualified staff and resources to support management of E&amp;S  | throughout Project implementation.                                       |                             |
|   risks including ES HS risks of the Project.                    |                                                                           |                             |
| 1.2 <strong>ENVIRONMENTAL AND SOCIAL ASSESSMENT</strong>                      | The ESA and the ESMF (advanced drafts) were finalized on September 30,    | SE                          |
|   Prepare, adopt and implement an Environmental and Social       | 2019, and final versions will be elaborated by February 14, 2020. SE will |                             |
|   Management Framework (ESMF), which shall include an            | implement the mitigation measures contained in the ESA and the ESMF,      |                             |
|   Environmental and Social Assessment (ESA) for the Project,     | throughout Project implementation.                                       |                             |
|   in a manner acceptable to the Association.                    |                                                                           |                             |</p>
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<td><strong>1.3 MANAGEMENT TOOLS AND INSTRUMENTS</strong></td>
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<td>Screen any proposed subproject and other Project activities in accordance with the ESMF prepared for the Project, and, thereafter, draft, adopt, and implement any Environmental and Social Management Plans (ESMP), as required, in a manner acceptable to the Association.</td>
<td>The ESMPs will be prepared, and submitted for the Association’s review and approval, and thereafter disclosed prior to launching the procurement process for the respective civil works and will be implemented throughout the execution of said works. The ESMPs will be included in the contracts.</td>
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<td><strong>1.4 MANAGEMENT OF CONTRACTORS</strong></td>
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<td>Incorporate the relevant aspects of the ESCP, including the relevant E&amp;S documents, ESMPs, and the Labor Management Procedures, into the ESHS specifications of the procurement documents with contractors. Thereafter ensure that the contractors have the capacity to and comply with the ESHS specifications of their respective contracts.</td>
<td>Prior to the preparation of procurement documents. Supervise contractors throughout Project implementation.</td>
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<td><strong>1.5 CONTINGENT EMERGENCY RESPONSE</strong></td>
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<td>In the event of an emergency which leads to activation of the Contingent Emergency Response of the Project, the necessary instruments and measures will be developed as required prior to undertaking of the emergency response activities, to ensure compliance with the ESSs.</td>
<td>Prior to the start of emergency response activities. The request to activate this part shall come from the implementer with prior No Objection from the Association.</td>
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<p>| ESS 2: LABOR AND WORKING CONDITIONS | | |
|-------------------------------|-----------------------------|
| <strong>2.1 LABOR MANAGEMENT PROCEDURES</strong> | LMP developed no later than two (2) weeks after Project effectiveness, or before the hiring of workers, whichever comes first, and implemented throughout Project execution. | SE |
| Develop and implement Labor Management Procedures (LMP), in a manner acceptable to the Association. | | |
| <strong>2.2 GRIEVANCE MECHANISM FOR PROJECT WORKERS</strong> | Grievance mechanism operational prior engaging Project workers and maintained throughout Project implementation. | SE |
| Establish, maintain, and operate a grievance mechanism for Project workers, as described in the LMP and in a manner consistent with ESS2. | | |</p>
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<tr>
<td><strong>2.3 OCCUPATIONAL HEALTH AND SAFETY (OHS) MEASURES</strong>&lt;br&gt;Develop and implement occupational, health and safety (OHS) measures, including measures on emergency preparedness and response measures, as part of the development and implementation of the ESMPs, in accordance with the guidelines contained in the ESMF and the LMP.</td>
<td>Same timeframe as for the implementation of the ESMPs, under action 1.3.</td>
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**ESS 3: RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT**

| 3.1 MANAGEMENT OF WASTE AND HAZARDOUS MATERIALS: Waste management measures will be developed and thereafter implemented, which will include training developed for contractors to highlight what to do with waste produced as a result of rehabilitation and/or construction of schools. These measures will be part of the ESMPs to be prepared in accordance with the ESMF. | Same timeframe than for the implementation of the ESMPs, under action 1.3. | SE |
| 3.2 RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT: Resource efficiency and pollution prevention and management measures will be covered under the ESMPs to be prepared under action 1.3 above. | Same timeframe than for the implementation of the ESMPs, under action 1.3. | SE |

**ESS 4: COMMUNITY HEALTH AND SAFETY**

| 4.1 TRAFFIC AND ROAD SAFETY: Adopt and implement measures and actions to assess and manage traffic and road safety risks as required in the ESMPs to be developed in accordance with the guidelines contained in the ESMF | Same timeframe than for the implementation of the ESMPs, under action 1.3. | SE |
| 4.2 COMMUNITY HEALTH AND SAFETY: Prepare, adopt, and implement measures and action to assess and manage specific risks and impacts to the community arising from Project activities including in relation to behavior of Project workers and any risks of labor influx, and include these measures in the ESMPs to be prepared in accordance with the ESMF, in a manner acceptable to the Bank. | Same timeframe than for the implementation of the ESMPs, under action 1.3. | SE |
| 4.3 SECURITY PERSONNEL: Develop and implement measures and actions to assess and manage the risks to human security of project-affected communities and Project workers that could arise from the use of security personnel. These measures and actions will be part of the ESMPs to be prepared in accordance with the ESMF. | Same timeframe than for the implementation of the ESMPs, under action 1.3. | SE |

**ESS 5: LAND ACQUISITION, RESTRICTIONS ON LAND USE AND INVOLUNTARY RESETTLEMENT**
<table>
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<tr>
<td><strong>5.1 LAND DONATION:</strong></td>
<td>Before the carrying out of any works in indigenous communities. (documented in the respective ESMP and IPP).</td>
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<td>Agreement between the SE and the indigenous communities -for the use of land, where the preschools classroom will be rehabilitated - under the Project would only be accepted if provided on a voluntary basis, as set out in the ESMF and the IPPF, in accordance with the requirements of ESS5 and ESS7, and subject to the prior approval of the Association. The agreements reached, will be recorded in the respective ESMPs and the Indigenous Peoples Plans (IPPs) prepared for works under the Project, and where land will be used.</td>
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<tr>
<td><strong>ESS 6: BIODIVERSITY CONSERVATION AND SUSTAINABLE MANAGEMENT OF LIVING NATURAL RESOURCES</strong></td>
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<tr>
<td><strong>6.1 BIODIVERSITY RISKS AND IMPACTS:</strong></td>
<td>Same timeframe than for the implementation of the ESMPs, under action 1.3.</td>
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<tr>
<td>Develop and implement measures and actions to avoid, minimize, or mitigate potential impacts that may have some significant negative impact on the biodiversity and on the sustainably management of living natural resources. These measures and actions will be part of the ESMPs to be prepared in accordance with the ESMF.</td>
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<tr>
<td><strong>ESS 7: INDIGENOUS PEOPLES/SUB-SAHARAN AFRICAN HISTORICALLY UNDERSERVED TRADITIONAL LOCAL COMMUNITIES</strong></td>
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<td><strong>7.1 INDIGENOUS PEOPLES PLANNING FRAMEWORK AND PLANS:</strong></td>
<td>IPPF, acceptable to the Association, prepared and disclosed by September 30, 2019. The IPPF will be implemented for the entire Project duration.</td>
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<tr>
<td>Prepare, adopt, and implement Indigenous Peoples Plans (IPPs) consistent with the requirements of the Indigenous Peoples Planning Framework (IPPF) to be prepared for the Project and ESS7, in a manner acceptable to the Bank.</td>
<td>The respective IPP submitted for the Association’s approval by September 30, 2020 and/or prior to the carrying out of any activity that requires the preparation of an IPP. Once approved, implement the IPP throughout Project implementation.</td>
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<td><strong>7.2 GRIEVANCE MECHANISM (GM):</strong></td>
<td>Developed as part of the IPPs to be prepared in accordance with action 7.1. The grievance mechanism will operate during the entire Project implementation.</td>
<td>SE</td>
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<tr>
<td>Adopt and implement the arrangements for the grievance mechanism for indigenous peoples, as required under the IPPF and further describe such arrangements in the respective IPPs. This will be an adaptation of the GM described in the SEP, to address the specificity of indigenous communities.</td>
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<tr>
<td><strong>ESS 8: CULTURAL HERITAGE</strong></td>
<td>Same timeframe than for the implementation of the ESMPs, under action 1.3.</td>
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<td><strong>8.1 CHANCE FINDS:</strong></td>
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<tr>
<td>Prepare, adopt, and implement a chance finds procedure as part of the ESMPs to be prepared in accordance with the ESMF.</td>
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<p>| ESS 6: BIODIVERSITY CONSERVATION AND SUSTAINABLE MANAGEMENT OF LIVING NATURAL RESOURCES |
|-------------------------------|-----------|-----------------------------|
| <strong>6.1 BIODIVERSITY RISKS AND IMPACTS:</strong> | Same timeframe than for the implementation of the ESMPs, under action 1.3. | SE |
| Develop and implement measures and actions to avoid, minimize, or mitigate potential impacts that may have some significant negative impact on the biodiversity and on the sustainably management of living natural resources. These measures and actions will be part of the ESMPs to be prepared in accordance with the ESMF. | | |
| <strong>ESS 7: INDIGENOUS PEOPLES/SUB-SAHARAN AFRICAN HISTORICALLY UNDERSERVED TRADITIONAL LOCAL COMMUNITIES</strong> | | |
| <strong>7.1 INDIGENOUS PEOPLES PLANNING FRAMEWORK AND PLANS:</strong> | IPPF, acceptable to the Association, prepared and disclosed by September 30, 2019. The IPPF will be implemented for the entire Project duration. | SE |
| Prepare, adopt, and implement Indigenous Peoples Plans (IPPs) consistent with the requirements of the Indigenous Peoples Planning Framework (IPPF) to be prepared for the Project and ESS7, in a manner acceptable to the Bank. | The respective IPP submitted for the Association’s approval by September 30, 2020 and/or prior to the carrying out of any activity that requires the preparation of an IPP. Once approved, implement the IPP throughout Project implementation. | |
| <strong>7.2 GRIEVANCE MECHANISM (GM):</strong> | Developed as part of the IPPs to be prepared in accordance with action 7.1. The grievance mechanism will operate during the entire Project implementation. | SE |
| Adopt and implement the arrangements for the grievance mechanism for indigenous peoples, as required under the IPPF and further describe such arrangements in the respective IPPs. This will be an adaptation of the GM described in the SEP, to address the specificity of indigenous communities. | | |
| <strong>ESS 8: CULTURAL HERITAGE</strong> | Same timeframe than for the implementation of the ESMPs, under action 1.3. | SE |
| <strong>8.1 CHANCE FINDS:</strong> | | |
| Prepare, adopt, and implement a chance finds procedure as part of the ESMPs to be prepared in accordance with the ESMF. | | |</p>
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<tr>
<td><strong>ESS 9: FINANCIAL INTERMEDIARIES</strong>&lt;br&gt;ESS9 is currently not relevant.</td>
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<td><strong>ESS 10: STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE</strong></td>
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<td>10.1 STAKEHOLDER ENGAGEMENT PLAN PREPARATION AND IMPLEMENTATION:&lt;br&gt;Update, adopt, and implement a Stakeholder Engagement Plan (SEP).</td>
<td>SEP, acceptable to the Association, prepared and disclosed by September 30, 2019. The SEP will be implemented for the entire Project duration.</td>
<td>–SE</td>
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<tr>
<td>10.2 PROJECT GRIEVANCE MECHANISM:&lt;br&gt;Establish, maintain and operate a grievance mechanism, as described in the SEP.</td>
<td>Same timeframe than for the implementation of the SEP under activity 10.1. The grievance mechanism will operate during the entire Project implementation.</td>
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<td><strong>CAPACITY SUPPORT (TRAINING)</strong></td>
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<td>CS1 Implement training of Project Workers (mainly at the level of civil works/construction of schools) on:&lt;br&gt;• Occupational health and safety including on emergency prevention and preparedness and response arrangements to emergency situations.&lt;br&gt;Awareness of risks and to mitigate impacts on local communities.</td>
<td>Training for direct Project workers will be conducted before the start of works. Training will thereafter continue throughout Project implementation.</td>
<td>SE</td>
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