HP State Roads Transformation Program

Procurement Plan for first Eighteen months

Submitted by HPRIDC – Government of Himachal Pradesh
1. Procurement for the project will be carried out in accordance with the World Bank’s Procurement Regulations for IPF Borrowers, July 2016, Revised November 2017 and August 2018 and applicable to Investment Project Financing (IPF). The project will be subject to the World Bank’s Anticorruption Guidelines, dated October 15, 2006, as revised in January 2011 and July 2016.

2. A Project Procurement Strategy for Development (PPSD) has been prepared for the project. Extensive market analysis has been carried out for different packages of procurement. Decisions on packages and lots are made to ensure adequate participation of bidders. Consultancy contracts are framed based on market research and packaging decided in terms of scope of services and period. Based on the needs assessment, the project has decided to use Government e Marketplace [GeM] for procurement of Goods up to US$ 100,000 and as mentioned under National Procurement Procedures at para 9 below. Based on risk and market analysis, the procurement plan has been prepared to set out the selection methods to be followed by the Borrower during project implementation in the procurement of Works, Goods, IT system procurement, non-consulting and consulting services financed by the World Bank.

3. The table below describes various procurement methods to be used for activities financed by the loan. The thresholds (Table 11) indicated in apply to the initial 18-months of implementation period and are based on the procurement performance of the project; these thresholds may be subsequently modified.

<table>
<thead>
<tr>
<th>Procurement Approaches and Methods</th>
<th>Thresholds (US$ equivalent)</th>
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</thead>
<tbody>
<tr>
<td>Open international (Works)</td>
<td>&gt; 40 million</td>
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<tr>
<td>Open national (Works)</td>
<td>&gt; 100,000 and up to 40 million</td>
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<tr>
<td>Open international (goods, IT system, and non-consulting services)</td>
<td>&gt; 3 million</td>
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<td>Open national (goods, IT system, and non-consulting services)</td>
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<td>National request for quotation (goods, IT system, works &amp; non-consulting services)</td>
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<tr>
<td>Direct selection</td>
<td>No threshold;</td>
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<tr>
<td>For Works; Goods/non-consulting services/IT system: According to paragraphs 6.8–6.10 of the Regulations;</td>
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<tr>
<td>For consultants: According to paragraphs 7.13–7.15 of the Regulations</td>
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<tr>
<td>Shortlist of national consultants</td>
<td>Up to 800,000</td>
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</table>

4. Procurement prior-review thresholds. The World Bank will prior review the following contracts:
(a) Works (including turnkey, supply and installation of plant and equipment and PPP): All contracts more than US$ 40 million equivalent
(b) Goods and IT system: All contracts more than US$2 million equivalent
(c) Non-consulting services: All contracts more than US$2 million equivalent
(d) Consultants: All contracts >US$1 million equivalent for firms and >US$300,000 equivalent for individuals

5. **The above thresholds are for the initial 18-month implementation period; based on the procurement performance of the project, these thresholds may be subsequently modified.** Even for large-value post review cases, the inputs of the World Bank on technical specifications will be obtained by the project. Irrespective of the thresholds, ToRs shall be prior reviewed by Bank. The prior review thresholds will also be indicated in the Procurement Plan. The Procurement Plan will be subsequently updated annually (or at any other time if required) and will reflect any change in the prior review thresholds. The details of National Procurement Procedures are outlined in the Procurement Plan.

6. **Prior review contract.** In the case of contracts subject to prior review, the Implementing Agencies will seek the World Bank’s no objection before granting/agreeing to: (a) an extension of the stipulated time for performance of a contract that either increases the contract price or has an impact on the planned completion of the project; (b) any substantial modification of the scope of Works, goods, IT system; non-consulting services, or consulting services and other significant changes to the terms and conditions of the contract; (c) any variation order or amendment (except in cases of extreme urgency) that, singly or combined with all variation orders or amendments previously issued, increases the original contract amount by more than 15 percent; and (d) the proposed termination of the contract. Complaints received in all prior review cases shall be sent to Bank for review and the response to the complaint in such cases, shall be cleared with the Bank. Complaints with allegations of fraud and corruption, shall be shared with Bank, irrespective of the thresholds.

7. **Disclosure of procurement information.** The following documents shall be disclosed on the project/state websites: (a) Procurement Plan and its updates; (b) an invitation for bids for procurement of Works, Goods, IT system procurement and non-consulting services; (c) request for expression of interest for selection/hiring of consulting services; (d) contract awards of Works, Goods, IT system procurement and non-consulting services procured following international and national procedures; (e) a list of contracts/purchase orders placed following RFQ (Request for Quotation) procedures on a quarterly basis; (f) a list of contracts following direct contracting (DC) on a quarterly basis; (g) an annual financial and physical progress report of all contracts; and (h) an action taken report on the complaints received on a quarterly basis.

8. **The following details shall be sent to the World Bank for publishing on the United Nations Development Business and the World Bank external website:** (a) Specific Procurement Notice (i.e. invitation for bids) for procurement of Works, Goods, IT system procurement and non-consulting services using open international procedures; (b) Requests for Expression of Interests above USD 800,000; (c) contract award details of all procurement of Works, Goods, IT system procurement and non-consulting services using open international procedure; and (d) a list of contracts/purchase orders placed following DC procedures on a quarterly basis. Further, the implementing agency will also publish on their websites any information required under the provisions of ‘suo moto’ disclosure as specified by the Right to Information Act.
9. National Procurement Procedures:

National competition for the procurement of Works, Goods, IT system procurement and non-consulting services according to the established thresholds will be conducted in accordance with paragraphs 5.3–5.5 of Section V of the Regulations and the following provisions:

(a) Only the model bidding documents agreed with the World Bank (and as amended from time to time) shall be used for bidding.

(b) Invitations to bid shall be advertised in at least one widely circulated national daily newspaper (or on a widely-used website or electronic portal with free national and international access along with an abridged version of the said advertisement published in a widely circulated national daily, among others, giving the website/electronic portal details from which the details of the invitation to bid can be downloaded) at least 30 days before the deadline for the submission of bids.

(c) No special preferences will be accorded to any bidder either for price or for other terms and conditions when competing with foreign bidders, state-owned enterprises, small-scale enterprises, or enterprises from any given state.

(d) Extension of bid validity shall not be allowed with reference to contracts subject to the World Bank prior review without the prior concurrence of the World Bank (i) for the first request for extension if it is longer than four weeks and (ii) for all subsequent requests for extension irrespective of the period (such concurrence will be considered by the World Bank only in cases of force majeure and circumstances beyond the control of the purchaser/employer).

(e) Re-bidding shall not be carried out with reference to contracts subject to the World Bank prior review without the prior concurrence of the World Bank.

(f) The system of rejecting bids outside a predetermined margin or ‘bracket’ of prices shall not be used in the project.

(g) To improve efficiency and transparency of small value purchases in World Bank financed projects, the Bank has agreed to allow use of GeM as follows:

Use of GeM will be allowed in lieu of RFQ as per following details:

- up to INR 50,000 in catalog mode (viz. any available item could be selected by IA without further competition), provided selected ItemSupplier meeting the requisite quality, specification and delivery period.
- up to INR 3 Million from the Supplier having lowest price amongst at least three Suppliers meeting the requisite quality, specification and delivery period. The tools for online bidding and online reverse auction available on GeM may be used by the Purchaser.
• up to INR equivalent of US$ 100,000 from the Supplier having lowest price and meeting the requisite quality, specification and delivery period after mandatorily obtaining bids from at least three Suppliers, using online bidding or reverse auction tool provided on GeM.

(h) No negotiations are conducted even with the lowest evaluated responsive bidders.

(i) Two or three envelope system will not be used except when using e-procurement system assessed and agreed by the Bank

10. Domestic preference. The provision of domestic preference will be applied in the evaluation of bids in accordance with Annex VI of the Regulations.

For details of Goods, Works, Services and Non-Consulting Services to be procured in the first year, please refer activities cleared in STEP – procurement plan.
## PROCUREMENT PLAN

### General Information

**Country:** India  
**Project Name:** Himachal Pradesh State Roads Transformation Project  
**Loan / Credit No.:** IBRD / P4900  
**Managing Agency:** HIMACHAL PRADASHI ROAD AND INFRASTRUCTURE CORPORATION

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### QUODDS

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**NOTES:**

- **Date:** 2019-12-12
- **Estimated Amount (US$):** 26,500,000.00
- **Actual Amount (US$):** 6.00
- **Procurement Status:** Under Implementation
- **Prequalification Evaluation Report:**
- **Draft Bid Request Document / Justification:**
- **Specific Procurement Notice / Invitation:**
- **Tender Documents as Issued:**
- **Procurement Submissions Opening / Minutes:**
- **Bid Submissions Report and Recommendation for Award:**
- **Contract Completion:**
**Non Consulting Services**

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**Consulting Firms**

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**Details:**

- **Road Himachal Upgradation QCBS Implementation Project QCBS CONSULTING:**
  - Funded Safety/Surveillance/climate cameras RFB Funded Surveys Maintenance/condition
  - Weigh RFB for Information an Integration, Design, System
  - Monitoring of Traffic and Maintenance of an Integrated Management Information System (IMIS) for HPPRD and HPSSB

- **Road Himachal UP: 130428-CS World Bank funded Road Transformation Project (P4900):**
  - Deflectometer Install, (90 Kms)
  - Road of (IMIS)
  - Road of Bank Institutions

- **Road Himachal UP: 144323-GO World Bank funded Road Transformation Project (P4900):**
  - Deflectometer Install, (90 Kms)
  - Road of (IMIS)
  - Road of Bank Institutions

- **Road Himachal UP: 144353-GO World Bank funded Road Transformation Project (P4900):**
  - Deflectometer Install, (90 Kms)
  - Road of (IMIS)
  - Road of Bank Institutions

- **Road Himachal UP: 144358-GO World Bank funded Road Transformation Project (P4900):**
  - Deflectometer Install, (90 Kms)
  - Road of (IMIS)
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- **Road Himachal UP: 143869-CS World Bank funded Road Transformation Project (P4900):**
  - Deflectometer Install, (90 Kms)
  - Road of (IMIS)
  - Road of Bank Institutions

**Additional Details:**

- **HPPRD:**
  - Procurement of Proprietary Software for Road Safety Surveillances/Condition Services under World Bank Funded HPPRD

- **HPSRTP:**
  - Procurement of CCTV cameras for Road Safety/Insurance/Condition Services under World Bank Funded HPSRTP

- **IN-HPRIDC:**
  - Procurement of Deflectometer Installations for Road Safety/Condition Services under World Bank Funded HPRIDC

**Consolidated Data:**

- **Interface:**
  - Draft Evaluation Report
  - Draft Bidding Document / Amendment
  - Specific Proposal Notice / Initiation
  - Bidding Documents on Issuance
  - Request for Proposals / Submission / Approval
  - Estimate / Budget
  - Evaluation of Technical Proposal / Submission / Approval

- **Contract Completion:**
  - Signed Contract
  - Contract Completion
<table>
<thead>
<tr>
<th>Project Code</th>
<th>Description</th>
<th>Tender Amount</th>
<th>Tender Implementation</th>
<th>Project Completion</th>
<th>Financial Year</th>
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**Notes:**
- The table above represents the project codes and their respective details for the Himachal Pradesh Roads and Resilience Project under the QCBS Scheme.
- Each row in the table indicates a separate tender or contract awarded for the construction, maintenance, or improvement of transport infrastructure and resilience measures.
- The tender amounts, implementation dates, and completion dates are specified for each project.
- The financial years mentioned correspond to the fiscal year in which these projects are expected to be completed or significant milestones are to be achieved.

**Additional Information:**
- QCBS (Quantum Compact Rural Bond) is a scheme aimed at improving rural roads and infrastructure in the state of Himachal Pradesh.
- The projects focus on building MP transport infrastructure and resilience, which includes improving road safety, drainage systems, and environmental sustainability measures.
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<tr>
<th>Activity Reference No</th>
<th>Description</th>
<th>Component</th>
<th>Action Type</th>
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