PROCUREMENT PLAN

Project information: India; Mumbai Urban Transport Project 3 [P159782]

Project Implementation agency: Mumbai Railway Vikas Corporation (Public Sector Unit of

Ministry of Railways, Govt. of India)

Date of the Procurement Plan: August 26, 2017

Period covered by this Procurement Plan: 18 months

Preamble

In accordance with paragraph 5.9 of the "World Bank Procurement Regulations for IPF Borrowers" (July 2016) ("Procurement Regulations") the Bank's Systematic Tracking and Exchanges in Procurement (STEP) system will be used to prepare, clear and update Procurement Plans and conduct all procurement transactions for the Project.

This textual part along with the Procurement Plan tables in STEP constitute the Procurement Plan for the Project. The following conditions apply to all procurement activities in the Procurement Plan. The other elements of the Procurement Plan as required under paragraph 4.4 of the Procurement Regulations are set forth in STEP.

The Bank's Standard Procurement Documents: shall be used for all contracts subject to international competitive procurement and those contracts as specified in the Procurement Plan tables in STEP.

National Procurement Arrangements: National competition for the procurement of goods, works and non-consulting services according to the established thresholds will be conducted in accordance with paragraphs 5.3 – 5.5 of Section V of the Regulations and the following provisions:

- (a) Only the model bidding documents agreed with the GoI task force (and as amended for time to time) shall be used for bidding.
- (b) Invitations to bid shall be advertised in at least one widely circulated national daily newspaper (or on a widely used website or electronic portal with free national and international access along with an abridged version of the said advertisement published in a widely circulated national daily, among others, giving the website/electronic portal details from which the details of the invitation to bid can be downloaded) at least 30 days before the deadline for the submission of bids.
- (c) No special preferences will be accorded to any bidder either for price or for other terms and conditions when competing with foreign bidders, state-owned enterprises, small-scale enterprises, or enterprises from any given state.
- (d) Extension of bid validity shall not be allowed with reference to contracts subject to Bank prior review without the prior concurrence of the Bank (i) for the first request for extension if it is longer than four weeks and (ii) for all subsequent requests for extension irrespective of the period (such

concurrence will be considered by the Bank only in cases of force majeure and circumstances beyond the control of the purchaser/employer).

- (e) Rebidding shall not be carried out with reference to contracts subject to Bank prior review without the prior concurrence of the Bank. The system of rejecting bids outside a predetermined margin or 'bracket' of prices shall not be used in the project.
- (f) Rate contracts entered into by the Directorate General of Supplies and Disposals (DGS&D) will not be acceptable as a substitute for national competition procedures unless incorporation of right to audit and fraud corruption clauses. DGS&D contracts and its new version will be acceptable, however, for any procurement under the shopping procedures.
- (g) No negotiations are conducted even with the lowest evaluated responsive bidders.

When other national procurement arrangements other than national open competitive procurement arrangements are applied by the Borrower, such arrangements shall be subject to paragraph 5.5 of the Procurement Regulations.

Leased Assets May be procurement. If used, the details of items will be included in PPSD.

Procurement of Second Hand Goods: Not Applicable

Domestic preference as specified under paragraph 5.51 of the Procurement Regulations.

Goods: is applicable for those contracts identified in the Procurement Plan tables;

Works: is not applicable

Other Relevant Procurement Information.

All contracts not covered under prior review by the Bank will be subject to post review during implementation support missions and/or special post review missions, including missions by consultants hired by the Bank. To avoid doubts, the Bank may conduct, at any time, Independent Procurement Reviews of all the contracts financed under the loan.

Even for Post Review cases, the inputs of Bank on Technical Specifications / TORs will be obtained by project.

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Banks Approval Date of the Original Procurement Plan: 2017-07-26
Revised Plan Date(s): (comma delineated, leave blank if none) 2017-07-26
GPN Date:

Project ID: P159782
Project Name: Mumbai Urban Transport Project 3
Loan / Credit No:
Executing Agency(les): Mumbai Railway Vikas Corporation

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Activity Reference No./ Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Procurement Process	Estimated Amount (US\$)	Actual Amount (US\$)	Status	Documents		Evaluation F	Report	/ Justifi	cation	Specific Procurement Notice / Invitation	ls	sued	Opening	/ Minutes	and Recom	mendation ward			Contract Co	
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Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Procurement Process	Prequalification (Y/N)	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Draft Pre-qu Docum	ualification nents	Prequal Evaluation	fication n Report	Draft Biddir / Justil	ng Document lication	Specific Pro Notice / In	curement vitation	Bidding Doo Issu	cuments as led	Proposal Su Opening /	ubmission / / Minutes	Bid Evalua and Recon for A	tion Report nmendation ward	Signed C	ontract	Contract Co	ompletion
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	Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Contract Type	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Terms of R	Reference	Invitation Identified Cons	l/Selected	Draft Ne Con	gotiated tract	Signed (Contract	Contract C	ompletion
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