Public Disclosure Authorized

West Bengal Institutional Strengthening of Gram Panchayats Program II (P159427)

SOUTH ASIA | India | Social, Urban, Rural and Resilience Global Practice Global Practice |
IBRD/IDA | Program-for-Results | FY 2017 | Seq No: 3 | ARCHIVED on 12-Feb-2018 | ISR30941 |

Implementing Agencies: Department of Economic Affairs, Ministry of Finance, Government of India, Panchayats and Rural Developemnt Department

Program Development Objectives

Program Development Objective (from Program-for-Results Appraisal Document)
The development objective of the proposed project is to further strengthen the institutional and financial capacities of Gram Panchayats across the State.

Overall Ratings

Name	Previous Rating	Current Rating
Progress towards achievement of PDO	Satisfactory	Satisfactory
Overall Implementation Progress (IP)	Satisfactory	Satisfactory
Overall Risk Rating	Substantial	Substantial

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Implementation Status and Key Decisions

Program implementation is progressing satisfactorily with all the first-year targets of the Key Performance and Intermediate Indicators already being met. All the first-year targets for DLI #1 to #7 were achieved as planned and the related disbursement of USD 47,800,000 was completed. The draft results for APA 2017 have been prepared and are expected to be finalized by late-December, 2017. The independent verification for DLI #1 and #2 is in progress and the draft shall be ready by mid-January, 2018.

Progress on key activities is as follows: (i) The field work of the 2017 APA has been completed and the results are under verification; (ii) The annual formal learning trainings are being implemented as planned; (iii) All mentors have been fully mobilized; (iv) System′s development and roll-out are proceeding as planned, with some issues of connectivity to be sorted out in the next few months; (v) The HR recruitment process is proceeding as planned; (vi) The PMU continues to be fully staffed and operational; (vii) The IEC activities are being implemented as per the year 1 plan by an independent agency. All program results will be updated in the next implementation support mission scheduled for April 2018, when the results of the APA 2017 will be available.

Data on Financial Performance

Disbursements (by loan)

Project	Loan/Credit/TF	Status	Currency	Original	Revised	Cancelled	Disbursed	Undisbursed	Disbursed
P159427	IBRD-87230	Effective	USD	210.00	210.00	0.00	52.32	157.68	25%

Key Dates (by loan)

Project	Loan/Credit/TF	Status	Approval Date	Signing Date	Effectiveness Date	Orig. Closing Date	Rev. Closing Date
P159427	IBRD-87230	Effective	15-Mar-2017	22-Mar-2017	19-Apr-2017	31-Dec-2022	--

Risks

Systematic Operations Risk-rating Tool

Risk Category	Rating at Approval	Previous Rating	Current Rating
Political and Governance	Moderate	Moderate	Moderate
Macroeconomic	Moderate	Moderate	Moderate
Sector Strategies and Policies	Low	Low	Low
Technical Design of Project or Program	Moderate	Moderate	Moderate
Institutional Capacity for Implementation and Sustainability	Substantial	Substantial	Substantial
Fiduciary	Substantial	Substantial	Substantial
Environment and Social	Moderate	Moderate	Substantial
Stakeholders	Moderate	Moderate	Moderate
Other	Low	Low	Low
Overall	Substantial	Substantial	Substantial

Disbursement Linked Indicators (DLI)

▸ 1. Number of phase II Gram Panchayats (GPs) that have qualified Basic Mandatory Conditions (BMCs) in Annual Performance Assessment (Number)

	Baseline	Actual (Previous)	Actual (Current)
Value	0.00	--	1119.00
Date	15-Mar-2017	--	01-Dec-2017

Comments
Results to be updated during the next mission

▸ 2. Number of phase II Gram Panchayats that have qualified Expanded Mandatory Conditions (EMCs) and got access to performance rewards in Annual Performance Assessment (Number)

	Baseline	Actual (Previous)	Actual (Current)
Value	0.00	--	888.00
Date	15-Mar-2017	--	01-Dec-2017

Comments
Results to be updated during the next mission

▸ 3. Percentage of activities implemented as per PRDD's annual learning and training plan (Percentage)

	Baseline	Actual (Previous)	Actual (Current)
Value	0.00	--	--
Date	15-Mar-2017	--	01-Dec-2017

Comments
Target for FY1 is not numerical, but the annual target has been met as the annual learning and training plan has been developed as per the POM. The results have been verified by the IVA

▸ 4. Average number of annual mentoring input days per Gram Panchayat with performance assessment (Number)

	Baseline	Actual (Previous)	Actual (Current)
Value	0.00	--	--
Date	15-Mar-2017	--	01-Dec-2017

Comments
Target for FY1 is not numerical, but the annual target has been met as the annual mentor deployment plan has been developed as per the POM. The results have been verified by the IVA

▸ 5 a) Core institutional systems implemented by PRDD across all GPs for Gram Panchayat Management System (GPMS), Web-Based Monitoring System (WBMS) & GIS (Text)

	Baseline	Actual (Previous)	Actual (Current)
Value	GPMS core module under implementation	--	2,506
Date	15-Mar-2017	--	01-Dec-2017

Comments
Target for FY1 has been met and verified by the IVA as follows: The GPMS core module has been implemented and used by 2,506 GPs categories A/B

▸ 5 b) Core institutional systems implemented by PRDD across all GPs for Grievance Redressal Management System (GRMS) (Text)

	Baseline	Actual (Previous)	Actual (Current)

Value	Roadmap for GRMS developed	--	--
Date	15-Mar-2017	--	01-Dec-2017

Comments
Target for FY1 has been met and the IVA has verified that the online GRMS has been implemented

▸ 6. Annual Performance Assessments (APA) conducted by PRDD (Text)

	Baseline	Actual (Previous)	Actual (Current)
Value	APA under progress	--	Completed for 100% GPs in January 2017
Date	15-Mar-2017	--	01-Dec-2017

Comments
2016 APA completed and results verified by Independent Verification Agency. The 2017 APA results will be reported during the next mission

▸ 7: Targeted number of vacant core Gram Panchayat positions filled for a) Executive Assistant b) GP Secretary c) Nirman Sahayak (Number)

	Baseline	Actual (Previous)	Actual (Current)
Value	0.00	--	1374.00
Date	15-Mar-2017	--	01-Dec-2017

Comments
Preliminary data. Results yet to be verified by IVA. Final results will be reported on the next ISR

Results

Results Area

Intermediate Results Area

Establishing a state wide unified performance based grant allocation system for all discretionary funds available for GPs
Improved local government finances and financial management systems in GPs
Improved local governance and HR capacities for increased transparency and accountability in local government functioning
Improve the decentralized & participatory planning and budgeting mechanisms at the local level

Project Development Objective Indicators

▸ Total number of GPs that qualify BMCs and EMCs annually in APAs (Percentage, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	40.00	40.00	40.00	80.00
Date	15-Mar-2017	21-Jun-2017	01-Dec-2017	30-Dec-2022

Comments
Updated data to be reported on the next ISR on the basis of the 2017 APA results

▸ Average percentage of performance based untied grants utilized by the GPs (Text, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	To be determined	To be determined	To be determined	cumulative increase of 20% over the baseline value
Date	15-Mar-2017	--	01-Dec-2017	30-Dec-2022

Overall Comments
Updated values to be reported on the next ISR on the basis of the 2017 APA results

Intermediate Results Indicators

▸ Annual performance assessment of at least 95% GPs conducted (Text, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	N/A	Completed in January 2017	Completed in January 2017	Completed
Date	15-Mar-2017	21-Jun-2017	01-Dec-2017	30-Dec-2022

Comments
Results to be updated on the next ISR on the basis of the 2017 APA results

▸ Performance based grant allocation (as per APA results) completed annually (Text, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	N/A	Completed in March 2017	Completed in March 2017	Completed
Date	15-Mar-2017	21-Jun-2017	01-Dec-2017	30-Dec-2022

Comments
Results to be updated on the next ISR on the basis of the 2017 APA results

▸ Improved PFM systems (accounting, financial reporting and audit) in the GPs (Percentage, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	53.00	53.00	53.00	73.00
Date	15-Mar-2017	21-Jun-2017	01-Dec-2017	30-Dec-2022

Comments
Results to be updated on the next ISR on the basis of the 2017 APA results

▸ Increase in GP's own source revenue (Amount(USD), Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	18.00	18.00	18.00	26.35
Date	15-Mar-2017	21-Jun-2017	01-Dec-2017	30-Dec-2022

Comments
Results to be updated on the next ISR on the basis of the 2017 APA results

▸ Improved participation, transparency and accountability in the GPs (Percentage, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target

Value	53.00	53.00	53.00	73.00
Date	15-Mar-2017	21-Jun-2017	01-Dec-2017	30-Dec-2022

Comments
Results to be updated on the next ISR on the basis of the 2017 APA results

▸ Number of vacancies (in 3 key GP staff positions) filled annually in the GPs (Text, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	HR Plan and budget prepared and approved	HR Plan and budget prepared and approved	1,792.00
Date	15-Mar-2017	21-Jun-2017	01-Dec-2017	30-Dec-2022

Comments
Results to be updated on the next ISR on the basis of the 2017 APA results

▸ Improved project execution and service delivery capacity at GP level (Percentage, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	65.00	65.00	65.00	85.00
Date	15-Mar-2017	21-Jun-2017	01-Dec-2017	30-Dec-2022

▸ Improved planning and budgeting capacity at the GP level (Percentage, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	55.00	55.00	55.00	75.00
Date	15-Mar-2017	21-Jun-2017	01-Dec-2017	30-Dec-2022

Comments
Results to be updated on the next ISR on the basis of the 2017 APA results

▸ Total number of ST GPs qualifying for EMC (Percentage, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	5.00	5.00	5.00	25.00
Date	15-Mar-2017	21-Jun-2017	01-Dec-2017	30-Dec-2022

Comments
Results to be updated on the next ISR on the basis of the 2017 APA results

▸ Total number of SC GPs qualifying for EMC (Percentage, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	20.00	20.00	20.00	40.00
Date	15-Mar-2017	21-Jun-2017	01-Dec-2017	30-Dec-2022

Comments
Results to be updated on the next ISR on the basis of the 2017 APA results

▸ People provided with access to improved water sources (Number, Corporate)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	37,272,974.00	37,272,974.00	54,571,361.00
Date	15-Mar-2017	21-Jun-2017	01-Dec-2017	30-Dec-2022

Overall Comments
Results to be updated on the next ISR on the basis of the 2017 APA results