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Dam Operational Improvement and Safety Project Phase 2 (DOISP 2)

LOAN NO. P 8711

Procurement Plan

Version No.: 1
Date: July 16, 2018

I. General Information

1. Project information:

a. Country: Indonesia
b. Recipient: Ministry of Finance (MOF)
c. Project Name**: Dam Operational Improvement and Safety Project Phase 2**
d. Project Implementing Agency (PIA):
   (i) Directorate General of Water Resources – Ministry of Public Works and Housing

2. Bank's No Objection Date of the Procurement Plan:

a. Version 1: June 5, 2017
b. Version 2: November 10, 2017
c. Version 3: July 5, 2018

3. Date of General Procurement Notice:

4. Period covered by this procurement plan: January 1, 2017 to December 31, 2018

II. Types of Contract, Bank's Review Requirements and Applied Methods

A. Works, Goods and Non-consulting Services

1. Prior Review Threshold: Procurement Decisions subject to Prior Review by the Bank as stated in Appendix 1 to the Guidelines for Procurement:

Ref. No.	Procurement Method	Procurement Method Threshold	Bank's Review Requirement
1.	International Competitive Bidding (Goods/IT System)	≥ $2,000,000	Each contract equal to or above $1,500,000 equivalent shall be subject to Bank's prior review. All other contracts subject to post review.

2.	International Competitive Bidding (Non-consulting Services)	≥ $10,000,000	Each contract equal to or above $1,500,000 equivalent shall be subject to Bank's prior review. All other contracts subject to post review.
3.	National Competitive Bidding (Goods/IT System)	< $2,000,000	Each contract equal to or above $1,500,000 equivalent shall be subject to Bank's prior review. All other contracts subject to post review.
4.	National Competitive Bidding (Non-consulting Services)	< $10,000,000	Each contract equal to or above $1,500,000 equivalent shall be subject to Bank's prior review. All other contracts subject to post review.
5.	Shopping (Goods/IT System)	< $ 100,000	No prior review (only post review).
6.	Shopping (Small Works and Non-consulting Services)	< $ 200,000	No prior review (only post review).
7.	Direct Contracting (Small Works, Goods and Non-consulting Services)	No procurement method threshold. Subject to justification for Direct Contracting as per para. 3.6 of the Procurement Guidelines	Each contract equal to or above $1,500,000 equivalent shall be subject to Bank's prior review. All other contracts subject to post review. Justification for proposed use of Direct Contracting method in any contract, regardless of value, shall be sent for the Bank's prior approval as part of the procurement plan.

1. **Pre-qualification:** Not applicable.

2. **Proposed Procedures for CDD Components (as per paragraph. 3.17 of the Guidelines:** Not applicable.

3. **Reference to (if any) Project Operational/Procurement Manual:** Not Applicable

4. **Any Other Special Procurement Arrangements**: (i) PIU may use its own forms of contract for goods/non-consulting services procured under shopping method, which have been reviewed and found acceptable by the Bank; (ii) The Bank may review technical aspects of procurement documents and other non-procurement matters (technical specifications, environmental documents, cost estimate, etc.) related to the procurement activity in questioned, if needed.

5. **Summary of the Procurement Packages planned after project effectiveness** (*including those that are subject to retroactive financing and advance procurement)*

1	2	3	4	5	6	7
Ref. No.	**Description**	**Estimated Cost (US$ million)**	**Packages**	**Domestic Preference (yes/no)**	**Review by Bank (Prior / Post)**	**Comments**
1.	Summary of the ICB (Goods/IT Systems)	2,222,222	1	No	Prior	
2.	Summary of the NCB (Goods/IT Systems)	3,310,806	9	No	Post	
3.	Summary of the NCB (Non-consulting Services)	[*to be updated, if any*]	[*to be updated, if any*]	No	Post	
4.	Summary of the NCB (Works)	18,108,526	11	No	Post	
5.	Summary of the Shopping (Goods)	391,111	8	No	Post	
6.	Summary of the Shopping (Non-consulting Services)	[*to be updated, if any*]	[*to be updated, if any*]	No	Post	
7.	Summary of the Shopping (Small Works)	481,481	3	No	Post	

1	2	3	4	5	6	7
Ref. No.	**Description**	**Estimated Cost (US$ million)**	**Packages**	**Domestic Preference (yes/no)**	**Review by Bank (Prior / Post)**	**Comments**
8.	Summary of the Direct Contracting (Goods/IT systems, Non-Consulting Services and Small Works)	[*to be updated , if any*]	[*to be updated , if any*]	No	Post	

B. **Selection of Consultants**

1. **Prior Review Threshold**: Selection decisions subject to prior review by Bank as stated in Appendix 1 to the Guidelines for Selection and Employment of Consultants:

Ref. No.	Selection Method	Selection Method Threshold	Bank's Prior Review Requirement
1.	QCBS (Firms)	Applied to all estimated value. No threshold.	Each contract equal to or above $500,000 equivalent shall be subject to Bank's prior review. All other contracts subject to post review. (Prior review from technical perspective of only the draft TOR and draft cost estimate).
2.	CQS (Firms)	Only for estimated contract value < $ 300,000 as per paragraph 3.7 of the Consultant Guidelines.	No prior review (only post review).

Ref. No.	Selection Method	Selection Method Threshold	Bank's Prior Review Requirement
3.	Single Source Selection of Firms	No selection method threshold. Subject to justification for Singe Source Selection of firms as per para. 3.9 and 3.10 of the Consultant Guidelines.	Each contract equal to or above $500,000 equivalent shall be subject to Bank's prior review. All other contracts subject to post review. (Prior review from technical perspective of only the draft TOR, Justification for use of Single Source Selection method and draft cost estimate, shall be sent for the Bank's prior approval as part of the procurement plan).
4.	Competitive Selection of Individual Consultants (comparison of at least 3 CVs)	No threshold.	No prior review (only post review), unless contract is equal to or above $200,000. (Prior review from technical perspective of only the draft TOR and draft cost estimate).
5.	Single Source Selection of Individual Consultants	No Threshold. Subject to justification for single source of individuals as per para. 5.4 of the Consultant Guidelines	No prior review (only post review), unless contract is equal to or above $200,000. (Prior review from technical perspective of only the draft TOR, Justification for use of Single Source Selection method together with CV of the recommended candidate and draft cost estimate, shall be sent for the Bank's prior approval as part of the procurement plan).

2. **Shortlist comprising entirely of national consultants**: Short list of consultants for services (firms), estimated to cost less than $ 400,000 equivalent per contract, may comprise entirely of national consultants in accordance with the provisions of paragraph 2.7 of the Consultant Guidelines.

3. **Any Other Special Selection Arrangements:** Consultants may be hired under Indefinite Delivery Contract (IDCs) or Price Agreement as per paragraph 4.5 of the Consultant Guidelines.

4. **Summary of Consultancy Assignments with Selection Methods and Time Schedule after project effectiveness** (*including those that are subject to retroactive financing and advanced procurement)*

1	2	3	4	5	6	7
Ref. No.	**Description of Assignments**	**Type of Assignments**	**Estimated Cost (US$ million)**	**Packages/ Positions**	**Review by Bank (Prior / Post)**	**Comments**
1.	Summary of number of contracts that will be let under QCBS	(Time-Based/Lumpsump)	18,335,777	18	Prior and Post	
2.	Summary of number of contracts that will be let under other methods (QBS, FBS, LCS and CQS)	(Time-Based /Lump-Sum)	5,813,778	43	Post	
3.	Summary of number of individual consultant contracts that will be let under competitive selection	(Time-Based /Lump-Sum)	67,407	6	Post	
4.	Summary of number of individual consultant contracts that will be let under single-source selection	(Time-Based /Lump-Sum)	[*to be updated, if any*]	[*to be updated, if any*]	Post	

PROCUREMENT PLAN **Indonesia : Dam Operational Improvement and Safety**

General Information

Country: Indonesia

Bank's Approval Date of the Original Procurement Plan: 2019-06-23

Revised Plan Date(s): (comma delineated, leave blank if non 2019-06-23

Project ID: P096532

GPN Date:

Project Name: Dam Operational Improvement and Safety

Loan / Credit No: COFN / C1460

Executing Agency(ies) BALAI BESAR WILAYAH SUNGAI BRANTAS

WORKS

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Procurement Process	Prequalification (Y/N)	Actual Amount (US$)	Process Status	Draft Pre-qualification Documents		Prequalification Evaluation Report		Draft Bidding Document / Justification		Specific Procurement Notice / Invitation		Bidding Documents as Issued		Proposal Submission / Opening / Minutes		Bid Evaluation Report and Recommendation for Award		Signed Contract		Contract Completion	
										Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual
BT - CW - 01 / UPB BBWS Brantas Building Rehabilitation	COFN / C1460		Post	Request for Bids	Open - National	Single Stage - One Envelope		0.00	Pending Implementation					2019-06-27		2019-07-02				2019-08-13		2019-09-12		2019-10-17		2020-04-14	

GOODS

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Procurement Process	Prequalification (Y/N)	Actual Amount (US$)	Process Status	Draft Pre-qualification Documents		Prequalification Evaluation Report		Draft Bidding Document / Justification		Specific Procurement Notice / Invitation		Bidding Documents as Issued		Proposal Submission / Opening / Minutes		Bid Evaluation Report and Recommendation for Award		Signed Contract		Contract Completion	
										Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual

NON CONSULTING SERVICES

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Procurement Process	Prequalification (Y/N)	Actual Amount (US$)	Process Status	Draft Pre-qualification Documents		Prequalification Evaluation Report		Draft Bidding Document / Justification		Specific Procurement Notice / Invitation		Bidding Documents as Issued		Proposal Submission / Opening / Minutes		Bid Evaluation Report and Recommendation for Award		Signed Contract		Contract Completion	
										Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual

CONSULTING FIRMS

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Contract Type	Actual Amount (US$)	Process Status	Terms of Reference		Expression of Interest Notice		Short List and Draft Request for Proposals		Request for Proposals as Issued		Opening of Technical Proposals / Minutes		Evaluation of Technical Proposal		Combined Evaluation Report and Draft Negotiated Contract		Signed Contract		Contract Completion	
									Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual
BT - CS - 01 / Legalization of Operation Permit (Kedung Sengon, Sumber Kepuh, Klampis, Sengguruh, Selorejo, Bening)	COFN / C1460		Prior	Quality And Cost-Based Selection	Open - International		0.00	Pending Implementation	2019-06-24		2019-07-15		2019-08-28				2019-09-25		2019-10-25		2019-11-29		2020-01-03		2021-01-02	
BT - CS - 02 / SID of Conservation and Sedimentation Mitigation of Sengguruh Dam	COFN / C1460		Post	Quality And Cost-Based Selection	Open - National		0.00	Pending Implementation	2019-06-24		2019-07-15		2019-08-28				2019-09-25		2019-10-25		2019-11-29		2020-01-03		2021-01-02	
BT - CS - 03 / Supervision of UPB BBWS Brantas Building Rehabilitation	COFN / C1460		Post	Quality And Cost-Based Selection	Open - National		0.00	Pending Implementation	2019-06-27		2019-07-18		2019-08-31				2019-09-28		2019-10-28		2019-12-02		2020-01-06		2020-01-24	

INDIVIDUAL CONSULTANTS