Appraisal Environmental and Social Review Summary

Appraisal Stage

(ESRS Appraisal Stage)

Date Prepared/Updated: 10/21/2019 | Report No: ESRSA00269
BASIC INFORMATION

A. Basic Project Data

<table>
<thead>
<tr>
<th>Country</th>
<th>Region</th>
<th>Project ID</th>
<th>Parent Project ID (if any)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Pacific Islands</td>
<td>EAST ASIA AND PACIFIC</td>
<td>P168122</td>
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</tr>
</tbody>
</table>

| Project Name                                  | Statistical Innovation and Capacity Building in Pacific Islands |
| Practice Area (Lead)                          | Financing Instrument |
| Poverty and Equity                            | Investment Project Financing |
| Borrower(s)                                   | Implementing Agency(ies) |

<table>
<thead>
<tr>
<th>Estimated Appraisal Date</th>
<th>Estimated Board Date</th>
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</thead>
</table>

Proposed Development Objective(s)
To foster innovation and promote improved statistical management practices in the Pacific region.

<table>
<thead>
<tr>
<th>Financing (in USD Million)</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>Total Project Cost</td>
<td>3.00</td>
</tr>
</tbody>
</table>

B. Is the project being prepared in a Situation of Urgent Need of Assistance or Capacity Constraints, as per Bank IPF Policy, para. 12?
No

C. Summary Description of Proposed Project [including overview of Country, Sectoral & Institutional Contexts and Relationship to CPF]

The 'Statistical Innovation and Capacity Building in Pacific Islands' project will support the regional organization, the Statistics for Development Division of the Pacific Community, to promote improved statistics management practices and trial innovations in the region.

D. Environmental and Social Overview

D.1. Project location(s) and salient characteristics relevant to the ES assessment [geographic, environmental, social]
The project will not involve any physical works. The main project activities include the commissioning of desk-top based research to achieve best practice in the context of the small island states of the Pacific region (e.g., literature
review, development of guidelines); strengthening institutional and technical capacity in the production, use and sharing of data; and researching and testing alternative data collection methods.

D. 2. Borrower’s Institutional Capacity

The project will be implemented by SPC and forms part of a regional initiative, including Kiribati and Tonga. Given the E&S risks associated with the Project are low, Borrower capacity to implement the ESCP is considered adequate. SPC has experience with Bank requirements and also has its own policies on issues such as child protection, harassment, H&S, equal opportunities and domestic violence.

II. SUMMARY OF ENVIRONMENTAL AND SOCIAL (ES) RISKS AND IMPACTS

A. Environmental and Social Risk Classification (ESRC)  Low

Environmental Risk Rating  Low

No physical works are proposed and the project does not involve analytical, advisory or other activities which could have or lead to downstream physical, social or environmental impacts. The collection, analysis, storage and sharing of anonymised data is unlikely to result in any significant E&S risks.

Social Risk Rating  Low

No physical works are proposed and the project does not involve analytical, advisory or other activities which could have or lead to downstream physical, social or environmental impacts. The collection, analysis, storage and sharing of anonymised data is unlikely to result in any significant E&S risks.

B. Environment and Social Standards (ESSs) that Apply to the Activities Being Considered

B.1. General Assessment

ESS1 Assessment and Management of Environmental and Social Risks and Impacts

**Overview of the relevance of the Standard for the Project:**
The project is not expected to result in any negative E&S issues.

The project could have positive impacts through collection of data to inform Government Policy and decision making for all beneficiaries including vulnerable groups. The main beneficiaries are the Pacific community and the various stakeholders that use HIES data for policy making and research. Impacts to vulnerable groups are not anticipated.

ESS10 Stakeholder Engagement and Information Disclosure

While the project will have no adverse E&S impacts, outreach to stakeholders will be necessary to inform them of project activities. works, confirm voluntary participation and communicate how the data will be used. The methodology and approach taken to data collection, analysis and storage will need to be clearly communicated to all relevant stakeholders. A stakeholder engagement plan (SEP), commensurate to the projects risks, will be prepared a minimum of 1 month prior to the commencement of any survey or data collection activities, which is expected to take place in late 2020. The SEP will take the need of any vulnerable groups into consideration and identify additional measures required to ensure their ability to participate. The SEP will also include a grievance mechanism.
B.2. Specific Risks and Impacts

A brief description of the potential environmental and social risks and impacts relevant to the Project.

ESS2 Labor and Working Conditions

A labor management plan will be prepared prior to hiring any workers or contractors on the Project and will set out how the project will meet the requirements of ESS2. Only a small number of consultants are likely to be involved in Project activities. Survey activities will not take place until end 2020 and thus it is considered appropriate to delay this requirement until implementation/further information is known. The ESCP describes the preparation of the LMP including required content, which will be incorporated into worker contracts and terms of conditions.

The OHS risks on the project are considered low, though the ESCP includes the requirement to report any incidents to the Bank; and worker and community safety will be incorporated into survey implementation methodology and training.

ESS3 Resource Efficiency and Pollution Prevention and Management

Use of natural resources, generation of emissions and waste, and significant GHG production are not anticipated.

ESS4 Community Health and Safety

Community safety will be addressed in any training provided to data collectors. SEP will also include provision of information on how the data will be collected including how participants and others can seek information, advice or make a complaint. No community health and safety issues are expected through project activities; nor will activities affect ecosystem services.

ESS5 Land Acquisition, Restrictions on Land Use and Involuntary Resettlement

No land impacts are anticipated and involuntary land acquisition is not anticipated.

ESS6 Biodiversity Conservation and Sustainable Management of Living Natural Resources

The project will not impact biodiversity or living natural resources.

ESS7 Indigenous Peoples/Sub-Saharan African Historically Underserved Traditional Local Communities

The project will support activities in Tonga and Kiribati, where the population is homogeneous and there are no groups or communities that meet the criteria for indigenous peoples.

ESS8 Cultural Heritage

The project will not impact physical or intangible cultural resources.
ESS9 Financial Intermediaries
The project will not include any financial intermediaries.

C. Legal Operational Policies that Apply

OP 7.50 Projects on International Waterways
No
Project will not take place on (or along) international waterways.

OP 7.60 Projects in Disputed Areas
No
Project will not take place in disputed areas.

III. BORROWER’S ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN (ESCP)

<table>
<thead>
<tr>
<th>DELIVERABLES against MEASURES AND ACTIONS IDENTIFIED</th>
<th>TIMELINE</th>
</tr>
</thead>
<tbody>
<tr>
<td>ESS 1 Assessment and Management of Environmental and Social Risks and Impacts</td>
<td></td>
</tr>
<tr>
<td>Given the low level of risks an ESMP will not be prepared. The risks that are identified will be managed through instruments developed under ESS2 and ESS 10. Incorporate the relevant aspects of the ESCP, including the relevant E&amp;S documents and/or plan, and the Labor Management Procedures, into contractor contracts. Project Implementation Agreements (PIA) will be developed to describe the roles and responsibilities of collaborating agencies.</td>
<td>09/2020</td>
</tr>
<tr>
<td>ESS 10 Stakeholder Engagement and Information Disclosure</td>
<td></td>
</tr>
<tr>
<td>SEP to be prepared disclosed and implemented at least 1 month prior commencement of the survey. Include consideration of vulnerable groups.</td>
<td>09/2020</td>
</tr>
<tr>
<td>Prepare, adopt, maintain and operate a grievance redress mechanism (GRM), as described in the SEP. The GRM needs to be easily accessible to the community and disclosed during any survey.</td>
<td>09/2020</td>
</tr>
<tr>
<td>ESS 2 Labor and Working Conditions</td>
<td></td>
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<tr>
<td>Develop and implement Labor Management Procedures (LMP) compliant with SPC Policies and ESS 2; include terms and conditions for workers, non discrimination and equal opportunity, workers organisations, and prohibition of child and forced labor.</td>
<td>09/2020</td>
</tr>
<tr>
<td>Establish, maintain, and operate a grievance mechanism for Project workers, as described in the SEP and consistent with ESS2.</td>
<td>09/2020</td>
</tr>
<tr>
<td>Prepare, adopt, and implement occupational, health and safety (OHS) measures within the operating procedures that satisfy World Bank and SPC Policy.</td>
<td>09/2020</td>
</tr>
<tr>
<td>ESS 3 Resource Efficiency and Pollution Prevention and Management</td>
<td></td>
</tr>
<tr>
<td>ESS 4 Community Health and Safety</td>
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</tbody>
</table>
community health and safety risks are considered low but will be considered in the LMP and SEP.

ESS 5 Land Acquisition, Restrictions on Land Use and Involuntary Resettlement
ESS 6 Biodiversity Conservation and Sustainable Management of Living Natural Resources
ESS 7 Indigenous Peoples/Sub-Saharan African Historically Underserved Traditional Local Communities
ESS 8 Cultural Heritage
ESS 9 Financial Intermediaries

B.3. Reliance on Borrower’s policy, legal and institutional framework, relevant to the Project risks and impacts

Is this project being prepared for use of Borrower Framework? No

Areas where “Use of Borrower Framework” is being considered:
The use of E&S country systems is not anticipated.

IV. CONTACT POINTS

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Borrower/Client/Recipient
Implementing Agency(ies)

V. FOR MORE INFORMATION CONTACT

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VI. APPROVAL

Task Team Leader(s): Kristen Himelein Kastelic