Vietnam New Model University Project (P110693)

Key Dates

**Key Project Dates**
- Bank Approval Date: 24-Jun-2010
- Planned Mid Term Review Date: 24-Feb-2014
- Original Closing Date: 30-Nov-2017
- Effectiveness Date: 27-Dec-2010
- Actual Mid-Term Review Date: 24-Feb-2014
- Revised Closing Date: 30-Nov-2020

Project Development Objectives

Project Development Objective (from Project Appraisal Document)
The project aims to develop an autonomous research-based university to demonstrate a new policy framework on governance, financing, and quality in Vietnam's higher education system.

Has the Project Development Objective been changed since Board Approval of the Project Objective?
No

Components

<table>
<thead>
<tr>
<th>Name</th>
<th>Cost</th>
</tr>
</thead>
<tbody>
<tr>
<td>Policy and governance development:</td>
<td>(Cost $6.50 M)</td>
</tr>
<tr>
<td>Academic and Research development:</td>
<td>(Cost $33.00 M)</td>
</tr>
<tr>
<td>Campus and facilities development:</td>
<td>(Cost $110.00 M)</td>
</tr>
<tr>
<td>Project management, monitoring and evaluation:</td>
<td>(Cost $7.00 M)</td>
</tr>
</tbody>
</table>

Overall Ratings

<table>
<thead>
<tr>
<th>Name</th>
<th>Previous Rating</th>
<th>Current Rating</th>
</tr>
</thead>
<tbody>
<tr>
<td>Progress towards achievement of PDO</td>
<td>Moderately Satisfactory</td>
<td>Moderately Satisfactory</td>
</tr>
<tr>
<td>Overall Implementation Progress (IP)</td>
<td>Moderately Satisfactory</td>
<td>Moderately Satisfactory</td>
</tr>
<tr>
<td>Overall Risk Rating</td>
<td>Substantial</td>
<td>Substantial</td>
</tr>
</tbody>
</table>

Implementation Status and Key Decisions

The project maintains the progress reported in the last mission in April 2019. Most notable achievements in the past six months include a 20% increase in disbursement, 100% of contracts for campus construction awarded, positive university governance progress with an updated Development Plan and further internal regulations on lecturers and academic staff being developed, two (02) new academic programs being successfully opened, and an additional of 20 ISI indexed publications. Most of the time-bound actions agreed in April 2019 mission to ensure the project meets its end targets for both Project Development Objectives (PDO) indicators and the Intermediate Result (IR) indicators have been implemented. As a result, the progress towards the achievement of the PDOs continues to be on the right track.
The Ministry of Education and Training has been resolute to build on the achieved momentum of the project's progress and to successfully complete the project by end of November 2020 and reach the objective that the Vietnamese German University (VGU) shall become an autonomous, research-based university. Efforts have been committed in all project areas, focusing around the campus construction, laboratory equipment procurement, environment, health and safety issues, and the signing of the Tripartite Partnership Agreement to safeguard a modern university governance and sustainable financing mechanism for VGU. The Ministry also has also ensured adequate determination to ensure adequate allocation of counterpart and IDA funding to facilitate timely delivery of project activities.

The mission agreed with the Ministry eighteen (18) time-bound actions which shall be reviewed via monthly check-in meetings with the Bank to ensure (i) full completion of campus construction and procurement of lab equipment; (ii) strong foundation laid out for VGU financially sustainable development; and (iii) achievement of project development objectives by the project's closure at the end of November 2020.

**Risks**

**Systematic Operations Risk-rating Tool**

<table>
<thead>
<tr>
<th>Risk Category</th>
<th>Rating at Approval</th>
<th>Previous Rating</th>
<th>Current Rating</th>
</tr>
</thead>
<tbody>
<tr>
<td>Political and Governance</td>
<td>--</td>
<td>● Moderate</td>
<td>● Moderate</td>
</tr>
<tr>
<td>Macroeconomic</td>
<td>--</td>
<td>● Moderate</td>
<td>● Moderate</td>
</tr>
<tr>
<td>Sector Strategies and Policies</td>
<td>--</td>
<td>● Substantial</td>
<td>● Substantial</td>
</tr>
<tr>
<td>Technical Design of Project or Program</td>
<td>--</td>
<td>● High</td>
<td>● High</td>
</tr>
<tr>
<td>Institutional Capacity for Implementation and Sustainability</td>
<td>--</td>
<td>● Substantial</td>
<td>● Substantial</td>
</tr>
<tr>
<td>Fiduciary</td>
<td>--</td>
<td>● Substantial</td>
<td>● Substantial</td>
</tr>
<tr>
<td>Environment and Social</td>
<td>--</td>
<td>● Moderate</td>
<td>● Moderate</td>
</tr>
<tr>
<td>Stakeholders</td>
<td>--</td>
<td>● Substantial</td>
<td>● Substantial</td>
</tr>
<tr>
<td>Other</td>
<td>--</td>
<td>● Low</td>
<td>● Low</td>
</tr>
<tr>
<td>Overall</td>
<td>--</td>
<td>● Substantial</td>
<td>● Substantial</td>
</tr>
</tbody>
</table>

**Results**

**PDO Indicators by Objectives / Outcomes**

**Develop an autonomous research-based university to demonstrate a new policy framework**

► Updated charter and governance structure ensuring autonomy for VGU (Text, Custom)

<table>
<thead>
<tr>
<th>Value</th>
<th>Baseline</th>
<th>Actual (Previous)</th>
<th>Actual (Current)</th>
<th>End Target</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>First Charter was Approved by the Prime Minister</td>
<td>Revised Charter is approved by the Prime Minister</td>
<td>Proposed revisions to the Charter based on the current draft of the Tripartite Partnership Agreement (TPA) and amended Higher</td>
<td>Updated Charter is implemented</td>
</tr>
</tbody>
</table>
The World Bank  
Vietnam New Model University Project (P110693)

Education Law had been made by end of October 2019 for decision at the University Council meeting in December. The Charter shall be updated upon the TPA signing.

<table>
<thead>
<tr>
<th>Date</th>
<th>01-Jan-2016</th>
<th>29-Mar-2019</th>
<th>29-Nov-2019</th>
<th>30-Nov-2020</th>
</tr>
</thead>
</table>

**Comments:**
* Decision No. 890/QĐ-TTg dated 19 June 2017 of the Prime Minister on the organization and operation of the Vietnamese-German University.

**Development of a financial sustainability plan for VGU**

<table>
<thead>
<tr>
<th>Value</th>
<th>Baseline</th>
<th>Actual (Previous)</th>
<th>Actual (Current)</th>
<th>End Target</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>No plan</td>
<td>Government approved financing majority of VGU's operation costs</td>
<td>VGU Financial Sustainable Plan was updated on June 30, 2019 incorporating changes approved by the Government decision on financing majority of VGU's operation cost.</td>
<td>Financial Sustainability Plan is executed</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Date</th>
<th>01-Jan-2016</th>
<th>29-Mar-2019</th>
<th>29-Nov-2019</th>
<th>30-Nov-2020</th>
</tr>
</thead>
</table>

**Comments:**
* Official Document 12447/ VPCP-QHQT on December 22, 2018 on financing majority of VGU's operation cost which will guarantee state budget in VGU

**Development of internationally accredited academic programs in VGU**

<table>
<thead>
<tr>
<th>Value</th>
<th>Baseline</th>
<th>Actual (Previous)</th>
<th>Actual (Current)</th>
<th>End Target</th>
</tr>
</thead>
<tbody>
<tr>
<td>11.00</td>
<td>11.00</td>
<td>11.00</td>
<td>21.00</td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Date</th>
<th>01-Jan-2016</th>
<th>29-Mar-2019</th>
<th>29-Nov-2019</th>
<th>30-Nov-2020</th>
</tr>
</thead>
</table>

**Number of ISI publications by VGU**

<table>
<thead>
<tr>
<th>Value</th>
<th>Baseline</th>
<th>Actual (Previous)</th>
<th>Actual (Current)</th>
<th>End Target</th>
</tr>
</thead>
<tbody>
<tr>
<td>18.00</td>
<td>70.00</td>
<td>90.00</td>
<td>132.00</td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Date</th>
<th>01-Jan-2016</th>
<th>29-Mar-2019</th>
<th>29-Nov-2019</th>
<th>30-Nov-2020</th>
</tr>
</thead>
</table>

**Intermediate Results Indicators by Components**

**Policy and governance development**

**IR1: Establishment and implementation of VGU governance and administrative framework**

12/12/2019
### Vietnam New Model University Project (P110693)

#### Baseline

<table>
<thead>
<tr>
<th>Value</th>
<th>Actual (Previous)</th>
<th>Actual (Current)</th>
<th>End Target</th>
</tr>
</thead>
<tbody>
<tr>
<td>None</td>
<td>HR Policy framework: Electoral regulations applied for elections at all bodies in VGU</td>
<td>Additional HR Policy established during the reporting period: Regulation 134/QD-DHVD on working regulations for lecturers and academic staff</td>
<td>VGU Governance and Administrative framework established and implemented</td>
</tr>
</tbody>
</table>

| Date   | 01-Jan-2016       | 29-Mar-2019      | 29-Nov-2019 | 30-Nov-2020 |

#### IR2: Revenue-to-Expenditure ratio is greater than one (Number, Custom)

| Value | 0.99  | 1.04  | 1.08  | 1.11  |

| Date   | 01-Jan-2016       | 31-Dec-2018      | 29-Nov-2019 | 30-Nov-2020 |

#### IR5: Number of scholarships provided to female students and students from disadvantaged groups (Number, Custom)

| Value | 117.00 | 126.00 | 159.00 | 190.00 |

| Date   | 01-Jan-2016       | 31-Mar-2019      | 29-Nov-2019 | 30-Nov-2020 |

### Academic and Research development

#### IR3: Number of enrolled students (disaggregated by gender) (Text, Custom)

| Value     | 1,209 (302 female students) | 1,231 (346 female students) | 1,584 (449 female students) | 2,516 (629 female students) |

| Date       | 01-Jan-2016                  | 29-Mar-2019                 | 29-Nov-2019                 | 30-Nov-2020                 |

#### IR4: Number of academic staff disaggregated by gender (Text, Custom)

| Value     | 74 (9 female staff) | 88 (21 female staff) | 89 (21 female staff) | 253 (81 female staff) |

| Date       | 01-Jan-2016                  | 29-Mar-2019                 | 29-Nov-2019                 | 30-Nov-2020                 |

#### IR6: Percentage of graduate of bachelor degrees acquiring jobs related to their field of study plus percentage of graduate of bachelor degree enrolling on graduate programs after 12 months of graduation (Percentage, Custom)

| Value     | 74.00  | 76.00  | 89.00  | 80.00  |

| Date       | 01-Jan-2016                  | 29-Mar-2019                 | 29-Nov-2019                 | 30-Nov-2020                 |
**IR7: Percentage of Lecturers with PhD (Percentage, Custom)**

<table>
<thead>
<tr>
<th></th>
<th>Baseline</th>
<th>Actual (Previous)</th>
<th>Actual (Current)</th>
<th>End Target</th>
</tr>
</thead>
<tbody>
<tr>
<td>Value</td>
<td>100.00</td>
<td>100.00</td>
<td>100.00</td>
<td>100.00</td>
</tr>
<tr>
<td>Date</td>
<td>01-Jan-2016</td>
<td>29-Mar-2019</td>
<td>29-Nov-2019</td>
<td>30-Nov-2020</td>
</tr>
</tbody>
</table>

**IR8: Number of collaborative research projects with national and international partners launched (Text, Custom)**

<table>
<thead>
<tr>
<th></th>
<th>Baseline</th>
<th>Actual (Previous)</th>
<th>Actual (Current)</th>
<th>End Target</th>
</tr>
</thead>
<tbody>
<tr>
<td>Value</td>
<td>2 (0 project with international partners, 2 projects with national partners)</td>
<td>40 projects (6 with international partners, 34 with national partners)</td>
<td>47 projects (17 with international partners, 30 with national partners)</td>
<td>65 projects (25 with international partners, 40 with national partners)</td>
</tr>
<tr>
<td>Date</td>
<td>01-Jan-2016</td>
<td>29-Mar-2019</td>
<td>29-Nov-2019</td>
<td>30-Nov-2020</td>
</tr>
</tbody>
</table>

**Campus and facilities development**

**IR9: Percentage of campus construction completed (Percentage, Custom)**

<table>
<thead>
<tr>
<th></th>
<th>Baseline</th>
<th>Actual (Previous)</th>
<th>Actual (Current)</th>
<th>End Target</th>
</tr>
</thead>
<tbody>
<tr>
<td>Value</td>
<td>0.00</td>
<td>0.00</td>
<td>0.00</td>
<td>100.00</td>
</tr>
<tr>
<td>Date</td>
<td>01-Jan-2016</td>
<td>29-Mar-2019</td>
<td>29-Nov-2019</td>
<td>30-Nov-2020</td>
</tr>
</tbody>
</table>

**Project management, monitoring and evaluation**

**IR10: Number of people from MOET and other higher education institutions being consulted on VGU's new policy framework on governance, finance and quality (Number, Custom)**

<table>
<thead>
<tr>
<th></th>
<th>Baseline</th>
<th>Actual (Previous)</th>
<th>Actual (Current)</th>
<th>End Target</th>
</tr>
</thead>
<tbody>
<tr>
<td>Value</td>
<td>44.00</td>
<td>44.00</td>
<td>44.00</td>
<td>204.00</td>
</tr>
<tr>
<td>Date</td>
<td>01-Jan-2016</td>
<td>29-Mar-2019</td>
<td>29-Nov-2019</td>
<td>30-Nov-2020</td>
</tr>
</tbody>
</table>

**Data on Financial Performance**

**Disbursements (by loan)**

<table>
<thead>
<tr>
<th>Project</th>
<th>Loan/Credit/TF</th>
<th>Status</th>
<th>Currency</th>
<th>Original</th>
<th>Revised</th>
<th>Cancelled</th>
<th>Disbursed</th>
<th>Undisbursed</th>
<th>% Disbursed</th>
</tr>
</thead>
<tbody>
<tr>
<td>P110693</td>
<td>IDA-47860</td>
<td>Effective</td>
<td>USD</td>
<td>180.40</td>
<td>180.40</td>
<td>0.00</td>
<td>82.32</td>
<td>83.41</td>
<td>50%</td>
</tr>
</tbody>
</table>

**Key Dates (by loan)**

12/12/2019 Page 5 of 6
<table>
<thead>
<tr>
<th>Project</th>
<th>Loan/Credit/TF</th>
<th>Status</th>
<th>Approval Date</th>
<th>Signing Date</th>
<th>Effectiveness Date</th>
<th>Orig. Closing Date</th>
<th>Rev. Closing Date</th>
</tr>
</thead>
</table>

**Cumulative Disbursements**

![Cumulative Disbursements Chart]

**Restructuring History**

Level 2 Approved on 22-Jun-2016, Level 2 Approved on 30-Nov-2017, Level 2 Approved on 01-Aug-2019

**Related Project(s)**

There are no related projects.