1. Introduction

This End of Year Report summarizes the results achieved during FY2005 (July 1, 2004 – June 30, 2005). The report provides an assessment of the extent to which the targets of the FY05 Business Plan were achieved and draws lessons for strengthening implementation for the current year. It provides an overview of WSP’s key projects, a summary status of project delivery, the main activities and results in our focus countries, new funding, budget expenditures and human resources. Finally, the report provides detailed implementation sheets for each activity during the review period.

At the end of the Fiscal Year in June 2005, the FY05 WSP work program included 98 multi-year projects with an average expenditure of approximately US$178,000 per project. The total expenditure at the end of FY05 was $17.4 million against an initial budget of $17.5 million.

WSP has continued to strengthen the structure, logical content and M&E system of its business plan starting in FY2005. A new guidance note was developed to enhance the quality of individual projects, based on a streamlined version of the logframe approach. The guidance note supported strengthening of indicators for each project which will be used in the medium-term and end of year progress reviews to determine not only the completion of outputs but also progress towards intermediate and final outcomes. WSP has also revamped its project coding system to better reflect the multi-dimensional nature of its activities while maintaining a simple system for use in planning, monitoring and analysis. The coding system has also been linked to the budgeting and financial monitoring system and is expected to provide valuable information in the analysis of global, regional and thematic trends for the whole program.

An innovative feature of this End-of-Year review has been the incorporation of an outcome section at the country level. While the monitoring of activity-level outcomes has been now fully incorporated in the M&E system, WSP is now strengthening the monitoring of outcomes at the country level.

2. Status of Implementation at the end of FY2005

2.1 Large Scale Activities: This section highlights the results of the major activities – the one big-budget item in each of the four regions of WSP.

WSP-AF: Developing Sanitation Knowledge – WSP-AF has just completed this four-year, US$1.2 million project that worked to develop and disseminate sanitation knowledge and good practice in Africa. The project aimed at supporting the development of a sanitation industry through better functioning small businesses, marketing, and appropriate subsidies. In Senegal, WSP provided investment support under the World Bank-funded Long-Term Water Project that contributed to access to on-site sanitation to over 35,000 urban households, toilets to 70 schools, and included construction of 10 small piped sanitation systems, and 10 public latrines. Some early results of the project included investment support in Niger that provided access to basic sanitation to over 5,500 urban households under the World Bank funded Water Sector Project. A Sanitation and Hygiene Publications Series was launched and delivered 4 publications by the
Regional sanitation conferences (AfricaSan East, AfricaSan West and AfricaSan South) were organized to promote sanitation issues and coordinate responses to demands for improved sanitation. Supported by WSP and other partners, these high-visibility events were designed to raise the political profile of sanitation by engaging with champions at the highest levels of government, resulting in integration of sanitation into strategies and enhancing collaboration between the various ministries that address issues of sanitation. Similar conferences are under preparation in China (EastAsiaSan) and Latin America. Technical support for sanitation marketing is also being provided to the city council of Dar es Salaam and the Dar es Salaam Water and Sewerage Agency (DAWASA) under the World Bank-funded Urban Infrastructure project and the Dar Water and Sanitation project respectively. As a follow up, selected activities will be undertaken within the new regional sanitation project funded by SIDA. This follow-up project aims to consolidate the sanitation knowledge base and translate it into action through; (i) rolling out sanitation programs at scale in selected countries, (ii) raising the profile of sanitation by developing advocacy toolkits that will include, in particular, an articulation of the economic, health and education benefits of sanitation; and (iii) networking and knowledge sharing through Africasan.

**WSP-EAP: Water Supply and Sanitation Policy and Action Planning (WASPOLA II) in Indonesia**
- The goal of this four-year, US$6.2 million phase II of the project is to support the Government of Indonesia adopt and implement a comprehensive water and sanitation sector policy framework encompassing both community and institutionally-managed services. The first WASPOLA project had supported the development of the policy framework for rural, community-managed water and sanitation services. WSP-EAP is now supporting the government through WASPOLA II on four fronts: policy implementation and analysis, improving the policy environment, improving sector data, and disseminating lessons learned. In FY05, the community-based policy document was extensively promoted in 5 provinces. The promotion process was carried out by providing support staff in the local governments (LGs) and assisting in the development of locally-based WATSAN committees and working with them to develop short-, medium-, and long-term planning goals and strategies. WSP-EAP also helped to develop the publications used for dissemination into toolkits for policy implementation. Outcomes of this project include: (a) leveraging commitment and resources from local governments who are now financing the process, (b) the community based water and sanitation policy is now being used and implemented by most agencies that are working in Indonesia including NGOs and bilateral donors and is leveraging additional investments into the 5 provinces in which it is being promoted, (c) formation of an inter-ministerial working group which together with the WASPOLA project team, are seen as the focus for water supply and environmental sanitation sector activities, and (d) activities implemented under the project, e.g., Community Led Total Sanitation and SANIMAS have become models that are generating data and information used to prepare similar projects. In consultation with sector stakeholders, WSP-EAP also helped develop a draft Communication’s Strategy, which will be finalized in FY06. Also, the WASPOLA secretariat produces a monthly report which is distributed in both Bahasa and English.

**WSP-LAC: Strengthening WSS Services in Small Towns in Peru**
- FY05 was the second year in this three-year, US$4 million project aimed at developing new water supply and sanitation management models in eleven small towns. The project’s results will be used to enhance intervention models in the Small Towns component of PRONASAR, a World Bank-funded project. The ultimate objective of this pilot is to replicate the lessons learned at the national level and incorporate them into government policy. Phase I of the project was completed in March 2005.

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1 Titles include: (i) Mobilizing Resources for Sanitation; (ii) Who Buys Latrines, Where and Why; (iii) The Case for Marketing Sanitation; and (iv) A Review of Ecosan Experience in East Africa.
2005 with two technical assistance companies hired to work on the processes of promotion, model design, and adoption of the service development plan and consultation of users regarding the change in the service management model. During the second phase (March 05 – June 05), local governments were scheduled to enact the following key issues for the process: fees; claims; service; and creation of a local surveillance board. Although three of the towns had to withdraw from the project due to conflicting work commitments and political opposition to the program, the lessons are being incorporated in the project design and two of the towns are being replaced.

**WSP-SA: Utility/City-Level Reforms** - WSP-SA has been a driving force in the Delhi Jal Board reform program, a four-year, US$1.5 million project to improve water supply services in Delhi and in particular in ensuring that the project resulted in improved services to the poor. WSP developed and drove the concept of reintroducing 24/7 water supply in Delhi, which lies at the heart of the service improvements in the initiative. In particular, WSP-SA advised on an incrementalist approach to partnerships with the private sector through management contracts in two zones, and has supported engineering and contractual upstream work in this regard. In FY05, WSP-SA worked on the development of an implementation strategy in Delhi. This was approved in Dec 04 and includes institutional, regulatory and technical options, and will be tested in the 2 zones in which water supply is to be converted from intermittent to continuous supply. Pre-bid discussions are scheduled towards end 2005 and private operators were expected to be in place by early 06. However, a key challenge in FY06 will be overcoming the recent resistance to the introduction of 24/7 water supply through private sector participation in management of the water utility.

**WSP-Global: The Public-Private Partnership to Promote Handwashing (PPP-HW):** This two-year, US$2.2 million project is supported by the Bank-Netherlands Water Partnership, World Bank, USAID, Swiss Development Cooperation, and Japanese Social Development Fund. The PPP-HW works with private soap companies such as Unilever and Proctor & Gamble to develop handwashing campaigns in order to reduce the incidence of diarrhea. In 2005, the PPP-HW Secretariat was established within WSP and activities were handed over to new staff. A Handwashing Handbook, a guide for implementing hand washing campaigns was issued during the World-Bank water week in 2005. In Ghana, the incidence of handwashing with soap after defecation increased by 13% (from 76% - 89%) and before eating by 41% (from 14% - 55%) at evaluation. The next phase will evaluate actual behaviour change. In addition, viable partnerships have been established in Ghana, Madagascar, Nepal, Peru, and Senegal. Hand washing activities have also been mainstreamed in government and donor programs as shown by their inclusion in pre-existing government programs in Peru, Ghana, and Nepal and increased content in UNICEF and USAID activities in multiple countries. A Hand washing program was initiated in Colombia and will be starting in Indonesia and Tanzania during FY2006.

**WSP-Global: WSP recently launched, with DFID support, a $12 million Domestic Private Sector Participation (DPSP) initiative that seeks to unlock the potential of the domestic private sector in the delivery of sustainable water and sanitation services to the poor. The initiative aims at supporting clients and multi-lateral initiatives in WSP focus countries to leverage greater financial and technical inputs from the domestic private sector, including market-based finance. It will also place particular focus on finding ways to alleviate constraints to accessing market finance by small and medium service providers (SMSP) in the water and sanitation sector.**

The DPSP was launched at a global workshop in Nairobi, Kenya, on June 20-21, 2005 which brought together more than 70 practitioners, government stakeholders and reform advocates to map out current thinking and experiences on issues of local private sector provision, domestic financial markets, sanitation marketing and consumer advocacy. The workshop provided a
platform for experts to: (a) review existing knowledge and experience; (b) define gaps and identify key issues on which the DPSP should focus; (c) identify collaborators, partners and clients to take this work forward; and (d) influence the scope and content of activities of the DPSP.

Based on an analysis of the challenges facing small scale providers, the workshop identified two primary areas of action needed to unlock the market: (a) developing the capacity of the supply and demand sides of the market, and (b) promoting an enabling environment for domestic private operators. Consistent with the focus of DPSP, the following activities are on-going in a number of WSP focus countries (e.g., Kenya, Cambodia, Indonesia, Philippines, and Peru, among many others) and as part of regional thematic work: (i) developing policies, institutional and regulatory mechanisms and reforms that support the growth of SMPPs, combined with advocacy to build political support; (ii) improving knowledge of government, regulatory agencies, financiers, utilities and municipalities on the role, characteristics and potential of small scale providers; (iii) carrying out capacity assessments and documenting gaps as a basis for developing capacity building strategies and toolkits; (iv) developing effective interfaces between utilities and SSMPs; (v) carrying out scoping studies and actions to support market based finance mechanisms for small water projects and the creation of business development services; and (vi) strengthening accountability and consumer voice as well as involvement of the domestic private sector into the WSS MDGs; among others

2.2 Results from WSP activities in focus countries during FY05

WSP focus countries during FY2005 included Benin, Burkina Faso, Ethiopia, Kenya, Mozambique, Senegal, Uganda, Indonesia, Lao PDR, Philippines, Vietnam, Honduras, Nicaragua, Peru, Bangladesh, India and Pakistan. The main contributions that WSP made in focus countries during FY05 included:

a) Supporting client governments to develop strategies, MDG roadmaps and programs, improve sector coordination, implement reforms and to leverage resources for scaling up sustainable water supply and sanitation in rural areas, small towns and urban areas;
b) Providing implementation support for large scale investment projects through strategic supervision and project preparation assistance;
c) Contributing to improved efficiency of service providers and utilities through implementation of new management models and institutional reforms including increased transparency and accountability, and benchmarking;
d) Raising the profile of WSS at national level through analytical work and advocacy and ensuring integration into poverty reduction and country assistance strategies in support of client governments growth and poverty reduction targets;
e) Strengthening linkages between water, sanitation and health, supporting and advocating for closer working relationships between ministries of water and of health in client countries and in dialogue with financing agencies; and
f) Strengthening and consolidating WSP relationship with client countries based on clear understanding of mutual expectations, roles and responsibilities and expected outputs/outcomes and increasing WSP presence in focus countries by recruiting sector specialists to ensure closer, stable and continuous support.

Annex I summarizes the main activities in the FY2005 work program and specific achievements in the focus countries, in particular, the outcomes. The table also highlights the main partners.
with whom WSP collaborated in achieving the results and worked together to ensure complementarity, synergy and sharing of lessons and knowledge.

3. **Delivery Status**

In response to the recommendations of the external evaluation of June 2004\(^2\), WSP introduced semi-annual project-level monitoring based on outcome/impact planning and maintained a flexible approach in implementing the FY05 Business Plan to take advantage of changing financial circumstances, and respond to new opportunities and demands from our clients and partners. This will be further strengthened in FY06 for which the entire business planning process has an even sharper focus on outcomes and impacts.

The End of Year review identified projects: (i) that were delayed and required corrective measures, (ii) whose scope of work required modification, and (iii) that can be expanded based on budget planning for FY06. The review shows that 59% of the projects were on time, 13% completed, 26% delayed and 2% dropped. Projects are defined to be delayed if the delivery of their intermediate outputs is delayed by more than two months and this delay may ultimately jeopardize on-time delivery. In FY05, the main causes for project delays included delayed response and action by partner governments, unexpected departures of task managers, and in some cases natural disasters. As part of the end-year review, task managers, regional team leaders, and the program manager have identified actions to accelerate implementation progress and to improve the delivery status of these delayed projects. Table 1 presents the regional breakdown of project implementation status.

<table>
<thead>
<tr>
<th>Region</th>
<th># of Projects</th>
<th>On time (%)</th>
<th>Completed (%)</th>
<th>Delayed (%)</th>
<th>Dropped (%)</th>
</tr>
</thead>
<tbody>
<tr>
<td>WSP-Africa</td>
<td>29</td>
<td>55%</td>
<td>3%</td>
<td>31%</td>
<td>7%</td>
</tr>
<tr>
<td>WSP-East Asia/Pacific</td>
<td>18</td>
<td>67%</td>
<td>17%</td>
<td>17%</td>
<td>0%</td>
</tr>
<tr>
<td>WSP-Latin America/Caribbean</td>
<td>13</td>
<td>46%</td>
<td>23%</td>
<td>31%</td>
<td>0%</td>
</tr>
<tr>
<td>WSP-South Asia</td>
<td>34</td>
<td>68%</td>
<td>10%</td>
<td>24%</td>
<td>0%</td>
</tr>
<tr>
<td>WSP-Global</td>
<td>2</td>
<td>0%</td>
<td>0%</td>
<td>100%</td>
<td>0%</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td><strong>96</strong></td>
<td><strong>59%</strong></td>
<td><strong>13%</strong></td>
<td><strong>26%</strong></td>
<td><strong>2%</strong></td>
</tr>
</tbody>
</table>

Annex II provides the detailed project sheets that summarize the status of each of the 98 projects included in the FY05 work program.

4. **FY05 Workplan Budget and Expenditure**

As Table 2 below shows, at the beginning of the fiscal year, WSP budgeted US$17.5 million for the FY05 workplan. As of December 31, 2004, US$7.4 million, or 42%, has been expended. After the Mid Year Review exercise, budgets were revised to account for additional funding that had been secured, with a total budget envelope of $20.2 million. By the end of the year, $17.4 million, or 86%, was expended. WSP continues to monitor on a monthly basis the expenses of each individual project to ensure prompt and cost-effective use of funds.

<table>
<thead>
<tr>
<th>Region</th>
<th>FY05</th>
<th>MYR</th>
<th>Revised Budget</th>
<th>EYR</th>
<th>% of</th>
</tr>
</thead>
</table>

\(^2\) External Evaluation of the Water and Sanitation Program for the period 1999 to 2003.
5. **Fund Raising Activities**

WSP signed a new trust fund agreement in March 2005 for more than US$7.8 million over the next three years from the government of the Netherlands to fund sanitation activities in Indonesia. In addition, support to the Program has been approved from four other donors for contributions totaling $13.25 million as illustrated in Table 3 below:

<table>
<thead>
<tr>
<th>Donor</th>
<th>Contribution Amount</th>
<th>Focus</th>
</tr>
</thead>
<tbody>
<tr>
<td>France</td>
<td>0.30</td>
<td>Support to Global activities</td>
</tr>
<tr>
<td>The Netherlands</td>
<td>7.80*</td>
<td>Indonesia Sanitation</td>
</tr>
<tr>
<td>Sweden</td>
<td>5.50</td>
<td>Regional Africa to achieve MDGs in water r supply, sanitation and hygiene</td>
</tr>
<tr>
<td>Switzerland</td>
<td>7.00</td>
<td>Global RWSS, Africa, Latin America, Pakistan, Bangladesh, Niger</td>
</tr>
<tr>
<td>United States</td>
<td>0.45</td>
<td>Global handwashing</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td><strong>21.05</strong></td>
<td></td>
</tr>
</tbody>
</table>

*Trust Fund already signed.

The 4 agreements are expected to be signed before December 2005.

6. **Enhancing Global Functioning of WSP**

WSP established Global Practice Teams (GPTs) in response to a strong desire for: (a) more cross-regional work and rapid cross-fertilization, (b) global vision and leadership in WSS topics; and, (c) enhanced knowledge generation, sharing and influence on the Bank and other organizations. A key principle that will guide the operations of the GPTs is that their activities and products must be relevant and have impact on our clients’ and partners’ activities. Five GPTs were established during FY05 in Strategic Communications, Finance, Rural Water Supply and Sanitation, Sanitation and Hygiene, and Urban Water Supply and Sanitation and the poor. The GPTs have developed their work plans for FY06. While the GPTs were quite new and in a learning phase, feedback at the close of FY05 showed a positive trend and potential, with regions looking beyond their own areas and scope to engage in thematic discussions and share various outputs/documentation. Establishment of the GPTs received endorsement from the WSP Council. Their focus during FY06 will be to implement their work programs and strengthen operations as virtual teams, select external experts to guide their work, and strengthen engagement with networks within the World Bank and other partners. Key activities for the GPT work plans during FY06 include: (a) enhancing WSP’s capacity to support strategic communications initiatives of sector institutions; (b) developing and implementing a strategy of communication and dissemination of sanitation and hygiene knowledge resources, (c) ensuring the use of improved technology.
public financial management practices and developing tools to increase effectiveness in the use of financial resources (both domestic and aid resources); (d) focusing on the modalities of linking small private providers to utilities; and (e) synthesizing best practice lessons on O&M and management models in RWSS.

7. **Human Resources**

At the end of FY05, WSP had about 70 staff most of who are based in the regions. Over the past year, key staff members who have departed and new ones that have been hired are listed below:

1. Program Manager Walter Stotmann retired, replaced by Ede Ijjasz
2. Rural Team Leader in WSP-HQ Param Iyer joined the World Bank, replaced by Wambui Gichuri
3. South Asia Regional Team Leader Junaid Ahmad joined the World Bank, replaced by Catherine Revels
4. India Country Team Leader Vivek Srivastava joined the World Bank, replaced by Deepak Sanan
5. Katherine Tulenko joined WSP-HQ as a Public Health Specialist
6. Toni Sittoni joined WSP-Africa as a Communications Officer
7. Hesbon Odhiambo joined WSP-Africa as Finance and Budget Officer.
## Annex I: Results of the Water and Sanitation Program in Focus Countries in FY2005

<table>
<thead>
<tr>
<th>Main Activities in 2005 Work Program, including Multi-year Projects</th>
<th>Results/Achievements</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>AFRICA</strong></td>
<td></td>
</tr>
<tr>
<td><strong>Benin</strong></td>
<td></td>
</tr>
<tr>
<td>Identifying a three-year demonstration project for Rural Water Supply and Sanitation and management of small town WSS facilities</td>
<td>• The project was developed and agreed by the Government of Benin (GoB) and main partners as high priority. The project will be jointly funded by AFD, DANIDA, KFW/GTZ, Holland and the EU Water Facility with implementation support from WSP.</td>
</tr>
<tr>
<td>Supporting finalization of the Benin RWSS strategy</td>
<td>• The strategy was completed and endorsed by the GoB and other key stakeholders in Oct 04. WSP is now supporting the GoB to develop a communication plan to discuss and disseminate the RWSS strategy to local authorities.</td>
</tr>
<tr>
<td>Completion of a WSS MDG roadmap for Benin and developing a new urban water supply strategy</td>
<td>• WSS MDG roadmap has been completed and will strengthen implementation and focus to meet the MDG targets. The roadmap will be presented to the GoB, partners and stakeholders in a workshop planned for Sep/Oct 2005. For urban water supply, a steering committee that includes WSP and KFW has been set up. KFW will finance a consultant to prepare the strategy with WSP support.</td>
</tr>
<tr>
<td><strong>Burkina Faso</strong></td>
<td></td>
</tr>
<tr>
<td>Development of an MDG plan and translating it into decentralized action plans</td>
<td>• A WSS MDG roadmap was completed and a national coordination committee for the sector created. Burkina is also among countries selected by WSP-Africa for regional WSS MDG studies financed by the government of France</td>
</tr>
<tr>
<td>Implementing pro-poor strategy and program for towns through local government initiatives</td>
<td>• Program preparation was completed and WSP helped to leverage resources for implementation of CDD projects. Project is jointly funded by the Government of Burkina Faso, UNDP and Luxembourg. Availability of the additional funds will facilitate development of an M&amp;E system to monitor impacts of the projects on living and environmental conditions as well as communications training to be provided to municipal and project staff.</td>
</tr>
<tr>
<td><strong>Ethiopia</strong></td>
<td></td>
</tr>
<tr>
<td>Development of an Action Plan for mainstreaming and implementing hygiene and sanitation</td>
<td>• Joint WSP/World Bank and USAID mission to assess the status of sanitation and scope out potential participation of USAID</td>
</tr>
<tr>
<td>Helping Ethiopia achieve international goals on WSS</td>
<td>• WSP signed Strategic Co-operation Alliance with the ministries of Water Resources and of Health to support efforts towards achievement of sector goals, provide advocacy in promoting WSS reforms, mobilize financial and technical support and support implementation</td>
</tr>
<tr>
<td>Support to IDA-funded WSS Program</td>
<td>• As part of a coordinated plan to advance Ethiopia's water sector development program, WSP worked with the Ministry of Water resources, Health and with the Bank, to develop a national sanitation and hygiene strategy which has been endorsed. A National Sanitation Protocol is being finalized to facilitate implementation of the strategy. WSP has strengthened engagement in Ethiopia especially in sanitation by assigning a Sanitation Specialist to work closely with the two ministries.</td>
</tr>
<tr>
<td><strong>Kenya</strong></td>
<td></td>
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</tr>
<tr>
<td>Supporting the WSS reform processes/activities through strategic communications</td>
<td>• Supported preparation of an overall reforms communications strategy to: (i) organize public consultations and build consensus and support for the reforms; (ii) prepare communications strategies for newly formed institutions as well as for the IDA funded Nairobi Water and Sewerage Institutional Restructuring Project.</td>
</tr>
<tr>
<td>Developing a model for sustainable WSS provision in low income settlements in urban areas</td>
<td>• A Concept Note was prepared and agreed with the Ministry of Water and Irrigation. Terms of Reference for engaging consultants to develop the model have also been finalized. The model is expected to feed into the design of follow-on capital investment programs in the large cities.</td>
</tr>
<tr>
<td>Preparation of the National Environmental Health and Sanitation Policy</td>
<td>• Draft National Environmental Health and Sanitation Policy completed and national stakeholders workshop held. Cabinet Memorandum was drafted and the Bill is expected to be presented to Parliament during FY2006</td>
</tr>
<tr>
<td><strong>Mozambique</strong></td>
<td></td>
</tr>
<tr>
<td>Strengthening and expanding WSP presence and support</td>
<td>• Signed a strategic alliance with the National Directorate of Water to define and consolidate a collaborative framework with the GoM</td>
</tr>
<tr>
<td>Supporting GoM’s efforts to achieve the MDGs</td>
<td>• Supported the establishment of the Strategic Centre for Water (CEDESA). CEDESA is a think-tank and focal point for the MDGs, to among other things, advise the Directorate and other sector institutions on sector policy and long-term planning, increased efficiency and effectiveness of financial resource mobilization</td>
</tr>
<tr>
<td>Strengthening networking and communications</td>
<td>• Supported development of CEDESA’s business plan focusing on strengthening decentralized implementation units at provincial level</td>
</tr>
<tr>
<td><strong>Senegal</strong></td>
<td></td>
</tr>
<tr>
<td>Development of a RWSS MDG Strategy and road map</td>
<td>• Completed, discussed and endorsed by the Government and key stakeholders.</td>
</tr>
<tr>
<td></td>
<td>• Other on-going activities that will strengthen implementation of sustainable RWSS are studies on resources flows and investment plan and low cost technologies and maintenance systems.</td>
</tr>
<tr>
<td>Consolidating the partnership between WSP and the Government of Senegal</td>
<td>• A Strategic Alliance was signed between WSP and the government to strengthen collaboration and consolidate WSP’s support to the on-going efforts to reach the MDGs</td>
</tr>
<tr>
<td><strong>Uganda</strong></td>
<td></td>
</tr>
<tr>
<td>Strengthening development of sanitation and hygiene</td>
<td>• WSP was instrumental in entrenching sanitation and hygiene in key policy documents - the 2004-2008 Poverty Eradication Action Plan and the 2005-2010 Health Sector Strategic Plan. The draft Environmental Health Policy was also completed.</td>
</tr>
<tr>
<td></td>
<td>• WSP embarked on supporting the government to develop a 10-year sanitation and hygiene strategy and mid-term operation plan to meet the PEAP and MDG targets.</td>
</tr>
</tbody>
</table>
| Capacity building for improved environmental health and hygiene | - WSP was actively involved in the SWAP and technical working groups to make the case for sanitation and hygiene and is in the process of finalizing advocacy tools to promote scaling up and implementation.  
- Completed the second year of support to the Environmental Health Department in the Ministry of Health which included; (a) development of strategies for leveraging resources and improving planning and budgeting for sanitation and hygiene, and (b) enhancement of the Management Information System (MIS) to inform the design of the environmental health database. |
<table>
<thead>
<tr>
<th>EAST ASIA/PACIFIC</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Indonesia</strong></td>
</tr>
<tr>
<td>Developing and mobilizing funds for a Bank-WSP-Gol program on water and sanitation</td>
</tr>
<tr>
<td>Promoting the Roll-out of the GOI policy on Community based Water Supply and Sanitation</td>
</tr>
<tr>
<td>Support to implementation of large scale Water Supply and Sanitation investment projects</td>
</tr>
<tr>
<td><strong>Lao PDR</strong></td>
</tr>
<tr>
<td>Supporting development of alternative WSS management models</td>
</tr>
<tr>
<td>Making the case for and integrating WSS into the Country Assistance Strategy and the Poverty Reduction Support Credit</td>
</tr>
<tr>
<td><strong>Philippines</strong></td>
</tr>
<tr>
<td>Promotion of improved performance and benchmarking of small utilities</td>
</tr>
<tr>
<td>Implementing best practice in large scale water supply and environmental projects</td>
</tr>
<tr>
<td>Supporting GoP in developing WSS sector programs</td>
</tr>
<tr>
<td><strong>Vietnam</strong></td>
</tr>
<tr>
<td>Supporting Bank funded large scale RWSS project</td>
</tr>
<tr>
<td>Support to small towns WSS</td>
</tr>
</tbody>
</table>
### Regional joint learning and action research
- Started a joint learning and action research program (Sanitation and Water Partnership for the Mekong Region) between Cambodia, Lao PDR, Vietnam and Yunnan and Guangxi provinces of China, to be led by these countries with joint support of WSP, Stockholm Environment Institute and International Development Enterprises. Provisional partnerships have been formed and now actively pursuing funding.

### LATIN AMERICA/ CARIBBEAN

#### Honduras and Nicaragua

**Institutional strengthening for WSS sector reforms in Honduras and Nicaragua**
- Supported preparation of medium and long-term sector strategies and WSS plans for possible major investments by bilateral and multilateral agencies including the Bank and IDB.
- Shared experiences and learning on Sector Wide Approaches to Planning (SWAP) with a broad range of partners and strengthened sector coordination both of which are key elements for successful transition to SWAP.
- Developed and provided tools to CONAPAS to facilitate discussions on SWAP for RWSS to be implemented by the Social Investment Fund.
- Findings and recommendations of the WSP-supported Post-project sustainability study influenced the design of the Rural Infrastructure Project in Honduras.

**Promotion and adoption of management tools for RWSS systems in Honduras**
- A RWSS Toolkit was developed and disseminated and is in the process of being implemented by FHIS and field projects implemented by NGOs.
- Guidelines entitled "Blue Gold" related to, among others: (a) demand responsive approach and the RWSS project cycle; (b) planning, regulation and provision of RWSS services according to the Honduras water and sanitation law, and (c) appropriate technology, hygiene education in RWSS were developed based on the Bank's multi-sectoral toolkit and IRC/WHO. The Guidelines were used by members of Red Agua y Saneamiento Honduras and the government in the design of the Rural Infrastructure Project.

**Promotion of Condominial Technology in Honduras**
- Succeeded in raising the demand for condominial technology among central and local government agencies as well as municipalities.
- Condominial Technology increasingly accepted and adopted by the main implementation agencies from Honduras, e.g., the SIF and FHIS. This was under way for other sector agencies and local governments.
- The SIF expressed interest in launching a pilot condominial project.
- FHIS included training courses in condominial in its training program to be funded by KFW.

#### Peru

**Institutional strengthening and networking for WSS development**
- WSP supported the executive agency for sector reform to modify national regulations of the WSS law and prepared guidelines for design of sustainable services, including condominial technology.
- Government of Peru adopted and disseminated a new regulation of the sanitation law that incorporated key aspects related to rural areas and small towns.
- Experiences in human resources training of technicians and...
sector professionals were validated and disseminated in PROPILAS in Cajamarca and SANBASUR in Cusco in coordination with a local university.

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<thead>
<tr>
<th>Strengthening local capacity for WSS service provision in small towns</th>
<th>• Support to development of management models in which operators were hired for service provision in 10 small towns</th>
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<tbody>
<tr>
<td>Technical assistance to improve access to sustainable services in peri-urban areas</td>
<td>• Focus was on Lima and Piura to support implementation of SEDAPAL’s project in coordination with Bank operations.</td>
</tr>
<tr>
<td>Public-private partnership to promote hand washing</td>
<td>• Project enjoyed sound financial base and implementation was on-going by PRISMA (Proyecto de Informática, Salud, Medicina y Agricultura), a Peruvian NGO, with WSP support.</td>
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### SOUTH ASIA

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<th><strong>Bangladesh</strong></th>
<th><strong>Implementing urban WSS reforms</strong></th>
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| Support to arsenic mitigation responses | - WSP continued to support arsenic mitigation measures with a focus on institutional issues. Working with the Bank, WSP supported design of the Bangladesh Arsenic Mitigation Project, an innovative project aimed at supporting NGOs/Sponsors to work with local governments and communities in the design, construction and operation of water supply systems in rural areas.  
- Published and disseminated a manual on Operational Responses to Arsenic.  
- Supported sector coordination as well as development of the Bank's country water resources assistance strategy and the PRSP. |

| **Supporting efforts to increase access to rural sanitation** | **Introducing improved accountability through benchmarking; this is to be followed up with capacity building for implementation with several utilities in 2006.**  
- Supported solid waste management through hospital waste management pilot project which introduced public-private-community partnerships in Sylhet and Dhaka. |

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<th><strong>India</strong></th>
<th><strong>WSS reforms focusing on reaching the poor</strong></th>
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<tr>
<td>- Assisted the GOI and states to design approaches to more effectively target resources to benefit the poor by highlighting low service levels, inequities and pervasive incentives in the current financing schemes and providing guidance on how these can be remedied.</td>
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| **Assisting implementation of Urban WSS reforms** | **Advocated for 24/7 water supply by demonstrating the health risks and higher costs associated with intermittent supply (especially on the poor), leading to a broad consensus that institutional reforms can enable achievement of continuous water supply.**  
- Supported the design of the Delhi and Bangalore projects and ensured that due attention was given to the poor and to communications for reform.  
- Supported the benchmarking initiative which raised awareness on the current poor levels of service and low efficiency and is building capacity for improved accountability. |

| **Assisting implementation of RWSS reforms** | **Supported GOI's initiative to incentivize states to adopt programs for sector transformation so that funds can be channeled effectively to local governments. This support has generated approaches, guidelines and manuals for dissemination across the region.** |

| **Incorporating innovative sanitation approaches into sector strategies and moving to scale** | **Drew on the experience of pilot projects to mount a successful campaign to have Total Sanitation incorporated into state strategies as well as national incentive financing schemes. Four states (comprising about 30% of the country's population) are well on their way to implementing total sanitation at scale.** |

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<tr>
<th><strong>Pakistan</strong></th>
<th><strong>Support to the decentralization (devolution) process</strong></th>
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<tr>
<td>- WSP provided support at national, provincial and local levels, helping to address transition issues and capacity constraints</td>
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including development of (a) manuals for local government planning and development; and (b) capacity for multi-year integrated development planning for WSS

- Assisted the Bank and DFID with design of projects including rural community infrastructure projects

| Assisting in the restructuring of WSS services provision in large cities | • Introduced improved efficiency and financial performance by showcasing experiences and exposure visits to reformed utilities from other parts of the world. Karachi subsequently requested WSP assistance to design institutional reforms to improve accountability and service delivery |
| Sharing best practice lessons and providing implementation support for sanitation | • WSP provided support through the Southern Punjab community low-cost sanitation project. WSP highlighted experiences from India and Bangladesh where Total Sanitation has achieved significant outcomes. A number of NGOs are now piloting this approach in Pakistan. |
Annex II – WSP Project Sheets
End-of-Year Review Fiscal Year 2005

FY05 End-Year Review: Africa ................................................................. 17
FY05 End-Year Review: East Asia/Pacific .............................................. 45
FY05 End-Year Review: Latin America/Caribbean .............................. 63
FY05 End-Year Review: South Asia ................................................... 77
FY05 End-Year Review: Global .......................................................... 98
FY05 End-Year Review: Africa

EWDAF/BEN/2: Support RWS Strategy and Implementation in Benin
Task Manager: Madio Fall

Overall project status:
The project is on time according to the schedule revised in the FY05 mid-year review. In FY05 WSP has supported GoB:
- Identifying a 3-year demonstration project for RWS and ST facilities management. This project is the result of the report on the assessment of RWS facilities funded by DANIDA (FY04)
- Supporting finalization of the Benin RWS strategy. The strategy was endorsed by GoB and other key stakeholders during a workshop held in Oct. 04 with support from WSP-AF.
- Supporting GoB develop communication action plan to explain strategy to local authorities.
- Developing a new UWS strategy. WSP-AF involvement, together with KFW and SONEB, was in response to a request for support by GoB.
- Completion of a WSS MDG roadmap for Benin, which is ready and will be presented to GoB, Partners and stakeholders for validation during a workshop (9/05 or 10/05).

WSP is establishing permanent presence in Benin to strengthen its presence and support.

Progress towards the outcome (as measured by the indicators) that were indicated in the approved FY05 Business Plan, and in some cases revised at FY05 MYR:
1. RWS MDG roadmap adopted and reflected in Benin’s PRSP – Jun 05: on time. The WSS MDG roadmap is ready and will be presented to GoB, Partners and stakeholders for validation during a workshop (9 or 10/05).
2. The MDG roadmap is endorsed by all stakeholders through an extensive communication campaign – Jun 05: on time: A draft of communication action plan is produced and submitted to the Directorate of Hydraulic for comment.
3. Supply chains recommendation adopted by the different RWSS programs in Benin – Jun 06: on time: Study completed and project concept document to test the findings of the study produced and approved by GoB. The project will be implemented during FY06 with the support of main partners (AFD, KFW/GTZ, DANIDA and Holland Cooperation) through the Water Initiative.

Progress towards milestones that were indicated in the FY05 approved business plan and in some cases revised at FY05 MYR:
1. Strategy adopted by Benin Government – Sep 04: completed. The RWS strategy document was produced and endorsed by main stakeholders during a national workshop held 10/04, and facilitated by WSP consultant. The strategy provides a common basis for action to all stakeholders. During a mission (9/04) GoB requested WSP’s support to development of a new urban water strategy following the restructuring of the water utility by the GoB and other partners to be involved with the water utility in building an urban water strategy.
2. RWS supply chain study underway – Jan 05: completed. A draft pilot project to test innovative community based supply chain maintenance was produced and approved by the GoB on January 2005. The main donors for the sector (DANIDA, AFD, GTZ, and DANIDA) expressed interest and are willing to support the project.
3. RWS MDG plan implemented in at least 20% of rural communities – Jun 05: completed. The study is completed and planned to be endorsed before October 2005. About 1,200 boreholes were drilled during 2005 for 300K additional people. This achievement is very close to the objective of 1,350 new water points per year planned to meet the MDG with a coverage rate of 67% by 2015.
### Progress on activities and outputs that were promised to be completed in FY05 and for which the Task Manager is accountable:

1. **Support to the implementation of the RWS strategy:**
   - Implementation procedures defined in the decentralization context: BTOs and report - Apr 05: on time
   - Study on flow of funds for the RWS sector from communities & private sector: BTOs and report - Apr 05: dropped due to lack of interest from client. The finance team expected to document and disseminate during FY06 the Benin M&E system developed recently.

2. **Supply chains activities:**
   - Test of business approach for maintenance system: project document - Feb 05: on time. The project document is produced and approved by GoB.
   - Introduction on new technology for hand pumps: documented and study tour organized - Feb 05: Dropped because of no interest from client to visit the new technology working and already known in Ghana. Better to drop it and focus on client demand.
   - Project document on household solutions - Feb 05: Activity dropped because of lack of interest from client.

3. **Development of RWS communication strategy:**
   - Advocate strategy for adoption by Gov. - Feb 05: on time: WSP consultant initiated the advocacy process.
   - Determine DH's communication requirement, carry out sector leader mission: communication plan - Feb 05: Underway. Draft of communication action plan is produced and submitted to DH for comment. This action will continue during FY 06.

### Implementation plan to correct the situation for delayed activities/outputs promised for FY05 for those that have been delayed:

No delayed activities. But some activities started in FY05 continued in FY06 (as above).

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**EWDAF/BUR/39:** Implement Pro-Poor Strategy in Towns through Local Government Initiative

**Task Manager:** Ousseynou Diop

**Overall project status:** on time

WSP has helped leverage additional funds for investments. 4 micro-projects funded by the government of Luxembourg for a budget of $14K have been completed. UNDP has re-allocated $45K from the project budget to support the implementation of 9 micro-projects, which have been completed. GoBF has allocated $500K from its HIPC budget for the realization of 53 micro-projects, which are in the implementation stage.

**Progress towards the outcome (as measured by the indicators) that were indicated in the approved FY05 Business Plan, and in some cases revised at FY05 MYR:**

1. Community members contribute at least 10% of infrastructure costs - Dec 05 - on time - for the 13 micro-projects completed and 53 micro-projects under implementation, the communities have contributed 10% to the investment costs.
2. 70% of eligible communities will have at least one infrastructure micro project - Dec 05 - on time - the completion of the 53 micro-projects in addition to the 13 micro-projects finalized will represent over 80% of the micro-projects submitted to the coordination unit.
3. GoBF sets national targets for the WSS MDGs - Dec 05 - The completed roadmap includes a process of setting the national targets for WSS MDGs - on time
4. At least three municipalities not included in the project replicate the approach to serve the poor - Jun 06: on time - the government of Burkina Faso is setting a national decentralization fund to support the scaling up of the project pro-poor strategy and approach.
5. At least four municipalities have developed a financial plan and increased resources to serve poor community - Dec 07: on time - all the six participating municipalities have contributed 10% to the investment costs of the micro-projects completed and under implementation.

**Progress towards milestones that were indicated in the FY05 approved business plan and in some cases revised at FY05 MYR:**

Project coordination units are in place, the work program completed and endorsed by all stakeholders, and all the activities are under implementation according to schedule.

1. National and local coordination units set - Jun 04: completed (see MYR)
2. Field mission to finalize project concept document, work program and launch project - Sep 04: completed (see MYR).
3. Develop operational manual for pro-poor environmental sanitation strategy and programs - Mar 05: Completed
An operational manual was developed and is being used by all municipalities and project staff members to help poor communities identify their needs, prioritize their demands, and develop micro-projects to improve their living and environmental conditions. 4 participating municipalities have completed their local development plan, which guides the design and implementation of the micro-projects. 13 micro-projects have been completed. 53 micro-projects have been validated and under implementation with additional resources leveraged from government’s HIPC budget. In accordance with terms of operational manual, municipalities & communities contribute respectively up to 10% to the investment cost of the micro-project.

4. *Training of municipal staff and SSIPs and preparation of municipal WSS MDG action plans – Jun 05: Completed*

- WSP organized a 1-day retreat to train project staff members on work program development, communication, project supervision and monitoring. A 2-day workshop was also organized to discuss project progress and prospect, and revise work program. All municipal and project staff members received training on project management. Representatives of community associations and small scale services providers benefited from training on community-based project development, and infrastructures and services O&M. The annual tri-partite meeting among GoBF, UNDP, and WSP-AF took place and approved the project strategy, operational manual (methodology), work program, and fundraising plan to leverage additional resources for investments.

**Progress on activities and outputs that were promised to be completed in FY05 and for which the Task Manager is accountable:**

1. **Develop manual and guidelines for CDD**
   - CDD manual and guidelines for environmental sanitation improvement adopted by local authorities and participating municipalities – Mar 05: Operational manual completed

2. **Training of municipal staff and small independent operators**
   - One training workshop on environmental sanitation conducted in each of the six municipalities – Jun 05:
     Training completed for municipal and project staff members, community representatives and small operators.

3. **Develop Municipal WSS Action Plan**
   - Municipal Action Plan developed for at least 3 localities and validated in 3 stakeholder workshops – Jun 05:
     4 municipal action plans developed. 2 additional plans under preparation.

4. **Support CDD operations implementation**

**Implementation plan to correct the situation for delayed activities/outputs promised for FY05 for those that have been delayed:** No delayed activities. As the project has secured additional funds for micro-projects realization, it was decided to develop a M&E system to monitor the impacts of the project on living and environmental conditions improvement in order to draw lessons from the CDD approach. A computerized database for M&E will be available for all municipal and project staff members to monitor the realization of the micro-projects and their impacts on poverty reduction. As the project is community-based and participatory, a HIV-AIDS component will be mainstreamed into all micro-project implementation. A MOU will be signed with the national HIV-AIDS council. WSP-AF will also provide a training on communication for all municipal and project staff members. An external evaluation will be undertaken in the second half of the year 2005 to assess the impacts of the project and develop a strategy to institutionalize the community-based approach in the decentralization policy of the Burkina Faso.

**EWDAF/DRC/S6: Supporting Sector Reform and Coordination**

**Task Manager:** Madio Fall

**Overall project status:** The project is on time and all activities plan for FY05 are done. The DRC and partners was very interested and supportive for WSP’s work. The project was focus on three areas: (i) institutional reform and sector policies, (ii) strategy for supporting local initiatives, and (iii) sector coordination. WSP-AF and other development partners (including AFD, EU, Belgium, and KFW) established a Water Thematic Work Group. To strengthen coordination, WSP-AF deployed a sector specialist/planner attached to the WB office in Kinshasa to carry review of sector investments, coordinate and liaise with the Bank, SGTSEAU, key donors, NGOs and other stakeholders. WSP-AF supported AFD’s proposal for a review of REGIDESO’s (the para-statal utility in charge of urban water services) operations and financial performance in Kinshasa. WSP-AF has prepared a draft TOR for a sector diagnostic for a global reform. During the period, WSP-AF conducted a study to identify a pilot project to support locally-managed water supply in Kasai, South Kivu and peri-urban Kinshasa. Two proposals have since been submitted by the Ministry of Energy to Belgium Cooperation ($7m) and to DFID and the EU Water Facility ($3m)
Progress towards the outcome (as measured by the indicators) that were indicated in the approved FY05 Business Plan, and in some cases revised at FY05 MYR:

1. Plan of action for urgent improvement in REGIDESO defined and used as a basis for coordinated donor support - Dec 05: on time. WSP is supporting an AFD proposal for technical and commercial management by a private sector of Kinshasa (REGIDESO).

2. TORs for comprehensive diagnostic of REGIDESO agreed and study launched - May 06: on time. WSP has developed a draft TOR to circulate for comment and approval to AFTU2, DRC and partners involved in the sector reform (AFD, Belgium).

3. Pilot program for support of community based WS systems identified and accepted as basis for funding from Belgium - Dec 05: on time. A study is conducted by WSP and pilot program (for an amount of USD 10 millions) identified as a finding of the study. WSP has supported the GODRC to prepare and send a request to the Belgium cooperation for USD 7 millions. The Gap (USD 3 millions) is expected from DFID or the EU-WF. WSP will help for advocacy and request preparation.

4. MDG roadmap process engaged and coordination mechanisms established - Jun 06: on time. WSP has started discussion with DRC and partners on the process. DFID express his interest to provide Funds. WSP is developing TOR to submit to DFID.

Progress towards milestones that were indicated in the FY05 approved business plan and in some cases revised at FY05 MYR:

1. Identification mission completed and report delivered - June 05: completed. Final report produced after taking consideration comments from 4 regional workshops and a national workshop for validation. The project concerns 4 regions and Kinshasa Peri-urban for around $10m. A formal request of $7m is prepared by DRC with support of WSP- AF and submitted to Belgium for funding Kassai Occidentale, Maniema, Su Kivu, and Kinshasa. The gap of the needed fund is expected from the EU-WF or DFID.

2. Lessons from DRC experience with locally managed WS systems documented and disseminated - Dec 05: on time. TORs are approved by DRC, consultants' selection is underway. Action in FY06.

3. TORs for REGIDESO diagnostic prepared - Dec 05: on time, to continue in FY06. Draft of TOR is prepared to be submitted to DRC, AFTU2 and partners.

4. Stakeholders workshop on sector strategy and MDG roadmap process held - Dec 05: on time.
Progress on activities and outputs that were promised to be completed in FY05 and for which the Task Manager is accountable.

Compilation of a data base of sector programs/projects
Deployment of a WSP Program Coordinator attached to WB country office

1. Support to reform of urban WS
   - WSP advice for the preparation of the action plan for the immediate improvement of REGIDESO operational and financial performance as a prelude to structural reform: on time WSP participated to finalize TOR proposed by AFD for REGIDESO partial reform (commercial and technical management for Kinshasa)
   - WSP contribution to the TORs for the more complete institutional and financial diagnostic on which reform options will be developed: on time Draft TOR for complete diagnostic of REGIDESO are prepared by WSP to be submit to REGIDESO, AFTU2 and other partners
   - Study tours and regional courses for DRC decision makers: delayed - depends on the level of commitment of REGIDESO and DRC.

2. Support to program and strategy for the development of community managed WS systems
   - Identification of pilot program supported by DGDC and possibly DFID and EU (through the EU WF). Done.
     A study is conducted through 4 regions and Kinshasa Peri-urban to identify a pilot project for ST water supply. The final report produced for $10m is approved. Formal request is prepared by DRC with the support of WSP and submitted to Belgium for $7m. Another $3m are expected from EU-WF or DFID
   - Field practice review of DRC experience with locally managed WS systems: on time - TOR developed and approved. Consultant’s selection is ongoing.

3. Support to sector coordination and to the development of sub-sectors programs for the WSS/MDGs
   - Compilation of a data base of sector programs/projects: on time TORS are developed and approved. A WSP Country Sector Advisor is recruited and will work on as his priority.
   - Deployment of a WSP Program Coordinator attached to WB country office. On time A senior Water and Sanitation Specialist is recruited and in place since 6/05

Implementation plan to correct the situation for delayed activities/outputs promised for FY05 for those that have been delayed:
Only the reform of urban WS is delayed and is not under our control. WSP will continue to support the ministry of energy who has in charge the water sector to accelerate the process.

EWDAF/E/TH/41: Preparation of National Sanitation Strategy and Protocol
Task Manager: Belete Muluneh

Overall project status: Overall the project is well on track and just minor activities like the formal establishment of the sanitation coordination bodies will slip into FY06. Through this project WSP-AF (mainly through the consultative process of developing a national sanitation strategy) has developed an important national consensus on the way forward for sanitation and hygiene promotion which turns out to be instrumental in achieve far more harmonized support and interventions of various support agencies (World Bank, Unicef, African Development Bank)

Progress towards the outcome (as measured by the indicators) that were indicated in the approved FY05 Business Plan, and in some cases revised at FY05 MYR:
1. National sanitation coordination body in place and functioning effectively - Jun 05: Underway but delayed, The delay is mainly caused by the fact that the client feels that the consultation process for the development for the national sanitation protocol should be used to get additional input and commitment by the various stakeholders for sub sector coordination before the coordination body are officially established. So far frequent but more informal coordination and information meetings were held with a similar group of stakeholders which will become members of the yet to be established National sanitation coordination body.
2. National Sanitation Strategy prepared and adopted by the Government - Jan 06: Underway and on time, Selected Regions (e.g. Amhara) are already referring to and adopting key principles of the strategy in their annual sanitation and hygiene promotion plans
3. Increased sanitation coverage & improved hygienic behavior in the regions - Jun 06: Underway and on time, There is strong evidence that the application and adoption of the key principle of the sanitation strategy leads to increased sanitation coverage - especially in Southern and Amhara Regions

Progress towards milestones that were indicated in the FY05 approved business plan and in some cases revised at FY05 MYR:
1. Draft sanitation strategy finalized and discussed at a national workshop - Nov 04: Completed at MYR.
2. Sanitation strategy endorsed by government and mainstreamed - Feb 05: Completed. Investment programs like
the IDA funded WSS program as well as the upcoming UNICEF WSS program are in the course of translating the key guiding principles of the strategy into implementation reality.

3. **Regional states start implement strategy and work out plans and procedures to achieve the MDGs for sanitation and hygiene promotion – Mar 05:** Completed. Regional Health & Water bureaus have actively participated in the development of the National Sanitation Strategy and are already using the strategy as a guiding document for their training programs and for implementing a “minimum sanitation and hygiene package as part of the IDA funded RWSS projects. Additionally a planning process has started to translate the strategy principles also into the increased Unicef support programs for WSS.

4. **Work on national sanitation protocol started – Apr 05, first draft protocol – Jun 05:** Underway and on time TOR and an inception report for the work on the national sanitation protocol were finalized before the end of FY05

### Progress on activities and outputs that were promised to be completed in FY05 and for which the Task Manager is accountable:

1. **Development of national sanitation strategy & review workshops - Government endorsed national sanitation strategy – Feb 05:** Completed. Draft National Sanitation Strategy prepared and reviewed and adopted at a national sanitation workshop, Dec. 7-8, 2004, English strategy documents received no objection from the Minister and is now translated to Amharic for final adoption

2. **Support to the national & lower level coordination bodies - Coordination bodies established and functioning effectively – Jun 05:** Delayed. TOR for coordination national sanitation coordination forum was developed and regular but more informal meetings of the key stakeholders on sanitation were held. However the client feels that the consultation process for the development for the national sanitation protocol (refer to activity mentioned below) should be used to get additional input and commitment by the various stakeholders for sub sector coordination before the coordination body are officially established – new completion date March 06

3. **National & regional capacity building - Capacity of two to three national & regional personnel enhanced through workshops/study tours – Jun 05:** Completed. Key clients both in MoH and MoWR were supported to get exposed to latest thinking in the sector during Water Week and the Global Wash Forum in Dakar. Through this exposure they were able to expand their professional contacts and networks and to critically assess their sector plans and strategies based on international experienced gained.


### Implementation plan to correct the situation for delayed activities/outputs promised for FY05 for those that have been delayed: Coordination bodies established and functioning effectively:

The TOR of the work on the national sanitation protocol foresee a renewed emphasis in consulting key stakeholders to define on how best sub-sector coordination at the various levels can be improved and institutionalized. A full chapter in the anticipated national sanitation will be devoted to coordination. In line with the time schedule for the development of the national sanitation protocol the new anticipated completion date will be March 06.

### EWDAF/ETH/61: Preparation of WSS MDG Roadmaps & Financing Strategy

**Task Manager:** Belete Muluneb

**Overall project status:** Delayed. The project, which was started at MYR FY05, was mainly characterized by a prolonged start up phase (longer than originally anticipated) and additional intensive consultations with the clients and partners to further clarify and define the niche for WSP support on the MDG roadmap process in Ethiopia. However these consultations will positively influence the implementation process in FY06 and ensure the harmonization of the WSP-AF support with other the support of other partners like the EU-Water Initiative.

### Progress towards the outcome (as measured by the indicators) that were indicated in the approved FY05 Business Plan, and in some cases revised at FY05 MYR

There are no anticipated measurable outcomes for this project in FY05. Despite the prolonged startup phase and some delayed progress in relation to the milestones mentioned below the chance is still very high that the project progress remains on track to achieve the final outcomes as anticipated.

1. **National and Regional Sanitation MDG action plans adopted – Dec 06,** with the start of the EUWI-Ethiopia MDG country dialogue process at the national level and the already finalized MDG sector assessment reports there is a high chance that the indicated outcomes will be realized in time.

2. **Budget and expenditure reports indicate public and donor funding channeled to priority areas under an agreed consistent framework – Jun 07,**

3. **Sanitation coverage trends indicate achievability of sanitation-related MDGs – Dec 07,**

4. **Regional and Woreda reports showing higher sanitation and hygiene-related activities and coverage – Dec 07,** at
Progress towards milestones that were indicated in the FY05 approved business plan and in some cases revised at FY05 MYR:

1. SCA signed with GoE – Apr 05: Completed. Strategic cooperation alliance documents were signed both with Ministry of Health and Water Resources in May 2005.
2. Regional consultation meetings held and roadmap idea adopted by the Regional states (4 larger Regions) – Jun 05: Delayed. This is mainly because of the lack of a national framework and clarity of an overall guiding process to develop roadmaps at regional level (refer to assumption 2 National MDG plans approved by June 05 and providing a useful framework for regional roadmaps).

Progress on activities and outputs that were promised to be completed in FY05 and for which the Task Manager is accountable:

1. Input and peer review to revision of SDPRP, National WSS MDG action plans and the Health Sector Development Program - Revised WSS chapters in key national development programs and written comments to GoE – Jun 05: Completed. Timely input was provided to the development of key government documents, namely the draft national WSS MDG action plan and the Health Sector development program. Only on national process like the SDPRP development is delayed because of the unclear political situation before and after the elections in Ethiopia.
2. Consultation meetings with Regions and agreements on tentative process for the roadmap development - Minutes of meeting in 4 big regions – Jun 05, other 5 regions – Dec 05: Delayed. Activity delayed because there a clear process at national level to guide and provide the consistent framework for regional roadmap development is just gradually emerging. Thus the activity completion date will slip to March 06 and June 06 respectively.
3. Develop generic ToR for sanitation MDGs, with input of all key partners, ToR for regional sanitation MDGs roadmap – May 05: Delayed for the same reasons as mentioned above.

Implementation plan to correct the situation for delayed activities/outputs promised for FY05 for those that have been delayed: The project will be restructured for FY06 to more specifically reflect support requested by the GoE in the SCA with the GoE and to better define WSP-AF’s complementary support role to the soon to be launched EU-Water Initiative country dialogue. This country dialogue aiming at the development of national MDG roadmaps will also define the necessary national framework as a starting point for WSP to support regional roadmap development. With this precondition in place the project is expected to produce its outcomes as planned.

EWDAF/MOZ/03: Supporting Decentralized Service Delivery

Task Manager: Joseph Narkevic

Overall project status: Project delayed moving into its 2nd year of 3. Hiring of WSP ETC to support these activities is expected to accelerate delivery of outputs. CEDESA slowly becoming functional, though manpower still extremely limited and offices still not operational. Project renamed as agreed with CEDESA, and one activity added – Assessment of provincial implementation capacity.

Progress towards the outcome (as measured by the indicators) that were indicated in the approved FY05 Business Plan, and in some cases revised at FY05 MYR:
1. At least three major GoM RWSS policy or operational changes put in place based upon findings from lessons learned work – Jun 06. On time, but to early to report progress.

Progress towards milestones that were indicated in the FY05 approved business plan and in some cases revised at FY05 MYR:

1. First annual workplan prepared between WSP and CEDESA – Dec 04: Completed. Workplan prepared on schedule as part of WSP planning exercise, resulting in addition of new activities to this project and MDG project.
2. First lessons learned activity completed – Jun 05: canceled by GoM, and replaced with the CARE project which started implementation in April 05.

Progress on activities and outputs that were promised to be completed in FY05 and for which the Task Manager is accountable:

1. Mozambique DRA experience evaluated: Delayed - activity rescheduled for delivery by Jun 06. This activity delayed by slippage in creation and operability of CEDESA.

3 Formerly “Documenting RWSS Lessons Learned"
2. Drilling cost profile established: Delayed - drilling assessment currently underway, with a scheduled end date of 9/05. 3 month delay due to lengthy procurement process.
3. Learning partnership with CARE: canceled - No activity planned in FY05. CARE not prioritizing this work due to project operational issues.
4. Capacity building for drilling entrepreneurs - No activity scheduled in FY05. Depends upon delivery of the drilling assessment currently underway.

**Implementation plan to correct the situation for delayed activities/outputs promised for FY05 for those that have been delayed:**
1. The TOR for the DRA evaluation will be approved by Dec 05, with work completed and disseminated by Jun 06.
2. The drilling assessment is currently underway, and to be completed by Sep 05.

**EWDAF/MOZ/54: Building Capacity to Reach the MDGs**
**Task Manager:** Joseph Narkevic

**Overall project status:** On time. Renamed, as agreed with CEDESA, and one activity added – “Performance monitoring system support” – at the request of GoM. Project may be extended by three years if additional funding obtained through proposal now under preparation.

**Progress towards the outcome (as measured by the indicators) that were indicated in the approved FY05 Business Plan, and in some cases revised at FY05 MYR:**

**Progress towards milestones that were indicated in the FY05 approved business plan and in some cases revised at FY05 MYR:**
1. MDG launch activity celebrated – Mar 05: On time. MDG launch activity held as rescheduled, in coordination with EU-AMCOW MDG working group. WSP has been instrumental in harmonizing their efforts with other on-going MDG initiatives. After a series of meetings by videoconference and a visit to Mozambique, agreement has been reached on roadmap ownership (DNA) and focal point (CEDESA), along with a proposed participatory way forward that is currently being designed by CEDESA. WSP’s new ETC hire engaged with CEDESA in June, and is concentrating on MDG planning activities
3. MDG Action Plan approved – Nov 05: On time. CEDESA has established a workplan for achieving this.

**Progress on activities and outputs that were promised to be completed in FY05 and for which the Task Manager is accountable:**
1. MDG working group formed – Mar 05: On time. Group being organized by CEDESA
2. Study tour to Uganda to participate in annual sector meeting – Mar 05: Delayed. Rescheduled for 9/05, initial dates conflicted with WSP annual staff retreat.
3. All other activities slightly delayed.

**Implementation plan to correct the situation for delayed activities/outputs promised for FY05 for those that have been delayed:** Uganda field visit being reprogrammed for Sep 05. No other activities/outputs scheduled for 05.

**EWDAF/MOZ/55: Networking and Communications**
**Task Manager:** Joseph Narkevic

**Overall project status:** Delayed. Lack of human resource capacity at WSP led to reprogramming of two initiatives as described below, however, the GAS Group activity continues to enjoy popularity among participants.

**Progress towards the outcome (as measured by the indicators) that were indicated in the approved FY05 Business Plan, and in some cases revised at FY05 MYR:**

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4 Formerly “MDG Roadmap”
1. Sector actors report a 25% increase in sector communications from a baseline taken in Sep 04 – Jun 06

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<tr>
<th>Progress towards milestones that were indicated in the FY05 approved business plan and in some cases revised at FY05 MYR:</th>
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<tbody>
<tr>
<td>1. First sector discussion series held – Nov 04: Sector discussion series milestone not met due to lack of implementation capacity within WSP over the period under review. CEDESA, however, has agreed to co-sponsor this initiative starting in Sept 05.</td>
</tr>
<tr>
<td>2. Database completed – Jun 05: Delayed until 12/05 when additional staff can be retained.</td>
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<tr>
<td>3. WSS working group meetings held as scheduled</td>
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<tr>
<th>Progress on activities and outputs that were promised to be completed in FY05 and for which the Task Manager is accountable:</th>
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<tbody>
<tr>
<td>1. Sector discussion series: Mar 05: delayed. Initiative is being reprogrammed for FY06, with activity being led by new WSP staff member sitting in CEDESA.</td>
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<tr>
<td>2. Sector actor database developed – Jun 05. (see above)</td>
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<tr>
<th>Implementation plan to correct the situation for delayed activities/outputs promised for FY05 for those that have been delayed:</th>
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<tbody>
<tr>
<td>Sector discussion series responsibility placed with new staff member. First event scheduled for Sep 05. Client database updating to be done when new program assistant is hired in FY06, scheduled to take place before Dec 05</td>
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<tr>
<th>EWDAF/SEN/47: Development of RWS Program.</th>
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<tbody>
<tr>
<td>Task Manager: Madlo Fall</td>
</tr>
<tr>
<td>Overall project status: On time. The main activity for WSP support in Senegal during FY05 is the completion of a WSS MDG roadmap satisfactory to GoS, donors, and other Senegalese stakeholders. Another important event is the signature of a SA between WSP-AF and GoS Jul 05. Now WSP-AF is better known and GoS asks for more WSP-AF support.</td>
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<tr>
<th>Progress towards the outcome (as measured by the indicators) that were indicated in the approved FY05 Business Plan, and in some cases revised at FY05 MYR: No outcomes are due for completion in FY05.</th>
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<tbody>
<tr>
<td>1. Adoption by GoS of RWS strategy and policy reform towards meeting the MDGs – Dec 05: done: A new WSS policy document has been developed.</td>
</tr>
<tr>
<td>2. Adoption by GoS of improved financial and technical management of the RWS sector – Dec 05: on time. The WSS MDG Roadmap includes strategy to improve sector finance is approved.</td>
</tr>
<tr>
<td>3. Commencement of implementation of RWS strategy and financial/technical management – Jan 06: on time. MDGs were submitted to donor and approved by them. Some of the donors (AFDB) are negotiating conventions with GoS</td>
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<tr>
<th>Progress towards milestones that were indicated in the FY05 approved business plan and in some cases revised at FY05 MYR:</th>
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<tbody>
<tr>
<td>1. Comprehensive MDG study underway – Mar 05: on time. The report for the MDG Strategy and Action Plan was completed (Dec 04) and endorsed by GoS and main stakeholders during the second national workshop (Jan 05) with WSP support. Senegalese WSS MDG roadmap was approved by donors during round table organized by GoS (4/05).</td>
</tr>
<tr>
<td>2. Study of financial flow of both water and sanitation sectors, and sector review – Jan 05: underway for RWS and dropped for Sanitation sector due to duplication (study undertaken by the coordination unit of the WB funded project - PLT). The duration of the study was extended due to need of more information.</td>
</tr>
<tr>
<td>3. Strategic alliance signed between WSP-AF and the GoS Jul 05: completed. Since signature of SA WSP-AF is recognized as a sector coordinator and requested to support MDG implementation.</td>
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<th>Progress on activities and outputs that were promised to be completed in FY05 and for which the Task Manager is accountable:</th>
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<tbody>
<tr>
<td>1. study of resource flows and financing system of the RWS sector:</td>
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<tr>
<td>- Resource flows study and MDG investment plan completed – Dec 04: on time for the MDGs. WSS MDG Strategy and investments plan for Senegal is available at <a href="http://www.plt.sn">www.plt.sn</a>. Note a delay for RWS resource flows study due to procurement arrangements between Senegal country team and Finance thematic group team. The study is still ongoing after extension due to need for more information.</td>
</tr>
<tr>
<td>2. study of RWS low cost-technologies and maintenance systems:</td>
</tr>
</tbody>
</table>
| - RWS low cost technologies & supply chains for maintenance – Mar 05: Delay. The Directorate who has in
charge the RWS facilities maintenance want lead the coordination with the other partners (AFD, Belgium, Japan and the EU) who are involved in this field for long years ago. The delay is out of our control despite the reminders from WSP-AF.

3. development of RWS policy, strategy, and programs for the MDGs including 2 national stakeholder workshops:
   - Workshop – Mar 05: on time; Policy review report – Apr 05: on time; RWS MDGs workshop – Oct 05: on time; RWS MDGs strategy and program completed – Dec 05: on time. Document available at www.plt.sn

Implementation plan to correct the situation for delayed activities/outputs promised for FY05 for those that have been delayed:
1. study of resource flows and financing system of the RWS sector: the delay on this activity is due to complementary information need by WSP in the report produced by the consultant. The contract was extended in agreement with the consultant and the final report is expected 9/05.
2. study of RWS low cost-technologies and maintenance systems: To complete this activity during FY06 we will continue to push the Directorate of Maintenance to call a coordination meeting with the other partners. For that, the TOR was sent to them for comment. Comments are received from AFD who agree that this study is necessary and propose to provide financial support.

Task Manager: Maimuna Nalubega

Overall project status: Project to be dropped
The FN was completed and is with the communications team, for production. It is proposed that this project be dropped and instead focus be put on emerging opportunities for client support focusing on sanitation MDG roadmapping. The Task Manager also spent time on other key activities that were not initially in the Business Plan, notably: preparations for the AMCOG General Council meeting in Uganda (Nov 04), dialogue with AMCOG and managing recruitment process for AMCOG WSS officer, increased sector dialogue activities (meetings and activities of the water sector working group and its subgroups – including chairing the Sector Investment Model subgroup), support to the WB country office (NUSAf, LVEMP projects), management of the follow-up to the PHAST study in Uganda including preparation of a PHAST FN, managing production of a sanitation documentary as part of the first ever sanitation week celebrations. In addition, the TM spent more time supporting the key Capacity Building Program (Project EWDAF/UGA/48).

Progress towards the outcome (as measured by the indicators) that were indicated in the approved FY05 Business Plan, and in some cases revised at FY05 MYR:
1. A model for strategic environmental sanitation improvement, incorporating an effective partnership between town councils and private operators, initiated in at least one town – Jun 05: Dropped. The initiation of a model for strategic environmental sanitation improvement, incorporating an effective partnership between town councils and private operators in at least one town by Jun 05 has not happened and is deemed unachievable under current conditions. Communication with the co-financing and co-implementing partner (WASTE) was not effective; meanwhile, other opportunities for client support towards achieving national sector targets emerged and were taken.
2. DWD produces 2 lessons/knowledge dissemination documents citing technical support of WSP-AF – Jun 06: Dropped as result of above indicator being dropped.
3. At least 2 citations of outputs from the findings of the small towns PSP model FN in practical working documents – Dec 06: Dropped as result of above indicator being dropped.

Progress towards milestones that were indicated in the FY05 approved business plan and in some cases revised at FY05 MYR:
1. Small towns PSP model FN published – Mar 05: Delayed. But all reviewers’ comments incorporated into FN and forwarded to Communications team for publishing
2. Launching of small towns sanitation model – Jun 05; and documentation of process – Dec 06: dropped
3. Framework for the documentation of WSS implementation experiences in Uganda ready – Dec 05: dropped
Progress on activities and outputs that were promised to be completed in FY05 and for which the Task Manager is accountable:

1. Design and initiate piloting of sanitation improvement model for ST – Dropped. Following a series of discussions with partners, the concept note was developed by WSP, outlining the approach, process and players/partners. Verbal comments were got from the lead beneficiary in Lugazi town, and the central government representative but no response came for any of the other partners, including the co-finance (WASTE).

2. Finalize FN on lessons from management of small towns using private operators– delayed. FN finalized and given to communications team in Nairobi 6/05. Final production and dissemination planned for FY06.

3. Support AFTU1 in documentation of Impact of 3 years of PRSC on RWSS: Supported consultant hired by AFTU1 from South Africa in consultations for the transformation of the report on the Impact of 3 years of PRSC on RWSS into a policy note. Note nearly done, scheduled to be shared at the JSR in September 2005.

Implementation plan to correct the situation for delayed activities/outputs promised for FY05 for those that have been delayed:

1. FN on lessons from management of small towns using private operators submitted to Communications team for production during FY06.

2. ST sanitation model design project to be dropped

Project EWDAF/UGA/48: Capacity-Building Support in Environmental Health and Sanitation
Activity 1: Consolidation and Dissemination of Best Practice in Sanitation and Hygiene
Task Manager: Maimuna Nalubega

Overall project status: On time
This project activity progressed satisfactorily and mostly according to schedule. There is an almost unprecedented drive to upscale the implementation of best practices, including the formation of DWSCs; there is also increased goodwill by district authorities to implement sanitation related undertakings. There is however a risk of under-funding for the district health and water sectors that may also undercut financing for sanitation activities. Also note that best practice documentation is still of limited scope due to the evolving nature of the local “best practices” – a more comprehensive report will therefore be produced in FY06. The activities of this project are complemented by EWDAF/UGA/60.

Progress towards the outcome (as measured by the indicators) that were indicated in the approved FY05 Business Plan, and in some cases revised at FY05 MYR:

1. Best practice documented, disseminated – Mar 05: Completed - Documentation of S&H best practice started, and further identification of best practices in districts still ongoing through a number of other WSP-AF supported activities (EWDAF/UGA/60). Best practice guidance notes prepared and shared with District Technical Support Units; Busia story shared in WSP-organized workshop in 9/04 and reported in 2004 Annual Health Sector report by WSP TA.

2. At least 4 “champion” districts within 4 TSU catchments use the identified best practices – Sep 05: On time and progressing - A number of districts (like Masaka, Mbarara, Kabarole, Busia, Mukono) have functional District Water and Sanitation Committees and develop action plans and budgets for hygiene and sanitation as per the WSP-influenced undertakings. As mentioned above, work on identification and dissemination of such good practices still ongoing.

3. Rolling out of sanitation best practices nationally is on course; and so far done via WSP facilitated national workshops mentioned in 1 above. EWDAF/UGA/60 is planned to complement this activity in FY06 and FY07.

Progress towards milestones that were indicated in the FY05 approved business plan and in some cases revised at FY05 MYR:

1. Best practice guidance workshop held – Feb 04: Completed at MYR.

2. Strategy for institutionalization and national roll out of best practice of sanitation and hygiene promotion – Dec 05: On time. Institutionalization and national roll-out of best practice of sanitation and hygiene promotion initiated at national level through successful lobbying for inclusion into 2004 Joint Sector review undertakings for both Water and Health sectors; Currently complemented by the ongoing development of the national sanitation and hygiene promotion – MDG roadmap (EWDAF/UGA/60).

3. No work is done yet on the preparation of revised sanitation guidelines; as this does not appear to be a priority at the moment. Activity will be shelved/ dropped unless identified w/ MDG roadmapping work under EWDAF/UGA/60.

Note: This Project is entirely covered through these two activity report sheets.
Progress on activities and outputs that were promised to be completed in FY05 and for which the Task Manager is accountable:

1. Documentation of best practices from past national projects and international experiences - Best practice report finalized - Jun 05: Completed, with follow up work. September workshop report including Busia and Nsumba stories to be supplemented with new findings from the ongoing strategy development work and synthesized as part of FY06 outputs. Advocacy booklet prepared and to be tested during FY06. Advocacy video prepared as part of the 2005 sanitation week approved by Ministry of Health and to be disseminated in FY06.

2. In collaboration with TSUs, develop a phased program of support to districts in needs assessment and strategic planning - Strategic plans completed in districts within 4 TSU areas - Jun 05: Completed. WSP- AF influenced incorporation of strategic plans and budgets into Health and Water sectors undertakings and guidelines; support for formation of district WSS coordination committees ongoing.

3. Support networking and sharing of lessons among project districts - Meeting reports - Jun 05: Ongoing. Activities of sanitation working group to which WSP is a key member (also offering Secretariat support). The September Workshop shared experiences and encouraged joint planning; also lobbying TSUs to use inter-district meetings.


Implementation plan to correct the situation for delayed activities/outputs promised for FY05 for those that have been delayed: No delayed activities.

EWDAF/UGA/48: Capacity-Building Support in Environmental Health and Sanitation
Activity 2: Development of Sanitation Policy, Coordination, MIS and EHD Capacity
Task Manager: Maimuna Nalubega

Overall project status: On time
This project “activity” is progressing well despite the challenges within the lead agency (EHD) namely insufficient capacity of the human resource in some cases, limited transport facilities, non-prioritization of sanitation related tasks, some degree of marginalization within the Ministry of Health and low-morale amongst staff. However, with the focus on districts (the implementation level), changes in the Health Sector Strategic Plan 2005-2010 that put sanitation amongst Cluster 4 on Health Promotion, Disease Prevention and Community Health Initiatives thus effectively raising it to a level beyond EHD; a new local ETC and a stronger and active sanitation working group; progress in sanitation improvement is achievable.

Progress towards the outcome (as measured by the indicators) that were indicated in the approved FY05 Business Plan, and in some cases revised at FY05 MYR:

1. Fully functional and coordinated environmental health MIS providing advocacy information e.g., for sanitation financing - Jun 06: on time. The environmental health MIS is under development, for the provision of advocacy information. Districts learnt about process during September 04 conference and tools to support implementation distributed; EHD staff supported to go to districts for operational supervision and report produced; workshop to review tool held in May 2005.

2. Sanitation budgeting and financing mechanisms implemented by key stakeholders leading to better sanitation financing at all levels - FY06: WSP (with the national sanitation working group) influenced government to set an undertaking requiring all local governments to plan and budget for sanitation and this was incorporated in sectoral guidelines to districts. Follow-up work to support achievement of this outcome is planned for FY 06 under Project EWDAF/UGA/60.

3. An environmental health policy and legislation reflecting priorities developed, adopted, and implemented by stakeholders - Dec 07: WSP supported EHD to finalize the policy and the document is now with MoH top management.

4. National coordination effectively supporting sector to promote sanitation - Dec 07: The national coordination body is functional, albeit with challenges including ensuring continued participation and engagement of EHD staff. Plans to strengthen it are in place including planned recruitment of WSP’s local ETC to enhance engagement both within EHD and wider MoH.
Progress towards milestones that were indicated in the FY05 approved business plan and in some cases revised at FY05 MYR:

1. **Inter-sectoral/inter-ministerial sanitation working group (coordinating body) established** – Dec 04: Completed at mid-year. National sanitation working group (coordinating body) functional and continues to be supported by WSP through strengthening of Secretariat. ToR in place; minutes now more available.

2. **Environmental health policy and act documents ready for the political process** – Mar 05: On time. MIS tools tested in districts. EHD staff supported to review progress in districts. Data generated to feed into MIS system development. Plans for future support in place.

3. **Innovative methodology for baseline data collection and analysis for national H&S introduced** – Aug 04; and MIS system launched – Jun 06: On time. Methodology for baseline data collection and analysis for national H&S introduced – Sept 04;

4. **EHD preparing regular operational plans and monitoring progress for its mandated activities** – Jun 05, Jun 06, Jun 07, Jun 08: On time. EHD preparing regular operational plans and monitoring progress for its mandated activities underway but slow – EHD assisted to review planning process during October meeting and November staff retreat. Planned to continue effort through the EH strategy development work.

Progress on activities and outputs that were promised to be completed in FY05 and for which the Task Manager is accountable:

1. **Institutional development for EHD** – Jun 05: Completed and follow up work – strengthening EHD: internal review workshop/district support consultative meeting held in Nov 04. Consultant’s report ready. Strategic plan under discussion but met with institutional snap and some degree of rejection. Planned to work at advocating for implementing of recommendations with new ETC. ToR for recruitment of local ETC completed.

2. **Support to effective national coordination on sanitation** – Jun 05: Completed and follow up work. ToR for Secretariat ready, recommendations for establishment reviewed through the Sanitation Working Group and MoH. Minutes of meetings are available. New ETC to lead tasks.

3. **Support EHD to finalize the Environmental Health Policy and Act** – Policy and act documents ready for political process – Mar 05: Completed and follow up work. Environmental Health Policy ready for political process and with MoH.

Implementation plan to correct the situation for delayed activities/outputs promised for FY05 for those that have been delayed: No task is delayed.

**EWDAF/UGA/60: Supporting Roadmapping and Achievement of Sanitation MDG/PEAP targets in Uganda**

**Task Manager:** Maimuna Nalubega

**Overall project status**

On course: The preparation of the nationally owned sanitation and hygiene MDG roadmap has started very well with wide consultations/participation at the central government level and a plan for comprehensive district-based self-assessments and strategic planning activities prepared. It is planned that this strategy and operation plan will be in place by 03/06; and that future activities will focus on strategic support to national clients towards implementing the strategy.

Progress towards the outcome (as measured by the indicators) that were indicated in the approved FY05 Business Plan, and in some cases revised at FY05 MYR: (Please note that this is a new (MY) project and no indicators were part of the approved FY05 BP)

1. **A Uganda-owned National Sanitation MDG/PEAP action plan adopted** – Mar 06: On course: 10-year sanitation strategy and 3-year operation plan preparation consultancies awarded and work started. Strong focus on a consultations and
Progress on activities and outputs that were promised to be completed in FY05 and for which the Task Manager is accountable:

1. Develop ToR for sanitation MDGs - May 05: On time. ToR for sanitation MDGs roadmap finalized and agreed at national level; and 2 international consultants recruited and started work in June 05
2. MDG Roadmap draft report; Launch of the nationally adopted Sanitation MDG Roadmap: on time. Strategy being developed

Implementation plan to correct the situation for delayed activities/outputs promised for FY05 for those that have been delayed: no delayed activities.

EWDAF/Yem/51(a): Yemen Rural Water Supply and Sanitation Technical Assistance Project (RWSS-TA) - RWSS Sector Policy Strategy Development

Task Manager: Tim Kennedy

Overall project status:

RWSS Policy - Strategy Document: The draft final Yemen RWSS Policy-Strategy was finalized in Jan/Feb 05 and subsequently forwarded to the Ministry of Water and Environment for a final review and endorsement. With minor exceptions, the RWSS Policy - Strategy has been generally accepted. In Mar 05 it was sent on to other stakeholder ministries for final comments and input. In Jun 05, policy document was presented to the Ministerial Cabinet for approval. As of Jul 05 it appears to be generating a measure of debate whether urban- rural WSS be merged under one management umbrella at the governorate level. Full endorsement and ratification has not been confirmed. Lessons learned: policy developed in a complex and chaotic environment as is the RWSS sub-sector in Yemen should be designed as a dedicated output w/out additional load of the numerous ancillary activities built into the RWSS-TA.

Progress towards the outcome (as measured by the indicators) that were indicated in the approved FY05 Business Plan, and in some cases revised at FY05 MYR

1. The GoY ratifies RWSS policies and implements a strategy that effectively aligns decentralized institutional management structures - Sep 05: On time. While as yet to be fully endorsed, WSP Yemen has fulfilled its obligation in generating a participatory process and in preparing a RWSS policy - strategy; endorsement and ministerial level ratification resides with the Ministry of Water and Environment to pursue and finalize
2. Community-driven, demand-responsive RWSS approaches and methodologies adopted by the GoY - Sep 05: Completed. With limited exceptions, all implementing agencies, projects and authorities in the Yemen RWSS sub-sector are applying community driven approaches in varying degrees and measures.

Progress towards milestones that were indicated in the FY05 approved business plan and in some cases revised at FY05 MYR

1. Draft policy-strategy document finalized - Aug 04: Completed at MYR. Three versions in various levels of detail: expanded narrative, condensed narrative and cabinet resolution, of the RWSS Policy - Strategy have been completed and presented.
2. Comparative analysis of GARWSP and RWSS Project approaches and methodologies - Oct 04: Completed at MYR.
3. RWSS policy-strategy launch workshop - Mar 05: Delayed. The national RWSS Launch Workshop has not been carried out pending final endorsement of the policy-strategy. While it is believed it will ultimately be presented in a national forum, it is unlikely to be presented through the WSP Yemen as the program will conclude its operations at the end of August '05.
Progress on activities and outputs that were promised to be completed in FY05 and for which the Task Manager is accountable.

1. **RWSS Policy Strategy** – Jan 05: Final draft policy strategy completed and presented on time; no carry over to FY06
2. **RWSS sub-sector Coordination**: six national coordination meetings held in FY05 and numerous at selected regional / governorate levels; process has taken hold and is anticipated that such events and improved intra sectoral cooperation will continue through FY06
3. **RWSS Newsletter**: though delayed, the first Yemen RWSS Newsletter was printed and distributed in May 05; a second newsletter was prepared, printed and distributed during Aug 05
4. **Finance and Resource Flows Study**: carried out between May and Jun 05 including national and regional level workshops and presentations; draft final report prepared during July with final Arabic translation and distribution expected in Aug 05
5. **RWSS M & E / DBMS Development**: delayed from April start-up, though carried out between May and Jul 05 including national and regional level workshops and presentations; RWSS database developed and installed in GARWSP HQ and selected branch offices; final M & E Strategy / Action rescheduled for completion in Aug 05

**Implementation plan to correct the situation for delayed activities/outputs promised for FY05 for those that have been delayed.** No implementation plan for corrections as the RWSS TA will conclude its operations on 31st August 2005.

**EWDAF/Yem/51(b): Yemen Rural Water Supply and Sanitation Technical Assistance Project (RWSS-TA) – Support to RWSS Decentralization**

**Task Manager:** Tim Kennedy

**Overall project status:**
In the RWSS-TA project partnership governorates, and at the national level of the GARWSP, decentralization processes and increasing institutional autonomy and independent services implementation management have encouragingly started to take hold. A process of coordination, cooperation and resource sharing in application of community driven approaches agencies is serving to unify standards and methods. GARWSP governorate offices are slowly though systematically gaining a grasp of DRAs and full implementation cycles, though they continue to be challenged by a deficit of both operational and implementation resources. M, E and DBMS development has been substantially forwarded with a nascent RWSS sub-sector database, under GARWSP supervision, developed.

**Progress towards the outcome (as measured by the indicators) that were indicated in the approved FY05 Business Plan, and in some cases revised at FY05 MYR**

1. **A sector-wide investment program to be implemented by at least one Governorate** – Jun 05: Completed. in support of the proposed RWSS investment plan the project completed an MDG investment costing model during Feb; by Jun assessment and discovery for the RWSS Finance and Resource Flows Study had been completed with the final report expected during Aug; a national RWSS Investment Plan was developed within the framework of the Yemen National Water Sector Strategic Investment Plan (NWSSIP) to which the RWSS-TA was a significant contributor.
2. **Decentralization Support to select GARWSP BO** – Sep 05: On time. While fully decentralized and autonomous operations have yet to be fully achieved in the selected branch offices there have been significant forward strides in what will be otherwise a 3-5 year process to achieve full independence; workshops and training sessions in DRA principles, project cycle management, survey and information development, M & E methodologies and a computerized database were carried and established in the identified branch offices.
Progress towards milestones that were indicated in the FY05 approved business plan and in some cases revised at FY05 MYR

1. GARWSP Branch Office institutional and capacity needs assessment – Oct 04: Completed. The GARWSP–WB RWSS Project comparative analysis study, institutional and capacity needs assessment of four GARWSP Branch Offices: Ibb, Hajjah, Hodeidah and Abyan was carried out on time.

2. Human Resource Development Plan – Nov 04: dropped. While summary ToR for consultancy development of the HR Plan were generated, it was subsequently dropped in favor of a renewed effort within the proposed RWSS Sub-Sector Reform program, under which sub-sector reorganization will be carried out and concentrated efforts for HR development arranged.

3. M, E and Data Base Development Plan – Nov 04: Delayed. This assignment was delayed in start up until early May 05; as of end Jun, assessment and discovery were substantially finished with the Action Plan / Strategy anticipated for completion by Aug 05.

Progress on activities and outputs that were promised to be completed in FY05 and for which the Task Manager is accountable.

1. Support to GARWSP Decentralization – Oct 04: Completed at MYR. Numerous workshop and training events including: DRA, project cycle management, M & E, survey / information management methodologies and the RWSS database were concluded by the RWSS-TA project during the FY’05, including the institutional and capacity needs assessment of four GARWSP Branch Offices that was completed in Sep 04.

2. M, E and DBMS Development Plan – Jan 05: delayed to early May start up. By Jun 05, foundation details for the M & E Plan had been concluded; final preparation of the action plan / strategy and the development of a RWSS sub-sector database will continue in FY06 and finalized by late Aug 05.

3. Resource Procurements – Jan 05: Completed. All operational resources, i.e., office furnishings, communications equipment were purchased during Sep/Oct 04; project vehicles procurement concluded; upon termination of the RWSS-TA Project all items will be transferred to the project client, GARWSP, as per instruction from the program donor (RNE).

Implementation plan to correct the situation for delayed activities/outputs promised for FY05 for those that have been delayed.

No implementation plan for corrections as the RWSS TA will conclude its operations on 31 August 2005.

EWDAF/ZAM/62: Supporting Reforms for Sustainable Rural Water Supply and Sanitation

Task Manager: Barbara Mwila Kazimba-Mwinye

Overall project status: Overall, the project is on time. WSP-AF activities in Zambia have so far been around an action plan developed largely outside the FY05 business plan. With the financing from DCI, WSP now has the possibility to enhance its activities in Zambia, and to strengthen the position of Zambia as a WSP focus country. To aid this, separate project sheets for Zambia have been developed as part of the FY06 BP. This EYR gives an indication of progress in the sector, on the basis of the agreement between MLGH, WSP and DCI (12/04 – 6/05).

Progress toward outcomes that were indicated to be achieved in FY05 in the approved business plan:

1. Revised institutional framework for RWSS Adopted: Activity has been partially completed and is ongoing with implementation by DANIDA going ahead as planned starting January 2006 and ending 2010). MLGH have adopted revised framework and political commitment to this process was given in 11/04, when MLGH issued a public statement in the national press, emphasizing mandate of MLGH in WSS and backing the principles of the revised framework. It has been further supported by DCI who have agreed to finance its implementation in FY05-FY06, as well as DANIDA who have indicated willingness and have already provided financing to pilot the framework in 2 districts.

2. MLGH ownership of sector programs and better donor coordination: This is an ongoing process expected to be much firmer by 12/05 when the RWSS program and joint GRZ/donor MOU is signed). There are indications of MLGH taking a lead role through ongoing preparation of the national RWSS program, including consolidation of disparate MDG activities by donors into one process, and also requiring all donors to support and realign their support with the national program. Full MLGH leadership is hampered by resistance from key donors to fully trust ability of MLGH to take a lead and also in capacity weakness within the MLGH.

Progress towards milestones that were indicated to be achieved in FY05 in the approved business plan:

6 The FY05 business plan does not have specific activities, outcomes, milestones, outputs for Zambia (formerly included under EWDAF/REG/53).
1. Obtaining political commitment to revised framework: This is an ongoing process partially achieved through the ministerial statement on RWSS published 11/04 and expected to receive much impetus by 12/05 when the communication strategy is due to be completed. It must be emphasized however, that much advocacy needs to be done to sell the new framework to key players (govt. depts., dist. councils, key sector financiers).

2. Getting donor support for the framework: partially completed: This has been partially achieved initially through the funding obtained from DCI. DCI in 21/04 signed an agreement with WSP-AF, agreeing to provide €197k for FY05, for WSP to support the rolling out of the new framework.

Progress on activities and outputs that were promised to be completed in FY05 and for which the Task Manager is accountable in the Business Plan:

1. Development of a National RWSS program and MDG roadmap – Aug 05: This is on time but final validated and agreed program expected by 12/05. From 6/05, WSP has been providing TA through both an international consultant and WSP staff to assist MLGH develop a national program for RWSS. WSP is also in the process of supporting the MLGH through a local technical assistant to be located in MLGH to assist with finalization of the program and getting it adopted by the GRZ and donors.

2. Improving sector coordination and lobbying sector donors to support implementation of the new framework: This activity is ongoing as part of the HIP agenda and has no end point WSP-AF has been involved in discussions with other donors (DANIDA and DFID) to support implementation of the new framework. Experience has indicated willingness in their project documents and DFID are currently waiting for completion of theRWSS national program to form the framework for their support to MLGH. WSP has also been serving as secretariat for donors coordination meetings and has managed to bring all key donors in the sector to the table. WSP is also in discussions with WUP on co-hosting a national dialogue on MDGs for the urban areas. WSP also participated actively in and encouraged the development of the Care International application to the ACP-EU water facility, including getting the World Bank to agree to co-finance this application should it be successful.

3. Simplification of Revised Institutional framework: Completed in 07/05 and awaiting publication by 12/05 in 5/05, WSP provided a consultant to assist MLGH with this task. Report submitted 6/05 and publication is awaiting finalization of the RWSS program and the elaboration of the legal framework to ensure conformity.

4. Developing understanding of a SWAp: Activity is on time and expected to be completed as planned by 12/05. This activity is ongoing as part of the preparation of the RWSS program. WSP has organized a seminar for MLGH officials, with presentations from officials of the MoE who have a SWAp in place. Further information has been provided to MLGH from other WSP-AF country offices. DANIDA also hosted a workshop on SWAp (12/04), where MLGH was a key participant.

5. Support to the preparation of pro-poor aspects of urban investment program: Planning for this activity still ongoing. There has been no specific plan for this part of WSP activities in Zambia.

Implementation plan to correct the situation for delayed activities/outputs promised for FY05 for those that have been delayed:

Support to the preparation of pro-poor aspects of urban investment program: WSP activities are focused on RWSS (where GoZ has requested specific assistance). However, WSP is still involved in finding ways to contribute to the urban agenda through collaborative routes including the planned workshop with WUP, the Care International, ACP application and working with WB staff on the urban projects. Zambia is also currently included in the urban thematic work for the Pro-poor element.

EWDAF/FIN/26: Strengthening WSS in PRSPs in Africa
Task Manager: Meera Mehta

Overall project status: on time. Overall project progress is good and on schedule despite the postponed rollout of the benchmarking review to feed into the country MDG Status reports. A key constraint during FY05 was a shortage of funds in the first half of the year, but more resources have been acquired for FY06.

Progress toward outcomes that were indicated to be achieved in FY05 in the approved business plan:

1. Improved incorporation and implementation of WSS in the PRSPs in at least two countries. Measured every 1.5 years through performance benchmarking review – Jun 06/07: There is no outcome level progress expected in FY05, but already there is a greater awareness and understanding of the reasons for the lack of incorporation of the WSS component into PRSPs among sector stakeholders – government officials, donors, and civil society. The sector, however, is slow at taking advantage of the opportunity the PRSP initiative presents in terms of increased funding and implementation of sector reform. The challenge ahead is for the sector to turn policies into sound implementation strategies within a sector program that is integrated with the overall PRSP and national budget process as well as a linked Sector Monitoring System. However, improved incorporation and implementation of water and sanitation in...
PRSPs/ MTEF is expected in at least a few of the focus countries.

Progress towards milestones that were indicated to be achieved in FY05 in the approved business plan:
1. Approach to participatory performance benchmarking developed and agreed with client countries – Dec 04: completed. Approach, framework and initial data analysis for the (second benchmarking) participatory review of WSS in PRSPs and progress on MDGs is done. A steering committee has been formed and feedback received. Roll out of the review is being taken up in beginning of FY06 for review to feed into and create synergies with write-up of the WSP-lead Country MDG Status Reports and to establish links with AMCOW to make the review more participatory and to create ownership among stakeholders.
2. Additional resources mobilized for a regional experience-sharing event – Feb 05: this is planned to be combined with other regional meetings – October meeting with ADB in Tunis, and an AMCOW-TAC meeting in Nov 05.
3. Expressed demand from at least one country for development of / or support for advocacy strategy – Jun 05: Completed. A district level advocacy booklet and strategy has been developed for sanitation and hygiene promotion in Uganda. In FY06, booklet will be tested, used at district level. The guidance of the work is handed over to CommTG.

Progress on activities and outputs that were promised to be completed in FY05 and for which the Task Manager is accountable in the Business Plan:
1. Participatory benchmarking of WSS in PRSPs – 3rd and 4th participatory benchmarking reports prepared – Jun 05, Dec 06: delayed; 3rd benchmarking review has been initiated; the completion, however, has been postponed for FY06 to create synergies with the MDG Status Reports and AMCOW. The scope is also expanded to assess sector finance and progress towards achieving the MDGs.
2. Poverty reduction sector advocacy guideline – 1) Development and dissemination of regional advocacy materials – Mar 05, 2) Demand-based support to one (or two) country for a specific advocacy strategy – Jun 05 and Jun 06: on time. In addition to progress made during the MYR, an advocacy booklet and strategy is also developed for sanitation and hygiene promotion at a district level in Uganda.

Implementation plan to correct the situation for delayed activities/outputs promised for FY05 for those that have been delayed:
The implementation phase of the benchmarking review has been planned and already commissioned for FY06. Advocacy booklet for Uganda will be field tested in the beginning of FY06.

EWDAF/FIN/27: WSS Sector Finance and Resource Flows
Task Manager: Meera Mehta

Overall project status: on time.
Progress has been achieved at 3 levels: at community level, WSP-AF is conducting financial assessments and creating tools to facilitate market-borrowing for small WS projects; at country level, WSP-AF is helping to develop appropriate sector finance assessments and strategies; and at a regional/donor level, the Program has contributed to the debate on sector finance and development of new financial mechanisms. The most critical challenges ahead are to move non-public finance to scale and work with clients to develop necessary support mechanisms and to use finance smarter to more effectively implement sector policies. Overall progress is excellent and on schedule. The finance group was highly profiled at the Global WASH Forum 2004 in Dakar, Senegal. Clients and donors expressed appreciation for current activities, but they also wanted further engagement by WSP-AF to help clients tackle sector finance challenges. While we were constrained by lack of funds in FY05, initiatives to mobilize additional funding through PPIAF, CTF, DFID has paid off for the next FY06.

Progress toward outcomes that were indicated to be achieved in FY05 in the approved business plan:
1. Implementation of guidelines on resource flows assessment in three countries – Jun 05: completed. Assessments have been completed in Kenya, Ethiopia, Uganda and Zambia, and on track in Senegal and Yemen.
2. Financial closure for market-based borrowing for at least 2 community projects – Jun 06: on time to meet this indicator.
3. Recommendations for improved sector financing developed and being implemented by two focus countries – Jun 07: on time with ongoing support in 3 countries, and expected in 2 more during FY06.
Progress towards milestones that were indicated to be achieved in FY05 in the approved business plan:

1. Preparation of sector finance assessments agreed with at least one new country – Dec 04 – completed. Assessment initiated in Senegal in October 2004 ahead of deadline.

2. Development of sector finance related tools developed and tested in at least one country – Mar 05 – underway and on time. The Program is currently supporting the sector finance and investment planning process in Kenya. For this, a sector-wide investment and financing tool (SWIFT) is being developed and tested. The Kenya support is a collaboration of the Ministry of Water, the WSPAF Kenya country and finance teams and main donors. A computer-based application is expected in FY06.

3. Development of business opportunities program developed for market-based financing of community water projects – Dec 05 – on time. Ongoing work with communities and local micro-finance institutions/banks in Kenya to facilitate market-based financing of small water supply projects.

Progress on activities and outputs that were promised to be completed in FY05 and for which the Task Manager is accountable in the Business Plan:

1. Sector finance and user financing studies. All activities and outputs completed: i. Resource flows report for at least one new country – Mar 05: Final draft of the resource flows reports for Senegal and Yemen developed, and inputs provided for the WSS section of the PER in Kenya; ii. Continued support in at least one other country: ongoing in three countries – Kenya, Uganda and Zambia; iii. Sector finance findings based on comparative analysis – completed – findings already disseminated at AMCOW meeting, and WASH Forum in Dakar (December 2004) and in a journal paper; iv. User finance scoping study – Dec 04: scoping study completed. Ongoing work on market financing with additional PPIAF funding was secured in FY05. DFID money secured to scale up the work; v. Distribute reports as study reports, informal working papers and field notes: Country and regional reports have been posted on the WSP website and are now available on a special Finance CD. Various papers and reviews include: i) finance issues paper at two important conferences: AMCOW 2004 in Kampala, Uganda and Global WASH Forum 2004 in Dakar, Senegal, ii) coordinated World Bank/WSP global inputs to the EU Water Initiative’s Finance Working Group, and iii) paper on financing MDGs for WSS published in the International Journal of Water Resources Development.

2. Guidelines for WSS resource flows assessment and financial/ investment planning – all activities and outputs completed: i. Country-wide WSS sector snapshot guidelines Mar 05: Draft guidelines for conducting resources flows assessments ready in Dec 2004; ii. Sector-wide financing strategy roadmap/guidelines along with a planning tool – Preliminary – Jun 05; Final – Jun 06: Preliminary tool (SWIFT) developed in time. It is currently being tested in Kenya, along with a computer-based model application. Further applications may be pursued in other (WSP-focus) countries with EUWI- WSSG’s country dialogues; iii. Distribute the guidelines and tools as informal working papers and field notes – Jun 05, Jun 06, Jun 07 – Tools for financial assessment and business planning of communities have also been developed as a part of the PPIAF proposal and will be shared at a workshop September 2005. Country and regional study reports have been made more easily accessible in new formats and are available online and on a special Finance CD.

3. Sector information and performance monitoring system: Delayed. Conceptual work has been initiated on the scoping study, but work postponed to FY06 due to budget constraints in FY05.

Implementation plan to correct the situation for delayed activities/outputs promised for FY05 for those that have been delayed:

Implementation of the activity related to Sector monitoring has been shifted to FY06 and to a different project and adequate budget has been procured for this activity.

EWDAF/REG/53: WSS MDG strategy and action studies in West Africa
Task Manager: Ousseynou Diop
**Overall project status: on time.**

WSS MDG roadmaps are completed for the 4 countries. 3 national workshops were conducted to validate and revise MDG roadmaps. Workshop in Benin was postponed until 9/05 because joint government donor sector review was scheduled during the same period. The lessons learnt in the development of the 4 WSS MDG roadmaps have enabled the consultant to prepare a template for WSS MDG sector status preparation. The template is being reviewed for use in 20 African countries to prepare an overview paper on WSS MDG for the joint World Bank – African Development – WSP retreat on water and sanitation scheduled to take place in Tunisia in 10/05. Findings of the WSS MDG roadmaps development will be incorporated into the WSP regional program for WSS MDG. BF has officially adopted the MDG roadmap and is moving to a program budget approach.

### Progress towards the outcome (as measured by the indicators) that were indicated in the approved FY05 Business Plan, and in some cases revised at FY05 MYR:

1. **WSS MDG action plans developed, being implemented and regularly updated in at least 2 participating countries** – Sep 05: Completed - The WSS MDG roadmap guides the program budget under preparation and has been endorsed by all donors. In Niger, the MDG roadmap framework has been endorsed by the technical committee of the donor agencies.

2. **WSS Coordination mechanism and M&E System established and functional in at least 2 participating countries** – Dec 05: Completed – Coordination mechanisms are in place in Burkina and Niger. In Benin and Burkina, M&E systems are under development.

3. **Increased financial resources flows to the WSS sector (PRSP, PRSC, resources allocation mechanisms, etc)** – March 05: Completed – In Benin, the program budget has led to a substantial increase of resources, which has enabled to double the number of water points in rural Benin within a year. In Burkina Faso, following the adoption of the MDG roadmap, a program budget is under preparation for 2007 – 2009 and should lead to increase of resources for the water and sanitation sector.

### Progress towards milestones that were indicated in the FY05 approved business plan and in some cases revised at FY05 MYR:

1. **French version of 6 CAPs produced** – Nov. 04: completed at MYR

2. **WSS MDG national validation workshop for each country** – Jan 05: Completed for 3 countries. National workshop for Benin scheduled for 9/05

3. **Sanitation MDG roadmap and action plans presented at the AfricaSan West and Centre meeting** – Feb. 05: Completed

4. **Final WSS MDG strategy and action plan completed** – May 05: MDG roadmaps completed for the 4 countries. The WSS MDG roadmaps include the process to develop the strategy and the plan of actions. The new WSS MDG projects in WSP-AF focus countries (Burkina Faso and Benin) include the completion of the strategy and the action plan. For Ghana and Niger, the strategy and action plan will be developed pending approval of the funding proposal submitted to the EU water facility.

5. **WSS M&E and Coordination system created in at least 2 countries** – Jun 05: Coordination mechanisms in place in Burkina and Niger. M&E in place for Benin and under development for Burkina and Ghana.

6. **Financial flows assessment and monitoring models for WSS sector developed in at least 2 countries** – June 05: Completed – Budget programs models under implementation in Benin, in Ghana (one donor agency), and under preparation in Burkina Faso.

7. **Financial flows quarterly monitoring reports for WSS sector in at least 2 countries** – Dec 05 - dropped from this project because of delays related to procurement processes and CTF funding requirement (disbursements of funds to be completed by end of FY05) – the financial flows, unit cost and financial model studies are incorporated in the new project for WSP-AF focus countries (Burkina Faso and Benin) and in the new EU proposal for Ghana and Niger.
Progress on activities and outputs that were promised to be completed in FY05 and for which the Task Manager is accountable:

1. Translation of 6 WSS MDG CAPs from English to French
   - French version of 6 CAP distributed to clients and partners – Nov 04: completed at MYR
2. Completion of WSS MDG Strategy and action plan for Benin, Burkina, Ghana and Niger
   - WSS MDG strategy and action completed for 4 countries – May 05: completed
   - WSS MDG strategy and action adopted and being implemented in at least 2 countries – Sep 05: Benin is implementing WSS program budget for the rural water sector and has doubled the number of water points created from 500 units in 2004 to over 1200 units in 2005. In Burkina Faso, the national water and sanitation utility has developed and is implementing a WSS MDG action plan for urban water supply.
3. Development of effective WSS MDG M&E, including sector coordination and leadership improvement in at least 2 countries
   - WSS MDG M&E and Coordination systems created in 2 countries – Jun 05: completed
4. Development of sustainable financing systems for increased investment and maintenance for WSS sector in at least 2 countries
   - Financial flows assessment and monitoring models for WSS sector developed – Jun 05: completed.
5. Quarterly report of financial flows to the WSS sector produced – From Dec 05: dropped and incorporated in new projects in pipeline for WSP-AF focus countries (Burkina Faso and Benin) and in funding proposal to the EU Water Facility for Ghana and Niger – see session on milestones.

Implementation plan to correct the situation for delayed activities/outputs promised for FY05 for those that have been delayed: activities on financial flows studies and monitoring were dropped and incorporated in new projects in pipeline for WSP-AF focus countries (Burkina Faso and Benin) and in funding proposal to the EU Water facility for Ghana and Niger.

EWDAF/REG/53: Support to the Reform Process in WSS in Other African Countries

Task Manager: Andreas Knapp (East Africa), Madlo Fall (West Africa)

Overall project status: On-time: The project is a quick response facility to assist non-focus country clients in providing tailored advice during key stages of their (sometimes stalled) reform processes, to respond to demands from the WB colleagues to provide technical assistance related to WSP-AF’s priorities in thematic work, or to seize learning opportunities for WSP has continued to serve its purpose. The main indicator for the positive impact of the project is the fact that countries supported in this project like Rwanda, Zambia, DRC have developed now their more comprehensive work programs with WSP-AF and are committed to collaborate with WSP to develop WSS MDG roadmaps and related reform programs. The main lesson learnt so far is that an instrument to react quickly and flexibly to demand from non focus country is highly appreciated by the clients (feedback during the RAC meeting) and is essential for WSP-AF to position itself on the continent as trusted advisor with the capability to quickly provide high quality and tailored expertise. Or in other words: limited quality support at crucial stages builds the confidence for an expanded and collaboration with higher impact in the future. At MYR it was decided to develop Rwanda, DRC, Zambia into separate projects, since they have now expanded work programs and funding. Thus progress on these activities is now reported in the new project sheets.

Progress towards the outcome (as measured by the indicators) that were indicated in the approved FY05 Business Plan, and in some cases revised at FY05 MYR: Underway and on time

There are no anticipated measurable outcomes for this project in FY05. However the project progress so far indicates good progress on all of the outcomes (as measured by the indicators).

1. Niger: New management models (delegation) for water supply schemes adopted in 3 communities – Jun 06, Underway and on time, preparatory and conceptual work to compare different management models has already started. Thus it is anticipated that the indicated outcome will be realized.
2. Rwanda: Lessons on private sector participation shared with other countries in the region – Jun 06, Pls. refer to separate project sheet on Rwanda
3. Zambia: pro-poor strategy in urban investment project – financial viable utilities better able to serve the poor and new policy supports and guides implementation of rural WSS programs (joint implementation review) – Mar 06, Pls. refer to separate project sheet on Zambia
4. Tanzania: Household sanitation component sets an example case for new sanitation strategy for Dar es Salaam city – Dec 05, underway and on time. There is general consensus among key sanitation stakeholders in Dar es Salaam that the sanitation marketing approach followed in the household sanitation component and the lesson learnt during implementation will directly inform any overall future strategic sanitation plan (SSP) for Dar es Salaam.
5. Evaluations of WSP-AF and clients (during RAC) note positive this flexible response facility – Jun 06, Underway and on time, clients provided already positive feedback during RAC in May 2005.
Progress towards milestones that were indicated in the FY05 approved business plan and in some cases revised at FY05 MYR: On time
1. Niger: Contracts between the water user committee and the small operators are signed – Jun 067: On time, no impacts yet.
2. DRC: Roundtable meeting on coordination – May 05: Pls. refer to separate sheet.
3. Zambia: Cabinet approves the policy – WB project on UWSS gets approved by Board – Dec 05: Pls. refer to separate sheet.
4. Tanzania: WB project including the household sanitation component gets approved by Board – Feb 05: Completed. The Local Government support project with its household sanitation program component for unplanned areas in Dar es Salaam was approved on Nov 04 and officially launched Apr 05.

Progress on activities and outputs that were promised to be completed in FY05 and for which the Task Manager is accountable:
1. Develop models for WSS schemes in Niger: strategic supervision of the rural comp. of the WB WS project, incl. guidance on management models for 50 WS - Contracts signed with private operators – Jun 06: On time
2. Coordination support in Malawi - Regular meetings, improved sector coordination – Jun 06: On time: WSP attended regular WSS coordination meetings which lead to the formulation of an expanded support program to Malawi as part of the WSP funding proposal to the EU water facility. In addition a draft of a FN on water point mapping was developed.
3. Support household sanitation component of slum-upgrading in Tanzania - Project manual for household component of (C1UP) – Nov 04; BTOR and sanitation policy changes – Jun 05: Completed. The project manual for the household sanitation component (C1UP) was finalized with WSP support by Sep 04. In addition, a detailed TOR for the procurement of an implementing agencies for the program component were developed by Jun 05. So far there are no officially documented policy changes for sanitation as such however there is increased support and consensus among of key stakeholders to move form a supply driven approach to a promotional and marketing approach.
4. Assist South Africa in external evaluation of WSS Masimbabane Program - Evaluation document completed – Oct 05: Completed. WSP contribution to the Evaluation of Masimbabane I was for the component of program finances. It was completed in Aug 04. The financing section has been included in the overall evaluation report. Thus the output was completed earlier than anticipated.
5. Policy, investment or knowledge support upon request - BTOR and concept notes – Ongoing: On time. Continuous strategic guidance and support was provided to AMCOw as well as to the Government of South Africa in the design of the “working for sanitation campaign” (WISC). WSP-AF supported the 5th AMCOw general Council Meeting held in Nov 04 in Entebbe, Uganda through participation in the organizing committee and various technical subcommittees as well a in providing substantive thematic inputs (e.g. sector financing) to the meeting. Additionally WSP-AF prepared a concept note for establishing an AMCOw chair’s office in Uganda. Close to the end of FY05 a staff member was recruited to support the AMCOw president and the AMIWASH campaign. In Dec 04 WSP-AF reviewed the draft concept note for the WFSC campaign and made suggestion on how to complement it with the idea if sanitation marketing. In Zimbabwe WSP help in the development of TOR for an assessment of the status of WSS infrastructure as part of the LICUS initiative.

Implementation plan to correct the situation for delayed activities/outputs promised for FY05 for those that have been delayed: N/A - All activities were carried out as planned

FWDAF/RUR/34: Rural Water Supply Network Support
Task Manager: Joseph Narkevic
Overall project status: Delayed. Project moving into 3rd year of 4. Activity level greatly increased during the period. 3 flagship coordinators named, strategy documents approved for each flagship, and several activities undertaken by year’s end, as described below. New activities added for FY06, in line with changes to 2 of the 3 RWSN flagships ("Supply Chains" became "Sustainable Handpumps" and "Low-Cost Drilling" became "Cost-Effective Boreholes"). Meeting of flagship coordinators and key stakeholders in Kampala 2/05 provided new direction and impetus to RWSN activities.

Progress towards the outcome (as measured by the indicators) that were indicated in the approved FY05 Business Plan, and in some cases revised at FY05 MYR:

7 Completion time Mar 05 was a mistake in the original project sheet and should have been Jun 06
1. Two countries embarked upon drilling reforms, as shown by changes in specifications or actual cost reductions in drilling tenders - Jun 06. On schedule, studies underway or planned in eight countries.

2. At least two countries implementing RWSN recommended aspects of an improved supply chain model - Jun 06. Delayed, no work underway.

### Progress towards milestones that were indicated in the FY05 approved business plan and in some cases revised at FY05 MYR:

1. **Supply chains studies completed – Jun 05:** On time study delivered on handpump maintenance in Mauritania in Sept 04. Shows limitation of traditional supply chain thinking.
2. **O&M model and drilling assessments completed – Dec 05:** On schedule. Studies considered or underway in Ethiopia, Mozambique, Angola, Madagascar, Niger, mobilizing national thinking on subject.
3. **Household financing studies completed – Dec 05:** Studies underway in Uganda and Mali.
4. **Tech fest celebrated – Apr 06:** Not clear if Techfest to be held.

### Progress on activities and outputs that were promised to be completed in FY05 and for which the Task Manager is accountable:

All activities scheduled for completion in FY05 were dropped at mid-year (supply chains studies, synthesis document), due to changes mentioned above. Only the Mauritania study was completed. All other activities for delivery in FY06 and beyond are on track.

### Implementation plan to correct the situation for delayed activities/outputs promised for FY05 for those that have been delayed:

The other proposed activities are currently underway: two self-supply studies (Mali and Uganda), and three drilling studies (Ethiopia, Mozambique, and West Africa – Mali, Burkina Faso, Senegal, and Niger). Work on sustainable handpumps has unfortunately lagged due to lack of WSP staff capacity, and the proposed products will be delivered three to six months behind schedule. WSP plans to hire a full-time RWSS specialist by December 2005 to assume responsibility for rural thematic work.

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**EWDAF/SAN/28:** Hygiene Promotion in Africa  
**Task Manager:** Ousseynou Diop
Overall project status: completed
1. The PPP HW in Senegal is progressing according to schedule. The grant agreement for the funding secured by WSP from the JSDF has been signed between the WB CD and the Senegalese Minister of finance in May 2005. The baseline study for hygiene behaviors assessment is underway.
2. The cost-effectiveness study of PHAST in Uganda has been reviewed by national stakeholders and an international consultant has produced a draft FN.
3. The scoping study of a hygiene promotion network has been completed. This project is completed; nevertheless the activities will be carried over to the new hygiene project (EWDAF/SANI75). The PPP HW suggests a long term commitment for any hygiene promotion project. It also shows the need for sustained consultations to get effective participation of the private sector, especially the soap industry. The recent series of cholera outbreaks and the signing of the JSDF grant agreement for a budget amounting $726K have raised awareness and increased the project ownership from the national authorities. MoSH has expressed greater interest in the project and established a national steering committee for project coordination and implementation supervision. The project targets school children and care-takers of children under 5 and is likely to have a positive impact on hygiene behaviors.

Progress towards the outcome (as measured by the indicators) that were indicated in the approved FY05 Business Plan, and in some cases revised at FY05 MYR:
1. Hygiene promotion campaign rolled out in Senegal - Feb 05: Underway - Senegal has rolled out a hygiene promotion campaign with limited resources. The availability of the JSDF fund will help scale up the campaign in the 4 targeted regions. In addition, Uganda and Benin are using the PPP HW approach with the support of WSP to roll out hygiene promotion campaign.
2. Lessons drawn on PHAST implementation in Uganda - Jun 05: Underway - The PHAST study in Uganda provides interesting lessons on hygiene promotion, and stresses the need to undertake a full baseline study to put in place an M&E system.
3. Strategy and plan of action for a Hygiene promotion network in Africa - Jun 05: Scoping study completed. There is a huge potential to facilitate a hygiene promotion network by developing synergetic partnerships between the 20 potential hygiene promotion networks and programs identified and assessed.

Progress towards milestones that were indicated in the FY05 approved business plan and in some cases revised at FY05 MYR:
2. Development of hygiene strategy for WSP-AF, including a study on a hygiene promotion network - Feb 05: completed. The first phase of the hygiene network study (scoping phase) is completed. New regional hygiene project (EWDAF/SANI75) includes undertaking of 2nd phase of study. Completion of 2nd phase of study will include development of the WSP strategy for hygiene promotion in Africa.
3. Field research report on hygiene behaviors, including hygiene promotion campaigns for hand washing in Senegal - Jun 05: Research completed in Senegal. The new regional hygiene project (EWDAF/SANI75) includes a baseline study and the development of an M&E system.

Progress on activities and outputs that were promised to be completed in FY05 and for which the Task Manager is accountable:
1. PPP hand washing campaign in Senegal:
   - Baseline study carried in Senegal - Sep 04: activity dropped at mid-year. Activity re-included following the signing of the JSDF grant agreement and the need to put in place an M&E system. Activity included in the new regional hygiene program (EWDAF/SANI75).
   - Rolling out of hygiene promotion campaign in Senegal - Oct 04: Communication strategy and plan of action completed since Nov 04. Activity regarding rolling out of the hygiene promotion campaign included in the new regional hygiene promotion project (EWDAF/SANI75). The signing of the JSDF grant will help scale up the hygiene promotion campaign.
2. Cost-effectiveness study of PHAST in Uganda
   - Study completed and ready for dissemination - Nov. 04: Final report completed and draft FN produced and under peer review. Activity regarding FN included in new regional hygiene promotion project (EWDAF/SANI75)
3. Develop a hygiene promotion strategy for WSP-AF
   - Discussion paper presented to WSP-AF stakeholders meeting (AfricaSan) - Feb 05: findings of the scoping study for a hygiene promotion network discussed during AfricaSan East and West in February 05. Scoping study completed. Second phase of the study, including the development of a hygiene promotion strategy for WSP included in the new regional hygiene promotion project (EWDAF/SANI75).
Implementation plan to correct the situation for delayed activities/outputs promised for FY05 for those that have been delayed: no delayed activities. Many activities are carried over to the new regional hygiene promotion program (EWDAF/SAN/75).

EWDAF/SAN/31-32: Knowledge Development in Sanitation in Africa
Task Manager: Ousseynou Diop

Overall project status: Completed
All activities of the project are completed according to schedule. The investment support in Niger provided access to basic sanitation to over 5500 urban households under the WB Water Sector Project. A draft FN has been produced and is under peer-review. The WB TTL requested WSP to support construction of 4K toilets in rural settlements. In Senegal, investment support under the WB Long-Term Water Project provided access to on-site sanitation to over 35K urban households, toilets to 70 schools, and included construction of 10 small piped sanitation systems, and 10 public latrines. A Sanitation and Hygiene Publications Series has been launched and includes 4 products so far: (i) The Case for Sanitation Marketing (8/04), (ii) Mobilizing Resources for Sanitation (8/04), (iii) Who Buys Latrines, Where and Why? (9/04), and (iv) review of EcoSan Experiences in East Africa (1/05). Technical support for sanitation marketing is provided to the city council of Dar Es Salaam under the WB investment project on urban infrastructure. A brainstorming workshop on sanitation marketing was held in 6/05. The sanitation thematic group organized learning and knowledge sharing session at the World Water Week (8/05 in Sweden), the IWA Congress (9/04 in Morocco), and the WSSCC WASH Forum (2/04 in Senegal).

Selected activities will be undertaken within the new regional sanitation project (EWDAF/SAN/76):
- Investment support for rural sanitation in Niger: construction of 4000 household latrines
- Investment support for urban san.: construction of 25K household latrines, 150 small sanitation systems
- Investment support to sanitation marketing in Dar Es Salaam under the WB urban infrastructure project
- Finalization of the FN on urban sanitation in Niger

WSP has gained considerable knowledge on sanitation marketing. A key lesson learnt from investment support to sanitation marketing programs in Senegal, Niger, and Tanzania is the need to agree and get consensus on subsidy policy to avoid introducing distortions in the market.

The review of the EcoSan experiences in East Africa suggests a need to further investigate the success factors for scaling up ecological sanitation solutions and pay attention to the economic aspects of EcoSan.

Progress towards the outcome (as measured by the indicators) that were indicated in the approved FY05 Business Plan, and in some cases revised at FY05 MYR:
1. New sanitation and hygiene publication series produced and disseminated – Dec. 04: completed.
2. New sanitation and hygiene approach included in policy in 2 focus countries – Jun 05: Sanitation marketing concepts included in the WSS MDG roadmap of Burkina Faso and Senegal.
3. Sanitation marketing strategy developed and being implemented in 2 focus countries – Jun 05: Sanitation marketing programs under implementation in rural Benin and in Dar, Tanzania with technical support from WSP-AF.

Progress towards milestones that were indicated in the FY05 approved business plan and in some cases revised at FY05 MYR:
1. EcoSan experiences review in East Africa – Jul 04: completed and published in a FN.
2. Regional workshops on sanitation (AfricaSan East and West), including dissemination of sanitation and hygiene publications series – Feb 05: AfricaSan-East, West conducted in Feb 05, and proceedings of both meetings published.
3. Investment support for sanitation and hygiene in Senegal and Niger – Jun 05: completed and remaining activities included in new regional sanitation project (see section on overall project status).
Progress on activities and outputs that were promised to be completed in FY05 and for which the Task Manager is accountable:

1. Development of sanitation marketing strategy in 2 countries, including sanitation industry development:
   - Develop a sanitation marketing strategy for 2 countries – Apr 05: completed
   - FN on sanitation services delivery under decentralization completed – Dec 04: Draft FN of experiences of decentralized sanitation projects of Senegal completed – Paper to be finalized upon further review of decentralization policy.

2. Niger and Senegal urban sanitation investment support program
   - FN on sanitation demand and as a business – Nov 04: Draft FN completed and under peer-review.
   - On-going support through FY05 under WB funded project – Jun 05: on-going – Activities included in the new regional sanitation program (EWDAF/SAN/76)

3. EcoSan experiences review in East Africa
   - Review and documentation of EcoSan experiences in East Africa – Jul 04: paper complete.

4. Regional workshops on sanitation (East and West Africa)
   - AfricaSan East, West conducted, including dissemination of S&H publications series – Feb 05: completed

Implementation plan to correct the situation for delayed activities/outputs promised for FY05 for those that have been delayed: No activities delayed. Selected activities included in the new regional sanitation program (EWDAF/SAN/76) as noted above.

EWDAF/URB/35/a: Making Utility Reform Work for the Urban Poor

Task Manager: Alain Morel

Overall project status:
The project is delayed and pushed into FY06. Despite delay in WUP program financing, linked to the six months EU delay in the evaluation process (see below), many activities have been implemented and progress made. The project will continue in FY06 under the projects “Supporting the Supply Side of the Urban Market by Promoting Pro-Poor Utility Reform”, and “Promoting Accountability and Strengthening Consumer Voice”.

Progress towards the outcome (as measured by the indicators) that were indicated in the approved FY05 Business Plan, and in some cases revised at FY05 MYR:

1. Civil society institutions to engage in pro-poor urban reforms in at least two of the four countries – Jun 05: Completed. Following activities developed during FY04 and FY05, consumers associations engaged in pro-poor urban reforms in Senegal, Kenya, and to some extent in Zambia.

2. Addis Ababa utility has initiated a reform process – Jun 05: Underway but delayed. Following the request of AAWSA (Addis utility), WSP has supported the brainstorming about the way forward toward reforms. The process is considerably slowed down by the lack of political will and the political turmoil during 2005.

3. At least four utilities engaged in a pro-poor strategy design and action plan – Jun 06: On time
   This outcome is due for FY06, but already achieved in FY05 with the utilities of Kisumu and Nairobi (Kenya), Dar Es Salaam (Tanzania), and Dakar (Senegal). To be pursued and extended during FY06, once WUP program is funded.

Progress towards milestones that were indicated in the FY05 approved business plan and in some cases revised at FY05 MYR:

1. Launch of the consumer voice capacity building kit (Consumer International web site) – Jun 04: Completed on time (see MYR).

2. WUP institutional review completed and new arrangement decided – Dec 04: Completed on time.
   Institutional review completed and discussed during the consultative workshop organized in Accra in Sept 04. Final report submitted in Oct 04. New arrangements decided by the WUP Steering Committee in Dec 04: (i) to revise the institutional status (under implementation), (ii) to move the secretariat from Abidjan to Dakar (implemented), (iii) to recruit a new Managing Director (implemented), (iv) to enlarge the Steering Committee (under implementation), and (v) to raise funds to finance the WUP program (under implementation).

3. WUP#5 phase 4 is funded – Jun 05: Underway but delayed. This milestone is delayed because the EU selection process was delayed by 6 months. The WUP#5 phase 4 proposal was finalized on time and submitted to the EU in Jan 05. The proposal passed the first selection phase, and a detailed proposal was requested. The detailed proposal is due for Sep 05, and the final decision is expected by Dec 05 with potential availability of funds by Feb 06.
Progress on activities and outputs that were promised to be completed in FY05 and for which the Task Manager is accountable:

1. ***Assist WUP in financing and implementing the phase 4 of WUP#5 project***: Delayed, continuing in FY06
   - WUP rapid institutional review – Dec 04: Completed on time
   - ITN training modules for utilities – Jun 05: Underway, proposal submitted to EU – Expected for Jun 06
   - Regional and thematic workshops during FY05, 06, 07: Underway on time (WUP-WSP-WBI course on WSS sector reforms in Dakar – Dec 04 / WSP-BPD course on partnership in Nairobi – May 05 / WUP-WSP workshop on sector reforms in Madagascar – Jun 05)
   - In addition, the French version of the Good Practice Document was finalized and printed – May 05

2. **Support to the Addis Ababa W&S Authority reform process**: Completed on time
   - Policy support report – Dec 05: Dropped after consultation with AAWSA because of lack of political commitment, uncertain political situation and troubles. Support to AAWSA will continue through country program.

3. **Capacity building of local consumer assoc. to increase consumer Voice**: Completed on time
   - Field note – Dec 05: Completed on time, field note “Moving from protest to proposal” published in Jun 04

Implementation plan to correct the situation for delayed activities/outputs promised for FY05 for those that have been delayed:

***Assist WUP in financing and implementing the phase 4 of WUP#5 project***: Action plan to get the WUP program funded is underway

- Mini-workshop WUP-WSP in Nairobi to prepare the detailed WUP proposal to EU – Aug 05
- Support the new WUP MD to meet donors in Stockholm WWW Aug 05
- Submission of the detailed proposal submitted to EU – Sep 05
- Submission of the ITN detailed proposal submitted to EU – Sep 05
- Final decision from EU expected by Dec 05

**EWDAF/URB/36: Strengthening SSP in WSS Sector Reform in Africa**

**Task Manager:** Alain Morel

**Overall project status:**
Project is completed except for the activity in Burkina Faso which is underway but delayed. This activity will be continued in FY06 under the project “Supporting the Supply Side of the Urban Market – Improving the Efficiency and Effectiveness of Local Private Providers”.

**Progress towards the outcome (as measured by the indicators) that were indicated in the approved FY05 Business Plan, and in some cases revised at FY05 MYR:**

1. **At least 2 new utilities/municipalities that engage with SSP expand and improve WSS services to the slums – Jun 06, 3 by Jun 07 – on time.** At end of FY05, four utilities have engaged with SSP to expand and improve WSS services to the slums: (i) Kiwasco, in Kisumu (Kenya) has initiated a large program of licensing and sub-contracting local SSPs, (ii) NWSC, in Nairobi, is regularizing the water kiosk operators and improve their access to water in several slums (Lunga lunga, Kibera with MBK, ...), (iii) Dawasa, in Dar Es Salaam (Tanzania), work with SSPs and communities to manage sub-networks in informal settlements, and (iv) Onea is engaging with SSP of sanitation services to increase their professional capacities.

**Progress towards milestones that were indicated in the FY05 approved business plan and in some cases revised at FY05 MYR:**

1. **Final report of the Kibera case study – Dec 04:** Completed. Final report on SSPSS in Kibera has been finalized on time, and complemented by a Field Note “Understanding Small Scale Providers of Sanitation Services – A Case Study of Kibera” published in June 2005.
2. **Stakeholders workshop in Kisumu – Jun 05:** Completed - Rescheduled at the MYR, the stakeholders' workshop in Kisumu was organized in Jun 05
3. **Publication of the assessment report for Burkina Faso – Jun 05:** Underway but delayed, because of low priority in the country program.
Progress on activities and outputs that were promised to be completed in FY05 and for which the Task Manager is accountable:

1. Consultations and awareness-raising of professionals (Field note and workshop – Dec 04 / Jun 05): Completed. Consultation of SSPSS in Kibera, for a better understanding of the informal sanitation business is completed. The stakeholders' consultative workshop scheduled for Feb 05 was organized on time and very successful. The FN summarizing the findings and propositions to make a better use of SSPSS was published Jun 05. In addition, extra work to assist the kiosk owners association Maji Bora Kibera (MBK) to implement a social marketing campaign and to structure their association was conducted. Support to MBK to engage with the Nairobi Water and Sewerage Company and facilitation role from WSP has continued.

2. Sector's professional capacity building needs assessment in Burkina Faso (Report – Jun 05): Delayed. Activity will continue in FY06. Meeting already organized with Onea, and TOR proposed by Onea is not satisfactory. Delayed because of higher priorities in country program, and delayed transfer to W. Africa of WSP staff in charge of this work.

3. SSPs institutionalization in Kisumu (Workshop – Jun 05): Completed. The workshop was successfully organized in Jun 05, following the survey on strategies to expand WSS services in the slums through SSPs implemented in partnership with the utility and AFD. Additional work to conceptualize and build 5 sub-networks operated under delegated management contract from Kiwasco to local private operators has been implemented with the financial support of the French Social Development Fund ($65K).

Implementation plan to correct the situation for delayed activities/outputs promised for FY05 for those that have been delayed:

- Sector's professional capacity building needs assessment in Burkina Faso:
  - Counter TOR to be prepared by WSP by Sept 05.
  - Mission to Burkina with the Burkina Country Program TTL by Oct 05.

- Consultant appointed by Dec 05

- Report by Mar 06
FY05 End-Year Review: East Asia/Pacific

EWDEA/IND/25: Improving policy environment for institutionally managed services
Task Manager: Russell Abrams

Overall project status: Status "On time". There has been minimal activity in the second part of the FY under the development of the Institutional Policy; the main activity has been the translation of the document into English and its distribution to key stakeholders in Indonesia for comment. Presentations to the 2nd level government personnel have been delayed due to pending changes in staffing within the relevant ministries following the change in government in 2004. These presentations are still scheduled for the first part of the FY05-06. There has been no progress in the study with PERPAMSI as the counterpart did not wish to proceed.

Progress towards the outcome (as measured by the indicators) that were indicated in the approved FY05 Business Plan, and in some cases revised at FY05 MYR:
1. Government of Indonesia implements and monitors the recently adopted community-managed water supply policy in at least 2 districts – Jun 05: Completed. The GoI has commenced implementation and monitoring of combined institutional and community based policy in both Gorontalo and Bangka Belitung Provinces. This is ongoing in collaboration with the LG and other stakeholders. Meeting and community workshops have been held the results of these on file. The SANIMAS study is in the early stages of implementation and is expected to be completed by mid-06. The second draft of the Institutional based policy for WSES was completed at the end of 04. This document is now in the process of review and working towards completion. The community based policy document has been promoted in 7 local government areas and 5 of these have indicated a continuation of activities, self funded in early 05.

Progress towards milestones that were indicated in the FY05 approved business plan and in some cases revised at FY05 MYR:
1. Draft 2 of Institutional policy framework completed – Dec 04: Completed. 3rd Draft completed and on file in both local language and English. The document has been distributed to selected government institutions and still awaiting formal comment. Presentations are being made to senior govt officials in second part of 05. Once the Echelon I officials have commented and the amendments made. It is proposed that early in 06 the document will be distributed internationally for comment. The draft document has been sent to USAID and ADB and is being used in the preparation their current project design.
2. Final draft – Dec 05: Delayed. The delay is an internal delay due to the inactivity of the working group I the first part of 05. There has been no net delay as the completion of the 3rd draft was prioritized as part of the new government’s achievements in their first 100 days of office. The 3rd draft was not scheduled until early 06 in the WASPOLA Annual Plan.

Progress on activities and outputs that were promised to be completed in FY05 and for which the Task Manager is accountable
1. Draft of Institutional policy – Dec 07: completed. At this stage there has been three drafts and at this stage it is unclear from the Working Group how many official drafts will be developed. Indications at this stage are that the current 3rd draft will be the last official draft and the next step will be the final. At this time there are indications that the final draft will be completed in the first semester of 06.
2. Case study for SANIMAS – Dec 05: On time. There were no specific activities completed in FY05 under this output. Preparation of the TOR and establishment of the advisory panel are programmed for the first part of FY06 ?
3. Case Study with PERPAMSI – Jun 05: Dropped due to unwillingness of counterpart to participate.

Implementation plan to correct the situation for delayed activities/outputs promised for FY05 for those that have been delayed: No delays

EWDEA/IND/26: WASPOLA 2 - Generation and Communicating Knowledge
Task Manager: Russell Abrams

Overall project status: The activities are on time although many are routine ongoing activities of the project and will continue throughout the life of the project. A consultant was appointed in the first part of 2005 and after much consultation with the stakeholders a draft Communication Strategy was prepared. This draft is not complete enough to be applied to the project and additional work is scheduled in the first part of the new FY. An Annual Plan and progress reports have been completed and are on file. In addition to the reporting request by AusAID, the WASPOLA secretariat produces a monthly report which is distributed in both Bahasa and English. Linkages with other projects has
been enhanced and documentation from the project is distributed to an extensive range of donors and those active in the sector, NGOs, LGs, WSPs.

**Progress towards the outcome (as measured by the indicators) that were indicated in the approved FY05 Business Plan, and in some cases revised at FY05 MYR:**

1. **Public information dissemination strategy generates political and popular support among GoI policy makers for policy principles and implementation** – Jun 08: On time. No surveys have been conducted to date of the effectiveness of the distribution network but verbal feedback from the recipients indicates a positive response to the project’s dissemination activities.

**Progress towards milestones that were indicated in the FY05 approved business plan and in some cases revised at FY05 MYR:**

1. **Production of local government toolkits – Dec 04:** Completed at MYR. Toolkits were produced in local language for the local governments participating in the project. These are designed to guide the local working groups through the process of WSES sector reform and stimulate investment in the sector. Although this is still some way off, there is an increased awareness in what needs to be done and the guidelines provide reference material and guidance.
2. **Annual Water Week presentations – Ongoing:** On time. Presentations and participation at 3 local water and sanitation expos in Jakarta. Documents and photographic records on file. The project team and Working Group members prepare the design for and set up and man a booth for the duration of the Water Week. The dissemination of materials and dialogue with the visitors promotes project and government activities in the sector.
3. **Public dissemination strategy approved – Jun 06:** On time. Public dissemination strategy in draft form, scheduled for completion end 2005. This activity is a strategy that is being developed in house in conjunction with the project communication strategy.

**Progress on activities and outputs that were promised to be completed in FY05 and for which the Task Manager is accountable:**

1. **Communicating lessons learned in WASPOLA through a range of media materials and channels, and developing communication campaign strategy for nationwide dissemination of WSES policy.** – Jun 05
   A monthly report is produced by the WASPOLA secretariat and disseminated to all the stakeholders operating in the sector plus the majority of LGs. Media and civil society are engaged in the process where possible. A draft communication strategy was completed and will be reviewed.
2. **Meetings, seminars, workshops, trainings for inter-ministerial WSES Working Group, for policy reform and implementation.** – Jun 05
   There were 15 meetings and 7 training workshops held under the WASPOLA project in the first half of 2005. These meetings and workshops were used to develop the skills of the working group members and LG. These activities are also often obtain feedback from the counterparts in the preparation of the WASPOLA Workplan for 2006.
3. **Coordination with GoI and AusAID: CPC meetings, annual consultations, preparation of annual plans and 6-monthly reports – Jun 05.** Annual Plans are an AusAID requirement and this was completed for 2005, routine six monthly reports based on the Annual Plan are submitted to AusAID. These were completed and submitted. CPC Meetings are arranged between government and AusAID. There have been delays in CPC meeting due the changes in government officials at senior level. There have been 2 meetings with the donor group in the preliminary stages of a donor harmonization activity funded and resourced by AusAID, WASPOLA facilitated in the early stages of this activity.

Implementation plan to correct the situation for delayed activities/outputs promised for FY05 for those that have been delayed: No delays. Outcomes and activities are routine outputs under this component.

**EWDEA/IND/27: WASPOLA – Community – managed WSES Implementation**

**Task Manager:** Russell Abrams

**Overall project status:** On time. The project received continued support from AusAID in the form of a longer term commitment which was signed by the partner governments. An amended Subsidiary Arrangement was signed on 11 Sep 04 by GoI and GoA. The signing of this document reaffirmed the commitment of GoA to GoI to the project although the arrangements are still that the funding will be based on the approval of Annual Plans and budgets. These are produced annually. The project is due to be completed in 2008 although the ideal outcome is the GoI with continue the activities of the project into the foreseeable future. The Community Based policy document was still in the process of being promulgated in 5 of the provinces of Indonesia. This support was given in the first part of the FY (July 04 – Feb 05). This process is carried out by providing support staff in the LGs and assisting in the development of locally based WATSAN committees and working with them to develop short-, medium-, and long-term planning goals and
strategies. The selection of these regions is based on the soliciting of support from interested LG agencies and the project then provides facilitation services. Funding for the bulk of the activities (meetings training and workshops) is provided by the LG agencies indicating their commitment to the process. It is envisaged that this process will carry on for the continuation of the project as long as funds are forthcoming either from the donor or the GoI.

Progress towards the outcome (as measured by the indicators) that were indicated in the approved FY05 Business Plan, and in some cases revised at FY05 MYR

1. WASPOLA-influenced national policies/ action plans applied by GoI and ESAs in the implementation of sector programs and projects—Jun 08: On time. There are positive indications that the participating LGs are committing funds and resources to the process. Development plans in some of the participating LG are in the drafting stage which is encouraging and shows a real desire to plan for development in the WSES sector in their area. One of the LGs is struggling to keep the motivation level high due to significant delays in national government funding coming on stream. Additional support was provided from the project to ensure the momentum was not lost in the interim period. The progress under this component is still on track and continues to be re-evaluated on a continuous basis both by project staff and the WATSAN committee. Agencies such as ADB, GKZ, EAP and the WSLIC project are using the WASPOLA policies and strategies to implement project. The reconstruction of Aceh is also promoting using the WASPOLA work to develop community-based management techniques developed by WASPOLA. There is no doubt the project outputs are being used more and extensively as time goes on the projects products are promoted to the stakeholder's active in the WSES sector in Indonesia. At national level this is certainly the case and the trend is also becoming more and more evident in the provincial areas as well.

Progress towards milestones that were indicated in the FY05 approved business plan and in some cases revised at FY05 MYR

1. Community-based policy framework issued in the form of a Ministerial Decree (Kepmen) or similar mechanism—Jun 08: On time. The achievement of this milestone is still on track and may become a completed milestone in conjunction with an integrated Community and Institutional for WSES in Indonesia. There is genuine desire to integrate these documents and present these to Government and the stakeholder as a single entity.

2. Inter-agency sector policy coordination mechanisms established within seven local governments and undergoing testing—Jun 06: On time. Interagency sector policy activities are underway with the participating LGs and there are examples of this being documented continuously. Both NGO's and other bilateral projects are participating with the project. Other agencies active in the sector are contributing to the sector under the Knowledge Management component of the project. There is active participation with all WSP's (PDAMS) in the provincial areas through the local WATSAN and the national coordinating committee (PERPAMSI). Direct project coordination with the other ESAs (ADB, USAID, GKZ, Bakti) active in the country.

3. Strategic Action Plans produced by seven local governments—Jun 07: On time. This is already underway with 5/7 LGs. The process is constantly under review due to limited capacity at LG level support is being added if and when necessary. It is planned that 5 of the participating LGs will have this milestone completed before the end of the FY05/06 period.

4. Local budget allocations for implementing action plans—Annually: On time. To date 5 of the 7 LGs have committed finally to the process and there are favorable indications that the remaining 2 will commit in the first period of FY05/06. This is verified by the LGs active participation in the workshops and training sessions.

Progress on activities and outputs that were promised to be completed in FY05 and for which the Task Manager is accountable

Support has been provided through the project team at all levels and there is positive progress toward the promised activities and outcomes. All these activities and outputs are based on the coordinated work of the WASPOLA team and supported by the Task Manager and the WSP EAP Office.

Progress is positive and hopefully will remain so.

Implementation plan to correct the situation for delayed activities/outputs promised for FY05 for those that have been delayed: There are no delays in the projects activities to date. Some constraints have been experienced due to delays in the release of the GoI budget for 2005 but the project has compensated for this constraint by adjusting work plans and shifting activities to suit the GoI's program.

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EWDEA/TND/27 (1): Institutionalizing WSES Planning, Budget Allocation, and M&E in Line with the Community-based WSES Policy with Seven Selected Districts

Overall project status: On time. During the reporting period there has been significant progress towards the outcomes of the project. Local governments responding to the project’s interventions by participating at all levels. This project draws on the participation of all levels of both local governments and communities. In the formulation of policy and action plans at local level and brings all the stakeholders together with the overall objective of improved WSES coverage. The 7 LGs originally identified in the first round of selection have responded favorably and are participating in the project activities. Collaboration with these LGs is planned into the next FY, moving towards the outcomes identified. Overall status can be considered as positive as there is total commitment from participating LGs and commitment to the activities of the project extends to modest financial allocation to the project implementation.

Progress towards the outcome (as measured by the indicators) that were indicated in the approved FY05 Business Plan, and in some cases revised at FY05 MYR

1. Inter-agency sector policy coordination mechanisms established within seven local governments and undergoing testing – Jun 05: Completed. No change occurred in the mid year report coordination mechanisms established and in place in Gorontalo, Banten, South Sulawesi, NTB, Bangka Belitung Island, Central Java, West Sumatera. LGs have WATSAN committees operating and active. WASPOLA staff working with committees in the preparation of policies and work plans. Main objective to assist LGs to be aware of MDG targets and assist them to understand the institutional and financial requirements achieve these targets. Commitments at senior government level made towards next step of development in Gorontalo, Bangka Belitung Island and NTB. Others expected in the first half of FY05/06
2. Strategic action plans produced by seven local governments – Jun 06: On time. Action planning process underway and on track in all the participating LGs.
3. Local budget allocations for implementing action plans – Jun 07: Ahead of schedule. Local budgeting underway and anticipated in 5 of the LGs. Outcome ahead of schedule in 5 of the 7 remaining two anticipated early in FY05/06.
4. National policies and action plans applied by Gol and ESAs in the implementation of sector programs and projects – Jun 08: On time. Community-based policy being used by all Gol and ESA’s active in rural areas.

Progress towards milestones that were indicated in the FY05 approved business plan and in some cases revised at FY05 MYR

Milestones were not defined in the BP but letters of endorsement have been obtained from the Governors of two of the seven participating LGs. This can be classified as a significant measure of success for the activities to date.

Progress on activities and outputs that were promised to be completed in FY05 and for which the Task Manager is accountable. The activities that promised under this component have been completed.

1. Inter-agency sector policy coordination mechanisms established within seven local governments and undergoing testing – Jun 05: An ongoing activity and it is proposed that in the FY07 activities Field Notes and supporting documentation be completed under this part of the project. This activity will continue on as long as the project has the resources to remain active in the support of Local Governments. To date the work has been expanded and has exceeded which was originally planned in the preparation phase of the work plan. The project is now working in 7 provinces and 22 district councils which gives the activity a higher profile and exceeds the original expectations.
2. Strategic action plans produced by seven local governments – Jun 06: The LGs in which the project is operation is in the process of preparing these action plans and the project personnel has been continuously engaged in the support of this process. LGs are continually developing strategies and financial plans in conjunction with their projected targets for the WSES sector. This as with 1. will continue on throughout the life of the project and will be expanded as both financial and human resources become available. These resources will probably not come from the donor but from the governments desire to expand the projects coverage with their own resources.
3. Local budget allocations for implementing action plans all the LGs that are partnering the process are contributing in both manpower and financial resources. Part of the agreement before collaboration begins requires an acknowledgement from the LG to commit resources. There is no requirement for LGs to give a firm commitment but at least a written endorsement is required. To date all those participating have made financial commitments in the order of $20,000 to promote the policy and to develop their own workplans.
4. National policies and action plans applied by Gol and ESAs in the implementation of sector programs and projects. The application of the plans is still in the early phase and the LGs are still developing an understanding of what the preparation and application of such plans means to them. There is a clear desire of ESA’s active in the sector to interact with the participating LGs and this is nearly always carried out with the support of the WASPOLA project.

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Implementation plan to correct the situation for delayed activities/outputs promised for FY05 for those that have been delayed: There are no delayed activities.

EWDEA/IND/28: Country Program Coordination
Task Manager: Nilanjana Mukherjee

**Overall project status:** On time. The Indonesia country program consists of a major project (WASPOLA II), several small studies funded by various sources, about 50 staff weeks of cross-support to the WB for new project design/project supervision/ cross-sectoral and sector-specific AAA work. A significant new development during this year has been the design of one WSP-EAP-managed component of a large Dutch Trust Fund for sanitation sector support, which became operational in Jun 05, to be executed in parts by the WB and the GOI. At the request of Sida, another TA proposal was formulated to further strengthen the focus on environmentally sustainable sanitation technologies and research, in Indonesia and the Philippines. It has been positively reviewed by the donor and is awaiting final approval. During this reporting period a systematic effort has been made to develop strategic linkages between these discrete activities/projects, to enhance the leveraging power of WSP-EAP, to influence the WSS sector in Indonesia. Also, quality assurance procedures have begun to be developed consultatively with government clients in WASPOLA – which is a partnership for policy reform and capacity building to operationalize reform.

**Progress towards the outcome (as measured by the indicators) that was indicated in the approved FY05 Business Plan and in some cases revised at FY05 MYR.**

1. **Internal coherence and appropriate linkages among projects and activities in the business plan** – Jun 05:
   - Completed
2. **Timely delivery of project and activity plans and progress reports in required formats** – Jun 05: Completed
3. **Work procedures for quality assurance established and adhered to by country team members** – Jun 05:
   - Completed

Additionally, synergies and linkages developed between WSP-EAP’s work and that of other investors/donors including World Bank Indonesia in (i) PRSP preparation – definition of indicators for poverty reduction linked to access of the poor to, and effective use of, WSS services; (ii) CDD platform activities – identification of entry points to improve WSS service delivery and sustainability through multi-sector projects; (iii) Programmatic approach being used for preparation of WSLIC 3 (WB loan) as a national RWSS program; (iv) Dutch Trust Funded WASAP proposal formulated and funding obtained for water and sanitation sector development, as a composite whole with interlinked components managed by WS-PEAP (ISSDP), WBI, WB Indonesia and Government of Indonesia; (v) Proposal formulated for Sida funding to complement ISSDP, in the areas of environmentally sustainable urban san. solutions.

**Progress towards milestones that were indicated in the FY05 approved business plan and in some cases revised at FY05 MYR:**

1. **Quarterly progress reports** – Ongoing: Ongoing
2. **Draft FY06 business plan** – Jun 05: Completed
3. **Mid-term review** – Ongoing: Completed

**Progress on activities and outputs that were promised to be completed in FY05 and for which the Task Manager is accountable.**

1. Project and Activity Plans, budget estimates, progress reports submitted on schedule throughout the year.
2. Additional communication management capacity was recruited for the Indonesia Country team, following a review of communication-related workload and skill requirements in current and forthcoming projects
3. QA procedures were established through teamwork and consensus building approach within the different task teams in Indonesia, e.g. a schedule of regular staff meetings to share project updates and review progress together, consultative approach to Workplan formulation, mentoring relationships established where needed.

**Implementation plan to correct the situation for delayed activities/outputs promised for FY05 for those that have been delayed:** Not applicable
EWDEA/IND/46: WASPOLA 2 – Improving Sector Data for MDG Monitoring
Task Manager: Russell Abrams

Overall project status: Delayed. This project has been scheduled to commence in the first half of the FY05/06. The resources needed to start this project have not been available in the first part of the year hence the delay in commencement. There have been some preliminary meetings with the WASTSAN groups and they have approved the draft TOR and the project is in the process of advertising for consultants to carry out the task. Status for the project is that it will be underway in the first quarter of the new financial year.

Progress towards the outcome (as measured by the indicators) that were indicated in the approved FY05 Business Plan, and in some cases revised at FY05 MYR
1. Government of Indonesia implements, monitors, and evaluates community-managed water supply policy at the district level – Jun 08: Delayed. Draft TOR has been prepared and circulated to stakeholders for comment. It is proposed to engage the Government Statistics Office in the study as well as other stakeholders (UNICEF, WHO).
2. Census forms modified – Jun 06: Work on the preparatory stages of the study in scheduled for the first part of FY06 and was underway in June of 2005. The proposal is to set up an advisory team which will engage all the stakeholders in the sector to contribute to the revision of the census forms. It is expected that this process will be ahead of time depending on the response of the government census department.

Progress towards milestones that were indicated in the FY05 approved business plan and in some cases revised at FY05 MYR: Pls. report on project milestones, even if they are not due in FY05.
1. Census forms modified – Jun 06: Work on the preparatory stages of the study in scheduled for the first part of FY06 and was underway in June of 2005. The proposal is to set up an advisory team which will engage all the stakeholders in the sector to contribute to the revision of the census forms. It is expected that this process will be ahead of time depending on the response of the government census department.
2. Census data collected – Jun 07: There has been no progress on this as it is a dependency of 1.

Progress on activities and outputs that were promised to be completed in FY05 and for which the Task Manager is accountable
1. WSES data verification study and follow-up strategy for institutionalization with national data management agencies: Delayed
   - Terms of Reference for census/ SUSENAS forms revision, new draft questionnaires – Dec 05: Completed.
   - Draft TOR prepared and stakeholders engaged.
   - Revised, tested census/ SUSENAS forms for improved WSES information collection – Dec 05: Delayed until Jun 06 as it is a dependency of the TOR preparation above. The process of engaging the stakeholders has taken longer than originally anticipated and the desire of the stakeholder to engage in this process took more effort. The project team has now engaged an advisory team and the process is now well underway.
   - Defined strategy and indicators for continued collection and management of reliable sector information – Dec 05: Delayed. This is also a dependency of the previous two activities. This will be an output of the Data Verification Study expected in Dec 06
   - Data verification study report – Feb 05: Delayed until Dec 06 reasons above.
   - Improved WASPOLA website – Jun 05: Completed Jan 05

Implementation plan to correct the situation for delayed activities/outputs promised for FY05 for those that have been delayed: Activities have started and work will commence in the first part of the FY05/06

EWDEA/IND/49: Community Managed WSES Policy Analysis
Task Manager: Russell Abrams

Overall project status: On time. Activities commenced on work on CLTS and on the decentralization study early 2005 and these studies are currently underway. LGs are currently in their infant stages following decentralization and they are still in the phase of developing capacity at all levels. Many other projects are focusing on strengthening LGs at all levels and WASPOLA is contributing in the 7 focus LGs. Workshops and meetings are held on a regular basis with these LGs and WASPOLA and WATSAN member contribute and participate in meetings and workshops of others ensuring the continuity of the best practices developed by the project is maintained. Sanitation activities have shifted form the WASPOLA project more towards the ISSDP project which was recently approved funded by the Royal Government of the Netherlands. Linkages to the WASPOLA project are significant and all activities under this project are monitored and tied into the WATSAN committee. WASPOLA’s role in sanitation remains the same but this has now expanded with additional funding and focus on the sanitation sector.

Progress towards the outcome (as measured by the indicators) that were indicated in the approved FY05 Business Plan, and in some cases revised at FY05 MYR
During the reporting period the project worked closely with the GoI counterparts to ensure that the objectives of the project were met. Consultants are engaged in house and managed by WSP-EAP and the WASPOLA Secretariat.
studies for both the CLTS and the decentralization were initiated and work commenced during the latter part of the FY. Workshops meeting and detailed work with media and LG is currently underway to ensure the results of these studies are fully accepted buy the stakeholders.

The preliminary outcomes of these studies are due early 06 which will ahead of the scheduled date.

1. Community led Total Sanitation approach field tested in up to 6 WSLIC 2/GTZ project communities – Jun 06.

The other projects have been engaged and work is progressing towards the completion of this outcome. The projects under the GTZ project have not been engaged to date as there have been significant delays in the implementation of this project. Engaging with the GTZ project is totally dependant on their progress.

2. Learning regarding community-wide behavior change strategies incorporated in sanitation sector policy – Jun 06

There has been no progress towards this activity as yet. Work is planned for early 06 if endorsed by the working group as an activity supported under the WASPOLA budget allocation.

3. GoI implements community-managed water supply policy in 7 districts – Dec 06.

This is underway and progressing well it is expected that this outcome will be exceeded.

Progress towards milestones that were indicated in the FY05 approved business plan and in some cases revised at FY05 MYR

1. Work plans and schedules for executing the decentralization study and field trials – Aug 04: Completed at MYR.
2. Consultants to execute the work mobilized – Sep 04: Completed at MYR.
3. Draft documentation on lessons learned from both activities prepared – Jun 05: Completed. These reports are completed and on file.
4. Plans and strategies for dissemination of results and incorporation of learning into key policy guidelines and manuals of GoI worked out and agreed with stakeholders – Sep 05. The preparation of this milestone activity is underway and there are already documents produced and disseminated at a local level. These documents are designed specifically for local consumption and produced in Bahasa.

Progress on activities and outputs that were promised to be completed in FY05 and for which the Task Manager is accountable. All outputs scheduled under this activity have been completed or are still in the implementation phase. Refer to sheets EWDEA/IND/49(1) and EWDEA/IND/49(2) for more information. Final documentation due for completion in the next FY.

Implementation plan to correct the situation for delayed activities/outputs promised for FY05 for those that have been delayed: No delays have occurred.

EWDEA/IND/49 (1): Field trial of WSES issues in large scale projects
Task Manager: Nilanjana Mukherjee

Overall project status: On time. Jun 05 marks the end of year 1 for this 2-year project. Field trials of the Community-led Total Sanitation (CLTS) approach have been launched in 5 districts, of which 4 are in the WB-supported WSLIC project and 2 in the CWSH (ADB) project. During FY06, progress will be monitored and evaluated and strategies/plans developed for expansion. Learning generated by the trials will be documented and analyzed with national and sub-national government agencies about what it takes and how to support scaling up of improvements in population sanitation and hygiene practices. During FY06, documentation and analysis of emerging lessons will be strategically supported, to build up to national sanitation policy reform impact by end of the project in Jul 06.

Progress towards the outcome (as measured by the indicators) that were indicated in the approved FY05 Business Plan, and in some cases revised at FY05:

1. Learning related to community-wide behavior change strategies incorporated into sector policy – Dec 05: On time. The field trial experience in the 6 districts so far is showing that CLTS is an effective approach for achieving rapid improvements in communitywide sanitation behavior. The potential for its expansion now needs to be properly assessed and supported. Through the CLTS trainings and implementation experience, consensus is developing among national and sub-national government agencies about what it takes and how to support scaling up of improvements in population sanitation and hygiene practices. During FY06, documentation and analysis of emerging lessons will be strategically supported, to build up to national sanitation policy reform impact by end of the project in Jul 06.
2. Same learning then incorporated into the design of environmental sanitation component of WSLIC 3, which is being designed as a national sector wide program for community-manages WSES – Jun 06: On time

Progress towards milestones that were indicated in the FY05 approved business plan and in some cases revised at FY05 MYR

1. Workplans and schedules for executing the field trial worked out a with WASPOLA Working Group – Aug 04:
Completed at MYR.
2. Consultants to execute the work mobilized – Sep 04: Completed at MYR.
3. Study visits by Govt. of Indonesia to Bangladesh and India CLTS sites – completed in Nov 04
4. Preparation for Indonesian version of CLTS trial in WSLIC and CWSH projects - completed Apr 05
5. Field trials launched in 6 Indonesian districts – completed over May – July 05. – on schedule
6. Draft documentation on lessons learned from the activity prepared and incorporated in WSLIC 3 design – Jun 05. Delayed. Still underway since WB Indonesia and GOI have not yet formally begun the WSLIC 3 design process.
7. Plans and strategies for dissemination of results and incorporation of learning into key policy guidelines and manuals of GOI worked out and agreed with stakeholders – being worked out and dissemination materials are under preparation during July-October 05

Progress on activities and outputs that were promised to be completed in FY05 and for which the Task Manager is accountable. The outputs generated include an addition to those originally planned. Initially the field trials were to be undertaken only in the WSLIC project and in 4 districts. The CLTS feasibility assessment in Sep 04 and GOI’s visit to South Asia in late 2004 generated interest from other donors as well. ADB decided to include a CLTS trial in their newly launched CWSH project. Thus field trial districts have now increased to 6, in 6 different provinces.
1. Formulate TOR for field trial in WSLIC 2 /GTZ project locations – Sep 04: Completed at MYR
2. Organize study visit by GOI and partner NGO personnel to CLTS projects sites in Bangladesh and TSC in India Study visits undertaken – Oct 04: Completed.
3. Launch field trials at selected sites with international consultants - Jan 05: Completed.
4. Completion of field trials and identification of key findings – Jun 05: Completed at MYR
5. National policy learning dialogue to present findings and agree on recommendations for policy/institutional action. – Dec 05: On time
6. Provide support to GOI for developing strategies for replication and expansion of the form/s of CLTS that work in Indonesia – Jun 06: On time

Implementation plan to correct the situation for delayed activities/outputs promised for FY05 for those that have been delayed: Not applicable

EWDEA/IND/49(2): Analysis of impact of decentralization on WSS services
Task Manager: Nilanjana Mukherjee
Overall project status: On time (revised at MYR). Progress is as scheduled during the Mid-year review with respect to completion of the analysis, and submission of the 10 district reports. The submission of the draft consolidated report is delayed to Aug 05. The national workshop planned during FY05 has not yet therefore been held, although key findings have been presented to and discussed with national stakeholders in Jul 05.

Progress towards the outcome (as measured by the indicators) that were indicated in the approved FY05 Business Plan, and in some cases revised at FY05 MYR: This project aims at identifying how sector policy reform could be operationalized by district level local governments in Indonesia, resulting in WSS interventions being better managed locally. It is studying the processes and mechanisms currently being used by local governments to plan, budget for, implement and monitor WSS investments, to identify opportunities and areas for improving sector performance at the local level.
1. Plan of implementation worked out with ILGR project management and WASPOLA Working Group – Aug 04.
Completed at MYR
2. Districts for study, working partners and consultants on board – Sep 04: Completed at MYR
3. Study completed and draft report prepared – Dec 04: Completed. Study carried out in 10 districts – during Feb – May 05
4. Findings presented to and discussed with stakeholders – Feb 05: Delayed
5. Recommendations to improve quality of WSS sector management of issues by local governments incorporated into Governance Reform project guidelines and training programs – May 05: Delayed
6. Learning and recommendations incorporated into Sector Policy implementation Toolkits for Local Govts – Dec 05: On time

Progress on activities and outputs that were promised to be completed in FY05 and for which the Task Manager is accountable: The main output from FY05 was the study report and a national workshop to present findings to stakeholder Ministries. The launch of the study was postponed from the initially planned date of October 04 to early 2005 at GoI’s recommendation, so as to capture the effect of WASPOLA policy trials during FY04 on district Annual Plans and budgets. GoI budget allocations for the forthcoming Financial Year are firmed up only in Jan-Feb. every year. Field work accordingly began in Feb 05. The site reports from 10 districts and key findings from all sites have now been submitted. The consolidated study report draft is however delayed and is expected one month later than scheduled in August 05.
1. Formulate TOR for review of district poverty reduction strategies/plans, district development strategies, and annual budgets arising from them – in ILGR project and WASPOLA field trial districts – Aug 04: Completed at MYR
2. Review in ILGRP, WASPOLA policy trial districts – Dec 04: Completed.
3. Stakeholders’ workshop to discuss findings from ILGR and WASPOLA policy trial districts and new ILGR districts, agree upon recommendations for action – Feb 05: Delayed due to delay in study launch. The national workshop has been re-scheduled to happen in early FY06.
4. Recommendations incorporated into updates of ILGR project implementation guidelines and training manuals – May 05: Delayed
5. Providing support to districts expressing demand for further assistance to put recommendations and WSES policy principles into practice – Jun 06: Delayed

Implementation plan to correct the situation for delayed activities/outputs promised for FY05 for those that have been delayed: No specific plan, since the extent of the delay is not substantial.

EWDEA/LAO/47: Lao PDR Country Program
Task Manager: Thomas Meadley

Overall project status, likely impact and lessons learnt: LCO’s activities in FY05 progressed with those that had available funding completed on time. However, the deliverables 1.a, 1.b and 1.c were delayed (see activities) due to late funding allocation and e-procurement anomalies.

Progress toward outcomes that were indicated to be achieved in FY05 in the approved business plan: Dissemination of best practices, knowledge sharing and strengthening of networks between sector partners in Lao PDR and EAP region with the program managed effectively and outputs delivered on time. Underway and on time following the budget allocation. Funds for FY05 were authorized on 15 March 2005. The progress toward the outcomes is outlined below in ‘Progress on activities and outputs’ for Deliverables 1.a, 1.b and 1.c., which are activities consolidating lessons learned and best practices shared for future development into National WSS Association linking to regional SEAWUN.

Progress towards milestones that were indicated to be achieved in FY05 in the approved business plan: Some milestones developed in business plan and at mid-year were not yet completed. Refer to FY06 project sheet for project milestones.

Progress on activities and outputs that were promised to be completed in FY05 and for which the Task Manager is accountable in the Business Plan: Support to Nam Saat for implementation of the Lao WSS strategy - Jun 05: Delayed. All deliverables will be completed in FY06 due to delayed FY05 funding allocation. Development of the Lao PDR Network for Water Supply and Sanitation, LN WSS: Delayed due to activities not being included in the original FY05 budget due to uncertainty over regional funding from the Dutch Trust Fund and the Mekong Regional Proposal to SIDA; Project was subsequently broken down
into 3 separate packages to expedite consulting firm hiring as follows:

- **Lao PDR Healthy Living (Hygiene and Sanitation) Website**: Delayed due to process of budget authorization only received on 15 March 2005 and e-procurement anomalies. Contract with the selected consulting firm is being finalized and expected to commence in August FY06.

- **Consolidation of Nam Saoat’s IEC materials**: Delayed due to process of budget authorization only received on 15 March 2005 and e-procurement anomalies. Contract with the selected consulting firm is being finalized and expected to commence in early September FY06.

- **Local Organising Committee’s ‘Summary Report of International WSS Conference’**: Delayed due to process of budget authorization only received on 15 March 2005 and e-procurement anomalies. Contract with the selected consulting firm is being finalized and expected to commence in August FY06.

2. Developing sanitation standards (behavioral and technical) and indicators for the MDGs - national consultation, website development, field study – May 05: Dropped as this activity will be included later under the SAWAP

3. **Country program management & business development – Jun 05**

   - **NAG meetings – Sep 05**: On time. GOL sector partners have proposed to undertake initiatives to organize and contribute their inputs to the 8th NAG meeting in a more creative and interactive manner, such that the NAG for LCO develops into the national LWSSA.

   - **support for WEDC conference – Oct 05**: Completed. The successful organization of the International WES conference has brought about: (i) Strengthening of sector partnership leading to the informal request to LCO from GOL to be the main link to establish and support the formal Lao Water Supply and Sanitation Association (LWSSA); (ii) Increased sharing of knowledge and adopting best practices related to WSS among the sector partners; (iii) Enhancing sector capacity to organize a large scale international WES conference; and (iv) Focusing WSS agenda as a GOL priority for poverty reduction.

   - **Mekong regional proposal**: On going.

4. **Cross-support to Bank operations and AAA: As per the requirements of each activity – Jun 05**: Strengthening of linkage with WBOV and incorporating WSS within the WB CAS/PRSC to support the NGPES and the 6th GOL National Socio-Economic Development Plan (NSEDAP) have significantly raised the profile of integrated sector perspectives at national level. The 3-year process of consensus building brought to fruition the first formal private sector investment in water supply in July 2005.

Implementation plan to correct the situation for delayed activities/outputs promised for FY05 for those that have been delayed:

The following activities will be carried forward into FY06:

- Project 1.a: Development of Lao PDR Hygiene and Sanitation website – Jun 06.
- Project 1.b: WSS IEC materials development – Apr 06.
- Project 1.c: Lessons learned from hosting an international conference in Lao PDR – Dec 05.

**EWDEA/PHI/33: Promoting Informed Choice in Sanitation under the Water Supply and Sanitation Performance Enhancement Project (WPEP)**

**Task Manager:** Jemima Sv

**Overall project status:** Delayed. The development of the Sourcebook is underway, but the work program is already delayed by 3 months to accommodate feedback from peer reviewers and revisions to the proposed model for decision-making. The remaining activities have been planned for completion in FY06. Lesson learnt: The delay in the activity is partly due to the overly optimistic initial estimate for completing the activity (10 months). Consultations and review at each output/draft stage to ensure that the Sourcebook is relevant and useful to potential readers has had a significant impact on the timetable.

**Progress towards the outcome (as measured by the indicators) that were indicated in the approved FY05 Business Plan, and in some cases revised at FY05 MYR:**

1. **High quality (as assessed by peer reviewers in evaluation workshop/feedback form) toolkit of applicable sanitation options produced** – Delayed. The deadline has now been moved to December 2005. Major preliminary outputs such as decision instruments and aids are regularly peer reviewed. The peer review committee has convened about 4 times during this period to ensure that the final product is of acceptable quality.

2. **Increase awareness among LG officials and utilities’ staff, as confirmed by independent evaluation, on different sanitation options** – Jun 05: Completed. Sanitation technology workshops and peer review meetings are held in support of preliminary dissemination of major outputs/chapters of the Sourcebook.

3. **Four communities demand appropriate, low-cost sanitation options** – This indicator relies on coordination with investment projects undertaken by other partners. Project managers and financiers are constantly updated on the progress of the project. In June 2005, an orientation was organized for development banks and other financiers of local government development projects to introduce the range of low-cost sanitation technologies available. A preliminary
draft of the sourcebook was circulated for the use of the development banks in their marketing programs.

### Progress towards milestones that were indicated in the FY05 approved business plan and in some cases revised at FY05 MYR:

1. **Hiring of consultants; formation of sourcebook team** – Completed at MYR.
2. **Agreement on profiles to include in the first batch, desk review of sanitation technology options** – Completed at MYR.
3. **Data collection and analysis is relevant and robust** – Underway, but some slippage in time. Data collection has been completed during the period and the development of decision aid, flow charts and draft manuscripts are underway. Several iterations of the sanitation options flow charts and decision matrices have been developed based on feedback from peer reviewers.

### Progress on activities and outputs that were promised to be completed in FY05 and for which the Task Manager is accountable:

1. **Consultation on content and profiles to be included in the Sourcebook** – Jul 04: Completed at MYR.
2. **Desk-based review of possible sanitation options** – Completed. Most of this work was completed early on in the project. However, it was later decided to include ecological sanitation concepts and systems into the sourcebook. During the period, the final inclusion of ecological sanitation technology was undertaken which completes the desk-based review.
3. **Development of sanitation sourcebook** – Feb 05: Delayed. 70% of the Sourcebook manuscript has been drafted, but revisions are have been constantly made to improve decision-making model, which has an impact on the rest of the manuscript. Chapters on basic principles of sanitation also need to be revised to incorporate ecological sanitation concepts, which apply slightly different principles/perspective than traditional sanitation and wastewater treatment. Incorporating these two paradigms has proved to be challenging.
4. **Support for the design of a field trial** – Modified. This activity was conceived as providing technical inputs or orientation/training on low cost sanitation options for investment projects funded by partners after dissemination of the Sourcebook. However, with the pushing back of the development of the sourcebook, this activity would be dropped unless new sources of funds would be available after the expiration of the current trust fund in December 2005.

### Implementation plan to correct the situation for delayed activities/outputs promised for FY05 for those that have been delayed:

A catch-up strategy was agreed between the Project team and WSP and consulted with the Project financiers (including the GTZ and USAEP): Finalize decision-making framework – Jul 05; Draft complete manuscript (This work has been taken over by WSP based on rough reports from the consulting teams) – Aug 05; Peer review manuscript – Aug 05; Finalize manuscript – Sep 05; Editing – Oct 05; Design and printing – Nov 05. In place of the last activity originally proposed (support field trial design), The Sourcebook team has been engaging with project implementers and financiers to orient them on the sanitation options featured in the Sourcebook and to encourage them to use Sourcebook within their existing investment projects.

**EWDEA/PHI/36: Philippine Program Management**

**Task Manager:** Jemima Sy

**Overall project status: On time.**

This project is underway and continuing. During FY05, the strategy for the Philippines was developed and consulted with stakeholders. This strategy has been articulated in two major proposals for funding of a WS and Sanitation program and confirmed by WSP-EAP RTL and CTL during a programming mission.

### Progress towards the outcome (as measured by the indicators) that were indicated in the approved FY05 Business Plan, and in some cases revised at FY05 MYR:

1. **Process for business and marketing strategy development for WSP-EAP commenced in the Philippines** – Completed on time. In March 2005, RTL and CTL conducted a programming mission in the Philippines to confirm the relevance of the core programs proposed by WSP-EAP for implementation in the Philippines. Proposals for funding were written for two major programs – first on water supply institutions improvement (Operational Policy Support for Improving Institutions and Project Approaches) and second on sanitation (Philippines Program for Sustainable Sanitation). Two auxiliary proposal/concept notes were also developed to supplement the proposed core programs. One is an expansion of the current work on Benchmarking and the second is a proposed assistance to facilitate access of small utilities to financing from domestic sources.
2. **Continued coordination by GoP of the WSS Programs and information exchange among local, regional, and global WSS practitioners** – Underway and on time.
3. Institutionalization of project initiatives and development of forward agenda—Underway and on time. With support from WSP, the Water Czar convened a high-level Water Supply and Sanitation Coordinating Council to carry the GoP sector reform program forward. In the last PSC meeting, it was confirmed that the National Water Resources Board would be the Program’s main counterpart.

Progress towards milestones that were indicated in the FY05 approved business plan and in some cases revised at FY05 MYR:
1. Reports—Ongoing. Underway and on time. Progress reports to WSP, donors and counterparts regularly provided. Project Steering Committee and technical working group meetings convened. Regular discussions held with all counterparts and donors.

Progress on activities and outputs that were promised to be completed in FY05 and for which the Task Manager is accountable:
1. Coordination, information-sharing—Quarterly: Underway and on time. In addition to the sector update/exchange undertaken through the quarterly Technical Working Group meetings, reporting on preliminary results of project activities are also opened to broader participation than those involved in the project teams so that learning can be maximized. The project also provides support to the convening of the WSS Coordinating Council chaired by the National Anti-Poverty Commission (see below) and the Philippine Ecological Sanitation Network.
2. Monitoring and reporting—Semi-Annual: On time. Project resources are mobilized and activities implemented, managed, and monitored within agreed budgets and to agreed standards and timeframes. Most outputs are produced on time, although some extensions are agreed in order to accommodate further improvement of outputs. Evaluation of learning events undertaken between Mar and Oct 04 showed positive results, with activities having high satisfaction ratings from participants.
3. Institutionalizing project initiatives and forward planning; development of business—Apr 05: Completed. With support from WSP, the Water Czar convened a high-level Water Supply and Sanitation Coordinating Council to carry the GoP sector reform program forward. In the last PSC meeting, it was confirmed that the National Water Resources Board would be the Program’s main counterpart.

Implementation plan to correct the situation for delayed activities/outputs promised for FY05 for those that have been delayed:
The delays in the program of work in some activities mostly results from instituting peer review to ensure the quality of the product. Any major slippages in the work program have been agreed/adjusted with the TWG/PSC and partner implementers. As all activities are actively managed and delays have been experienced only in activities that have been conducted for the first time, so that most likely the delays reflect inaccuracy of time estimation at the outset.

EWDEA/PHI/48: Communications and Dissemination of Lessons Learned under WPEP
Task Manager: Jemima Sy

Overall project status: Completed. Mostly completed; new activity agreed for FY06. A strategy for the communications component was decided and developed within the first 6 months of FY05 (see previous progress report). Following this, implementation of communications activities was pursued and during the period, all planned activities have been conducted except one relating to work with the Department of the Interior and Local Government (DILG). This activity has commenced, but will be completed in FY06. Likely impact and Lessons Learnt—An internal review of learning events conducted during the period October 2004 to March 2005 was conducted by WSP. The results and report of this review is attached as Annex 1.

Progress towards the outcome (as measured by the indicators) that were indicated in the approved FY05 Business Plan, and in some cases revised at FY05 MYR:
1. Effective communication strategy, as evaluated by experts and the Project Technical Working Group, developed and implementation commenced—Completed and reported in last MYR.
2. Six regional workshops on WPEP I study results and MDGs are deemed useful and informative in a post-workshop survey of WSS practitioners. Completed and exceeded. During the period, 6 more workshops were organized with partners, including the World Bank, to disseminate findings of WPEP I results and discuss MDGs targets. These workshops include raising sanitation awareness through a Sanitation Symposium for Local Governments participating in World Bank’s Laguna de Bay Institutional Strengthening and Community Participation project; following up the highly-successful 2004 National Water Forum with a smaller-scale updating of progress on commitments by GoP agencies in the 2005 World Water Day Symposium; dissemination of good practices for
improved water utility performance and launching of improved regulatory systems and tariff models introduced by the National Water Resources Board through two regional workshops held with water cooperatives; dissemination of best practices in water supply design, implementation, management and monitoring through the launch of the President’s Priority Program on Water and Sanitation (co-financed with PPIAF and EASUR), and through participation in a WB/ Water Anchor and WSP joint initiative on Improving Design, Quality and Sustainability of RWS targeting local governments in Mindanao that will participate in the World Bank project, Mindanao Rural Development Project.

3. Cost-effective communication products (such as presentation materials, press releases, articles, lectures, etc), as assessed by the Project Technical Working Group and feedback from target audience (particularly provincial and city local governments, local government leagues and local water districts) are developed and disseminated – Completed.

A review of post-workshop evaluation from 5 learning events (Oct 04-Mar 05) indicated that participants viewed the activities as being effective in achieving their objectives and that topics were relevant to their line of work. (This report is available separately).

Progress towards milestones that were indicated in the FY05 approved business plan and in some cases revised at FY05 MYR:

1. Hiring of Communications Officer – Completed and reported in last MYR.

2. Development of Communications Strategy - Completed. This report has been accepted by the project TWG/PSC. Implementation of communications activities have been guided by this strategy. A final activity has been agreed to be conducted during FY06 (Documenting Exemplary Practices of the Department of the Interior and Local Government) to complete commitments under the Strategy.

3. Counterpart government agencies are actively planning and developing strategies that incorporate the lessons of WPEP I into their programs and approaches – WPEP results are now integrated in the design of a major water program launched by the President. Too, GoP sector reform agenda reflects the major recommendations of WPEP I and the preliminary results of WPEP extension phase activities. For example, dialogues have been commenced with small utilities on performance improvement, regulation and financing.

Progress on activities and outputs that were promised to be completed in FY05 and for which the Task Manager is accountable:

1. Development of Communications Strategy – Jun 04: Completed. Implementation of the strategy is completed save for a last remaining capacity-building activity agreed with the Department of the Interior and Local Government (DILG) on documenting exemplary practices introduced to local governments. (See previous progress report). This final activity has been included for FY06. (Refer to business plan).

2. Conduct of six local debriefing seminars – Oct 04: Completed and exceeded. Through partnership with the Infrastructure and Rural Development units in the World Bank, two additional learning/dissemination activities were conducted:

   - Implementing Best Practices in WSS through the President’s Program: A best practices workshop on implementation and management of rural water supply and sanitation was conducted on 10-12 June 2005 in Davao City and attended by all 22 Department of Public Works and Highways district engineers in Mindanao; 20 provincial and municipal planning and development officers as well as a few representatives from water districts and community-based water supply organizations. The topics in the 3-day consultation workshop ranged from hydrology, water supply system design and planning, tariff design and financial planning, utility and project management and monitoring. A second workshop will be held in August with support from the German Technical Cooperation Agency targeting the Visayas region. This workshop relates to assistance provided by WSP-EAP, with support from World Bank Infrastructure and PPIAF; for the preparation of the President’s Priority Program on Water (P3W), announced by the President in June 2004. The design of P3W takes on board the lessons learned under WPEP, including those on levels of service, focus on outcomes and sustainability. In fact, the original P3W design and budget were revised following a cabinet meeting where WPEP results were presented by DILG representatives. To support its progressive implementation, technical assistance is being provided by WSP to support the Water and Sanitation Coordinating Office, responsible for implementing P3W, in the preparation of the strategy and implementation design of the Program. The proposed assistance will be carried out in two phases: Phase 1: Development of a method for local Preliminary Assessment, conduct of a workshop on best practices in implementing WSS projects and consultation with local level actors/potential program partners. Phase 2: Refinement of the Program strategy and modes of implementation at the national level and support for data verification and analysis.

   - Improving Design, Quality and Sustainability of Rural Water Supply On 23-25 June 2005, the Water and Sanitation Program, the World Bank Institute and the East Asia Rural Development sector group, conducted a training for provincial planning and engineering officers of 20 Mindanao provinces on design and sustainability of rural water supply projects. During the workshop, the Rural Water Supply and Sanitation Toolkit produced by the World Bank Water Group and results from WPEP studies were discussed with participants who were expecting to participate in the second phase of a Bank-assisted multi-sectoral rural development program for Mindanao.

3. Publish press releases, etc – Completed (as needed).
4. Support for GoP initiatives for the MDGs – This proposed activity has been subsumed under initiatives agreed in the communications strategy since GoP targets exceeded the MDGs target.

Implementation plan to correct the situation for delayed activities/outputs promised for FY05 for those that have been delayed:

In relation to the final agreed activity on DILG Exemplary Practices, the Department has now convened a review panel chaired by the Assistant Secretary for Human Resources Development that will be responsible for directing the work on the activity. The review panel is composed of DILG representatives and technical experts on local government administration, finance and program development services. Consultant-peer reviewers have been engaged and background documents are being studied. The review panel is scheduled to commence work in July 2005.

EWDEA/PHI/49: Support for Performance Enhancement of ST Utilities through Benchmarking (WPEP)
Task Manager: Jemima Sy

Overall project status: Completed. Finalization, editing, design and printing of the Benchmarking data book will be in FY06. Likely Impact and Lessons learnt – results of the Benchmarking data underscore areas where small utilities were performing well. It is notable that contrary to expectations, the financial performance of small water utilities was satisfactory. The business model that they employ is to keep costs low and maintain relatively low tariffs. Small utilities are able to collect promptly and keep their receivables minimal. It was notable that the performance areas where small utilities were weaker related to expansion – service coverage, number of new connection and capital expenditure per connection. The observation links back to the issue that small utilities have limited access to financing. It is clear that despite good performance and potentially strong viability of projects, small water utilities find it difficult to access financing due to lack of information or inability to provide financiers the necessary collateral or securities. Participating utilities expressed interest for an expansion of the project to performance improvement, specifically looking at financing issues.

EWDEA/PHI/49: Support for Performance Enhancement of ST Utilities through Benchmarking (WPEP)
Task Manager: Jemima Sy

Progress towards the outcome (as measured by the indicators) that were indicated in the approved FY05 Business Plan, and in some cases revised at FY05 MYR:
1. 2 small towns' water utilities adopt and implement benchmarking as a tool for enhanced WSS service – Completed. 20 utilities of all types (local government-managed, cooperative, rural water supply, water district and privately-managed) have successfully undergone performance monitoring reviews and comparisons with their peers. Reports were made that utilities have been meeting outside the Project to learn from each other. They have developed a preliminary performance improvement plan during the debriefing workshop.
2. 2 small towns make information on utility performance available to policy makers, regulators, and public – Jun 05 Completed. Utilities willingly provide the necessary information and clarification. Preliminary data from the project shared with national agencies concerned with supporting WSS utilities. Utilities have also expressed willingness to continue to share information through utility networks.

Progress towards milestones that were indicated in the FY05 approved business plan and in some cases revised at FY05 MYR:
1. Formation of Benchmarking Team – Completed at MYR.
2. Selection of participating utilities and agreement on indicators – Completed at MYR
3. Training of utilities and institutional partners on using and analyzing performance indicators – Completed. The Benchmarking Project Team organized a 3-day workshop on 10 to 12 May 2005. Specifically, the workshop sought to orient participants on: (i) the results of the utility survey; (ii) tools for evaluating utility performance: making comparisons (average and benchmarking against top quartile) and scoring; (iii) using and encoding data on the International Benchmarking Network; (iv) linking process mapping with utility improvement plans; (v) external factors (e.g., policies, regulations, institutions and financing) that affect utility operations.
18 of the 20 participating utilities were represented at workshop. They included utilities managed by local governments, water districts, a private corporation, community-based water supply associations and cooperatives. Most of the 40 participants were general managers and senior technical persons of utilities. Observer-participants were also invited from: the National Anti-Poverty Commission (NAPC), National Economic and Development Authority (NEDA), and the Project Benchmarking Team: Department of the Interior and Local Government (DILG), Local Water Utilities Administration (LWUA), National Water Resources Board (NWRB) and the Philippine Association of Water Districts (PAWD).

The results of the data collection and analysis were discussed in relation to individual utility performance as well as in comparison with other utilities across 29 indicators. A review of how the indicators were arrived and how these might be reported through profiles, graphs and charts, as well as through the International Benchmarking Network facility were initially undertaken. The discussions then moved from indicators into looking at factors affecting
Performance – both internally and externally. Internal performance processes were reviewed through a process mapping and performance improvement planning exercise. External factors were summarized from discussions over the three days and were raised with panel discussants from national government agencies concerned with supervising and supporting the water service providers. (Separate report is available).

**Progress on activities and outputs that were promised to be completed in FY05 and for which the Task Manager is accountable:**

1. **Preparatory Phase:** Formation of team; selection of participating utilities; orientation and training – Jul 04: Completed at MYR.
2. **Data collection and analysis – Jan 05:** Completed. Some review of data undertaken following feedback of utilities from the workshop.
3. **Dissemination and reporting – Apr 05:** Delayed. Finalization, editing, design and distribution of the data book has been planned for FY06.

**Implementation plan to correct the situation for delayed activities/outputs promised for FY05 for those that have been delayed:**

Timeline agreed: Finalization of the manuscript from consultant (includes a review of data, based on utility feedback) – end of July 2005; editing – end of August 2005; design and printing – end of September 2005. Editor is being identified.

**EWDEA/VIE/40**: Vietnam Country Program

**Task Manager:** Nguyen Cong Thanh

**Overall project status:** In progress but delayed. The project has made good progress in some areas, whereas others have suffered some delays and will continue into FY06. No activities are being dropped. However, two activities are now largely dependent on the anticipated new SAWAP program funding to support any significant activities in FY06. This will require updating of the FY06 Planning Sheets when funding materializes.

One major activity, support inputs to EASUR’s preparation of the major Red River Delta RWSS Project (part of “Advisory support for implementation of National RWSS Strategy activity” in the WSP project), has been delayed due to minor client delays, now overcome. An extension of WSP’s inputs to the Task Team into FY06 has been requested. Overall, impact from this WSP project in FY05 is significant, particularly in terms of likely impact of RRD RWSS project, the largest single RWSS investment in Vietnam, which WSP helped prepare and task-led throughout FY04 and FY05. As an APL, and with some major innovations built in, RRD RWSS Project is likely to yield major learning for the sector and have a high level of influence on it. Lessons learned for WSP include advantages of having several separate planning sheets instead of one amalgamated one - and need to maintain flexibility in work planning to allow for changing needs (particularly rapid in Vietnam), facilitating the ability of WSP to make an appropriate response.

**Progress towards the outcome (as measured by the indicators) that were indicated in the approved FY05 Business Plan, and in some cases revised at FY05 MYR:**

- **Commitment to Strategy** (Activities 1 and 2): Underway and on time.
- **Acceptance of key concepts** (Activities 3 and 4): Underway and on time.
- **Implementing MDG / VDG commitments** (Activity 5): Underway but delayed - Building consensus on the joint MDG/VDG Workshop / Study Report took rather longer than anticipated. Duration of Sida decision making process has meant that potential SAWAP program funding for this work from is still awaited.
- **Donor, client and partner commitment to SAWAP** (Activity 6): Underway but delayed - Donor decision making processes are taking longer than anticipated. Taking SAWAP planning on to the next stage and initiating mainstream activities await a positive decision from Sida or other potential donors.
- **SEAWUN grows** (Activity 7): Underway and on time.
- **WSP role in VN and the sub-Mekong Region acknowledged and its visibility enhanced** (All activities): Underway and on time.

**Progress towards milestones that were indicated in the FY05 approved business plan and in some cases revised at FY05 MYR:**

1. **RWSS Project Appraisal – Dec 04:** Completed. Appraisal took place in early Feb 05. Much of the work required for appraisal was carried out as planned during a Dec 04 mission but at that time, some key actions by the client still awaited finalization. Following the positive Appraisal a Negotiations package was prepared and, following fulfillment.

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8 Please refer to the updated Project Sheet for EWDEA/VIE/40, Revision B, 2/05 as well as the original Approved Project Sheet for EWDEA/VIE/40
of remaining conditions by the client, the project was cleared for Negotiations which took place in May 05.
2. **RWSS Project approved by World Bank Executive Board – Apr 05:** Delayed. Although all requirements were met by the client to successfully conclude Technical Discussions and upgrade them to Negotiations, there were then no remaining FY05 Board slots. The project now will be submitted to the Board together with two other VN projects, one also an APL, on 15 Sep 05.

### Progress on activities and outputs that were promised to be completed in FY05 and for which the Task Manager is accountable.

1. **Advisory support for implementation of National RWSS Strategy – ongoing:** On time - ongoing throughout year
2. **Preparation of IDA RWSS Project (cross support): proposal document – Mar 05; Board approval – Jun 05:** Part Completed (PAD etc. produced, Board approval now anticipated Sep 05)
3. **Assessment of RWSS management models – Jun 05:** Delayed – Issue of consultancy contract faced delays - continuing into FY06 (revised Phase 1 completion Aug 05)
4. **Development of Informed Choice Guidelines – Apr 05:** Completed
5. **Support for MDG / VDG processes and Professional Working Group – ongoing over year:** Delayed – potentially continuing into FY06 but subject to SAWAP and/or other additional funding
6. **Precursor activities for SAWAP program in Vietnam, in partnership with IDE and SEI – Jun 05:** Initiated but delayed – restricted availability of preferred consultant - continuing into FY06 (revised completion Oct 05)
7. **Non Revenue Water studies in Vietnam, in partnership with SEAWUN – Jun 05:** Conceptualized but implementation delayed - SEAWUN focus was on Jun 05 Convention - revised completion – Jun 06
8. **Country program management. – ongoing throughout the year:** Completed – continuing into FY06

### Implementation plan to correct the situation for delayed activities/outputs promised for FY05 for those that have been delayed:

1. **Preparation of IDA RWSS Project (cross support):** Now Integrated as part of FY 06 Workplan EWDEA/VIE/51. Agreed schedule of agreed actions to be followed to meet Board date of 15 Sep 05. Continuing TT preparation inputs at provincial and central levels as requested by EASUR.
2. **Assessment of RWSS management models:** Revised schedule agreed with consultants: Phase 1 (Desk Study) complete by Aug 05; Phase 2 (Field Work) complete by Oct 05; Phase 3 (Reporting / Workshops) complete by Jan 06
3. **Support for MDG / VDG processes and Professional Working Group:** Held over for possible integration into FY 06 Workplan under EWDEA/VIE/53. Planning and implementation of further activities subject to SAWAP and/or other additional funding.
4. **Precursor activities for SAWAP program in Vietnam, in partnership with IDE and SEI:** To be integrated as part of FY 06 Workplan under EWDEA/VIE/50. Implementation schedule to be adjusted to reflect the delayed start (non-availability of preferred consultant), otherwise unchanged from ToR and Action Plan. Completion now by October 05
5. **Non Revenue Water studies in Vietnam, in partnership with SEAWUN:** Now integrated as part of FY 06 Workplan under EWDEA/VIE/53. Implementation to proceed via consultant team, to be selected, in line with already-developed ToR. Anticipated commencement Sept 05. Completion Jun 06.

### EWDEA/REF/43: Regional knowledge products

**Task Manager:** Richard Pollard

**Overall project status:** On schedule.

### Progress toward outcomes that were indicated to be achieved in FY05 in the approved business plan:

1. **Viable WSP-EAP business plan and regional strategy for FY06 approved by Donor council – May 05:** Completed. Regional thematic conference resulted in FY06 business plan that reflects needs and demands of regional clients; ongoing demand for WSP-EAP field notes and other knowledge products reflects their relevance to clients.

### Progress towards milestones that were indicated to be achieved in FY05 in the approved business plan:

1. **Annual regional conference theme and plans finalized – Jan 05:** Completed. Thematic conference held in Guilin, China with focus on sanitation. Strong focus on client input to FY06 business planning process.
2. **Concept Notes drafted, discussed in WSP and agreed, for Learning and Innovations Brief, and regional Field Note – Dec 04:** Completed. Regional Field Note completed and distributed at 2005 Water Week, on sanitation marketing in Vietnam. Regional Communications Strategy consultant hired. communications needs survey completed.
Progress on activities and outputs that were promised to be completed in FY05 and for which the Task Manager is accountable in the Business Plan:

1. Annual thematic conference - May 05: Completed. Thematic conference held in Guilin, China with focus on sanitation. Strong focus on client input to FY06 business planning process.
3. Strategic Communication products - Jun 05: Completed. See above in milestones. WSP-EAP made key presentations as resource people in sessions at Stockholm Water Week, Dakar Global WASH Forum, WB WW.
5. Inter-regional and global cross support - Jun 05: Completed.
   - Cross support provided to Africa for development of urban sector strategy. WSP-EAP staff led analysis and drafting of strategy through a short mission in November and follow-up e-mail dialogue.
   - Cross support provided to HQs for development of DFID proposal. WSP-EAP staff assisted with refining proposal in response to DFID’s comments, and supported negotiations with donor.
   - CLTS study report describing WSP-SA-supported study tour to Bangladesh and India, and proposals for CLTS application in Indonesia completed, led to major CLTS initiative in Indonesia.

Implementation plan to correct the situation for delayed activities/outputs promised for FY05 for those that have been delayed:
No significant delays thus far. Moderate delay in scheduling of China RWSS management models workshop to accommodate requirements of Govt. of China, but now firmly on track.

EWDEA/REG/44: Regional Program Management
Task Manager: Richard Pollard
Overall project status: On time. Program management is on schedule.

Progress toward outcomes that were indicated to be achieved in FY05 in the approved business plan:
1. Two new large-scale investments - Jun 05: Completed. $7.5 million Dutch-financed urban sanitation project for Indonesia signed in Mar 05, funds released in 4th quarter FY05; Decision on $3m AusAID-financed follow-on to WPEP project in Philippines deferred pending AusAID decision on country strategy. Negotiations underway with Sida for support to SAWAP in Mekong Region ($4m). Proposal also tabled with DFID, Dutch, no firm commitment yet. Sida decision expected in Sep 05; Prepared at Sida’s request for country projects in Indonesia, Philippines. Decision expected in Sep 05.
2. Timely delivery of projects and products within budget - Annually, end of fiscal year: On time. Reporting on schedule, but some activities, particularly in Laos, delayed due to funding constraints (late arrival of core funds).

Progress towards milestones that were indicated to be achieved in FY05 in the approved business plan:
1. Quarterly Progress Reports - as scheduled: Completed. Progress reports being submitted on schedule using agreed format.
2. End-year Review underway now, on schedule.
3. FY06 business planning - Jun 05: Completed

Progress on activities and outputs that were promised to be completed in FY05 and for which the Task Manager is accountable in the Business Plan:
1. Regular progress reporting: On time. Regular regional and country progress reporting proceeding on schedule in the form of quarterly progress reports.
2. Donor liaison: On time. Proceeding according to plan, with proactive discussions underway for new funds, and regular reporting in accordance with Admin. Agreements for ongoing activities.
3. Financial management and reporting: On time. Monthly budget monitoring, MYR, and planning for FY06.
4. HRD and regional program planning: On time. Regular liaison by phone and VC. Staff meetings held on average at least monthly in country offices. National Advisory Group formally established only in Laos. Equivalent groups play the same role in Indonesia (WASPOLA Working Group), and the Philippines (Technical Working Group). Annual Thematic Conference serves as Regional Advisory meeting, providing specific input to work planning from regional partners and clients. Staff development plan as discussed with HQs is under implementation on schedule.

9 this is an Anchor-led activity that WSP-EAP is supporting
EWDEA/REG/44 (1): New Business Development

Task Manager: Richard Pollard

Overall project status: On time. Although progress toward developing new business in China is stalled, new confirmed initiatives in Indonesia (Dutch urban sanitation) and Vietnam (Dutch), and the probability of Sida support mean that regional business is developing well and at least as well as expected at the beginning of the FY.

**Progress toward outcomes** that were indicated to be achieved in FY05 in the approved business plan:
1. Bilateral funding secured for Mekong Region MDG Support initiative that responds effectively to client needs – Jun 05: Slight delay (Sep 05). Proposal for Sanitation and Water Partnership for the Mekong Region (SAWAP) submitted to Sida in early July. Still under consideration. Sida announced at Donor Council meeting in June their “intention” to support SAWAP, with a firm decision to be made in September 2005.
2. Bilateral funding secured for a Western China strategic sector support program – Jan 06: Delayed. Discussions have not progressed further with likely donors. Likelihood of securing funding by January 2006 uncertain.

**Progress towards milestones** that were indicated to be achieved in FY05 in the approved business plan:
1. Mekong region proposal submitted – Jul 04: Completed at MYR.

**Progress on activities and outputs** that were promised to be completed in FY05 and for which the Task Manager is accountable in the Business Plan:
1. Engage clients and potential donor partners in dialogue concerning regional and country needs and priorities for achieving the MDGs in specific areas, elucidate WSP’s role in achieving these.
   - SAWAP funding and intervention strategy papers for new business areas prepared – Jun 05: Completed. Preliminary discussions underway with participating Governments (Laos, Cambodia, Vietnam), but constrained due to funding uncertainty. Additional partners have expressed interest in collaboration – USAID’s Asia Environmental Program, and AusAID. SAWAP Proposal also submitted to DFID and Dutch for consideration.
2. In close collaboration with clients in the Mekong Region and Sida, prepare a detailed proposal for a Mekong Region MDG support initiative.
   - Detailed proposal submitted to Sida – Jul 04, Sida decision – Oct 04: Completed. Detailed proposal for SAWAP submitted to Sida in July. Subsequent discussions in Stockholm in August indicated strong Sida interest in funding. Sida is now developing a new ODA strategy for Southeast Asia, and have asked WSP-EAP for a separate concept note for projects in Indonesia and the Philippines that would complement SAWAP. Concept notes were prepared in January. Following Sida’s favorable comments in April a full proposal was submitted in June. Sida have indicated their intention to support the proposed activities in Indonesia and the Philippines.
3. In consultation with potential partners and the Government of China, prepare a project proposal for a Western China ST WSS strategy project.
   - Proposal prepared and under consideration by donors – Dec 04: Completed. Initial concept note for Western China program prepared and preliminary discussions held with DFID, NORAD, and others in early 2004. Limited interest from donor community in this.

**Implementation plan to correct the situation for delayed activities/outputs promised for FY05 for those that have been delayed:**
Pending new signals from donors of possible funding for Western China, the focus of new development efforts will concentrate on Mekong Region. New business development strategy will look into potential for WSP involvement in East Timor, and the Pacific Islands (EU).
## FY05 End-Year Review: Latin America/Caribbean

**EWDAN/HN/20:** Institutional Strengthening for WSS Sector Reform in Honduras and Nicaragua  
**Task Manager:** Rafael Vera

### Overall project status: On schedule. The project is a continuation of FY04’s EWDAN/HN/20 project, oriented towards providing support to Honduras and Nicaragua in strengthening the water sector modernization by influencing policies and strategies throughout a participatory work with main WSS institutions, all with the aim to reach MDGs in both countries. Honduras and Nicaragua are in the process of preparing WSS mid- and long-term sector strategies and development plans with full support from bilateral and multilateral agencies, as well as major investments for WSS funded by the World Bank in Honduras and IDB in Nicaragua are on the way. This environment is providing a good opportunity for capacity building, to strengthen decentralization and promote innovative management models to reach the poor with quality and sustainable WSS. A request from CONAPAS in Nicaragua to WSP and SDC to learn more about SWAps for the water sector, created a good opportunity to meet cooperation and financing agencies in a workshop to discuss concepts and experiences. The SIF FIS decided to go ahead with a SWAp for RWSS.

It is expected that this project will continue in FY06, since activities are long term; however different project sheets will be made, one for Nicaragua and another one for Honduras.

### Progress towards the outcome:

1. **Honduras RWSS Strategy adopts and implements decentralized models for WSS services project and the results of the Post project sustainability study - Jun 05:** Completed. The Social Investment Fund (FIFIS) as main investment institution of RWS in Honduras is in the process of adopting decentralized models of RWSS provision.
2. **Honduras UWSS strategy adopts and implements a decentralized model and promotes PSP for WSS service provision in small towns - Jun 05:** Partially completed. Honduras’ National Water and Sanitation Plan (PNAPS) which includes proposals for PSP in rural and STs is under revision by CONAPAS (National Council of Water and Sanitation) including the water sector normative and regulatory institutions.
3. **Two new bulletins from the local WSS networks (RAS/NaN and RASNIC) - Jun 05:** On going. Bulletin with RASNIC done and distributed. Bulletin with RASHON replaced by increasing the “Blue Gold”, as a proposal from RASHON, as this was judged more adequate at this time.
4. **Nicaragua adopts a SWAP as a tool for financing (June 2006) On time. New outcome included by request of the government of Nicaragua interested in learning of SWAps as a financial tool. Results from the workshop held are providing technical tools to CONAPAS for discussing a SWAP for RWS to be implemented by SIF FIS funded by IDB.

### Progress towards milestones:

1. **Development of an orientation and implementation plan for decentralization together with concerned actors - Jun 05:** Completed. Honduran’s National Water and Sanitation Plan (PNAPS) prepared with support of a PRIF and the World Bank and active participation of WSP and water sector institutions, includes a strategy and plan to implement decentralization of the WSS in Honduras. The Rural Infrastructure Project (RIP) implemented by the Social Fund in Honduras (FIFIS) and funded by the World Bank, takes into account findings and recommendations of the Post project sustainability study performed with support of WSP. Honduras’ water and sanitation council (Mesa Sectorial) and Honduras’ Water and Sanitation Network (RASHON), each comprising institutions representing government, cooperation agencies and civil society organizations working in water and sanitation in Honduras are aware of the post project sustainability studies results.
2. **Consolidated support capacity from WSP - LAC - Jun 05:** Completed. A senior officer from WSP Lima was relocated in Tegucigalpa to implement WSP strategy and Business Plan in Central America. Administrative procedures were followed to enroll a JPO to strengthen the communications component. TORs are prepared and approved by WSP LAC and HQ to hire a WSP Country Coordinator for Nicaragua.

### Progress on activities and outputs

1. **Sector coordination support through publication of country WSS issues/achievements (two publications in HON, two publications in NIC).**
   - 1,000 prints of HON’s CHAC bulletin completed by printing copies of “Blue Gold” Series - Jun 05: Completed
   - 1,000 prints of NIC’s RASNIC bulletin - Jun 05: Completed
2. **Dissemination of Honduras’ post-project sustainability study results, promoting more defined sector regulation and planning of services, contributing to the delivery of sustainable decentralized WSS services.**
   - 1,000 prints of study results disseminated and 3 presentations performed with RAS-HON and ERSAPS sponsor - Jun 05: Completed
3. **Series of workshops and video conferences on WSS-relevant issues**
10 VCs, one per month - ongoing. The number of VCs were reviewed and reduced to three quality VCs on WSS issues, due to time constraints of the WSP stakeholders. They are outlined as follows: (i) Gender. Between sector professionals of Honduras and Peru. This has led to the development of the ToRs. For Gender assessment study in Honduras. (ii) Handwashing. Between sector professional of Nicaragua and Peru. Interest on the initiative was expressed by Nicaragua. (iii) Condominial technology. Between professional of Honduras and Peru. FHIS expressed interest in launching of a joint activity. (iv) SWAP. One workshop to discuss SWAP as a tool to strength the water sector financing and development in Nicaragua.

4. Share experiences among sector agencies through the participation of their technical staff and local NGOs in regional events on sector reform and MDG-related issues.

- 12 visitors sponsored by WSP-LAC, to significant learning events in the region - ongoing. Completed throughout: participation on Honduras and Nicaragua WSS institutions representatives in WW; participation of Honduras in workshop on sector information system in Peru; participation of Nicaragua in workshop on Sanitation Technology for Small Towns in Peru; visit of PROPILAS team from Peru to Honduras and Nicaragua; visit of Condominial expert from Bolivia to Tegucigalpa; visit of 3 gender specialists from Peru to attend workshop in Honduras.

Implementation plan to correct the situation for delayed activities/outputs promised for FY05 for those that have been delayed: The decentralization issue is still being under preparation with the authorities in Honduras that have to set a series of priorities. IN Nicaragua, WSP is recruiting a focal point there that will make a follow up on all pending issues.

EWDANIHN121: Promotion and adoption of management tools for RWSS Systems

Task Manager: Rafael Vera

Overall project status: On time. A toolkit "Blue Gold" with 8 independent chapters was designed, printed and disseminated with the local WS network RAS-HON, and best practices are in the process to be implemented by FHIS and field projects implemented by NGOs. The project made focus on information from: (i) WSP-LAC's RWSS Toolkit, (ii) WSP-LAC's PROPILAS and EPILAS models, (iii) documentation of Honduras and Nicaragua's country best practices for provision of RWSS, the results from the Honduras' Post-Project Sustainability Study, and (iv) WB's ongoing preparation of Honduras rural infrastructure project (the water and sanitation component). The Small Towns component has also been successfully launched and a comprehensive study to learn more on the conditions of WS service provision is planned to be performed next FY. The information will allow WSP to search interested donors with quality data.

Progress towards the outcome:

1. At least ten municipalities adopt and extensively use the RWSS Toolkit. Partially completed. In coordination with ERSAPS, the local WATSAN network RASHON is promoting the adoption of the toolkit at regional and national level, as well as within the member organizations. However, it is not sure if the toolkits are being actually used.

2. Local water supply and sanitation network in Honduras endorses and continues to upgrade the RWS toolkit in Honduras. Completed. Printed and disseminated a set of guidelines, entitled "Blue Gold" with 8 independent booklets related to: (i) Demand Responsive Approach in RWSS' project cycle; (ii) Planning, regulation and provision of RWSS according to the recently approved Honduran Water and Sanitation Law; (iii) Appropriate technologies applied in Honduras for the delivery of RWS; (iv) Water disinfection technologies; (v) Community Contracting in RWSS; (vi) Community associations for delivery of RWSS; (vii) Hygiene education in RWSS; and (vii) Challenges facing rural water supply sustainability in Honduras. DRA, RWSS project cycle and selection criteria for appropriate technologies were built based on the World Bank/BNWP's Rural Water Supply and Sanitation Toolkit for Multi-sector Projects and IRC/WHO's Linking Technology Choice with Operation and Maintenance in the context of community water supply and sanitation. Members of RASHON are beginning to use guidelines to adapt their community training workplans. UNICEF is using the series as an operational tool to promote and disseminate the new water law in rural communities. In addition, Government is using guidelines in the design of Honduras' Rural Infrastructure Project, approved for IDA financing in June 05.

3. At least 1 accredited learning center adopts and implements training modules for WATSAN technicians, based on the EPILAS model. Delayed, but planned for the first months of FY06. In coordination with the regional Central American Water and Sanitation Network (RRASCA), WSP promoted an accreditation system for rural water supply practitioners, based on WSP's EPILAS experience. Honduras' Water and Sanitation Sector Planning Authority (CONASA) has endorsed the adoption of an EPILAS-based accreditation model. However the current institutional weakness is limiting the launching of this initiative.
**Progress towards milestones:**

1. **Promotion of the Peru experience of management models in ST.** Completed at MYR. In coordination with the regional Central American Water and Sanitation Network (RRASCA), WSP promoted an accreditation system for rural water supply practitioners, based on WSP's EPILAS experience.
2. **There are negotiations and agreements with CIDA for potential ST pilot projects** Delayed. However, to promote the issues of management models with donors, WSP with its own financial resources organized a successful international workshop, and for the next FY06 is planning to perform a study associated with the water sector head institution CONASA in Honduras.

**Progress on activities and outputs**

<table>
<thead>
<tr>
<th>1. TA to municipalities and local water boards through the dissemination/ adoption of HON-RWSS Toolkit, (developed in FY04), in close coordination with regulatory entity in HON (ERSAPS) and the local WATSAN network (GC).</th>
</tr>
</thead>
<tbody>
<tr>
<td>- Distribution of 300 toolkits, endorsed by ERSAPS. Completed by printing and distributing 1100 toolkits (Blue Gold) by RAS-HON, and participation of CONASA and ERSAPS.</td>
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<tr>
<td>- Presentation and discussion of the toolkit. Completed by implementing three workshops in Tegucigalpa and one presentation in La Ceiba Region. But not sure if the toolkits are actually being used.</td>
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<tr>
<td>2. Documentation and dissemination of best practices in the provision of RWSS, through field notes (three in the region).</td>
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<tr>
<td>- Three field notes edited and published. Completed by publishing:</td>
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<tr>
<td>- Field Note on Roles of local water boards and community associations for the provision of RWSS in Honduras.</td>
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<tr>
<td>- Field Note on Water disinfection (drip chlorination, tablet chlorination, SODIS and Filtrón), edited and placed on ACCESS for dissemination</td>
</tr>
<tr>
<td>- Field Note on Water Pumping techniques (mecate hand pump, EMAS and the Roshfer pump in Honduras), edited and placed on ACCESS.</td>
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<tr>
<td>3. Technical support for the development of training modules to RWSS practitioners based on the EPILAS model</td>
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<tr>
<td>- One accreditation center established and accrediting practitioners in RWSS services, based on the EPILAS model. Delayed</td>
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**Implementation plan to correct the situation for delayed activities/outputs promised for FY05 for those that have been delayed:**
The development of training modules will be developed together with CEPIS and CINARA during the first months of FY06. An agreement has been reached among the institutions and is acting upon a request of FISE.

**EWDAN/HN/22:** Promotion of condominial technology for small towns  
**Task Manager:** Rafael Vera

**Overall project status: On time,** accepted and adopted by the main implementation agencies from Honduras, such as the SIF FHIS. However the institutional acceptance will also require trained professional at field level which has become a priority for the coming FY06. Plans and MOU with FHIS/PAHO/CEPIS and WSP are underway.

There is however some competition among various agencies, but in August 2005 a plan was developed in order to get more coordination.

**Progress towards the outcome:**

1. **At least half of service providers of the construction and operation of sanitary sewerage systems adopt and implement condominial technologies and approaches.** On time. Government sector agencies and local governments are in the process of adopting the proposed condominial technology for wastewater collection. Thus the Honduran Social Investment Fund has expressed its commitment to launch a pilot condominial project in Honduras. After attending WSP/CEPIS training activities, FHIS by their own made a field visit to WB financed PAC-SEDAPAL project in Lima, and also included training courses on condominial in its training program funded by KfW.

2. **An additional 25% of municipalities and local governments have widened their scope of WSS coverage by 25% as they implement condominial sewerage systems.** On time. However current government subsidy oriented programs promoting conventional technology to communities are competing and limiting a quicker adoption of the low-cost technology.

**Progress towards milestones**

1. **Workshop on condominial technology.** On time. Workshop on condominial technology was held with full participation of the SIF FHIS who expressed interest in applying the Condominial technology as core option. As a
result FHIS-WSP agreed to hire a consultant to design the pilot project including training, through a hands-on process, to FHIS staff involved in the engineering, social, environmental and financial aspects of condominial technology. FHIS expressed interest in providing the design and civil works for the pilot, whereas WSP will provide technical assistance for the preparation of manuals and implementation guidelines. The Honduran Regulatory Agency (ERSAPS) has also indicated interest in documenting this experience and promoting technology and applications in a systematic way.

2. Negotiations with donors. On time. The awareness raised about condominial technology, was effective because WSP-LAC and PAHO/CEPIS promotion. The project has succeeded in raising the interest of the Government agencies and municipalities in Honduras about the Condominial technology; however, negotiations for the design and financing of a pilot project have yet to be developed with FHIS.

Progress on activities and outputs

1. TA to municipalities in the adoption and implementation of condominial technology for wastewater collection, in close coordination with regulatory agencies in Honduras (ERSAPS) and NIC (INAA), with local WATSAN networks and private providers
   - Workshop in Honduras on design and implementation of condominial sewerage, endorsed by regulatory agencies in each country. Completed.
   - One municipality for development of pilot condominial already engaged. Delayed because the selected municipality by FHIS adopted conventional technology promoted by a SANAA program.

Implementation plan to correct the situation for delayed activities/outputs: The implementation of condominial technology in one municipality was postponed in the current FY05 due to the decision of the initially selected municipality to use conventional technology. To overcome this limiting factor, the FHIS in the process of selecting a new municipality. Field work is expected to be launched in FY06.

EWDAN/PER/25: Institutional Strengthening and networking for RWS development

Task Manager: Oscar Castillo

Overall project status: Partially completed. The study on W&S services in the dispersed rural population was delayed until FY06 because the WSP is seeking local partners or counterparts to work with this rural population. The WSP has supported the executive agency of the sector reform to modify national regulations of the Water and Sanitation Law and to prepare and organize the guidelines for the design of sanitation services. The new norm includes condominial technology. In the projects of the Swiss Cooperation Agency, PROPILAS in Cajamarca and SANBASUR in Cusco, the experiences in training human resources, technicians and professionals of the sector were validated and disseminated in coordination with a local university.

Progress towards the outcome:

PROPILAS applies decentralized models of WSS provision and a system of local coverage registration by end of FY04, as verified by independent consultant. Partially completed. Decentralization is a national process under implementation. PROPILAS promoted institutional mechanism to strengthen rural communities’ capacities to develop local strategic plans. Each rural community owns a development plan and information systems. At least 1 region creates a group responsible for sector coordination and with a dissemination instrument. Delayed. In partnership with SDC/SANBASUR RWS Project, WSP is supporting the organization of a Sector Coordination group in Cusco Region, however some delays are to be reported and the Coordination Committee has requested the Regional Council to react. PRONASAR is implemented by GoP in accordance with its strategy of sustainability. Partially completed. WSP has fulfilled its commitments based on sector demand. In coordination with the Swiss International Cooperation Agency, lessons learned from PROPILAS and SANBASUR have been transferred. Technical assistance and support to PRONASAR has been limited. In FY05, as in previous years, relations with PRONASAR were affected by the government delay in implementing the rural component and the lack of communication with the World Bank.

4. The majority of sector institutions made formal decisions to include rural sanitation in their sector programs. Completed from the Government side. The Government has adopted and disseminated a new regulation of the sanitation law that incorporates all aspects related to rural water supply and small towns. The Government is now mainly facing the need to strengthen its capacity to actually coordinate all activities in the sector.

Progress towards milestones

1. Results of the present phase of PROPILAS ready for scaling up. Ongoing. WSP as member of PROPILAS steering Committee is providing guidance to PROPILAS and supporting the documentation processes of lessons learnt which are expected to be submitted to Government when completed.
2. **Plan for new phase operational** Completed. SDC/PROPILAS with support of WSP defined a concept document and strategy of intervention for a new 3 years phase to be financed by SDC.

3. **Support to GoP on legal framework and SIS effective.** Delayed. Consultant on Legal issues hired by WSP. SIS International Workshop was held in November 04. The DNS has taken its own responsibility to develop an appropriate SIS, but implementation is severely delayed and most agencies are longing for decisions and actions to be taken by the Government.

### Progress on activities and outputs

1. **Technical assistance to PRONASAR, PROPILAS & SANBASUR:** Partially completed. Technical assistance to PRONASAR was slowed down due to insufficient communication. Support was provided by WSP to both PROPILAS and SANBASUR in a continuous way. PROPILAS is advancing in a subsequent phase with positive results. SANBASUR is facing some obstacles that are being discussed with together with SDC and the Coordination Committee. The following documents were produced:
   - The experience of the “Water and Sanitation Pilot School” (EPILAS) in Cajamarca (field note, re-edited)
   - Lessons of a pilot project in Paraguay (field note)
   - Latrine maintenance and control (field note)

2. **Assessment of rural and small town WSS services in the Amazon region and design of policy proposal:** Partially completed. A study on the Amazon region of Peru was carried out and a Workshop organized. Findings have yet to be integrated in the national policy.

3. **Assessment of WSS services in rural disperse population and policy design proposal:** Delayed. Study implemented as part of WSP RTG, but progress delayed however it is expected to conclude in next fiscal year. Not appropriate time for the DNS.

4. **Documentation of micro credit initiative for rural WSS (CARE):** Completed.

5. **Study of impact of general taxes on ST/RWSS service management:** Completed. ToR of study has been changed following GoP’s demand. The study was done and documented on the sector norms and adaptation to the regulation of the present sanitation law.

6. **Support sector coordination and networking.** On time (coordination of consultative meetings with sector group and publication of three magazines) Issues 17 and 18 are already published and disseminated. Regional Newsletter for Cusco Region is done. Information brochure on the Millennium Development Goals. Cajamarca Region, Cusco Region, Lambayeque Region, WSP, UNDP

### Implementation plan to correct the situation for delayed activities/outputs

The following activity will take place in FY06: **Assessment of WSS services in the rural disperse population and policy design proposal,** with:

- **a) Meeting of the Sector Consensus Committee to discuss the ToR and identify partners of the initiative (September 05);**
- **b) Hiring of a short-term consultant for the study (October-November 05);**
- **c) Final report (January 06)**

The partially completed activities concerning the support of PRONASAR will go through a revised communication strategy between WSP and PRONASAR and especially with the World Bank.

A regional study (Andean region) is currently being carried out by WSP in order to identify similarities and ways to improve Government of Peru strategy for the Amazonian areas.
Strengthening local capacity for WSS provision in small towns in Peru

Task Manager: Francois Brikké, Oscar Castillo

**Overall project status:** Delayed. Extension of ending date of the project will be requested. In FY05, the project made progress toward achieving goals by hiring specialized operators for service provision in 10 small towns participating in the project. Nevertheless, progress differed according to the phases. During the first phase (August 2004 - March 2005), two companies (TBW-Inframan and CAEM-CADUCEO) advanced in the processes of promotion, model design, adoption of the service development plan and consultation of users regarding the change in the service management model. During the second phase (March 2005-June 2005), local governments were scheduled to enact the following key issues for the process: i) fees; ii) claims; iii) service; iv) creation of a local surveillance board, as well as approval of bidding specifications to hire specialized operators. Nevertheless, local governments have experienced several delays in the adoption of the measures during council sessions. In addition, delays occurred because the two technical assistance companies (TBW-Inframan and CAEM-Caduceo) did not redefine their work methodology with each local government. A third element affecting implementation was the withdrawal of two municipalities from the project -- the municipalities of San Jeronimo-Saylla of Cusco and Santiago, in Ica. At the end of FY05, Ayavaca also showed signs that it may not be able to continue in the project. These communities withdrew from the project for different reasons: San Jeronimo-Saylla asked to leave due to joint work commitments with two neighboring municipalities. In contrast, Santiago, was forced to leave because of political problems that ended in a confrontation among the local government, the opposition and the population. Finally, in Ayavaca, at the end of last year, political opposition grew against mining companies and a strong peasant movement called for the "rejection of the privatization of water."

The situation of the three small towns withdrawing from the project was communicated to the project Orientation Committee (WSP, CIDA and the Vice Ministry of Construction and Sanitation of Peru) and it was agreed that the first two towns would be replaced. Nauta (Iquitos) and Tuman (Lambayeque) were incorporated to replace the two small towns. It was also agreed that Ayavaca would no longer participate and that it would not be replaced, leaving the project with nine small towns, but also with support to NGOs or entities in other localities.

**Progress towards the outcome**

1. WSS services improved in 10 selected STPP municipalities (coverage: 5% increase, quantity: 50% increase, quality: 100% of drinking water, collecting efficiency: 70%) - Jun 07. On going. Outcome planned as a final result of the implementation of the multi year project.
2. 80% of 10 STPP population is informed of contaminated water hazards and is aware of appropriate hygiene practices - Jun 07. Partially completed and on time since the outcome is due later. A consultant has been hired to do write an hygiene plan and the firms are due to integrate this plan into their activities.
3. A set of guidelines has been validated and incorporated into national policy - Jun 07. Partially completed delayed. Two small print runs (20 photocopies each) of the manual and intervention guides have been produced; in April 2004, version 1 was delivered to consultants and PRONASAR and in October 2004, version 4 of the manual and intervention guides were distributed to the technical assistance companies for field-testing. In March 2005, the lessons learned were incorporated into the "Promotion and Participation Guide" and the "Guide for Technical and Social Studies." Both intervention guides are at the pre-release stage.

**Progress towards milestones**

1. Firms are being contracted: Completed. Firms have been contracted with some delay (firms are contracted with 3 to 6 months delay, because of WB procedures of hiring international firms and splitting of project budget in two Fy's.
2. Management models are chosen by the 11 municipalities: Not completed, delayed. The firms have started to work with the municipalities on the choice of management models. Two of them have already tendered the management of their WSS system, but none have yet contracted an operator.
3. Hygiene and gender activities have started: Completed. Hygiene and Gender activities have started and are being incorporated in the work of the firms.

**Progress on activities and outputs:**

1. Strengthening municipal capacity to improve WSS service provision in small towns: Completed. Technical assistance has been done in all the initial municipalities of the project. It has been just completed in the new one Nauta, Saylla, Tabalosos, Nueva Cajamarca and Tamshiyacu. Ongoing. Promotion processes, design of a service development plan, approval of ordinances and consultation with the population. The municipalities have yet to choose an operator. Withdrawal of San Jeronimo and Saylla and replacement with Nauta has been completed.
2. Implementation and validation of new management models for WSS service provision in: Urcos, San Jeronimo, Saylla, Tabalosos, Nueva Cajamarca and Tamshiyacu. Ongoing. Promotion processes, design of a service development plan, approval of ordinances and consultation with the population. Withdrawal of Santiago and replacement by Tuman has been completed.
3. Implementation and validation of new management models for WSS service provision in: Sechura, Ayavaca, Laredo, Santiago and Talavera: Ongoing. Promotion processes, design of a service development plan, approval of ordinances and consultation with the population. Withdrawal of Santiago and replacement by Tuman has been completed.
4. Support learning and dissemination activities on WSS management models for small towns: Ongoing: Alternative
 Implementation plan to correct the situation for delayed activities/outputs

1. **Technical assistance to the National Sanitation Office to implement the sector information system (SIS).** Support has been partial because officials are in the process of designing a better strategy to approach this activity. The AGUA group will be strengthened, bringing together international cooperation agencies to provide concerted support in this area. A specific plan for this activity will be completed by November 2005.

2. **The publication of a sector study:** Delays were caused by the process of editing and summarizing the content of the publication, which is expected to be completed by November 2005.

3. **Technical assistance to PRONASAR:** Due to the special characteristics of PRONASAR, which is concentrated in localities with fewer than 5,000 inhabitants, as well as to those of the public sector hiring processes, coordination with the project has been slow. The WSP will work to improve communication and coordination between the entities by developing a joint work timetable and chronogram of activities.

4. **Assistance to the two firms.** The two firms are facing a series of obstacles of different magnitude, ranging from political opposition, to impatience of municipalities and problems in attracting local operators. WSP will work closely with the firms in order to help resolve these obstacles with a better communication strategy, a clearer investment policy at local level and a revision of the ways local operators are being attracted.

**EWDAN/PER/28: TA to improve access to sustainable WSS services in peri-urban areas in Peru.**

**Task Manager:** Oscar Castillo

**Overall project status:** Not completed, basically during the year the project has changed focus, at the request of SEDAPAL. The project initial aim was to support WB activities in Piura, Tumbes and Lima. Most of the support has been given to Lima and Piura but not to the others. In this context, the WSP will continue to support the implementation of SEDAPAL’s PAC project to extend water and sanitation networks to Lima’s peri-urban areas using condominial technology, in coordination with World Bank operations. Besides providing technical assistance, the WSP will support the systematization, documentation and dissemination of this experience. The TA assistance to SEDAPAL that begun FY04 was satisfactorily completed in the current FY 05. WSP provided local support to WB TM in contacting and coordinating discussion on deliverables and promoting the adoption of results in sector policy. The support work to NGO CESAL was efficient in preparing TORs; however CESAL did not succeed in raising money to launch field activities.

**Progress towards the outcome**

1. **Support the pro poor transaction design in Piura, Tumbes and Lambayeque:** Delayed. No activities tookplace to support the poor population Tumbes because there was no on going contact made by government agencies, which were responsible for the process. Activities were not carried out for the pilot project planned in Lambayeque because the partner of the Spanish International Cooperation Agency, CESAL, could not obtain funds for the infrastructure. In Piura, support was provided and the pro poor study helped to understand the planning better. The activities in the two regions have been dropped.

**Progress towards milestones**

1. **Support to the pro-poor urban project in Piura and Tumbes.** Delayed.
2. **Additional funding for the project is secured:** Delayed (CESAL has not confirmed its financing from Spain and FONDAM is waiting for this confirmation.
   - Technical assistance activities can be developed if the WB includes the WSP in its project supervision missions.
   - The pilot project in Lambayeque can be implemented if the CESAL non-governmental organization obtains funds for infrastructure.

**Progress on activities and outputs**

1. **Technical assistance to PAC SEDAPAL:** Completed. Results were discussed with sector stakeholders in workshop organized by SEDAPAL. Documentation of SEDAPAL’s PAC project ready.
2. **Technical assistance to pilot in Lambayeque:** Not completed, dropped. Meetings with the coordination Committee were held; but the decision to go ahead depends on CESAL’s financing results.
3. **Support to pro-poor transaction design for Piura:** Completed. Workshop completed and document prepared.
Implementation plan to correct the situation for delayed activities/outputs
The project seeks to address one of the biggest problems currently facing the sector in Peru: the lack of access to safe water and sanitation of a large percentage of urban dwellers. In Metropolitan Lima alone, more than 1.2 million people do not have household connections to the SEDAPAL network; in other cities, coverage is actually decreasing because utility companies have gone bankrupt and do not have resources to maintain the existing infrastructure or to undertake new investments to meet increasing demand.
In FY06, WSP intends to work closer with SEDAPAL and benefit from the results of the 7 cities study. In addition, it will be involved the following two points.
- The publication of COVAPS systematization has been delayed because SEDAPAL must first approve the final content of the document. The document is scheduled for publication in November 2005.
- The publication of the systematization of SEDAPAL's PAC Project has been delayed until the World Bank consultant presents the final report.
The NGO CESAL met FONDAM and the Spanish Cooperation to search for funding, final response from both agencies is expected. If response is negative, the activity will be dropped from WSP BP.

EWDAN/PER/30: Public – Private Partnership to Promote Hand washing
Task Manager: François Brikké

Overall project status: Delayed. The project is on the whole moving and is back on track. During the first half of the fiscal year, there has been a considerable delay in the final approval of the Ministry of Health in the entire process. Things have changed as soon as the Ministry heard about the approval of the JSDF Grant that gave then the credibility for the development of the full Initiative. In addition, the project is now benefiting from an exceptional and sound financial base. Project implementation has started. The NGO PRISMA has been contracted to start the implementation activities as specified in the agreements. A consultant has been hired by WSP owing to WB funding to coordinate the whole process.

Progress toward outcomes
1) Diminish diarrhea cases among children younger than five years of age. Not delayed. This is also an outcome to be reached once the campaign is launched.
2) Improve the appropriate practice of handwashing among targeted population. Not delayed. Same remark.

Progress towards milestones
1. All resources for the Initiative are secured. Completed. Some of these resources although spoken about since 2003, had not been secured under a contractual form until now (Resources will be coming from USAID (120,000 US$) transfer to be done through PRISMA, the Japanese Social Development Fund (891,000 US$) grant agreement to be signed on February 18, SDC Peru (15,000 US$) additional funds to the ones provided already for last year, SDC CTF (125,000 US$) secured since December 04, plus additional sources coming from the private sector). It took quite some time between the fact that resources were secured and then really available, since the Ministry of Health took a long time to agree on the form that these funds would be used.
2. Messages for the campaign are designed and accepted by the Ministry. Delayed. The messages for the campaign could not start until the Ministry formally agrees with the whole Hand washing Initiative process and formalizes it in an official decree, as well as creates a National Commission that would overlook the progress of the Initiative. However it should be mentioned that the Ministry of Health started to act when it got the news that a grant from JSDF was approved. Before that, the Ministry was a bit reluctant to engage in a process that was not fully financed.
3. Campaign is designed and started. Delayed. The campaign activities and components are already all planned and are described in the JSDF Proposal for Handwashing. The Grant has been officially signed on February the 8th. Furthermore, the campaign will need to wait for the results of the creative process that will start at about the same time.

Progress on activities and outputs
1. Identify, commit and consolidate sources of funds: Completed. After a long process, the sources of funds have been considerably consolidated.
2. TA to communication committee: Ongoing. The official name of this Committee has changed and is now called: Commission Nacional de Lavado de los Manos, that has is officialized by the Ministry of Health. ToRs for the consultants, marketing strategy have been discussed at length with the members of the Committee and are ready to be operational once the consultants have been selected.
3. Regional partnerships, promotion and consolidation: Ongoing, and reaches any positive contributions from local potential partners.
4. Monitoring and evaluation of communication campaign: Delayed. The campaign has not yet started and will start
in September 2005.
5. Documentation and systematization of handwashing process: Ongoing. A consultant has been contracted and the whole process is being analyzed and documented since its beginning. Expected to be ready by October 2005.

Implementation plan to correct the situation for delayed activities/outputs promised for FY05 for those that have been delayed: Delays were caused mainly by the long bureaucratic procedure, and by the fact that the Ministry was waiting to see that the whole process could be fully financed. The implementation phase has started with the contracting of the NGO PRISMA. The contracting of another firm for the development of creative messages is being done by the UNDP office.

EWDAN/REG/31: Tools and methods to strengthen WSP-LAC’s capacity for knowledge management at the local, regional, and global levels.

Task Manager: Beatriz Schippner

Overall project status:
Completed. The Readership Assessment of WSP-LAC products undertaken in Bolivia, Peru, and Honduras, was completed in January 2005, including the Workshop held in Peru with relevant partners and clients to share findings and gather feedback towards a Regional Communications Strategy to improve WSP-LAC knowledge products, channels, feedback mechanisms, and message development. Strategy and Action Plan has already been designed and included in WSP-LAC FY06 operations as a new Regional Communications Project. Findings of the Assessment were the key to measure impact of WSP’s knowledge products and find an orientation to move forward in the implementation of a strategy and action plan. The knowledge products WSP-LAC documents and disseminates in the sector in Bolivia, Peru, and Honduras are highly valued by all audiences. Results of the surveys in Peru indicate that 87% of the target audiences in this country consider knowledge dissemination by WSP-LAC as most effective.

Relevant impact indicators are as follows:
- Studies and Field Notes are the most popular and valued products showing “effectiveness indicators” of 66 and 62.2%, respectively.
- Agua Bulletin in Peru has the highest distribution level among audiences in Peru: 89% of the interviewees read Agua, where WSP-LAC clients are the core audience: 100% of the surveyed clients know Agua.
- ACCESS and WSP website are not popular tools for Spanish speaking WSP-LAC audiences; they only show an effective indicator of 32.5 and 22.4%, respectively.
- One of the most important lessons was to verify that dissemination through printed publications only is not enough, a topic or documented experiences (e.g., PROPIVAS) need to be promoted through alternative channels to have greater impact and encourage scaling up in the sector.

1. Guidelines for mainstreaming communications into WSS sector has been dropped as explained in the MYR due to the lack of funds.

Regional highlights such as Launch of Handwashing Initiative in Peru, Ecuador PRAGUAS experience with service delegation, Small Towns Pilot Project innovative approach, Conclusions of the International Workshop on Gender Mainstreaming in the Integrated Water Resources Management, and Spanish translation of Monitoring MDGs document were disseminated globally through WSP and WB channels.

Progress towards the outcome
1. Readership Assessment: Completed. Results and findings showed that audiences recognize WSP-LAC as an important institution for providing technical advice and documenting relevant experiences in the water and sanitation sector. Most valued issues in WSP-LAC products are quality of contents and credibility.

2. Guidelines for mainstreaming communication strategies into water and sanitation interventions: Dropped at MYR.

3. Global dissemination of regional highlights: Completed. Experiences on Central America, the Small Town Pilot Project, and Ecuador PRAGUAS experience with service delegation (as cross-support to WB) were documented in English and disseminated globally:
   - FN on Water Boards in Honduras, and 2 electronic documents on pumps and water treatment were posted on

Note that this report does not match the FY05 business plan project sheet as the original sheet was incorrect. The revised MYR project sheet is the correct version.
- Disseminated among global audience FN on Delegation Component of the WB financed PRAGUAS project in Ecuador in both English and Spanish.
- Disseminated among global audiences Summary of Proceedings of International Workshop on Alternative Technologies for Small Towns.
- Disseminated among global audiences Informative Brochure on Small Towns Pilot Project.

4. During FY05, WSP-LAC contributed with regional stories and inputs to cross-regional knowledge sharing through WB and WSP global channels (website and ACCESS):
- The official launch of the Handwashing Initiative in Peru and Agreement Signing between WB, Health Ministry, and the Japanese Embassy, for the Japanese Social Development Fund were disseminated and promoted through WB’s Today on May 1, and WSP’s website.
- WSP-LAC led WSP website contents in October 04 and May 05.
- Provided inputs to ACCESS on a regular and timely basis.

**Progress towards milestones**
1. Stakeholder need assessment: Completed
2. Publications and material: Completed. With support of Communications staff, the following knowledge material was produced during FY05: 6 FNAs, 4 Sector Newsletters and Bulletins, 3 Reports and Studies, 9 Promotional Material (brochures, outlets, etc.), 3 posters and banners, 2 e-publications, 1 Digital Photo Archive, Updated WSP-LAC Library System and Database
3. Regional Communications Strategy to enhance WSP-LAC’s Knowledge Management: Ongoing. Regional Communications Strategy and Action Plan were prepared and socialized (during FY06 planning workshop) and will be implemented in FY06 as a Regional Communications Project.

**Implementation plan to correct the situation for delayed activities/outputs**
The project has been completed. For the next fiscal year, the project will go on with its publication and knowledge management dimension; however it will also include a dimension of communication for development activities.

**EWDAN/BF/34:** Policy Support for Incorporating PSPs in ST Management and Sector Support in Bolivia

**Task Manager:** François Brikke

**Overall project status:** On going, but with delays and modifications during the year, due to the political and social crisis that Bolivia is going through. WSP is trying to overcome the challenges presented by its non-presence in Bolivia by first contracting an STC, and then hiring an ETC in FY06.

**Progress toward outcomes**
1. The provision of WSS in small towns in Bolivia improves in quality and sustainability, (as confirmed by independent evaluation) by applying new management models incorporating PSP: Delayed The Government of Bolivia has taken decisive measures to improve the quality and sustainability of WSS for small towns, by revising its financial policy and creating an organization called FUNDASAB (Fundación para la Sostenibilidad de Agua en Bolivia), dealing about the sustainability aspects of water supply. The introduction of new management models is in the agenda but still not dealt with sufficiently. WSP hopes however that the management model study done will contribute to shape some of the thinking and policy on this issue. It must be said however that the political situation concerning the management of water supply and sanitation services in Bolivia is extremely sensitive. In conclusion, this outcome is not likely to be reached this fiscal year.

**Progress towards milestones**
1. Sector assessment on ST management models done: Completed. The study and the validation workshop have been completed by December 04; WSP is now in the process of revising the final report before presenting it to the Government of Bolivia.

2. Funding for a subsequent pilot project on small towns secured. This activity has started but delayed, and negotiations have been going on with the Vice Ministry of Basic Services as well as with CIDA, but due to the crisis, we may need to re-examine the process.

Progress on activities and outputs

1. Sector assessment and project on management of small towns Completed at MYR
2. One workshop on best practices Completed at MYR
3. Publication of three issues of CAUDAL. Ongoing. Only have been published.

The publication of a magazine with a sector group is a regular on-going activity that WSP is pursuing. One publication has been completed and two more are planned: a) one that will focus on the results of the study; b) and the other one that will focus on the new financial policy as well as on FUNDASAB. Together with the sector group WSP is also in the process of revising the formatting and strategy for CAUDAL.

Implementation plan to correct the situation for delayed Activities: No Delayed Activities

WSP can only have an impact in the WSS sector of Bolivia, if it has a presence there. It will therefore recruit a consultant for the coming two years, with a specific plan on communication development previously discussed and agreed with the Vice Ministry of Basic Services and LAC Operations.

EWDAN/BE/35: Sector Policy Design for the Achievement of the MDGs in Ecuador

Task Manager: François Brikké

Overall project status: Completed. In general, the project’s main outcome on post project sustainability has been almost completely achieved. However there are more requests from the Sub Secretary for sector support, on the implementation of sustainability principles, and investment policy. During the year, WSP received a request to prepare guidelines for sustainability for juntas comunitarias. It has started this work that is going to be finalized in the course of FY06. In addition, the sub-Secretary has sent a request for the financing of a Capacity-building campaign.

Progress toward outcomes

Identification of main factors that influence the post project sustainability of RWSS: Completed. A study was carried out in Ecuador on post project sustainability in a sample of 95 rural communities that has helped to identify the main factors that contribute to sustainability. It has also considerably helped the relevant agencies to focus their attention on sustainability. In that sense that outcome is fully completed and successful.

Progress towards milestones

1. Consultant contracted for sustainability study: Completed. The firm NOLASCO has been hired in August 04 to carry on the study.
2. Research methodology accepted by the Government: Completed. The research methodology was discussed during a preparatory workshop that was organized in Quito in September. Sustainability was seen in its full scope, including technical, financial, managerial, social, gender and environmental issues. The Participants of the Workshop helped to define and validate the sampling of rural communities that would be studied.
3. Government accepts research results: Completed. The Government was exposed to the results of the study especially through their regular involvement in the process, but also through a validation Workshop that was organized in Quito in December. The main results are exposed below. In addition, the Government agreed to integrate the issue of sustainability in its new water law.

Progress on activities and outputs

1. Post project sustainability study: Completed at MYR. The study was satisfactorily completed in December 2004 and the report is ready for dissemination. The study focused on two main issues: a) technical aspects and b) service management. Final results indicate that while 40% of the infrastructure is properly maintained, 60% shows critical conditions. Only 13% of the services are sustainable. The study further revealed that sustainability is not only related to project implementation factors, but also to management capacity, community participation throughout the whole cycle and involvement of women.
2. Workshop on study findings: Completed at MYR. The Workshop was held in December 2004 in Quito within the Premises of the Sub Secretary of Sanitation. The participants validated the findings and stressed the importance of having the sustainability issue included in the new water law. In addition, there is an interest to develop appropriate
guidelines for municipalities.

3. Field note on study findings and results: Not done, dropped, as the results of the study are to be integrated inside a wider on sustainability that WSP has started.

4. One Workshop on best practices in WSS services: Not done. Dropped. There has been no decision on this issue yet with the Government, but WSP is in negotiation with the sub Secretary in order to find out the most appropriate theme and timing for this Calendar year that may not match this fiscal year.

5. Publication of three issues of Agua Yaku: Two issues of Agua Yaku completed, and the third got published in September 05. Please see on WSP’s web site. The two issues dealt about the following: 1) draft project of the law; small towns; sierra norte; private operator in Bolivia; impact mitigation; water quality norms; waste water management; solar disinfection; water quality monitoring program; the CAN countries and the MDGs; risk management; 2) Technical assistance for the involvement of private operators; rural sanitation and PRAGUAS; water day of the Americas; legal framework and its application; water resources management and water sources protection’s experiences in Cuenca.

Implementation plan to correct the situation for delayed activities/outputs

The development and testing of a guidance manual is going on and WSP will assist in the search of funding for a capacity building plan.

EWDANIREG/36: Promoting access to WSS urban poor (7 cities project)

Task Manager: Francois Brikke

Overall project status: Delayed. The project has managed to gather interest among several municipalities in Latin America and to have a common consensus on a conceptual note and design. Seven cities have particularly indicated their wish to collaborate. Funding for first phase has at last been resolved at the end of the fiscal year. TOR has been developed and the study will start in August / September 2005.

Progress toward outcomes

To increase awareness of municipalities and utilities on how to improve the provision of WSS services to the urban poor in Latin America: This outcome cannot be measured yet, as it will be the result of a multi year project and no significant progress can be yet measured as the project is just in its study phase.

Progress towards milestones

1. Project proposal has been accepted and financed: Ongoing. A concept note has been developed and discussed through various rounds internally. It has also been discussed with the partners of the seven cities concerned. It took a long time to settle part of the financing of the project, and it was decided to start with the first phase (study phase). The rest of the financing would be sought during the study phase.

2. Team of consultants selected: Completed. Team of consultants from BPD-UK has been contracted for the first phase of the project.

Progress on activities and outputs

1. Development of a detailed project proposal: Completed at MYR. (a concept note has been circulating for comments that will serve as a basis for several project proposals). Delay has been caused by the fact that an agreement was to be generated through all the various cities, and that seeking financing for a Regional project is not easy, as donors are normally concentrated at country level. As mentioned above, it is proposed to start with the first phase of the project.

2. Project fund raising: Delayed. first phase completed. (various donors KFW, CIDA, SDC have been approached but without success yet). KFW and CIDA have initially indicated that they would be interested but have rejected their contribution as the financing of regional projects is not their priority. The first phase project will finally be financed through WSP Core funding.

3. Creation of study team, collection of analysis, and meeting of consultative group: Completed. Study team has been contracted. This does not include the collection of analysis nor the meeting of the consultative group.

Implementation plan to correct the situation for delayed activities/outputs

In order to resolve the funding issue, it was proposed to break down the project into several smaller projects, and start already with the first activity this fiscal year with funds from Global WSP. This first activity will consist in drawing lessons learnt from the seven selected cities. The detailed methodology for the rest of the project will also be developed during the rest of the year and finance will be sought accordingly.
EWDAN/REG/37: TA to the Comunidad Andina for the development of a Regional Strategy to achieve the MDGs in the Andean Countries

Task Manager: François Brikke

Overall project status: Ongoing, but will need to be extended until July 06. La Comunidad Andina has recognized the need and interest of this project. It has coordinated the development of a technical Committee composed of CAN, CEPIS, CONDESAN and WSP. This group is meant to expand and has taken as time horizon Mexico 2006. WSP has started a study on the Amazonian WSS in the Andean Countries that CAN is following closely. Moreover, it is developing a project proposal on the development of a communication and information system for the sector in the Andean Region and that was accepted by the Council of Ministers of Paracas in June 2005.

Progress toward outcomes
The Comunidad Andina (CAN) has integrated the issue of the achievement of the MDGs and developed a Water sector strategy: On schedule. (The General Secretary of the CAN has recognized the input of WSP in this project; CEPIS is another partner sharing this activity together with GWP in the coming future). This project originally planned as a one year project, needs to be developed into a two years project, with the goal of reaching consolidated results for the Mexico 2006 World Water Forum.

Progress towards milestones
1. A base document is completed: Ongoing. A consultant has been hired to start with the development of the reference document for the Andean region, and the draft results have been presented at the Council of Ministers of Paracas; This first document will be completed in FY06. Further studies will be made on the Amazonian areas of the Andean countries, since each of the Andean country has a major part of their geography composed of an Amazonian area.
2. A financial strategy is proposed: Ongoing. Most of the financing for the various studies have either come from WSP own budget or through a Japanese CTF (95.000 US$). It is now seeking financing through the EU Water Initiative with a proposal on information and monitoring
3. Technical advisory set up in a participatory way a process for the development of the regional strategy: Ongoing. It looks most likely that this project will go on till July 06 and beyond, as the process has only started and it involves many countries of the region.

Progress on activities and outputs
1. Preparation of a basic reference document: Ongoing. A first version of a reference / advocacy document has been prepared and presented at the Council of Ministers of Paracas. A second version of the document more detailed will be prepared for the Mexico Conference in 2006.
2. Preparation of a regional Strategy: Delayed. The Council of Ministers of Paracas has underway as planned (Technical Committee is active in following participatory process in the design of this regional strategy). An action plan for the development of the strategy is likely to be developed by March 05. A preliminary document has been prepared, and will be completed during next fiscal year.

Implementation plan to correct the situation for delayed activities
WSP is working closely with the representatives of CAN to resolve any development of the project.

EWDAN/REG/38: Exploring and promoting Future Components of WSP LAC's Agenda

Task Manager: François Brikke

Overall project status: On Schedule. This project is vital for WSP as it helps to position it self on new themes and potential project activities. More is needed especially on: MDG Road mapping, Alternative sanitation, Communication and Knowledge management and urban poor. As mentioned above, the issue of SWAPs is discussed as well as the one of water resources management. WSP needs to find a way to deal with them.

Progress toward outcomes
WSP LAC has managed to put on the agenda of three governments a new project component or activity: Completed. Sanitation in Nicaragua through a research on alternative sanitation technologies and process that will be carried out, Gender in Honduras with the INAM (Instituto Nacional de la Mujer) and a gender assessment that will be carried out this second half of FY05, Support to policy reform in Bolivia (WSP is exploring the best way to work more
closely in Bolivia in the coming months), MDG road mapping in Peru that is presently being discussed between several technical Agencies meeting regularly on the issue (ACDI, GTZ, COSUDE, CEPIS and WSP), and the Handwashing component with the Ministry of Health in Nicaragua (project component has been discussed and accepted but needs further development) and also a handwashing component in Colombia (Funding has been secured for a large campaign through a loan of the WB, and the recruitment of a coordinator). SWAP in Nicaragua through a national workshop that leads the Government to include SWAP in its sector strategy.

**Progress towards milestones**

**Video conferences and Workshops organized in the region:** Completed. (WSP is using this mechanism as a way of sharing and disseminating knowledge and building relationships on a particular issue). Video Conferences: (1 on Gender with INAM of Nicaragua, that resulted in the decision to have a gender assessment in September; 1 on hand washing with the Ministry of Health of Nicaragua in October; 1 on WSP’s strategy with all COSUDE’s Water officers in Central America and Peru; 1 on PPPL with Honduras in November, 1 on Sanitation in November between CEPIS, PAHO, WSP, WB, and WSP). Workshops (participation in the Gender Workshop in Chile in October, and contribution to the regional Workshop on Gender in La Paz in November). A Workshop on SWAPs in May in Nicaragua.

**Progress on activities and outputs**

1. **Exploratory studies and VCs / Workshops:** Ongoing (A regional gender workshop in Bolivia in November 04; a Gender VC with Honduras in September 04; a Sanitation VC with CEPIS, CEPIS, UNICEF and PAHO in November, a management model VC with Central America in October, meetings with the Technical agencies in Peru on MDG in December 04 and January 05, VC on Handwashing with Nicaragua in October 04. SWAP in Nicaragua. The main results are as follows:
   - On gender: WSP is publishing the results of the regional workshop and will disseminate this regional stand; WSP will start an assessment of the gender situation in the WSS sector of Honduras as of February 2005.
   - On Handwashing: in Colombia, the Government has incorporated the activity as a component of its activities; in Nicaragua, discussions have been held with the Ministry of Health to include this component, but further negotiations are needed.
   - On PPPL and management models: in Honduras, further discussions need to be made.
   - On sanitation: in Nicaragua, a study on alternative sanitation options and processes is going to start this second half; as a result of the sanitation VC with technical agencies, the idea now is that each agency will share their available tools and lessons learnt, and that a Regional Sanitation Workshop will be organized in FY06.
   - On SWAP: in Nicaragua, a report has been shared with all Government and donor agencies.

**Implementation plan to correct the situation for delayed activities/outputs**

No delays. A similar project is expected to be implemented in FY06.
FY05 End-Year Review: South Asia

EWDSA/BA/1: Utility Reform
Task Manager: Khawaja Minhatullah/TBR

Overall project status: The overall progress is on time, with key reforms designed under the new large scale investment projects prepared with WSP-SA support to be implemented in about 6 Municipal Towns under the World Bank funded BAMWSP and BWSPP project. Further the design of institutional models under these projects have been widely disseminated through the Local Consultative Subgroup on water and Sanitation and are being actively considered by major sector donors including DANIDA and the ADB. Further with WSP-SA active involvement a wider donor group has been formed to coordinate investments for the Dhaka and Chittagong cities to ensure consistency in the reform agenda.

Progress towards the outcome (as measured by the indicators) that were indicated in the approved FY05 Business Plan, and in some cases revised at FY05 MYR:
1. Urban sector reform initiated as per schedule in one municipality - Jun 05: Completed. GoB has agreed to set up PPP in one small town under the World Bank project BAMWSP and 5-6 more under the newly prepared project BWSPP.
2. PPP adopted in national sector policy for urban WSS service delivery - Dec 05: On time. WSP-SA provided support GoB and WB in implementation of the PPP under both the BAMWSP and during the preparation of the new project BWSPP. Further WSP support to Dhaka and Chittagong contributed to the generation of donor interest (DFID, ADB and the World Bank) to re­engage with these utilities with a coordinated and consistent reform program.

Progress towards milestones that were indicated in the FY05 approved business plan and in some cases revised at FY05 MYR:
1. PPP structure in place in one Pourashava (Chapai Nawabganj) - Feb 05: Completed. The design of PPP has been adopted in Chapai Nawabganj, with further adoption in 5/6 Municipalities to build on the lessons from this pilot under the new investment project BWSPP.
2. One workshop on PPP conducted by the GoB - Dec 04: The workshop on PPP was merged with the December Institutional Effectiveness Workshop where a separate session was held on Small Towns and Models for Private participation including Rural Piped Water. The results of the workshop includes: i) the establishment of a National Core Team to oversee Institutional Performance Improvement and review the PPP initiatives under the BAMWSP and the BWSPP projects, and ii) the set up a National Benchmarking and Performance Improvement Program.
3. 3 strategic supervision missions conducted for BAMWSP & BWSPP - Sep 04; Dec 04; Mar 05: Completed. The mission outcome includes the design of PPP in Chapai Nawabganj Pourashava, including action plans to improve and expand service delivery and rationalize tariff. As well as to provide inputs in the design of same model of PPP in additional 5/6 Municipalities.

Progress on activities and outputs that were promised to be completed in FY05 and for which the Task Manager is accountable:
1. Development of institutional models under BAMWSP/BWSPP.
   - Workshop report on PPP - Jul 04: Completed at MYR
   - Policy workshop on utility reforms - May 05: Completed. A wider donor group on UWSS formed and roundtable meeting held 7/05, hosted by the ERD. WSP-SA is engaged with the key urban WSS donors to formulate the policy workshop agenda which will be undertaken during FY06.
   - Note on challenges to introduce PPP in urban WSS - Dec 04: Delayed. Information on best practice in delivering service at community level has been collected, however, an analysis of the major challenges for large scale PPP are yet to be collected, preparations of a note on challenges to introduce PPP in UWSS and community managed infrastructure improvements will be deferred towards end of FY06.
   - Note on community managed infrastructure improvement - Dec 04: Dropped. As this will be a part of the notes on PPP, a separate note on infrastructure improvement will be dropped from the project.
   - Progress report on support to BAMWSP: Support continues to implement the PPP in Chapai Nawabganj Pourashava, and a new structure of PPP in 5/6 Pourashavas have been included under the BWSPP project.

Implementation plan to correct the situation for delayed activities/outputs promised for FY05 for those that have been delayed:
1. Note on challenges to introduce PPP in urban WSS - GoB constituted a team to oversee the implementation of the PPP under the BAMWSP and BWSPP and a separate donor group has been formed to oversee and coordinate support to mega cities. A round table conference was arranged by GoB with WSP support to review institutional, policy and investments for mega cities. Lack of a solid expenditure review is a constraint to suggest rationale for specific institutional model including PPP. Adequate resources are provided to hire consultants to prepare background papers. The PER activity included in the FY06 workplan, will be completed by 12/05.
EWDSA/BA/3: LG-Based Community WSS Service  
Task Manager: Khawaja Minnatullah

**Overall project status:** Overall project status is on time. GoB decided to increase devolving fund allocation to the rural local governments without any donor funded investment projects. Donors have expressed concrete interest to support decentralized WSS service delivery, and GoB requested donors including WB to assist in preparing and funding decentralized WSS service delivery project.

**Progress towards the outcome (as measured by the indicators) that were indicated in the approved FY05 Business Plan, and in some cases revised at FY05 MYR:**
1. Government adopts and implements decentralization strategy with conditional grants to local governments – Jun 05: completed. Government has adopted and implemented decentralization strategy with conditional grants to local governments for sanitation. GoB is increasing block grant allocation to the rural local governments during FY06. GoB has also requested the key donors to assist the preparation and funding for decentralized rural service delivery project.
2. Large scale rural water investment project launched in January 05 – GoB Ministry of Local Government launched the new large scale rural piped water supply project with financial assistance from IDA to provide over 300 villages with piped water supply.

**Progress towards milestones that were indicated in the FY05 approved business plan and in some cases revised at FY05 MYR:**
1. Concept note for the decentralized service delivery project prepared – Mar 05: Meanwhile, GoB from its own source allocated block grants to Union Parishads for improving access to san and other village development activities and the Union Parishads started operationalizing the block grant through the Union Parishads and VillageWARDS.
2. The new Rural Piped Water Supply Project Operationalized – May 05: Guidelines prepared for sponsors/private operators, community households and Local Governments participation in the planning, design, financing, implementation and management of schemes.

**Progress on activities and outputs that were promised to be completed in FY05 and for which the Task Manager is accountable:**
1. Support the design and implementing local government based service delivery projects
   - Implementation support to World Bank/SDC (*) large scale RWSS project Missions – Sep 04; Dec 04; Apr 05; Completed. 3 supervision missions focused on enhancing roles of rural LGs to directly participate in implementation of community subprojects, and in the rural piped water supply pilots.
   - Support to World Bank SIPP project (*) – Missions – Aug 05; Jan 05: Completed. 3 supervision missions for SIPP focused on implementation of rural piped water supply with participation of Local Governments. Four out of six sponsors/private operators completed detail design and signed Grant Agreement with SDF and launched the implementation of village piped water schemes.
2. Policy support to incorporate decentralization and role of LGI
   - Ongoing dialogue with GoB and partners on role of LGIs, including one workshop – Feb 05 - The dialogue with GOB and partners on the expanding role of Local Government focused on lessons during implementation. The workshop has been deferred to FY06 and will now be held during September 06. Local Governments (UPs) successfully implemented the block grants to improve access to rural sanitation. Central Government agreed to further increase block grant allocation to the UPs during the next financial year (2006).

**Implementation plan to correct the situation for delayed activities/outputs promised for FY05 for those that have been delayed:** Not applicable

EWDSA/BA/4: Arsenic Mitigation  
Task Manager: Khawaja Minnatullah

**Overall project status:** On time. GOB and key sector stakeholders have moved from emergency mode towards a more structured and long term operational response, supported by routine monitoring, provision of mitigation measures, and development of alternative technical, financial and institutional options.

**Progress towards the outcome (as measured by the indicators) that were indicated in the approved FY05 Business Plan, and in some cases revised at FY05 MYR:**
1. Implementation of DRA-based approach by BAMWSP – Dec 04: Completed. A new arsenic policy is in place prepared by the GOB with endorsement from all sector stakeholders; WSP support to the GOB Arsenic
Policy Support Unit has resulted in the development of a new concept of water safety plan to be introduced for increasing the quality of potable water. WSP and the World Bank completed a major study on operational response to arsenic in Asia region. DRA-based RWS delivery for alternative point source water supply is being implemented under the BAMWSP, and a new pilot for piped water supply adopting DRA approach to provide water service levels based on willingness to pay has been launched under the BAMWSP project. WSP supported the GOB and the World Bank to prepare a new large scale project for piped water supply in rural areas.

2. [What about: Innovative technical options mainstreamed by GoB in the design of efficient and sustainable water supply – Dec 05?] GOB has adopted four handpump mounted arsenic removal technologies for final verification through long term field testing. Under the BAMWSP a new Surface Water Infiltration System (SWIS) has been successfully implemented in one small town which will provide arsenic safe water, and have a potential to adopt in many small towns situated by the side of rivers.

Progress towards milestones that were indicated in the FY05 approved business plan and in some cases revised at FY05 MYR:
1. Decision meeting on regional arsenic report – Sep 04: Completed at MYR
2. Report published – Mar 05
3. Country-level dissemination workshop – Deferred to FY06

Progress on activities and outputs that were promised to be completed in FY05 and for which the Task Manager is accountable:
1. Dissemination of operational response to arsenic and approaches to address arsenic mitigation in South Asia Region
   - Regional report on institutional response to arsenic mitigation – Jul 04: Completed 3/05.
   - Dissemination of the operational response to arsenic workshop – Sep 04: Ongoing. The draft report has been presented at the International Conference on Water Quality and Arsenic Mitigation in China during November 23-26, 2004. This resulted in a declaration known as “The Taiyuan Declaration on Water Quality and Arsenic” endorsed by 12 countries in Asia affected by Arsenic contamination to have a common approach to address the challenge of poor water quality and arsenic contamination. Launch workshop held at Washington in April 05. Dissemination of the report is continuing/
   - Workshop report – Dec 04: Delayed – FY06
2. Policy, technical and management support for new generation DRA based rural water supply schemes
   - Three policy and advisory support meetings of the GoB national forum for WS – Jun 05: Completed. Three meeting of the national WSS forum was held during the reporting period. WSP presented the concept, guidelines and financing mechanism for the new rural piped water supply pilot which was included in the new strategy formulation by the Policy Support Unit of the GOB

Implementation plan to correct the situation for delayed activities/outputs promised for FY05 for those that have been delayed: Not applicable

EWDSA/BA/S: Institutionalizing Performance Improvements
Task Manager: Khawaja Minnastullah/TBR

Overall project status: Delayed.
Most of the activities are on time and are leading to a satisfactory outcome; the production of field note has been deferred to enable an update of the benchmark data. It has also been decided to reduce the scope of the project from over 100 municipalities to about 5-6 in order to ensure data accuracy and to carry out an intensive PIP.

Progress towards the outcome (as measured by the indicators) that were indicated in the approved FY05 Business Plan, and in some cases revised at FY05 MYR
1. GoB adopts action plan for improvement of management capacity of small town municipalities – Jun 05: Completed. Need for improvement of management of small towns has been confirmed by the GOB Ministry of Local Government and the Lead agency DPHFE has updated the benchmark database for selected Municipalities and presented it at the recently concluded Workshop on Institutional Effectiveness Improvement Workshop organized by WSP-SA at Dhaka in December 04. The Minister for Local Government (the lead Ministry for water and sanitation) approved the recommendations of the workshop to immediately operationalize a nationwide benchmarking initiative for improving performances of water supply utilities. A core team has been constituted by the GOB to roll out benchmarking and Performance Improvement Plans. WSP recommended GOB to initially work with a limited number of Municipalities before large scale roll out
2. About 2/3 small utilities will be linked with investment funding under the IDA supported BWSPP based on performance indicators by FY07.
Progress towards milestones that were indicated in the FY05 approved business plan and in some cases revised at FY05 MYR:

1. **Benchmark database updated – Dec 04:** Completed. DPHE has updated the benchmark database for limited Municipalities. As a result it was very clear that there are opportunities for mutual learning between the Municipalities leading to improvement in performance. Also the lessons have informed the WSP to refine the Benchmarking and PIP during the next phase in FY06.

2. **Dissemination of utility performance data – Feb 05:** Completed. Utility performance data presented at 12/04 workshop. Resulting in a better understanding of relative strengths and weaknesses of these utilities and networking strengthened among the utilities, development partners and the line departments.

3. **Performance improvement program (first round of Pourashavas) – May 05:** Delayed. Second round of PIP has been deferred to FY06 WSP prepared a concept and a TOR to recruit a consultant to assist in this task.

Progress on activities and outputs that were promised to be completed in FY05 and for which the Task Manager is accountable:

1. *Technical assistance for development of national benchmarking database: strengthening benchmarking and performance improvement: Progress on track. The first technical assistance was provided during the December Institutional Effectiveness Workshop. The workshop reviewed the benchmarking initiatives in Bangladesh and India and agreed to update the Bangladesh Benchmarks and set up a national core group to oversee this initiative.*
   - **Field note on benchmarking – Jan 05:** Delayed. Publication of FN will be deferred until FY06 due to the need for a second round of data collection and development of a PIP strategy.
   - **Technical assistance to sector agencies to conduct benchmarking and PIP – Contd. Jul 04 to Jun 05:** Delayed. Technical Assistance (first round) has been completed; a second round has been deferred to FY06.

2. **Policy support for reform in investment climate:**
   - **Round table meetings – Sep 04, Jun 05:** Completed. The key policy support was provided during the preparation and conducting of the Workshop on Institutional Effectiveness. A panel discussion including the Minister, Secretary for the Lead Ministry as well as the Chief Engineer (DPHE) and MD (DWASA) was conducted as part of the Institutional Effectiveness Workshop in December 04. This has resulted in the formation of a core team to formulate a national Benchmarking and Performance Improvement Program.
   - **Meeting reports – Sep 04, Jun 05:** Delivered as above
   - **Strategy paper on performance based financing for municipal infrastructures – Jan 05:** Delayed. Preparation of the strategy paper will be deferred to FY06, after the second round of TA is completed.

Implementation plan to correct the situation for delayed activities/outputs promised for FY05 for those that have been delayed:

WSP recommended the GOB to reduce the scope and limit the second round of data collection to a few 5/6 municipalities. Accordingly, and to lay an emphasis on the importance of the monitoring function of the PIP this project will be subsumed under a new title under the DFID supported DPSP activity “Develop M&E System for UWSS-Bangladesh”

**EWDSA/BA/26:** Sector Coordination

**Task Manager:** Khawaja Minnatullah

**Overall project status:** On time. WSP support to the sector for better coordination among the national sector agencies, development partners, World Bank, ADB and the GOB Lead Ministry of Local Government is on track and consolidating the gains towards a better coordinated sector development. The activities are on track

Progress towards the **outcome (as measured by the indicators) that were indicated in the approved FY05 Business Plan, and in some cases revised at FY05 MYR**

1. *GoB national forum for WSS fully operational, providing effective direction for sector policy and strategy – one meeting of the forum was held in Jun 05. The meeting focused on the GOB and DANIDA supported Sector Development Program and the DFID supported Arsenic Policy Unit with a decision to merge different donor initiatives on policy support under one GOB umbrella. LCG sub-group continue to serve as effective advisory and think-tank vehicle for improved and coordinated sector delivery with special focus on the finalization of the water and sanitation section in the Draft PRSP and joint World Bank and donor CAS for Bangladesh*

Progress towards milestones that were indicated in the FY05 approved business plan and in some cases revised
at FY05 MYR:
1. Two WSS sector forum meetings held – FY05: Completed. 3 forum meetings held, reviewed coordination efforts among different partners, increased block grant to Rural LGs, the concept and guiding principles of piped RWS has been presented.
2. Three LCG sub-group meetings held – FY05: Completed. 3 LCG Sub-group meetings were conducted, review of the report on Institutional Review of WSS Sector conducted, presentations were made by sector partners (JICA) on arsenic mitigation through rural piped water, which added information for the GOB implemented rural piped water pilots.
3. Service delivery arrangements are more consistent – Jun 05: Completed. Service delivery arrangements continue to remain project focused; however under the newly prepared Water Supply Program Project to be effective by March 2005 the arrangements are to be applied throughout the country. Dialogue for SWAps and Programmatic Support are advancing. WSP will conduct a Public Expenditure Review in FY06 to lay the groundwork for programmatic support.

Progress on activities and outputs that were promised to be completed in FY05 and for which the Task Manager is accountable:
1. Advisory support to GoB national WSS forum – FY05: ongoing. Continues as part of preparations for WSS Forum meetings and through presentations and discussions during the meeting which included: (i) formulation of clear financial formula to administer new block grant allocation to rural LGs, and (ii) presentation of concept and guiding principles of the rural piped water and responding to questions regarding the capacity of local sponsors and community to finance 50% of the capital cost.
2. Quarterly LCG sub-group meetings – Aug 04; Dec 05; Apr 05: ongoing. 3 LCG Sub-group meetings were held (Aug, Nov 04, and Jun 05). Meetings elaborated on Institutional Review Study and made specific recommendations to incorporate new initiatives (rural piped water, TS) as part of the review. Also action research projects on Arsenic Mitigation including rural piped water with surface water treatment plant was reviewed and was useful for building the capacity of the piped water sponsors and key partners (DPHE, BAMWSP). Donors also agreed to establish one Policy Unit under the Ministry of Local Government.
3. Participation of review/assessment of bilateral projects (DFID/DANIDA/CIDA/AusAID): Ongoing. WSP participated as a peer review member for the DANIDA UWSS Project Preparation, JBIC project preparation for Chittagong, review of CIDA supported Arsenic Technology Validation Project Preparation. The outcome of the participation was to put in the agenda the current institutional weaknesses facing the urban sector and the need to establish a performance improvement program, and to further refine the ongoing Arsenic Removal Technology validation process. WSP also supported the GOB and donors to form a wider donor group for urban utilities, and will prepare specific components for the low income communities for Dhaka to be funded by the World Bank and ADB.

Implementation plan to correct the situation for delayed activities/outputs promised for FY05 for those that have been delayed: Not applicable

EWDSA/IN/10: Options for Slum Upgrading
Task Manager: Soma Ghosh Moulik
Overall project status: Delayed. The project had a slow start and had several cycles of revision. The deliverables are being planned and early to measure outcomes with the revised status of the project.

Progress toward outcomes that were indicated to be achieved in FY05 in the approved business plan: It is widely recognized that slum upgrading creates wealth for residents of upgraded slums and for cities where upgrading occurs. However, the extent of wealth creation has yet to be rigorously evaluated, as have the key elements of the strategy that make upgrading efforts successful and sustainable. In FY03 WSP-SA commissioned a study to fill these gaps in knowledge and provide information about wealth creation and welfare impacts of slum upgrading and improved service delivery to the poor in already upgraded slums in Ahmedabad and Kolkata. The study has been completed. Discussions have been initiated with the newly formed Ministry of Urban Employment on WSP-SA’s engagement in this sector. An internal concept note on the land tenure security issues has been from the utilities perspective has been drawn up and a consultant has been engaged for a quick study of the issues at stake.

Progress towards milestones that were indicated to be achieved in FY05 in the approved business plan:
1. National policies and programs reviewed and findings disseminated – Jan 05: Dropped. This activity was dropped as there was no demand from the client to take it up at this stage separately but to integrate the same with the proposed NURM program. In its place a framework for guidance notes on services to the poor has been outlined.
2. Innovative approaches for scaling up slum upgrading shared among stakeholders – Apr 05: Dropped. As the focus of the project approach changed this activity was replaced by developing a pro-poor strategy on WSS services for the Delhi Jal Board
which has been developed as part of the utility reform plan on client demand.

Progress on activities and outputs that were promised to be completed in FY05 and for which the Task Manager is accountable in the Business Plan:
A roadmap of the project has been developed that includes preparation of guidance notes on pro-poor strategies and approaches
1. Development of a toolkit for utilities on improved service provision in slums - Nov 05: On time. The progress on the guidance notes is underway. Cross learnings among cities on processes and policies on pro-poor approaches are underway. The project outputs can be measured in the next FY as the activities are on-going.
2. Assessment of integrated approach to infrastructure planning and land management for improved services - Jul 05: The activity is part of the guidance notes
3. Assessment of service provision in slum upgrading program - Jun 05: Dropped as a separate activity but it is incorporated as part of the background to the guidance notes
4. Development of a program on services to the poor - Jul 05: A structured outline for the services to the poor project is in place with concept notes and detailed project framework. Much of the work will take place in FY06.

Implementation plan to correct the situation for delayed activities/outputs promised for FY05 for those that have been delayed: The progress of the project had a slow start with changing of the approach and focus. It is currently on track with revised activities and plans.

EWDSA/IN/11: Options for Municipal Solid Waste Management Reform
Task Manager: Shubhagato Dasgupta
Overall project status: On time. The various activities undertaken under this project has lead to deeper understanding among policy makers on issues surrounding nationwide upgradation of SWM services. Steady progress has been achieved in moving National policy to at a wide level support strategic and integrated upgradation of services in municipalities from the ad hoc and reactive approaches that municipalities were engaged in. Other than this WSP has also emerged as a key player in the MSW arena with increasing amount of demand for assistance from all levels of government on SWM issues.

Progress toward outcomes that were indicated to be achieved in FY05 in the approved business plan:
1. The MSW rules 2000 are modified to allow for local choice – Jul 05: Delayed due to the need to set up a special sub group on landfills. The Central Pollution Control Board is expected to finalize the revised regulation and send it to the Ministry for notification by December 2005
2. Implementation of SWM reform in two state governments and two urban local bodies – Jun 06: On time. 3 state governments and a number of urban local bodies have started developing integrated approaches to SWM reforms.

Progress towards milestones that were indicated to be achieved in FY05 in the approved business plan:
1. Workshop with the MSW rules 2000 review committee to introduce experience of implementation of legislations in other countries – Jun 05: on time. International experience on implementation of SWM regulations were widely discussed in 2 small working groups, one with the Chairperson of the sub-group of the Landfill criteria and the other with senior Government of India and State Government representatives at the Ministry of Environment and Forests. Other than this International experience was more widely presented at a National workshop in Delhi co-hosted by HUDCO, USAEP and WSP.
2. Submission of state plan for MSW reform – Dec 05: Progress towards this milestone is on time. Two states that of Andhra Pradesh and Kerala have been receiving inputs from WSP and State level MSW plan is expected to be submitted by Dec 2005.
3. Creation of a committee on MSW institutional reform at the Ministry for Urban Development – Dec 05: Delayed. GoI would like to bring out the report from the Technology Advisory Group established earlier by the MoUD which has been delayed for more than a year. MoUD is yet to finalize the TAG report and publish it. This milestone has been dropped due to slow progress from the client as well as the focus on a stronger more empowered vehicle, i.e., the Central Pollution Control Board is being supported. CPCB has also created a sub-group on Sanitary Landfills where we have been an active member.

Progress on activities and outputs that were promised to be completed in FY05 and for which the Task Manager is accountable in the Business Plan: See accompanying activity sheets as in the Business Plan and reported below.

Implementation plan to correct the situation for delayed activities/outputs promised for FY05 for those that have been delayed: No delayed activities
EWDSA/IN/11 (a): Enabling Environment for SWM

Task Manager: Shubhagato Dasgupta

Overall project status: On time. This activity of the above project has been proceeding smoothly, with the possibility of larger than initially projected impact, due to the creation of the CPCB committee and the initial positive response and request for support from CPCB. This positive action from CPCB has reoriented our Government of India focus from MoUD to CPCB. However MoUD committee will need to be formed for guidance on Municipal reform soon after they publish the output of the last committee (TAG) due for over a year now.

Progress toward outcomes that were indicated to be achieved in FY05 in the approved business plan:
1. Gov circulates draft guidelines on institutional approaches and private sector participation to state govs - Apr 06: on time. Some strong initial progress has been achieved as the CPCB has put together a MSW regulation review committee, which has representation from MoUD and MNES. This is the most influential tool that is available at the Gov level to create an enabling framework. WSP is the only donor on this Gov committee and has played an active part in assisting with its work. Also, the States of Kerala and Andhra Pradesh (more than one as in the BP) have shown interest in developing a policy consistent with long term upgradation of the sector at the state-wide level and are about to ask for our assistance.
2. Setting up a professional association and network and a consolidated training calendar for SWM - Jun 06: Progress has been significant and on time. The Indian Association for Solid Waste Management has been created with representation of State Government officials from 9 states and more than 30 cities.
3. At least one state takes initiative in developing a state level policy framework consistent with long term upgradation of the sector - Jun 06 Two states that of Andhra Pradesh and Kerala have been receiving inputs from WSP and State level MSW plan for upgrading the sector is expected to be adopted by Jun 06.

Progress towards milestones that were indicated to be achieved in FY05 in the approved business plan:
1. Creation of committee at MoUD level - Dec 04: Dropped. This has not been the focus as a stronger more empowered vehicle, i.e., the Central Pollution Control Board is being supported. CPCB has also created a sub-group on Sanitary Landfills where we have been an active member. However there is a continued need for some guidance to be issued by the MoUD and we are in a process of enthuising them to create a Task Force to anchor this work. The willingness of the MoUD to create this Task Force is impacted by the fact that a final report of the Technology Advisory Group of this Ministry set up three years ago is yet to be published.
2. First national conference hosted by the newly created Professional Municipal Waste Association - Jun 05: On time. Five initial small group meetings of the MSW association of India have been held and a set of objectives and rules and regulations have been drafted and have been circulated to 35 others in other cities for discussion. Progress on the conference is on time.
3. State government implements the plan for state-wide MSW intervention - Dec 05: Progress towards this milestone is on time. Two states that of Andhra Pradesh and Kerala have been receiving inputs from WSP and State level MSW plan is expected to be submitted by Dec 2005.

Progress on activities and outputs that were promised to be completed in FY05 and for which the Task Manager is accountable in the Business Plan:
1. Guidelines on institutional approaches to SWM, incl. PSP guidelines - FY06: On time. A draft presentation/note has been prepared for discussion and is being discussed through presentations at 4 Indian regional workshops that have been organized. This concept note on options for institutional strengthening including PSP guidelines will be the basis of policy guidance by CPCB/MoUD and will be backed by planned analytical studies and finalized by Jan 06
2. State-level policy assistance to support implementation of SWM reforms along with workshops - FY06: On time. This has been initiated in Andhra Pradesh where a rapid assessment of 10 municipalities has been completed and discussed with the Govt. in Kerala after five meetings with Clean Kerala Mission and Kerala State Pollution Control Board personnel the final concept note and ToR for the work has been forwarded to the Govt.
3. Training and networking on technology and management approaches - FY06: On time. Following on the National Training program on SLF design and operation organized last financial year, an international training program funded by USAEP was supported through inputs into the course structure and content by WSP for 7 city engineers whose cities are actively considering constructions of SLFs, at WSP's lead consultants SLF facility at Delaware, USA. 3 out of the four proposed Indian regional workshops have been completed with significant support from USAEP, IDFC, E&Y and the World Bank.

Implementation plan to correct the situation for delayed activities/outputs promised for FY05 for those that have been delayed:
No delayed activities.
EWDSA/IN/11 (b): Strengthening Accountability & Supporting Operating Partnerships in SWM
Task Manager: Shubhagato Dasgupta

Overall project status: On time. The knowledge gained and demonstrated at the municipal level is now actively being incorporated at the State and National policy levels. The lead-time time for informing National and State policy has been considerable reduced due to the formation of networks for knowledge dissemination.

Progress towards outcomes that were indicated to be achieved in FY05 in the approved business plan:
1. Network of ULBs established – Jun 05: Completed. The creation of Mega cities forum for greater interaction on service delivery issues with a focus on SWM has been initiated in association with USAID and the Delhi Municipal Corporation and the first workshop in March 05.
2. Model contract documents developed and circulated – Jun 06: On time. To be done under the aegis of the MoUD and should be achieved by the end of the project.

EWDSA/IN/11 (c): Fiscal and Financial Environment for Reform
Task Manager: David Savage

Overall project status: On schedule. WSP is strongly associated with key fiscal policy shifts in GoI, upstream studies delivered to feed into ongoing policy refinement and strong client demand for additional studies to support rollout of the NURM. WSP advocacy has had a highly significant impact on policy formation, and is expected to result in a final policy announcement on fiscal restructuring in Q3 of 2005. Analytical work within WSP-SA is ongoing, with studies on MDGs and fiscal flows being completed and ready for dissemination, with additional studies being formulated to support NURM rollout. Reports will be repurposed and released once the contents of GoI policy announcements become clearer after the final policy announcement on NURM in Q3 of 2005.
Progress toward outcomes that were indicated to be achieved in FY05 in the approved business plan:

1. At least two Indian states/utilities adopt and implement fiscal and financial reform on the basis of this activity – Dec 06: On time. Analysis of UWSS MDG targets finalized.
2. Policy dialogue on fiscal framework for effective local service delivery – Ongoing: On time. Analysis of current fiscal transfers for WSS completed, extensive policy dialogue undertaken with GoI, leading to GoI proposal for National Urban Renewal Mission (NURM), already reflected in budget announcements and likely to be approved shortly.
3. Policy dialogue on aspects of revenue strategies for Indian water service providers – Ongoing: On time. Concept note for revenue reform activities was subject to peer review in Dec 05; peer review completed and concept note finalized in Jan 05.

Project activities rolled out in Feb 05 through selection of some good practices in revenue management strategies and their simultaneous documentation. Subsequently in Apr 05, scope was significantly broadened to include documentation and dissemination of mechanisms through which utilities, globally and in India, have undertaken performance improvement management strategies aimed at improved internal organizational accountability for better cost recovery, reduction in system losses and improved service quality. This includes approaches for improving performance through financial tracking methods, effectively charging for water (metering and suitable tariff approaches), effective billing and collection practices etc. The activity will also undertake preparation of guidance notes on 24/7 water supply to further this objective. Approaches to performance agreements between the utility and policy makers/regulators would also be documented and disseminated, along with prudent templates of such agreements. These documented outputs will be widely disseminated and applied in the course of operational engagement, with expected adoption in at least 2 utilities by FY07.

Progress towards milestones that were indicated to be achieved in FY05 in the approved business plan:

1. Dissemination of study findings to GoI and state governments – Ongoing: Initial findings of fiscal review incorporated into policy briefs for GoI advocating reform to fiscal instruments for WSS. Further research on sub-national fiscal frameworks to be commissioned to support NURM rollout.
2. Strategy for revenue improvement adopted by certain states/utilities – Ongoing: Activity concept note subjected for peer review in Jan 05. Activity roll-out since Feb 05, with various good practices in performance improvement strategies being documented. Some of these outputs will be widely disseminated during our operational engagement with partner state governments.
3. Policy dialogue on aspects of revenue strategies for Indian water service providers – Ongoing: On time. Concept note for revenue reform activities was subject to peer review in Dec 05; peer review completed and concept note finalized in Jan 05.

Project activities rolled out in Feb 05 through selection of some good practices in revenue management strategies and their simultaneous documentation. Subsequently in Apr 05, scope was significantly broadened to include documentation and dissemination of mechanisms through which utilities, globally and in India, have undertaken performance improvement management strategies aimed at improved internal organizational accountability for better cost recovery, reduction in system losses and improved service quality. This includes approaches for improving performance through financial tracking methods, effectively charging for water (metering and suitable tariff approaches), effective billing and collection practices etc. The activity will also undertake preparation of guidance notes on 24/7 water supply to further this objective. Approaches to performance agreements between the utility and policy makers/regulators would also be documented and disseminated, along with prudent templates of such agreements. These documented outputs will be widely disseminated and applied in the course of operational engagement, with expected adoption in at least 2 utilities by FY07.

Progress on activities and outputs that were promised to be completed in FY05 and for which the Task Manager is accountable in the Business Plan:

1. Fiscal restructuring to incentized reforms through intergovernmental fiscal reviews of WSS & development of reform options; support development of fiscal incentives, Briefing note – Sep 04: Completed. Underlying study of fiscal transfers delayed but now completed, due to difficulties in obtaining state level data on WSS funding flows. Policy briefing notes on fiscal reform options and development of fiscal incentives prepared and disseminated to target audience in GoI.
2. Study on achieving the MDGs, Report – Sep 04: Report completed and undergoing finalization with SASEI.
3. Pro-poor revenue strategies including metering and flat rate charging, billing and collection strategies, managing the distributional impacts of subsidy policies and evaluating the impact of electricity reforms on water prices for the poor – Feb 05: Completed. Activity concept note was subject to peer review and finalized by Jan 05, with implementation roll-out in Feb 05. As part of implementation, various good practices in performance improvement management strategies are currently being documented. These outputs will be extensively used during our operational engagements in partner states in the coming fiscal.

Implementation plan to correct the situation for delayed activities/outputs promised for FY05 for those that have been delayed:

Delays are now solely due to the need to dovetail dissemination activities with policy announcements of GoI.

Revenue Strategy: Peer review of project concept note was completed by Jan 05. Project implementation was initially planned through consultations with at least three water utilities/providers by Feb 05, with subsequent completion of analysis of their revenue strategies and identification of key areas of support by Jun 05. However, project implementation has been changed along with broadened scope of the project. The project was implemented since Feb 05 through documentation of good practices undertaken by global and Indian utilities in various areas of performance improvement strategies. Some good practices that are being documented will be used during our ongoing operation support with various state governments in the current fiscal.

EWDSA/IN/21(d): Partnerships for Reform
Task Manager: David Savage/Soma Ghosh Maulik

Overall project status: On time. Partnership for curriculum development, capacity development and knowledge dissemination on reforms in urban water supply and sanitation is established through a formal MOU with the Administrative Staff College of India.

Progress toward outcomes that were indicated to be achieved in FY05 in the approved business plan: On-time.
1. **MoU with 2 partner institutions Milestone** 1 Completed: Formal agreement through multi-year MOU with ASCI completed. Formal partnership with Centre for Policy Research was completed as an additional institution for dissemination of knowledge and sector capacity building for reforms.

2. **Milestone 2** : Structured training modules and curriculum development for policy makers and sector professionals are being in place as per the agreed work program and training programs have been initiated.

**Progress towards milestones that were indicated to be achieved in FY05 in the approved business plan:**

1. *Formal engagement process with reforming utility is established with Government of India approval – Jun 05*: Completed. A 3-year MOU signed with ASCI on May 05. An annual workplan has been approved. This partnership provides WSP-SA with additional outreach capacity to spread information on approaches to reform and provide it with dedicated training and curriculum development capacity for deployment in support of the work program. It provides ASCI with a more predictable funding environment and access to international expertise and best-practices.

2. *New act, policy etc. governing the water utility is drawn up and accepted in a state government – Dec 05*: On time. The partnership with ASCI brings in additional capacity in dissemination of knowledge and information on global best practices and approaches to reforms through structured training modules and curriculum development for policy makers and sector professionals.

**Progress on activities and outputs that were promised to be completed in FY05 and for which the Task Manager is accountable in the Business Plan:**

1. Identification of training institution – Aug 04: **Completed at MYR.**
2. Initiation of structured capacity building program – Sep 04: **Completed at MYR**
3. Sponsored learning programs: Certification program initiated and one training program completed.

**Implementation plan to correct the situation for delayed activities/outputs promised for FY05 for those that have been delayed:** The signing of the MOU was delayed due to reaching an agreement and clear understanding of responsibilities and outputs by both parties. The first year’s activities are outlined approved and initiated. Partnership with CPR is also in place.

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**EWDSA/IN/21 (f): Developing Institutional Mechanisms to Enhance Accountability**

**Task Manager:** Badal Malick

**Overall project status:** On time. Significant overall progress has been made. The project has resulted in development of key lessons for policymakers, service providers, civil society and donor agencies. It has delivered an operational framework for public accountability in service delivery based on the institution of user payments, the development of institutions for engagement among stakeholders, and the strengthening of formal mechanisms of monitoring and oversight. In terms of immediate outcomes, it has resulted in formal requests made by both civil society and government partners for WSP assistance in the development of public accountability mechanisms (as demonstrated in Bangalore and Goa). The Ministry of Urban Development (MoUD) has also formally endorsed a future WSP-led advocacy program on public accountability in the provision of WSS services. There have been delays in finalization and publication of advocacy material from case studies. Immediate next steps include: finalization and dissemination of lessons from case studies; focus on generating lessons from the implementation and testing of voice mechanisms in different reform environments; renewed focus on integrating lessons from case studies into broader WSP project work and client engagements.

**Progress towards the outcome (as measured by the indicators) that were indicated in the approved FY05 Business Plan, and in some cases revised at FY05 MYR:**

1. *At least one state government incorporates systematic pro-poor policy – Jun 05*: Completed. The Delhi Jal Board has incorporated a comprehensive pro-poor policy, including financial, legal and institutional aspects, developed by WSP-SA.
2. *Demand for documentation of pro-poor options by other utilities – Aug 05*: Completed. The Bangalore Water Utility has requested documentation on pro-poor strategies developed by WSP. Relevant documents have been sent to them and a WSP team recently met with key officials.
3. *Publication of at least two policy briefs based on research on institutional options to strengthen accountability in WSS provision – Dec 05*: On track. A draft synthesis paper and draft field notes focused on policy learning from the ten case studies have been prepared and are currently subject to internal discussions.
4. *Adoption of performance-based management tools by at least two utilities – June 06*: As specified in the mid-year review, the development of performance-based management tools was removed from the scope of this activity and included under support to city reform agendas (Activity titled "Utility / City Level Reforms"). Therefore, this indicator is no longer relevant.

**Progress towards milestones that were indicated in the FY05 approved business plan and in some cases revised at FY05**

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Progress on activities and outputs that were promised to be completed in FY05 and for which the Task Manager is accountable:

1. Exploring institutional options for strengthening citizen voice and client power in service delivery
   - Documentation of 10 case-studies on voice and client power experiences – Dec 04: Completed. 10 case studies based on initiatives related to public accountability in India were documented by individual consultants in close WSP-SA supervision. These studies cover initiatives focusing on user payment schemes, participatory planning programs, pro-poor utility reform, monitoring and regulation of services, and judicial and legal mechanisms.
   - National-level workshop to disseminate findings and detail future strategy – Dec 04: Completed. A national level workshop endorsed by the MoUD was held in New Delhi in Feb 05 to present major findings from the case study project and gain stakeholder consensus on effective mechanisms to enhance public accountability in urban services.
   - Implementation of pilot projects in Delhi and Bangalore to test institutional arrangements of citizen-client participation in service delivery – Dec 05: Completed. Projects to test and implement public accountability mechanisms have been initiated in the context of broader reforms in Bangalore and Goa. In Greater Bangalore WSS Project, WSP is supporting a local CSO in building institutions of participatory governance to enable citizen input in project design and public monitoring of service performance. WSP in partnership with another CSO is also currently assisting the Public Works Department of Goa in the development of a consumer-oriented complaints management system. In Delhi, while public accountability mechanisms are conceived as part of a program to improve services to the poor, implementation activity is unlikely to begin until Jun 06. 
   - Publication of two policy briefs focusing on institutional options to strengthen accountability in WSS provision – Dec 05: Delayed. Based on the case studies, a project summary report containing the project background, summary of case studies and major overarching findings has been developed. In addition, an advocacy-oriented paper containing a framework to operationalize public accountability in urban utility services has been developed and is currently under discussion. The deadline for publication of advocacy material including the case studies has been rolled over to Mar 06.

2. Support to utilities in design and implementation of pro-poor service delivery programs
   - Documentation/evaluation of international experience in pro-poor programs of service delivery – Jun 05: Completed. A document containing analysis of international experience in pro-poor service delivery programs was completed as part of preparatory work to inform the design of the policy and institutional framework for WSS services to poor in Delhi.
   - Formulation of pro-poor policy and institutional framework for one utility or government – Dec 04: Completed. A policy and institutional framework for services to the poor has been developed by an international consultant for adoption by the Delhi Jal Board as part of its WSS sector reforms. DJB has accepted this framework and included it in reform project documents, including the contractual framework for an envisioned PSP.
   - Design and testing of practical institutional arrangements of service provision to poor communities in at least one city – May 05: Delayed. Institutional arrangements for service delivery to the poor have been developed and documented as part of the Delhi Water and Sewerage reform project. However, implementation and testing of these arrangements will depend on the project schedule.

Implementation plan to correct the situation for delayed activities/outputs promised for FY05 for those that have been delayed:

Immediate steps – finalization of advocacy products by November 05, dissemination of lessons from case studies by March 06. Delays in the implementation (design and testing) of practical institutional arrangements of pro-poor service delivery will depend on the client (DJB) and project schedules. WSP has limited control over this process. Implementation of these arrangements is expected to begin towards the end of FY06.

EWDSA/IN/21 (g): Performance Benchmarking of WSS in Selected Urban Utilities in South Asia
Task Manager: Anupam Sharma

Overall project status: On time. WSP successfully completed Phase I of this activity with active support of participating utilities and MoUD, Govt. of India at a national dissemination workshop. This project has subsequently been modified as part of the FY 06 business planning process. The original activity is now a part of a larger activity called “Developing Monitoring and Accountability Tools for Service Delivery Improvements”. The Phase II model as originally envisaged has been modified to include a limited and more focused scale up involving only selected Phase I utilities supported with wider dissemination and advocacy across new utilities, supporting development of an institutional model to undertake benchmarking at the national level in the context of the National Urban Renewal Mission (NURM) and “Drill Down” benchmarking exercises in 1-2 states as part of...
WSP operational engagements. In light of this, the scale up envisaged in Phase II of the original concept could not be taken up. The modified concept note for Phase II detailing the above as well as the revised timelines has been prepared. Roll out of these activities and associated procurement is expected to begin shortly.

Progress towards the outcome (as measured by the indicators) that were indicated in the approved FY05 Business Plan, and in some cases revised at FY05 MYR

1. At least 3 urban water utilities/service providers start utilizing benchmarking information to compare and improve their performance – Dec 06: This outcome has been modified in FY 06 in line with the revised strategy of promoting dedicated benchmarking & performance improvement programs as part of WSP-SA’s substantive operational engagements with selected states/utilities. The modified outcome now is to have at least 1 state/utility adopt a full benchmarking program by Dec 06. The work towards achieving this outcome is progressing well. As part of WSP-SA assistance to Govt. of Goa, the first round of work on assessing the existing information systems and quality of performance monitoring has already been completed. Based on the findings from this, it is proposed to work along with Govt. of Goa in working out the design of an appropriate benchmarking program and implementation arrangements. Similarly, the proposed assistance to Govt. of Andhra Pradesh (GoAP) in this regard is expected to commence shortly. Apart from this, as part of the modified Phase II, a targeted scale up focused at the larger & progressive utilities from Phase I is planned to refine existing data and encourage them to start undertaking benchmarking for performance improvement. The revised concept has been finalized and the procurement of consultants to assist these utilities is in progress. As a result, it is expected some of these utilities (already high on the learning curve) will also start utilizing benchmarking information to improve performance by Dec 06.

Progress towards milestones that were indicated in the FY05 approved business plan and in some cases revised at FY05 MYR

1. Phase I - Collection and analysis of data from a pilot sample of utilities, Dissemination workshop – Jun 04: Completed at MYR.
2. Phase II - Collection and analysis of data from around 50 utilities in the region – Jun 05: Delayed. This milestone could not be achieved in FY05 and has been modified in view of a change in the project concept (mentioned above) and the underlying objectives behind the same have been incorporated in the FY06 business plan.
3. Phase III: Roll-out program for sustainable regional benchmarking program with local partners – Jun 06 Delayed. As mentioned above, the project concept has been modified to include a limited scale up involving only selected Phase I utilities, wider dissemination and advocacy across new utilities and supporting development of an institutional model to undertake benchmarking at the national level. This milestone has also been modified in FY 06 business plan in line with the revised concept. As per the revised concept, the procurement plan is under finalization to hire consultants to assist in the roll out plan for institutionalization, which is now expected to be achieved by Dec 06.

Progress on activities and outputs that were promised to be completed in FY05 and for which the Task Manager is accountable

1. Summary of collected data from 7-10 trial cities – Jul 04: Completed at MYR.
2. Dissemination workshop and detailed program of activities for Phase 2 – Dec 04: Completed at MYR.
3. Data collection from 35 cities in India and 4 additional countries in the region – Jun 05: Delayed. This activity could not be taken up in FY05 and has been modified on account of a change in the project concept (mentioned above) and the underlying objectives behind the same have been incorporated in the FY06 business plan.

Implementation plan to correct the situation for delayed activities/outputs promised for FY05 for those that have been delayed:

As described above, the sub-activity related to data collection from 35 cities in India and 4 additional countries in the region could not be taken up in FY 05 and has been modified on account of a change in the project concept (mentioned above) and the underlying objectives behind the same have been incorporated in the FY 06 business plan.

EWDSA/IN/21(b): Fiscal Reforms Support
Task Manager: David Savage

Overall project status: On time.
The project is making progress toward achievement of the desired outcome of effective and sustainable fiscal and financial reform strategies identified and adopted by central, state and local government and WSS providers.

Progress toward outcomes that were indicated to be achieved in FY05 in the approved business plan:
No outcome indicators are due for achievement in FY05, although progress has been made towards achieving them. Specifically, a National Urban Renewal Mission (NURM) is being introduced with WSP support to provide central assistance to
cities and states in introducing fiscal and financial reforms that improve basic services. Strategic assessments of 8 cities have been finalized, with the methodology adopted by GoI to scale up to 60 cities covered by the NURM. City strategies are under preparation in 4 of these cities.

Progress towards milestones that were indicated to be achieved in FY05 in the approved business plan:
1. Workshop to discuss the findings of possible city level investment plans that could be funded by the CCF – Jun 05: Completed. Rapid assessments of city restructuring needs was completed in May 05, workshop held in Jun 05. The workshop confirmed the strategic challenges facing cities, influencing the focus of the NURM. The assessment methodology has been adopted by GoI for all 60 cities covered by the NURM.
2. Request from Government to support the design of fiscal transfers from state government to utilities – Dec 05: On time. Policy engagement with GoI on fiscal mechanisms for service restructuring are ongoing, with final policy announcements by GoI delayed. WSP has increased its active presence in the policy formulation process, as a key technical resource to GoI in finalizing reform plans and preparing for implementation. Activity underway and on-time.

Progress on activities and outputs that were promised to be completed in FY05 and for which the Task Manager is accountable in the Business Plan:
1. Rapid Assessments of eight cities by Dec 04: Completed. Eight City assessments finalized in May 05.
2. Support to cities in applications for fiscal incentives, three cities by Dec 05: On time. Activity contingent on GoI announcing implementation of incentive scheme, which has currently been delayed due to policy review in government. Upstream work and in-principle approval of GoI already obtained.
3. Support to central government in management of incentives schemes, three applications – Jun 05: Delayed. Activity contingent on GoI announcing implementation of incentive scheme, which has currently been delayed due to policy review in government.

Implementation plan to correct the situation for delayed activities/outputs promised for FY05 for those that have been delayed:
The city rapid assessments contributed significantly to the debate on the use of fiscal instruments for WSS reform, as well as to prepare cities to submit applications for assistance. Follow up support to cities seeking NURM assistance is being rolled out, but is contingent on GoI policy announcement. Additional NURM support activities identified and agreed with GoI. The activities/outputs of this project are, however, subject to factors beyond the control of WSP-SA.

EWDSA/IN/21 (i): State Level Reforms
Task Manager: David Savage
Overall project status: On time
1. Goa: Significant progress made in generating awareness/momentum for reform. This includes the development of an institutional restructuring plan, development of a sanitation strategy, development of an outline of an internal benchmarking system, assessment of procurement options for 24/7 and the enhancement of a consumer redressal system.
2. Maharashtra: With WSP support, the GoM has initiated processes both within MJP to begin addressing the staffing, HRD and financing issues associated with Corporatization - as well as supporting the RSPMU to engage consultancies defining and supporting this process. WSP is now seeking to engage with ULBs in defining the ‘felt need’ for various services that the MJP may in a position to offer.
3. Himachal Pradesh: Engagement on sector status study is progressing on time.
4. Haryana: Immediate focus – Phase I of the sector assessment study is underway and expected by December 2005.

Progress toward outcomes that were indicated to be achieved in FY05 in the approved business plan:
1. Goa: The momentum for comprehensive institutional reform in Goa has been established through an internal and external communications strategy that is based on the information developed through the findings of the sector assessment study. Following the development and approval of institutional restructuring plans (Jun 05), it is anticipated that these plans will be placed before state cabinet in Aug 05.
2. Maharashtra: The implementation plans for the institutional restructuring of the MJP and the ToRs for the engagement of the consultancies necessary to implement these plans have been developed by WSP. While some ring-fencing of functions has commenced within the MJP, it is proposed that in FY06 the strategic plans detailing staff transfers, forms of contracts, financial debts/liabilities etc shall be finalized.
3. Haryana: Completion in Sep 04 of initial assessments in Haryana and a strategy workshop, completion of a focused evaluation of state led PPP models and roll out of the process for sector assessment for state wide reform options. Following from these, WSP is currently supporting the Haryana state government in reviewing approaches to state wide reform of UWSS. In this regard, our work in Haryana has been evolving, initially through the development of terms of reference in Dec 05 for.
supporting this reform program for the WSS sector. Following this, WSP-SA along with the Government of Haryana has mandated PricewaterhouseCoopers in Apr 05 to undertake a comprehensive statewide assessment of the WSS sector through a 2-phase study, where Phase I focuses on understanding the current status of the sector and challenges that a reform process will undertake and Phase II develops a framework for institutional options that define the reform process of the sector as a whole and supports local governments in designing and implementing specific institutional options. Currently Phase I is underway.

4. Himachal Pradesh: WSP engagement with the GoHP on both rural and urban water supply and sanitation, and partnership with GTZ is beginning to develop some arguments identifying the need for comprehensive WSS reforms in HP. The above have led to the forging of strong partnerships with the state governments and laid the foundation for substantial work plan for state level reforms.

Progress towards milestones that were indicated to be achieved in FY05 in the approved business plan:

1. Sector assessment studies accepted by state governments – Dec 05: On time.
   - Goa: Selection of the preferred institutional restructuring option by bureaucracy in Jun 05.
   - Maharashtra: ToRs for implementation of MJP restructuring accepted by both GoM and World Bank.
   - Himachal Pradesh: interim presentation of the sector study (Jun 05) has developed a strong financial argument on the need for comprehensive reform of the WSS in H.P
   - Haryana: Initial stage of Sector Assessment was completed with short listing of six consulting firms in November 2004. Terms of Reference for a sector assessment study and development of a framework of institutional options was finalized in December 2004. An RFP was issued to the six short listed consulting firms in December 2004. Final procurement was completed in April 2005 with PricewaterhouseCoopers being mandated to undertake the study. Phase I of the Sector Assessment Study started in May 2005 and is progressing on schedule.

2. Acceptance of a vision statement and reform strategy by the utilities – Jun 06. Reform visions in Goa and Maharashtra under intensive discussion within state governments as they prepare for final adoption.

Progress on activities and outputs that were promised to be completed in FY05 and for which the Task Manager is accountable in the Business Plan:

1. Goa: Sector assessment initial phase completed, and analysis confirmed by state government. Reform options developed and debated and preferred option detailed for cabinet submission in Aug. '05. A state-wide strategy for 'on-site' sanitation has been prepared for the Government of Goa. On the basis of this, WSP has received a request for the development of a Sanitation White Paper that encompasses solid waste, excreta disposal (on-site and off-site) and drainage.

2. Maharashtra: WSP has supported preparation of ToRs for the following consultancies that relate to the implementation of the restructuring of the MJP. These are: (a) Pricing of water and sanitation services, (b) Regulation of water supply and sanitation services, (c) Capacity building for MJP, (d) Financial viability of MJP (engineering and finance) and of the new O&M company, and (e) Corporatization and commercialization of MJP and of the new O&M company. WSP’s oversight role in preparing and managing these studies shall not only inform the GoM on the implementation of restructuring plans, but will embed within WSP essential knowledge on the implementation of institutional restructuring programs in the Indian context.

3. Haryana: State wide sector assessment study and reform strategy and options progressing on schedule.

4. Himachal Pradesh: WSP has contracted a study on the WSS sector with specific detailed assessments of several urban water supply and sanitation / sewerage schemes.

Implementation plan to correct the situation for delayed activities/outputs promised for FY05 for those that have been delayed: No delays.

EWDSA/IN/21 (k): Communication Strategies for Reform in UWSS

Task Manager: Vandana Mehra

Overall project status: On time. The purpose of this project is to provide reforming state governments in India in the Water and Sanitation sector reforms process assistance in developing a Communication Strategy and Action Plan for implementation, and road-maps for future communications and consultations with stakeholders. The initiative for achieving continuous and sustainable UWS is a unique model that has no parallel in the Indian context. As a integral part of the reform strategy, the Governments of Delhi and Karnataka are attempting to test this model in selected demonstration zones by transiting from the traditional 'supply' model, owned and managed by the government, to establish 'demand sensitive' and 'customer focused' utilities. This may include effective collaboration with the private sector for design and delivery of the services. In Karnataka it has reached its final stage of Phase 1 with submission of strategy. M& E activities can only commence once client initiates implementation. In Delhi, project is poised mid-way: all planned studies are in various stages of data-gathering and reports are expected to be delivered to clients before the end of FY05. TORs to start providing governments’ overall direction for moving ahead in communications process, as well as a basis for engaging specialized communications experts to develop and implement a comprehensive and customized strategy have been devised. At the end of 2 years, it is hoped that the outcomes of these pilots will feed into a broader all-India communications strategy to create demand for reform (at the municipal level) and demand for better and sustainable services
Progress toward outcomes that were indicated to be achieved in FY05 in the approved business plan:

1. **Strategy defined and implemented in two states – Jun 06.** On time. Communication strategies for two reforming utilities are underway and recommendations are expected to be presented by end of fiscal 05. It is expected that in FY06 these strategies will be implemented and their impact on the reform process monitored and evaluated by WSP.

Progress towards milestones that were indicated to be achieved in FY05 in the approved business plan:

1. **Communication strategy presented to one state – Feb 05:** Completed. The state-wide strategic communications plan under KUWASIP (Karnataka) was presented to the government in Jun 05. The study is now under review with client and implementation plans are being worked out.

2. **Workshop on communications held for policy-makers – May 05:** Delayed until Mar 06. The preparatory work for workshop is on track and it is expected that this workshop will be held as scheduled. Workshop will now be Regional in nature, and target clients from the SAR region. SAR EXT will be co-task managers. Dates are tentatively fixed for Feb 2-3, 2006.

Progress on activities and outputs that were promised to be completed in FY05 and for which the Task Manager is accountable in the Business Plan:

1. **Launch learning event with partners/clients – Jul 04:** Was merged at with another workshop planned to disseminate findings of studies completed by May 05.

2. **Support development of communication strategy: Communication strategy for at least 1 state – Feb 05:** Completed. The strategy for DJB is expected to be submitted by Dec 05.

3. **Capacity-building workshop: At least 1 engagement – FY05:** Completed: One workshop held for elected representatives of the state of Delhi. Second series of workshop (for NGOs, media and Resident Welfare Associations) was held to garner political support for the planned reforms.

4. **Guidelines/systematize communication practices and disseminate main findings: Guideline and FNs – Jun 05:** Delayed. Draft learning’s from Karnataka are under review, while learning’s from Delhi are expected to be reviewed after strategy has been presented in Dec 05.

5. **Measuring, monitoring & evaluation plan implemented: Confirming achievements via indicators – Apr 06:** On time. FY06 activity.

Implementation plan to correct the situation for delayed activities/outputs promised for FY05 for those that have been delayed:

Workshop on communications held for policy-makers – May 05: This workshop has been delayed: it is scheduled for March 06. The workshop is scheduled to be held in partnership with SAR – Ext and will encompass experiences from other reforming infrastructure sectors (e.g. power and/or telecom).

EWDSA/IN/22: Urban Sanitation Services to the Poor
Task Manager: Soma Ghosh Moulik

**Overall project status:** On time. Agreement at the national and state levels to prioritize sanitation within urban development. The approval by the Ministry of Urban Development to set up a National level Task force on sanitation was done through a Government Order. This project covering the implementation of reform plans will continue to gain strength with the involvement of the MOUD taking up the leadership role at the national level and with the state government of Andhra Pradesh developing a state level sanitation policy.

Progress toward outcomes that were indicated to be achieved in FY05 in the approved business plan:

1. **National Task Force constituted – Dec 04:** In place. The MOUD has formally agreed to constitute a national task force to drive the urban sanitation agenda in India.

2. **Stakeholders exposed to innovative experiences – Completed.** WSP-SA strategic alliance with SPARC helped develop structured exposure visits to stakeholders to innovative sanitation programs in high density informal settlements in urban India.

3. **At least one state government agreed and formulated a state level policy on universal sanitation – Apr 05:** The Government of Andhra Pradesh had agreed in principle to formulate a state level policy on making cities open defecation free urban areas. In this respect, WSP-SA had submitted a draft policy note based on city assessments in the state and in consultation with the state government. Feedback on the note is awaited from the state government.

4. **At least 2 cities piloted sanitation services in urban poor localities – Jun 05:** Initiated. In association with SPARC and ASCI, pilots to demonstrate community engagement in slum sanitation has been initiated in 2 places (Vishakhapatnam and Tirupur). The pilot projects are in progress. In addition, evaluation of slum sanitation programs completed in two cities and...
Progress towards milestones that were indicated to be achieved in FY05 in the approved business plan:
1. Study on service delivery options documented – Mar 05: Completed. Rapid assessments on urban sanitation situation of 10 municipalities in Andhra Pradesh were completed and presented in a state level Chief Minister’s workshop in Jan 05. Municipal Corporation of Delhi requested WSP-SA to review their existing community sanitation program and suggest alternative operational models. The findings of this study have been presented to the Municipal Corporation. A decision on the design and implementation of a revised community sanitation model will be taken in Aug 05. In association with WB, WSP-SA has undertaken an evaluation of the process and effects of WB-supported Mumbai Slum Sanitation Project. Study findings and recommendation are being finalized and will be presented to the GoM in Aug 05.
2. National Policy on urban sanitation adopted – Jun 05: Completed. Discussion on constituting a national task force to review policies and programs on urban sanitation is ongoing. TOR of constituting the task force has been approved by the national government and the first meeting is scheduled in Sep 05. WSP-SA is working with WB on urban sanitation planning guidance notes to support utilities and municipal officials in strategic planning in urban sanitation. A round table was organized in Feb 05 to share and review draft planning guidance notes with MoUD and key utility managers. Based on the feedback from the round table, the planning notes are being finalized and a second stage review and dissemination strategy is being planned.
3. City-wide urban sanitation program launched by 2 cities – Dec 05: On time. SPARC- ASCI and WSP partnership that formed to provide comprehensive support for state and local authorities in making universal sanitation for the poor a priority in cities was taken to the next level by initiating pilots in community engagement for provision of universal minimum sanitation to urban poor communities. Pilots have been initiated in Andhra Pradesh and Tamil Nadu to demonstrate model of community participation in management of sanitation services and process by which local governments can scale up the sanitation project.

Progress on activities and outputs that were promised to be completed in FY05 and for which the Task Manager is accountable in the Business Plan:
1. Task force to create demand for sanitation services – May 05: Completed. Formal approval received from MOUD to constitute a national level task force with key sector professionals, policy makers and practitioners. The Ministry approved the TOR and details on organizing the first meeting in Sep 05 is being worked out with the Ministry.
2. Development of state level frameworks for city-wide sanitation – Apr 05: Completed. An initial step towards developing a strategy policy framework on city-wide sanitation, a Rapid assessment of urban sanitation situation in 10 municipalities in Andhra Pradesh was commissioned (Sept 04), completed (Dec 04), presented to key officials in state government (Dec 04) and finally widely presented in a Chief Minister’s state level workshop in Jan 05. The findings of this study directly contributed to the state government prioritizing sanitation, and clarifying its policy objective of making its cities open defecation free. A draft concept note on the urban sanitation approach has been submitted to the state government for consideration. In addition, a draft sanitation strategy for Goa has been formulated and shared with the state government for review.
3. Study tours and exchanges – Sep 04, Feb 05: On going. As an on-going support to SPARC with Cities Alliance on universal minimum sanitation in slums in cities, SPARC had facilitated an exposure visit for policy makers from the state of Andhra Pradesh in Oct-Nov 04. These exposures and city level exchanges helped cities to initiate policy discussions on city engagement in slum sanitation.
4. Institutional and financial arrangements for engaging the poor – Mar 05: Delayed. WSP has undertaken two cities evaluations of slum sanitation projects in Delhi and Mumbai. The Delhi Slum Sanitation study has been shared with the Municipal Corporation and waiting final feedback on the recommendations and to initiate discussion on the next steps. For the Bank-supported Mumbai Slum Sanitation project the draft findings of the evaluation were presented through a series of stakeholder consultations were organized in May 05 with community organizations, municipal officials, steering committee members of the corporation and state level officials. Although the final recommendations are awaiting Bank’s TTL approval, this study offers significant insights into appropriate policies and practices for sanitation in slum communities.
5. Pilots for pro-active city engagement with poor – Dec 04: On-going. SPARC has carried out demonstration on community sanitation with active engagement with slum dwellers in 2 cities of Vajayawada and Tirupur. Pilots on community engagement in planning, construction and management are well demonstrated and the process of scaling up with community mobilization is going on. The state governments are presently considering options for state wide application of these strategies to enable access to sanitation to urban poor communities.

Implementation plan to correct the situation for delayed activities/outputs promised for FY05 for those that have been delayed: The project has been restructured with widening the scope of the project from slum sanitation to that of city-wide service delivery. The on-going support to provide access to minimum sanitation in unserved slum areas will continue as part of city-wide service delivery but the scope of institutional and financial option will be widened.
Overall project status: The project is globally on track and has progressed according to schedule in FY05. The main deviation in FY05 relates to expansion of the current M&E system into a sector-wide M&E system at national level (as opposed to the modest Case study at state level initially mentioned in the AWP FY05) which has obviously more reach and overall impact. Due to procurement delays, the same will not be made available until late in FY06. Also, the priority is likely to be given to the Water Quality Management module instead of the Hygiene and Health module as initially mentioned in the AWP FY05.

Progress toward outcomes that were indicated to be achieved in FY05 in the approved business plan:

On time: The expansion of the M&E to encompass the non-reform programs, i.e., two main programs, representing the bulk of the public finances allocated to the Drinking water supply sector (as well as the reform programs) has delayed the progress but expanded the effectiveness and utility of the M&E.

1. Swajalhara and CRSP modules of the national M&E system implemented and operational in three districts in at least 2 states – May 05: Partially achieved. Swajalhara module under active piloting but adoption of CRSP module lagging behind.
2. Sector-wide M&E at state-level adopted in at least 1 World Bank project and design features having informed the GoI MoU process – May 05: Under progress. Final stage in the preparation of a sector-wide version of the M&E under completion.

Progress towards milestones that were indicated to be achieved in FY05 in the approved business plan:

1. Close follow up of the 4 pilots states – capitalize on users feedback – Dec 04: Completed. Obtain users feedback on the 4 pilots' states. Some regular follow up over phone towards the end of the calendar year 2004 and a coordination meeting held in New Delhi in January 2005 provided some early feedback. Such a feedback was complemented by state visits to each of the 4 pilot states in February – March 2005, and was consolidated and thoroughly discussed during a national workshop in Apr 05.
2. Incorporate user feedback into design and proceed with 3rd batch of commissioning in 2 more states – Mar 05: Completed. Workshop held & user feedback incorporated into design. The new design for the version 3 is under completion, it incorporates the feedback of previous version from the pilots and was expanded to non-reform programs also, thus increasing the sector orientation of the M&E system. It was decided to proceed with the expansion of the operation of the M&E system on the basis of the new version of the M&E system only (2nd quarter of 2006).
3. Revised version, potentially on a sector-wide basis – Jun 05: Completed. Revised software version on a sector-wide basis developed. Revised version, potentially on a sector-wide basis developed (Jun 05). The design of the version 3, on a sector-wide basis, is broadly accepted by the GoI and is now ready for operationalization. Delays in mobilization of Consultants' services for procurement reasons will translate into the new version of the web-based application not being available before May 06.

Progress on activities and outputs that were promised to be completed in FY05 and for which the Task Manager is accountable in the Business Plan:

1. National M&E system for the Swajalhara program – Jan 04: Completed at FY04 EYR
2. National M&E system for the CRSP program – Aug 04: Completed at MYR
3. Case developed for a sector-wide M&E at state level – Jan 05: Completed. Peer review & international advisor advises RGNNDWM to scale-up sector-wide. On this basis RGNNDWM requests WSP to expand the M&E system to sector-wide, state-wide national program.
4. Hygiene and Health module in the National M&E system – Jun 05: Delayed. The priority was given to the development of a sector-wide M&E system at national level and most probably the next thematic module to be developed will relate to Water Quality Management instead. This is now to be developed after the sector-wide M&E system is developed at the national level (2007).
5. Study on behavioral change and improved sanitation practice – Dec 05: On-time. Methodology tested and completion of baseline survey and first round of survey track during monsoon with the findings of the health impact study informing the GoM sanitation policy.

Implementation plan to correct the situation for delayed activities/outputs promised for FY05 for those that have been delayed: The delay attributable to the expansion of the scope of the national M&E is positive in broadening the scope beyond that of the reform programs to also track the investment and sustainability of the non-reform programs. Related adjustments were reflected in the AWP FY06.
Overall project status: The project is undergoing some significant time delays mainly because the activities are entered the implementation stages and are primarily executed by the State governments themselves. However, overall, in terms of substance, the project is still pretty much on track.

Progress toward outcomes that were indicated to be achieved in FY05 in the approved business plan:
Delayed: The water quality management studies, development of strategies, preparation of PIP’s for pilots and the assessment of the effectiveness of the pilots are leading to improved monitoring, surveillance and response capacity by the local water supply, sanitation and health service providers.

Progress towards milestones that were indicated to be achieved in FY05 in the approved business plan:
1. Results of fluoride mitigation strategy paper piloted in three districts – Oct 04: Completed. Results of the fluoride mitigation strategy piloted in 3 districts prepared.
2. Pilots inform and stimulate adoption of a state-wide drinking water quality management framework – Jun 05: Delayed to Dec 05. The results from the pilots is currently mixed, mainly because of staff transfers and limited supervision provided by the Team formed at State level for the purpose. However, there is an interest in going forward and the Water quality component under the WB project and the implementation of the new National program could represent some interesting platforms to promote such a framework further (Sept.05).
3. Adoption of findings from bacteriological study informs state policies to remediate water quality – Jun 05: Delayed to Nov 07. Mostly because the study is executed by the GoK (with top-up technical support from WSP), the survey could not start as initially planned in June 2004, because of delays into administrative sanctioning of financing by GoK (Jul. 8, 2004), selection of implementation agency (Sept. 23, 2004) and contracting (December 2004). In addition to that, the actual mobilization of the implementing agency (state research center) took longer than expected (with project recruited in Feb. 05 and additional laboratory equipment delivered in Jun. 05).

Progress on activities and outputs that were promised to be completed in FY05 and for which the Task Manager is accountable in the Business Plan:
1. WTP study on Arsenic mitigation in West Bengal – Mar 05: Delayed until 2006. WTP study approved by GoWB. Design and contracting proceeding for the start of the study for Aug 05.
2. Review of water conservation measures – Sep 04: Completed. Proposal for partnership on RWH with the Center for Sciences and Environment formulated in Jun. 04 and refused in Sept. 04 (CSE had difficulties in convincing its core members to issue a joint publication with a WB affiliated body). Finally, building on some interest of the Gvt. of Kerala in this domain, it was decided to conduct such a work at State level (Jul 05)
3. Strategy on fluoride mitigation in Maharashtra – Mar 05: Delayed until Sep 95. PIPs for piloting rural DWQM framework in Maharashtra completed in Jun 04, Government Resolution for pilot phase in 3 districts issued in Aug. 04, initial training conducted in Sept. 04, subsequent Instruction (amendment to the GR) issued in Oct. 04 and Funds transfer effective in Dec.04. Preliminary assessment of the pilots in Jul. 05.
4. Study on bacteriological contamination in Kerala – Sep 05: Delayed. Actual contracting process of the implementing agency by the Modernizing Government Programme completed in Dec. 04 only and mobilization of the implementing state research institution completed in Jun. 05. The survey has actually started in Aug. 05 and run until Jul.06.

Implementation plan to correct the situation for delayed activities/outputs promised for FY05 for those that have been delayed: The requisite decisions from state governments delayed in most cases. Contracting & activities have commenced in all cases and as per revised schedules these are now ‘on track’.

Overall project status: Completed. The project has been completed and substantive progress has been achieved towards project outcomes. Some of the project outputs and activities have been delayed due to understaffing and external factors such as general security conditions in the country. These activities will be picked up by the successor project. Some activities and outputs of the projects have led to certain strategic results that will be actively pursued during FY06 such as institutional reform of Karachi Water and Sewerage Board in collaboration with City District Government Karachi. The project outputs and activities related to NRB have also given rise to a programmatic approach instead of activity base approach to collaboration. Lodhran Pilot project was launched as a result of project interventions. Pilot project in DlK has been concluded yielding many lessons that would contribute towards development of a comprehensive TMA capacity building program.

Progress towards the outcome (as measured by the indicators) that were indicated in the approved FY05 Business Plan,
and in some cases revised at FY05 MYR:
1. Policy for institutional and management models for W&S services delivery in a decentralized framework adopted and implemented in two TMAs and being actively pursued in one city district – Jun 05: Completed. Systems and procedures for devolved service delivery were introduced in DIK and initial headways were made in Lodhran. The institutional reform process of KWSB has been initiated and will form a major component of FY06.
2. The above policies are incorporated into at least two government projects and programs as well as large donor-assisted investments in at least one province – Dec 04: Completed. The decentralized framework, Tehsil Municipal Administration, which was supported by WSP provides the basic institutional ground for implementation of Community Infrastructure Project II NWFP and Community Infrastructure and Services Project AJK, Lodhran Pilot Project and UNDP Essential Institutional Reform for Operationalization Project NWFP. In addition, DFID funded Rural Water Supply and Sanitation Project recognizes TMAs as principal implementing agency.

Progress towards milestones that were indicated in the FY05 approved business plan and in some cases revised at FY05 MYR:
1. International experiences and “best practices” shared with TMAs and CDs in Pakistan – Jun 04: Completed at MYR
2. Pilot on decentralized service delivery underway to demonstrate up-scaling of pro-poor investments – Jun 05: Completed
3. Large scale investments designed with lessons from above – Jun 05: Completed CIP II and CISP (WB), RWSS (DFID)

Progress on activities and outputs that were promised to be completed in FY05 and for which the Task Manager is accountable.
1. Implementation support to Lodhran pilot project to demonstrate replication for scaling-up – Jun 05: Completed
2. Provide TA to NRB in formulating simplified procedures pertaining to approval of new schemes and assessment of TMA’s capacity – Mar 05: Completed: Draft manual on Planning and Development procedures was developed and during the course of this activity it was realized by NRB and WSP that strengthening of TMAs would only be meaningful through a programmatic approach, which has been a component of FY06 (EDWSA/PA/40
3. Policy support on W&S to city districts – Ongoing: Delayed, is part of FY06
4. Policy support on W&S to TMAs: Completed
5. Implementation support to NWFP-CIP2 and AJK-CISP – FY05: Ongoing
6. Provide support to programmatic lending in W&S, municipal services and community infrastructure – Jun 05: Completed. PCT has been actively involved in the process of NWFP and Sindh Economic Reports as well as that of Strategic Country Environment Assessment.

Implementation plan to correct the situation for delayed activities/outputs promised for FY05 for those that have been delayed: Policy support on W&S to city districts has been incorporated into the FY06 business plan under project EDWSA/PA/39 and 42.

EWDSA/PA/15: Capacity Building of Institutions for Improved W&S Service Delivery.
Task Manager: Nadir Abbas
Overall project status: Most of the activities identified under this project have been completed. Roundtables on TMA and SACOSAN 1 follow-on roundtables were held. Capacity building framework was drafted and submitted to GoNWFP. But further progress was not possible because of changes at the senior government management levels and in WSP PCT. DIK pilot was completed albeit behind schedule. Subsequently, a review has been completed and lessons from the pilot are ready for application on other TMAs of NWFP. The most significant learning from this is that institutional strengthening leading to sectoral reforms require concerted effort and effective coordination among various stakeholders. It also facilitated recognition of SMEs as an important actor in service delivery to be pursued during the next three years project starting from FY06.

Progress towards the outcome (as measured by the indicators) that were indicated in the approved FY05 Business Plan, and in some cases revised at FY05 MYR:
1. Improved systems and procedures, as measured by independent review, incorporated in at least one province – Jun 04 – on time; Recommendations formulated and efforts underway through WB supported CIP II for upscaling.
2. At least one project based on incentives for improvement designed specifically for TMAs adopted by one province – Dec 03, launched – Jul 04: Delayed due to senior level changes in the government and staffing changes in PCT. Dropped.
3. Two operational national networks for capacity building investments (for donors) and knowledge sharing of good practices (for municipal institutions) active through Jun 04 (ESA and TMA roundtable) – Jun 05: Delayed. TMAs Roundtables were held. However, ESA Round Table is delayed and will be part of FY06.

Progress towards milestones that were indicated in the FY05 approved business plan and in some cases revised at FY05 MYR:
1. Outline operational frameworks for TMAs prepared – Jun 03: On time. Follow up activities including formal consultation
with stakeholders and finalization and dissemination were not possible due to changes in NRB and WSP PCT. Discussions are underway with NRB and part of FY06 Business Plan.

2. Concept note for Capacity building program prepared – Jun 03: On time Concept Note was prepared and shared but there was no progress subsequently because of management changes in GoNWFP and WSP PCT.


4. SACOSAN hosted by Pakistan with progress on sanitation policy and supported by W&S networks – Jun 05: Delayed. SACOSAN in Pakistan will be held in November 2005. The delay was due to lack of clarity in assignment of the responsibility for organization of the event. Ministry of Environment has now been designated as the focal agency. A letter from GoBD, facilitated by WSP, addressed to GoP to assume the responsibility for the secretariat triggered the process.

Progress on activities and outputs that were promised to be completed in FY05 and for which the Task Manager is accountable.

1. TMAs roundtable for sharing of best practices in delivery of services – Oct 04: Completed at MYR

2. ESA roundtable – Dec 04: Delayed. Has been pushed into FY06 due to staffing constraints

3. Hold follow-up roundtable on SACOSAN to further the dialogue on sanitation – Dec 04: Completed.

4. Provide technical support to LGs and UNICEF on Arsenic issues: Ongoing

5. Policy support to GoNWFP on capacity building framework for LG: Completed. Follow up will be part of FY06

6. Dera Ismail Khan pilot on strengthening LGs for developing and implementing multi-year W&S plan and testing various service delivery mechanisms – Mar 05: Completed

Implementation plan to correct the situation for delayed activities/outputs promised for FY05 for those that have been delayed: ESA Roundtable is part of FY06 plan. Launching of outline operational frameworks and other manuals for TMAs part of FY06 Plan. WSP is continuing its support to SACOSAN as part of FY06 Plan.

Progress towards the outcome (as measured by the indicators) that were indicated in the approved FY05 Business Plan and in some cases revised at FY05 MYR: On track to achieve outcomes:

1. A group of 16 WSS sector stakeholders from India, Pakistan and Bangladesh benefited from studying two contrasting approaches of urban WSS management – the full privatization attempt in Manila and the public sector reform in Phnom Penh.

2. A group of 9 high-level journalists from South Asia attended a week-long training with one full days special focus on WSS issues. The positive feedback was reflected in the media coverage that succeeded the workshop, which included airing of a film on Total Sanitation on national television in India on World Environment Day. Over twenty articles have appeared in mainstream English, Bengali and Hindi newspapers, and periodicals.

3. Twelve WSS sector stakeholders from India, Pakistan and Bangladesh, representing government departments, public utilities, media and civil society, visited Johannesburg (South Africa) and Dakar (Senegal), which have both introduced far-reaching institutional reforms to local service delivery practices, to review the impact of these reforms on the quality of WSS delivery.

Progress towards milestones that were indicated in the FY05 approved business plan and in some cases revised at FY05 MYR:

1. Best practices in Total Sanitation from South Asia: shared via study, completed and disseminated in June 05

2. Study tour/workshop for stakeholders of region (viz. government, politicians, non-government, private sector, utility reps., civil society, media) where at least one successful international case study (e.g., city-wide restructuring, wastewater treatment technology, condominium sewerage, solid waste management) is studied: Completed in June 05

3. Publication (study/field note) on lessons in mitigating chemical hazards of natural origin in drinking water quality: This study has been put on hold because of changes in legislation.
Progress on activities and outputs that were promised to be completed in FY05 and for which the Task Manager is accountable.

1. Rapid response fund to support regional exchanges and knowledge sharing through studies, workshops, policy/advisory services on a demand driven basis:
   - Studies and field notes: Ongoing. Study “Scaling-up Rural Sanitation in South Asia” completed Jun 05
   - Workshops and learning events: Ongoing. Journalists study tour completed Apr 05; two study tours for politicians, utility managers, sector professionals from across the region were conducted – one to South Africa and Senegal and the second to Manila and Phnom Penh – in Jun 05
   - Policy/Discussion note; strategy paper: Ongoing. Regional report “Institutional Response to Arsenic Mitigation” completed July 2004 (World Bank study supported by WSP); other regional studies on Water Quality Management underway.

Implementation plan to correct the situation for delayed activities/outputs promised for FY05 for those that have been delayed. Not applicable

EWDSA/REG/23: Regional communications and media networking
Task Manager: Vandana Mehra

Overall project status: On time. The overall project status is satisfactory. This project will continue after FY05. It seeks to support the rapid and effective exchange of quality assured knowledge within and between selected projects, agencies, and countries. Currently TORs are being finalized to support and maintain the South Asia Journalists Network by designing a targeted journalist’s capacity building program for journalists to support SACOSAN in Pakistan.

Progress towards the outcome (as measured by the indicators) that were indicated in the approved FY05 Business Plan, and in some cases revised at FY05 MYR: Outcomes are being achieved:

1. Significant and innovative knowledge created through communications products and tools: Regular feeds into the WSP website and ACCESS
2. WSP-SA is positioned as the lead WSS knowledge generation and dissemination agency in the region: All products are prominently displayed and disseminated at relevant meets/ seminars/ workshops. This ensures continuous high visibility of WSP-SA learning (print) products.
The above leads to continually improving quality and impact of planned products as judged by feedback generated from annual review in accordance with a range of criteria to be defined; increase in demand for knowledge products and usage of the Help Desk/ website/ public information center

Progress towards milestones that were indicated in the FY05 approved business plan and in some cases revised at FY05 MYR
1. Update Regional brochure in Dec 05: Dropped. Will be merged with global brochure to be produced by BPT
2. Capacity-building Workshop for South Asian journalists completed by June 05: Completed in March 05

Progress on activities and outputs that were promised to be completed in FY05 and for which the Task Manager is accountable.

1. Support WSP communications – ongoing activities on track, including regular feeds into the WSP website and ACCESS, input to Annual report, SA and country brochures
2. Stakeholder and customer feedback in South Asia – Develop SA communication strategy on the basis of assessment of effectiveness of WSP-SA’s communication products and tools – delayed to FY06
   1. Support to WSS events/initiatives – organized workshops/exhibitions/conferences, etc. and WSS initiatives and special events – ongoing activities on track
   2. Support and maintain South Asia Journalists Network by conducting two study tours/workshops (e.g., Sanitation, Arsenic, SWM) – Study tour for South Asian journalists conducted in conjunction with ESSD week in Washington, DC Apr 05; workshop followed.
   3. Visual imagery project to provide visual expression to the Program’s mission – Photographic assignment and/or regional video – carried out at global level, not specifically for the region.

Implementation plan to correct the situation for delayed activities/outputs promised for FY05 for those that have been delayed: Delayed to as there were not sufficient knowledge products in the past one year to suitably aid this assessment. It is estimated that in FY06 this activity will be initiated in Dec 05.
FY05 End-Year Review: Global

EWDWP/RUR/3: Public-Private Partnership for Promoting Handwashing

Task Manager: Kate Tulenko

Overall project status: (Delayed)

The PPP Secretariat was established within WSP and activities were handed over to new staff. Launches in Peru and Senegal have been delayed due to more time needed for fundraising but are now progressing well. DCC in Ghana and material testing in Madagascar have also been delayed due to more time needed for fundraising but are now progressing well. Nepal DCC has been complicated by the ongoing civil war. Country programs will soon be starting in Columbia, Indonesia, and Tanzania. Global awareness of HW has been increased via seminars and advocacy efforts and as evidenced by increased inquiries for HW information and support.

Progress towards the outcome (as measured by the indicators) that were indicated in the approved FY05 Business Plan, and in some cases revised at FY05 MYR:

1. Incidence of HW with Soap increased by targeted percentage among targeted population in program countries within stipulated time frames: Completed on time in Ghana, delayed in other countries. Results are available for Ghana, the most advanced program, which indicated the women’s reported HW has increased almost 400% (from 14% to 55%) before eating.


3. HW with Soap firmly established as a key public health intervention in program countries and within policy making levels of partner organizations by the end of the project period. Increased mainstreaming of HW in government and donor programs as evidenced by inclusion of HW activities in pre-existing government programs in Peru, Ghana, and Nepal and increased HW content in UNICEF and USAID activities in multiple countries. Increased funding from governments and donors. Increased international profile of HW due to University of Handwashing and the publication of HW Handbook (launched during Water Week).

Progress towards milestones that were indicated in the FY05 approved business plan and in some cases revised at FY05 MYR:

Peru launch: Delayed due to funding issues but now progressing well and expected in September 05.

BNWP Workshop and founding of Secretariat: completed
Progress on activities and outputs that were promised to be completed in FY05 and for which the Task Manager is accountable:

1. Support design and implementation of BNWP-initiated country programs: Ghana monitoring report completed. Ghana phase 2 monitoring report delayed due to lack of funding but will be delivered in FY07. Peru launch delayed due to lack of funding but will occur in Sep 05. Senegal launch delayed due to cholera outbreak and lack of funding but will occur in Nov 05.


3. Knowledge Sharing: Monitoring and Evaluation Publication: Partially completed in the form of the HW Handbook. Our knowledge of M&E for handwashing will be increased through an M&E conference planned in Peru for fall '05. Regular website updates: Partially completed. In FY05 the Secretariat communications specialist will overhaul the website and update it regularly. Bimonthly newsletter to partners: Completed. Global Learning Event: Completed in the form of the University of Handwashing and the Water Week session of HW. Participation/Advocacy in WASH Conference: completed.

Implementation plan to correct the situation for delayed activities/outputs promised for FY05 for those that have been delayed:

Fundraising for the next round of activities in Ghana, Madagascar, Peru, and Senegal is almost complete. Peru is expected to launch in Sep and Senegal in Nov. Ghana will begin phase 2 activities by Dec. The Secretariat provided Ghana, Madagascar, and Senegal with assistance by negotiating with Bank TTLs and donors to increase funding. Work in Ghana and Senegal had been delayed due to lack of funds to renew the country coordinators' contracts. The Secretariat worked with TTLs, donors, governments, and the coordinators for the renewal of their contracts. In Nepal, expectations of achievements will have to be scaled back due to the civil war. The country program is working with neutral NGOs and community groups active in Maoist-held areas in order to deliver HW DCC. Some mass media (TV, radio) does reach Maoist-held areas.

EWDWP/BM/17: International Benchmarking Network (IBNET)

Task Manager: Caroline van den Berg

Overall project status: Delayed.

Change in Task Manager in October 2004. The project started much later than anticipated due to the long time it took to secure the funding, while progress in the first 18 months has been relatively slow, which makes it quite likely that achieving the milestones (especially with the production of a plan for the longer-term sustainability of IBNET) may require additional time past the closing date of the project.

Progress towards the outcome (as measured by the indicators) that was indicated in the approved FY05 Business Plan, and in some cases revised at FY05 MYR:

1. Performance results are available on the website from a wide range of utilities available for comparison purposes that is easy to access by March 31, 2006; The poverty and institutional indicators for the tool-kit has been developed and tested, and now are the part of the tool-kit. The web-site updated version was contracted.

2. Performance results are available from the benchmarking network are being used by stakeholders (utility benchmarkers, policy makers, international financial institutions, sector professionals) by June 30, 2006; Expansion of the indicator network around has continued in FY05.
   - Data collection for IBNET expansion was contracted in Ukraine, Romania, Moldova, Hungary and 100 African utilities. Contracts for data collection in the Czech Republic, Bosnia and Herzegovina and Russia are in the processing. The studies in Africa, Hungary, Russia and the Czech Republic are partially or fully funded by IEF TF on global performance assessment.
   - IBNET activities were conducted separately by ECSIE (for Albania) and updates for Russia, Tajikistan, Kazakhstan and the Kyrgyz Republic got funding from DECO.
   - The WRc started data collection in Malaysia, Ethiopia, England and several LAC states.
   - Several other initiatives will help to collect data in the IBNET format in SAR, MNA and EAP.

3. Developed a plan to promote the financial sustainability of the IBNET by March 31, 2006. The planning of the sustainable operation of the IBNET was postponed until the IBNET website becomes operational and new country sets of indicators will be uploaded.

Progress towards milestones that were indicated in the FY05 approved business plan and in some cases revised at FY05 MYR:
1. Performance results are available on the website from a wide range of utilities available for comparison purposes, that is easy to access by March 31, 2006;
   - Website is under construction

2. Performance results are available from the benchmarking network are being used by stakeholders (utility benchmarkers, policy makers, international financial institutions, sector professionals) by June 30, 2006
   - New country datasets: Contracts concluded for: IBNET website update, Data collection in Ukraine, Romania, Moldova, Hungary and 100 African utilities, ECSIE contracted data collection in Albania. Contacts documents prepared for the Czech Republic, Bosnia and Herzegovina and Russia and will be completed by January 2006.

3. WSP has developed a plan to promote the financial sustainability of the IBNET by March 31, 2006
   - The IBNET sustainability activities postponed until the website will become operational and new datasets collected.

### Progress on activities and outputs that were promised to be completed in FY05 and for which the Task Manager is accountable:

Further improvement of the website: Toolkit poverty indicators developed and included into the IBNET and put on the website, New country databases are expected to cover about 1000 utilities from more than 50 countries, Russia translation of the IBNET tools prepared and being tested, New reference and resource section was contracted out, Quality control for the database information is established using the toolkit programs. The sustainability of the IBNET activities will start in FY 2006.

### Implementation plan to correct the situation for delayed activities/outputs promised for FY05 for those that have been delayed:

The component related to the financial sustainability of the IBNET was delayed. It may require additional time past the closing date of the project. The IBNET team will initiate activities for the program sustainability in FY 2006.