

# OFFICIAL DOCUMENTS

EUROPEAN COMMISSION  
STRUCTURAL REFORM SUPPORT SERVICE



The Director General



***Administration Agreement between the European Commission on behalf of the European Union and the International Bank for Reconstruction and Development concerning the Part II Europe 2020 Programmatic Single-Donor Trust Fund (No. TF073208)  
(EC Contract No SRSS/S2018/063)***

This Administration Agreement is concluded under Direct Management in the context of the Framework Agreement between the World Bank Group and the European Commission dated April 15, 2016 which sets the general conditions for this Agreement (the "Framework Agreement"). The Framework Agreement shall be applicable and form an integral part of the Administration Agreement for the Trust Fund.

1. The International Bank for Reconstruction and Development (the "Bank") acknowledges that the European Commission (the "Donor", and together with the Bank, the "Parties" and each a "Party") agrees to provide the sum of two hundred fifteen thousand Euros (€ 215,000) (the "Contribution") for the **Part II Europe 2020 Programmatic Single-Donor Trust Fund, (No. TF073208)** (the "Trust Fund") in accordance with the terms of this Administration Agreement.

The estimated total budget of the Trust Fund is two hundred fifteen thousand Euros (€ 215,000). The indicative budget set out in Annex 5 shall be used for monitoring purpose only and shall not be binding.

2. The Contribution shall be used to finance the activities set forth in the "**Part II Europe 2020 Programmatic Single-Donor Trust Fund Description**" attached hereto as Annex 1, and shall be administered by the Bank on behalf of the Donor in accordance with the terms of this Administration Agreement, including the "Standard Provisions" attached hereto as Annex 2 and "Governance" attached hereto as Annex 3.

Expected results of the Trust Fund and corresponding indicators (including baselines, result goals and sources of data) are set out in Annex 4.

The implementation period shall start on the date following that on which the last of the two parties signs.

3. The Donor shall deposit the Contribution in accordance with the following schedule and in the currency specified in Section 1 above ("Contribution Currency") into such bank account designated by the Bank (each amount deposited hereinafter referred to as an "Installment") upon submission of a payment request by the Bank:

- (A) Promptly following countersignature – € 107,500
- (B) € 107,500 subject to the disbursement of 70% of the preceding instalment

The period for payment of further instalments shall be 60 days.

The period for payment of the balance shall be 60 days.

4. When making any deposit, the Donor shall instruct its bank to include in its deposit details information (remittance advice) field of its SWIFT deposit message, information indicating: the amount deposited, that the deposit is made by the Donor for TF No. TF073208 (***the Part II Europe 2020 Programmatic Single-Donor Trust Fund***), the Commission internal reference number and the date of the

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Administration Agreement, the name of the project for which the funds are intended, the name of the Commission department responsible for the Trust Fund and the date of the deposit (the "Deposit Instructions"). In addition, the Donor shall provide a copy of the Deposit Instructions to the Bank's Accounting Trust Funds Division by e-mail sent to [tfremitadvice@worldbank.org](mailto:tfremitadvice@worldbank.org) or by fax sent to +1 (202) 614-1315.

5. Except with respect to the Deposit Instructions, any notice, request or other communication to be given or made under this Administration Agreement shall be in writing and delivered by mail, fax or e-mail to the respective Party's address specified below or at such other address as such Party notifies in writing to the other Party from time to time:

**For the Bank (the "Bank Contact"):**

Arup Banerji  
*Regional Director*  
European Union  
Avenue Marnix 17, 2<sup>nd</sup> floor, 1000 Brussels  
World Bank Group  
Tel: + 32-2-504-0994  
[abanerji@worldbank.org](mailto:abanerji@worldbank.org)

**For the Donor (the "Donor Contact"):**

Maarten Verwey  
Director General  
Structural Reform Support Service  
CHAR 10/110, 1049 Brussels  
Tel: +32-2 299-3493  
[maarten.verwey@ec.europa.eu](mailto:maarten.verwey@ec.europa.eu)

6. In the event any amounts are to be returned to the Donor under this Administration Agreement, the Bank shall transfer such amounts to the Donor, unless otherwise agreed with the Bank.

7. All annexes hereto and the Framework Agreement between the World Bank Group and the European Commission constitute an integral part of this Administration Agreement, whose terms taken together shall constitute the entire agreement and understanding between the Donor and the Bank. In the event of any inconsistency, the Framework Agreement prevails over the Administration Agreement and the Administration Agreement prevails over its Annexes. Unless otherwise specified in an annex hereto, this Administration Agreement may be amended only by written amendment between the Bank and the Donor.

8. The measures taken to identify the EU as a source of financing shall be in accordance with Attachment 4 of the Framework Agreement.

9. Each of the Parties represents, by confirming its agreement below, that it is authorized to enter into this Administration Agreement and act in accordance with these terms and conditions. The Parties are requested to sign and date this Administration Agreement, and upon possession by the Bank of this fully signed Administration Agreement, this Administration Agreement shall become effective as of the date of the last signature.

**INTERNATIONAL BANK FOR RECONSTRUCTION AND DEVELOPMENT**

*Rogier van den Brink  
on behalf of Arup Banerji*

By: 

Name: Arup Banerji

Title: Regional Director, European Union

Date: November 22, 2018

**EUROPEAN UNION represented by the EUROPEAN COMMISSION**

By: 

Name: Maarten Verwey

Title: Director General, SRSS

Date: 26-11-2018





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## **PART II EUROPE 2020 PROGRAMMATIC SINGLE-DONOR TRUST FUND DESCRIPTION**

This Annex shall be applicable to and form an integral part of the Administration Agreement for the Trust Fund between the Bank and the Donor.

### **1. Objectives**

The objectives of the Trust Fund are:

The European Commission and the Bank share a common objective of building competitive and sustainable economies and reducing poverty and social exclusion – goals of the Europe 2020 Agenda which is built on three pillars of smart, sustainable and inclusive growth. The European Commission and the Bank concur that direct interaction is beneficial to both institutions and through them to the beneficiary countries. This applies particularly to the provision of analytical, advisory and knowledge services and technical assistance.

The European Commission has expressed an interest in ensuring that the Bank continues to provide technical assistance in the framework of the Trust Fund in furtherance of the common objective set forth above.

### **2. Background**

Romania is among the European countries with the highest rates of early school leaving (ESL) - 18.1% in 2017, about twice the EU average - and the only EU country where the ESL rate has increased since 2009. The Romanian Ministry of National Education (MoNE) is interested in developing a system of early detection/warning and intervention focusing on children and young people either at risk of dropping out of school or outside the education system. This implies, on the one hand, the existence of a coherent methodological framework for detection/warning and intervention mechanisms and, on the other hand, the existence of specialized human resources able to provide efficient services, having as main purpose the conclusion of compulsory education by all children.

In 2017-2018, MoNE benefitted from SRSS technical support under a study which set a number of recommendations, among which the need to improve the monitoring and evaluation of the ESL strategy and the effective use of data, and the set-up of an early warning mechanism<sup>1</sup>. The activities which will be carried out by the Bank under this agreement aim to follow up on these recommendations to build knowhow and capacity for the implementation of relevant measures by MoNE.

### **3. Activities**

The European Commission, particularly the SRSS, has expressed an interest in ensuring that the Bank supports the Government of Romania in defining the institutional, regulatory and operational mechanisms

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<sup>1</sup> SRSS/C2017/046 “A study assessing the current practices with regard to collection, analyzing data and using it to address early school leaving in Romania”

necessary to put in place an effective early warning and data collection system for preventing ESL developed based on the national strategic framework and on European best practices.

Accordingly, under this agreement the Bank will support MoNE with the development of: (i) an early warning mechanism for the prevention of ESL and a multi-annual action plan; and (ii) a methodology for data collection and data use, including a list of Key Performance Indicators (KPIs) to support the implementation of the action plan.

### **3.1 Component A: An early warning mechanism (EWM) for the prevention of ESL and a proposed multi-annual action plan**

An early warning mechanism to be developed for Romania would need to cover a comprehensive package of activities/measures expected to contribute to the prevention of ESL. Specifically, under this component the Bank will carry out the following activities:

#### **A.1 Develop an early warning mechanism (EWM)**

The Bank will help the Romanian Government develop a proposed EWM including a comprehensive package of activities/measures contributing to early warning and prevention of ESL, taking into consideration the findings and recommendations of a previous SRSS funded study on “Practices on data collection and analysis to reduce ESL in Romania”, including a review of current practices in selected EU countries implementing ESL prevention mechanisms and their relevance for Romania. At the same time, the Bank will build on the experience gained under a broader activity currently being implemented by the MoNE with Bank technical assistance to monitor and evaluate the implementation of education strategies, including the Strategy for Reducing ESL.

The proposed EWM will include a set of proposals of regulations and operational procedures relevant for school management, resource allocation, communication, coordination with local services and stakeholders. In this context, the proposed EWM will also utilize a set of key flags for factors that predict early school leaving such as absenteeism, poor behavior and grades, repetition of school year, interventions of social services or other pertinent services. For each of these situations, the mechanism will suggest possible responses to help diminish the effect of the problematic factors and reverse the student's trajectory towards ESL.

Moreover, the Bank will provide the Romanian Government with recommendations on the allocation of specific roles and responsibilities for EWM teams at central and local level, including the roles of dedicated school teams, coordination with families and students.

#### **A.2 Organize interviews and focus groups with key institutional and political stakeholders**

The Bank will support the Romanian Government design questionnaires and methodology in order to carry out interviews and organize focus groups with key institutional and relevant stakeholders to obtain feedback on the proposed early warning and prevention mechanism and proposed action plan and what needs to be changed at institutional, regulatory and governance level to ensure effectiveness of implementation of the early warning and prevention mechanism. This process will include online questionnaires with (i) actors from the educational system (e.g. teachers, parents, children/students at risk); (ii) important stakeholders/partners of MoNE in relevant projects focused on reducing ESL; and (iii) representatives of different sectors involved in ESL-related initiatives at the central and local levels (e.g. experts, counsellors, principals, inspectors, representatives of local authorities from education, social protection, and public administration).

### **A.3 Formulate recommendations on institutional, regulatory and governance changes**

Based on stakeholder and expert feedback, the Bank will provide detailed recommendations regarding the institutional coordination and regulatory changes needed for the effective operationalization and deployment of the proposed EWM.

### **A.4 Prepare a detailed proposed action plan for the implementation of the proposed mechanism**

Based on the results of the previous sub-components, in consultation with the beneficiary and with key stakeholders who would be involved in the implementation of the mechanism, the Bank will help the Romanian Government prepare a detailed, time-bound proposed action plan for the implementation of the proposed measures. The Bank will support the MoNE prepare the proposed action plan taking into account MoNE priorities to reduce ESL and subsequent consensus of the key stakeholders following the consultation activities. It will be addressed to key implementors: MoNE's relevant directorates, county school inspectorates, school management, and local authorities.

## **3.2 Component B: A methodology for data collection and data use, including a list of KPIs to support the implementation of the EWM.**

The development of an appropriate early warning and early intervention system will require reliable, accurate and timely information on early school leaving rates, on transitions between educational levels, enrolment rates and completion rates of upper secondary education, as well as on school absenteeism and school-avoiding behavior. The proposed methodology is expected to facilitate the correlation and analysis of data from different sources. With the basic data and information collected at the school level, it will be also necessary to integrate data on early school leaving and contextual data such as socio-economic information, census, new-born children, etc. to further improve the targeting of social inclusion measures and policies.

The proposed Methodology is expected to be used by key stakeholders, including MoNE staff assigned with monitoring ESL prevention activities, county school inspectorates' staff, school principals, teachers, and administrative staff.

The proposed methodology for collecting and analyzing data and information is aimed to provide MoNE direction for action, help mobilize and allocate resources especially for strategic decisions and plan, manage, and control operations. At the same time, it is expected to facilitate adjustments of the policies, to provide external parties information for review, as well as to enhance transparency to interested stakeholders, but also to the broader public.

Moreover, it may be viewed not just as an operational system, but also as a key organizational function, consisting of eight distinct tasks to be presented in the document: data collection, data aggregation, data processing, data storage, data dissemination, IT maintenance and servicing, user training, and user support.

Specifically, this component comprises the following activities:

### **B.1 Design a methodology of data collection for the identification and tracking of pupils at risk of ESL and for the effective integration and use of data in policy decisions**

The Bank will support MoNE develop a methodology for collecting, correlating and analyzing data from different sources in real time, in order to:

Effectively track early school leavers and people at risk of ESL;

Recommend/justify proposals for policy decisions regarding ESL.

The proposed methodology will take into account the different levels of data collection (national/county/local) and policy interventions (national/county/local) and starting from early childhood education and care to upper secondary education focusing on: (i) the school level embedded in overall school development policies and (ii) the individual level, to build resilience for students at risk, and on redressing concrete difficulties which can be of a social, cognitive or emotional nature. The proposed methodology will track, and record data related to the factors that are considered as predictors for dropping out: repetition, low achievement, late enrolment, and absenteeism.

The Bank will also provide recommendations to MoNE on the use of the national Integrated Education Information System (SIIR) modules<sup>2</sup>, and any necessary SIIR improvements for recording key factors affecting ESL that are not yet covered in SIIR modules (e.g. attendance, marks).

Under this sub-component the Bank will help MoNE develop KPIs, which are measurable indicators that enable tracking of all key links in the causal chain, and arrangements and responsibilities for data collection, analysis, and utilization of the data. The proposed KPIs will aim address factors that could trigger prevention of further ESL such as indicators related to: attendance, repetition, completion, student and teacher performance, expenditures.

## **B.2 Test the methodology in selected localities**

The Bank will support MoNE test the new mechanism & methodology in 10 lower secondary schools in rural and small urban areas in one county facing high ESL rates (to be decided in consultation with MoNE). This testing exercise will provide the MoNE the opportunity to learn from relevant experience in order to potentially pilot the new proposed mechanism and methodology and further apply best practices at a national level.

## **Indicative Timeline for Delivering Outputs**

Timeline (months from the signature of the Administration Agreement)	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	
<i>A proposed Early warning mechanism for ESL prevention and proposed action plan for implementation</i>	*	*	*	*	*	*	*	*	*	*	*								

<sup>2</sup> The SIIR is used by MoNE for all educational data collection, validation, and analysis.

<i>developed</i>																		
<i>A proposed Methodology for data collection and use in order to support the early warning and prevention mechanism delivered</i>							*	*	*	*	*	*	*	*	*	*	*	*

#### 4. **Eligible Expenditures**

4.1 For Bank-executed activities, the Trust Fund funds may be used to finance:

- (a) Staff and individual consultant services;
- (b) Cost of travel;
- (c) Cost of training and workshop; and
- (d) Other services including translation.

#### 5. **Taxes**

5.1 The foregoing activities and categories of expenditures may include the financing of taxes in accordance with the Bank's applicable policies and procedures.

#### 6. **Program Criteria**

6.1 Activities are to be financed in accordance with the following program criteria:

The Trust Fund is established to enable the European Commission and the Bank to continue to collaborate and exchange experience and expertise on a number of themes under all three pillars of the Europe 2020 Agenda of smart, sustainable and inclusive growth. The express purpose of this Trust Fund is to allow the European Commission to avail itself of the Bank's technical assistance and analytical and policy capacity for the purpose of pursuing the goals of Europe 2020. The three pillars of Europe 2020 are broadly in line with the objectives and strategies adopted in the Europe and Central Asia Region of the World Bank Group. All activities that are in pursuance of these three pillars are eligible to be financed and implemented under this Trust Fund.

## STANDARD PROVISIONS

This Annex shall be applicable to and form an integral part of the Administration Agreement for the Trust Fund between the Bank and the Donor.

### **1. Administration of the Contributions**

1.1 The Bank shall be responsible only for performing those functions specifically set forth in this Administration Agreement and shall not be subject to any other duties or responsibilities to the Donor, including, without limitation, any duties or obligations that might otherwise apply to a fiduciary or trustee under general principles of trust or fiduciary law. Nothing in this Administration Agreement shall be considered a waiver of any privileges or immunities of the Bank under its Articles of Agreement or any applicable law, all of which are expressly reserved.

1.2 The Donor's Contribution shall be administered in accordance with the Bank's applicable policies and procedures, as the same may be amended from time to time, including its procurement, financial management, disbursement and safeguard policies, its framework to prevent and combat fraud and corruption and its screening procedures to prevent the use of Bank resources to finance terrorist activity, in line with the Bank's obligations to give effect to the relevant decisions of the Security Council taken under Chapter VII of the Charter of the United Nations. The Donor acknowledges that this provision does not create any obligations of the Bank under the anti-terrorist financing and asset control laws, regulations, rules and executive orders of an individual member country that may apply to the Donor.

### **2. Management of the Contributions**

2.1 The funds deposited in the Trust Fund shall be accounted for as a single trust fund and shall be kept separate and apart from the funds of the Bank. The funds deposited in the Trust Fund may be commingled with other trust fund assets maintained by the Bank. The Bank, in its capacity as trustee, has legal title to the funds deposited in the Trust Fund.

2.2 The currency in which the funds in the Trust Fund shall be held is Euro (the "Holding Currency").

2.3 The Donor agrees to deposit its Contributions in the Contribution Currency stated in the Administration Agreements. In the case of deposits received in a Contribution Currency other than the Holding Currency, promptly upon the receipt of such amounts and the accompanying Deposit Instructions, the Bank shall convert such amounts into the Holding Currency at the exchange rate obtained by the Bank on the date of the conversion. Where deposits prove to be insufficient to complete activities as a result of exchange rate fluctuations, neither the Bank nor the Donor shall bear any responsibility for providing any additional financing.

2.4 The funds deposited in the Trust Fund may be freely exchanged by the Bank into other currencies as may facilitate their disbursement at the exchange rate obtained by the Bank on the date of the conversion.

2.5 The Bank shall invest and reinvest the funds deposited in the Trust Fund pending their disbursement in accordance with the Bank's applicable policies and procedures for the investment of trust funds administered by the Bank. The Bank shall transfer all income from such investment to the Donor's applicable donor balance account with the Bank.

### **3. Trust Fund Fees and Costs**

3.1 The Bank shall deduct and retain for its own account, as a deduction from each Instalment, an amount equal to five percent (5%) per Instalment as an administrative fee for the Trust Fund.

3.2 The Donor acknowledges and agrees that the percentage deductions for fees in this Trust Fund Fees and Costs section are estimated on the basis of anticipated Contributions. If actual Contributions significantly differ from what was originally anticipated at the time of signature of the first Administration Agreement, or if other circumstances affecting Trust Fund fees or costs change, the Bank reserves the right to request a change to the terms of this Trust Fund Fees and Costs section, which would be effectuated by amendments made to the Administration Agreements of the Donor and which would thereafter be applicable to all new Contributions that are provided either as amendments to supplement existing Administration Agreements or from new Administration Agreements.

### **4. Accounting and Financial Reporting**

4.1 The Bank shall maintain separate records and ledger accounts in respect of the funds deposited in the Trust Fund and disbursements made therefrom.

4.2 The Bank shall furnish to the Donor current financial information relating to receipts, disbursements and fund balance in the Holding Currency with respect to the Contributions via the World Bank's Trust Funds Development Partner Center secure website. Within six (6) months after all commitments and liabilities under the Trust Fund have been satisfied and the Trust Fund has been closed, the final financial information relating to receipts, disbursements and fund balance in the Holding Currency with respect to the Contributions shall be made available to the Donor via the World Bank's Trust Funds Development Partner Center secure website.

4.3 The Bank shall provide to the Donor via the World Bank's Trust Fund Development Partner Center secure website, within six (6) months following the end of each Bank fiscal year, an annual single audit report, comprising (i) a management assertion together with an attestation from the Bank's external auditors concerning the adequacy of internal control over cash-based financial reporting for all cash-based trust funds as a whole; and (ii) a combined financial statement for all cash-based trust funds together with the Bank's external auditor's opinion thereon. The cost of the single audit shall be borne by the Bank.

4.4 If the Donor wishes to request, on an exceptional basis, a financial statement audit by the Bank's external auditors of the Trust Fund, the Donor and the Bank shall first consult as to whether such an external audit is necessary. The Bank and the Donor shall agree on the appropriate scope and terms of reference of such audit. Following agreement on the scope and terms of reference, the Bank shall arrange for such external audit. The costs of any such audit, including the internal costs of the Bank with respect to such audit, shall be borne by the requesting Donor.

### **5. Progress Reporting**

5.1 The Bank shall provide the Donor with **semi-annual** reports on the progress of activities financed by the Contributions. Within six (6) months of the End Disbursement Date (as defined below), the Bank shall furnish to the Donor a final report on the activities financed by the Trust Fund.

5.2 The Donor may review or evaluate activities financed by the Trust Fund at any time up to six (6) months following the End Disbursement Date. The Donor and the Bank shall agree on the scope and

conduct of such review or evaluation, and the Bank shall provide all relevant information within the limits of the Bank's applicable policies and procedures. All associated costs, including any costs incurred by the Bank, shall be borne by the Donor. It is understood that any such review or evaluation will not constitute a financial, compliance or other audit of the Trust Fund.

## **6. Disbursement; Cancellation; Refund**

6.1 It is expected that the funds deposited in the Trust Fund will be fully disbursed by the Bank by December 31, 2020 (the "End Disbursement Date"). The Bank shall only disburse funds deposited in the Trust Fund for the purposes of this Administration Agreement (other than returns to Donor) after such date to the extent such date is changed in accordance with amendments made to the Administration Agreement(s) of the Donor. Following the End Disbursement Date, the Bank shall return any remaining balance of the Trust Fund to the Donor in the Holding Currency in the manner specified in its respective Administration Agreement on a pro rata basis with regard to the total funds deposited in the Trust Fund by such Donor relative to the total funds deposited in the Trust Fund by the Donor, all calculated as Holding Currency amounts.

6.2 The Donor may cancel all or part of such Donor's pro rata share/contribution, and the Bank may cancel all or any Donor's pro rata share/contribution, upon three (3) months' prior written notice, of any Contributions (paid and not yet paid) that are not committed pursuant to any agreements entered into between the Bank and any consultants and/or other third parties for the purposes of this Administration Agreement, including any Grant Agreements, prior to the receipt of such notice. In the event of a cancellation, the Bank shall return to the Donor its pro rata share in the Holding Currency as specified in the Administration Agreement unless otherwise agreed between the Bank and the Donor.

## **7. Disclosure; Dispute Resolution**

7.1 The Bank shall disclose the Administration Agreements and related information on this Trust Fund in accordance with the Bank's Policy on Access to Information. By entering into Administration Agreements, the Donor consents to such disclosure of their respective Administration Agreements and such related information.

7.2 The Donor and the Bank shall use their best efforts to amicably settle any dispute, controversy, or claim arising out of or relating to the Administration Agreements.

**GOVERNANCE OF THE ACTIVITIES**

This Annex shall be applicable to and form an integral part of the Administration Agreement for the Trust Fund between the Bank and the Donor.

**Working Modalities**

The Bank shall be in charge of the implementation of the activities and shall maintain regular communication with the SRSS to take stock of the project and future needs and to report progress towards meeting project objectives and outputs.

The SRSS shall take all appropriate measures to facilitate the Bank work in the performance of the activities, including, as needed, facilitating contacts with MoNE.

The activities shall be undertaken in close collaboration with the SRSS, and the MoNE.

In order to facilitate the implementation of the project, the SRSS shall be responsible for involving other EU Commission services, where appropriate. The SRSS shall also provide support to ensuring the appropriate involvement of the MoNE for the smooth execution of the activities by the Bank. The SRSS, when legally possible, shall provide the Bank with relevant documents, reports and findings, resulting from other technical assistance work streams the SRSS is or has been involved in.

The Bank and SRSS shall have regular exchanges, at least on a quarterly basis, on the progress of the project, on the work plan or schedule of project activities for the following month(s) including missions. Representative(s) of the SRSS shall be invited to attend all missions and all pertinent events or activities. To facilitate the organization and efficiency of such missions, events and activities the Bank shall share with the SRSS in a timely manner the relevant documents.

Priorities and choices to be made in the planning of the activities will be discussed and consulted between the Bank, SRSS and the Romanian authorities.

It is expected that MoNE shall be the national contact point for the activities, shall establish a Project Management Team, composed of relevant MoNE staff, and shall establish the necessary coordination mechanisms within the Bank and SRSS, as well as among other national relevant stakeholders.

It is expected that the Bank shall consult and cooperate with the Project Management Team at all major stages of the activities to be implemented. It is expected that MoNE shall provide input for the analysis of the Bank, comment on the work plan of the project, review draft outputs and provide detailed comments.

It is expected that the outputs resulting from the activities shall be delivered by the Bank to MoNE and the SRSS directly. The Bank shall share with the SRSS draft outputs. The SRSS may provide comments that the Bank may take into account. The Bank shall inform the SRSS of those cases where the SRSS feedback was not taken into consideration.

MoNE shall be solely responsible for the implementation of the recommendations in the reports delivered via this project. It is expected that MoNE, SRSS and Bank shall consult each other prior to the publication of the final report endorsed by MoNE.

The Bank shall notify the SRSS without delay on any circumstances likely to adversely affect the implementation and management of the activities or to significantly delay or jeopardize the performance of the activities or the expected outputs and outcomes.

The Bank shall carry out the visibility activities agreed between the Bank and the SRSS in the Visibility Note, which may be updated from time to time by the parties, in line with Article 9 and Attachment 4 of the Framework Agreement.

### **Bank team composition**

The Bank shall, in its sole discretion, determine the composition of the staff assigned to perform the activities under this Agreement. The Bank will be managed by a Bank Task Team Leader with relevant experience, drawing on the expertise of the Bank staff with extensive experience in, among others, design and implement education policies and programs, business processes and monitoring and evaluation.

### **Payment Requests**

Requests for payments related to the activities set forth in Section 2.1 of Annex I to this Administration Agreement will be sent by the World Bank to the Commission with the reference EC Contract No. SRSS/S2018/063 and shall be addressed to:

Mr Giuseppe Menchi  
Structural Reform Support Service  
European Commission  
Office CHAR 10/040  
B-1049 Brussels  
Belgium



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**INDICATIVE RESULTS FRAMEWORK INDICATORS FOR THE ACTIVITIES IN ANNEX 1**

	<b>Results chain</b>	<b>Indicators</b>	<b>Baseline Project start date</b>	<b>Target Project start + 18 months</b>	<b>Sources of Data</b>	<b>Assumptions</b>
<b>Specific objective: Impact</b>	Romania will have a mechanism and associated operational action plan for the prevention of early school leaving based on the national strategic framework and on European best practice.	Contribute to reducing ESL rate in Romania and provide adequate ESL monitoring disaggregated per gender and residential areas.				
<b>Specific objective: Outcomes</b>	SO1 A proposed early warning system for the prevention of ESL is developed	The EWS is in place Use empirically-developed data indicators that are most predictive of a given outcome as a "flag" that a student is at risk Track interventions that target students	The EWS is not yet designed and implemented	An early warning system (EWS) in place that provides support to prevent ESL and reduce student drop out	SIIR Project Reports	Political stability Full support and active engagement of all key stakeholders involved
	SO2 A proposed methodology for data collection and data use is developed in order to support the implementation of the EWS	A data collection methodology adopted and KPIs agreed upon and used for monitoring purpose	There is no data collection methodology developed at this level, neither KPIs agreed	A data collection methodology to support EWS in the identification and targeting students at risk for the follow up activities to increase their retention in school.	Methodology Project Reports	Political stability Full support and active engagement of all key stakeholders involved

	Results	Mechanism	Baseline Project start date	Target Project start + 18 months	Sources of Data	Assumptions
Outputs: Results	O1 Proposed Early warning mechanism (EWM) for ESL prevention developed	Draft report submitted	MONE does not have currently an Early warning mechanism	A draft mechanism as first part of the output	SIIR Project Reports	Active engagement and support of all key stakeholders for the system implementation
		No interviews No focus groups	No testing instrument for the EWM	Adjusted mechanism after consultations at grass roots level, as needed.	List of participants Project Reports Ad hoc visits during interviews and focus group delivery	Smooth coordination with MONE to ensure relevant stakeholders attendance
		Recommendations covering the changes needed in order to enable the environment for the mechanism to work delivered	No review of all regulation and institutional arrangements	A list of recommendations that will enable the education environment to perform.	List of recommendations Project Reports	Key stakeholders provide rich technical inputs
	O2 Proposed Action plan for EWM implementation delivered	Detailed action plan delivered	MONE does not have currently an action plan for early warning mechanism	An action plan delivered as part two of the output -an implementation framework of the mechanism	Action Plan Project Reports	Key stakeholders' consensus on the draft action plan
	O3 Proposed methodology for data collection and use, including a list of Key Performance Indicators (KPIs), is delivered	A data collection methodology to support the mechanism delivered, including KPIs selected.	No data collection for warning signals of students at risk of ESL	A data collection methodology in place that identifies and track pupils at risk of ESL and integrate and use data in policy decisions	Draft Methodology Project Reports	Key stakeholders' consensus on proposed methodology: KPIs and data collection
The testing of data collection methodology is delivered		No data tested	Analysis completed and delivered as part of the methodology	List of schools selected Project Reports	Full cooperation of the stakeholders selected for testing	

	<b>Results chain</b>	<b>Indicators</b>	<b>Baseline Project start date</b>	<b>Target Project start + 18 months</b>	<b>Sources of Data</b>	<b>Assumptions</b>
						Persons selected are familiar with data collection and processing

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**INDICATIVE BUDGET FOR THE ACTIVITIES SET FORTH IN SECTION 2 OF  
ANNEX 1 TO THIS ADMINISTRATION AGREEMENT**

Expenditure Category	Average Number	Amount in Euro
Staff and individual consultant services	15	173,698
Cost of travel	2 missions	10,729
Cost of trainings		0
Other services (including translation)		19,823
<b>Sub-total</b>		<b>204,250</b>
Administration fee (5%)		10,750
<b>Total</b>		<b>215,000</b>

The amount estimated for personnel is calculated considering different levels of expertise estimated to be required for carrying out the activities described in Annex 1.

The World Bank Group entity may transfer amounts between categories of the indicative budget. This does not require an amendment of the Administration Agreement if the Action is carried out as described in Annex 1 – Trust Fund Description.

The number of units and amounts indicated in the budget are not binding and reflect the means envisaged for the implementation of the Action. The number of units indicated in the estimated initial budget may not be used to question the actual number of units reported during and at the end of action.



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