I. General Information

1. Project information:
   a. Country: Indonesia
   b. Recipient: Republic of Indonesia
   c. Project Name: National Slum Upgrading Program (NSUP)
   d. Project Implementing Agency (PIA):
      (i) Directorate General of Human Settlements, Ministry Public Works and
          Housing (MPWH)

2. Bank’s No Objection Date of the Procurement Plan:
   c. Version 3 : March, 15, 2018
   d. Version … : …

3. Date of General Procurement Notice: …

4. Period covered by this procurement plan: Effectiveness – Loan Closing
   (estimated Dec 2020)

II. Types of Contract, Bank’s Review Requirements and Applied Methods

A. Works, Goods and Non-Consulting Services

1. Prior Review Threshold: Procurement Decisions subject to Prior Review by the
   Bank as stated in Appendix 1 to the Guidelines for Procurement:

<table>
<thead>
<tr>
<th>Ref. No.</th>
<th>Procurement Method</th>
<th>Procurement Method</th>
<th>Bank’s Review Requirement</th>
</tr>
</thead>
<tbody>
<tr>
<td>1.</td>
<td>International Competitive Bidding</td>
<td>≥ $25 million</td>
<td>Each contract shall be subject to Bank’s prior review.</td>
</tr>
<tr>
<td></td>
<td>(Works)</td>
<td></td>
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</tr>
<tr>
<td>2.</td>
<td>National Competitive Bidding</td>
<td>$200k - $25 million</td>
<td>Each contract ≥ $15 million equivalent shall be subject to Bank’s</td>
</tr>
<tr>
<td></td>
<td>(Works)</td>
<td></td>
<td>prior review. All other contracts subject to post review.</td>
</tr>
</tbody>
</table>
3. Shopping for Works  
   < $200k  
   No prior review (only post review).

4. International Competitive Bidding (Goods)  
   ≥$2 million  
   Each contract ≥ $4 million equivalent shall be subject to Bank’s prior review. All other contracts subject to post review.

5. National Competitive Bidding (Goods)  
   $100k - $2 million  
   Each contract ≥ $4 million equivalent shall be subject to Bank’s prior review. All other contracts subject to post review.

6. Shopping for Goods  
   <100k  
   No prior review (only post review).

7. International Competitive Bidding (Non-Consultant Service)  
   ≥$10 million  
   Each contract shall be subject to Bank’s prior review.

8. National Competitive Bidding (Non-Consultant Service)  
   $100k - $10 million  
   Each contract ≥ $4 million equivalent shall be subject to Bank’s prior review. All other contracts subject to post review.

9. Shopping for Non-Consulting Service  
   <$100,000  
   No prior review (only post review).

10. Direct Contracting  
    N/A  
    Justification shall be provided when submit procurement plan.

1. **Pre-qualification**: Not applicable.

2. **Proposed Procedures for CDD Components (as per paragraph 3.17 of the Guidelines)**: As specified in the Project Operations Manual (POM)


4. **Any Other Special Procurement Arrangements**: -NA-

5. **Summary of the Procurement Packages planned during the first 18 months after project effectiveness (including those that are subject to retroactive financing and advanced procurement)**

<table>
<thead>
<tr>
<th>Ref. No.</th>
<th>Description</th>
<th>Estimated Cost (US$ million)</th>
<th>Packages</th>
<th>Domestic Preference (yes/no)</th>
<th>Review by Bank (Prior / Post)</th>
<th>Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Summary of</td>
<td>0.44</td>
<td>2</td>
<td>No</td>
<td>Post</td>
<td></td>
</tr>
<tr>
<td>Ref. No.</td>
<td>Selection Method</td>
<td>Selection Method Threshold</td>
<td>Bank’s Prior Review Requirement</td>
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</tr>
<tr>
<td>1.</td>
<td>Competitive Method (Firms) QCBS, QBS, LCS, FBS</td>
<td>≥$300,000</td>
<td>Each contract ≥ $2,000,000 equivalent shall be subject to Bank’s prior review. All other contracts subject to post review.</td>
<td></td>
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<tr>
<td>2.</td>
<td>Competitive Methods (Firms) QCS</td>
<td>&lt;$300,000</td>
<td>Each contract ≥ $2,000,000 equivalent shall be subject to Bank’s prior review. All other contracts subject to post review.</td>
<td></td>
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<tr>
<td>3.</td>
<td>Individual Consultant</td>
<td>Competitive Selection by comparing at least three CVs</td>
<td>None, except for procurement specialist and specific position as indicated in this procurement plan.</td>
<td></td>
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<tr>
<td>4.</td>
<td>Single Source (Firms and Individual)</td>
<td>N/A</td>
<td>Justification shall be provided when submit procurement plan.</td>
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</tbody>
</table>

2. **Short list comprising entirely of national consultants:** Short list of consultants for services (firms), estimated to cost less than $400,000 equivalent per contract, may comprise entirely of national consultants in accordance with the provisions of paragraph 2.7 of the Consultant Guidelines.

3. **Any Other Special Selection Arrangements:** N/A

4. **Summary of Consultancy Assignments with Selection Methods and Time Schedule during the first 18 months after project effectiveness** *(including those that are subject to retroactive financing and advanced procurement)*
<table>
<thead>
<tr>
<th>Ref. No.</th>
<th>Description of Assignments</th>
<th>Type of Assignments</th>
<th>Estimated Cost (US$ million)</th>
<th>Packages/Positions</th>
<th>Review by Bank (Prior / Post)</th>
<th>Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>1.</td>
<td>Summary of number of contracts that will be let under QCBS</td>
<td>(Time-Based /Lump-Sum)</td>
<td>4.653</td>
<td>4</td>
<td>Post</td>
<td>TMC 4-6, Evaluation Consultant</td>
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<tr>
<td></td>
<td>(Time-Based)</td>
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<tr>
<td>2.</td>
<td>Summary of number of individual consultant contracts that will be let under competitive selection</td>
<td>(Time-Based /Lump-Sum)</td>
<td>0.286</td>
<td>11</td>
<td>Post</td>
<td>Under Bappenas</td>
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</table>
## PROCUREMENT

**Plan**

**General Information**

**Country:** Indonesia

**Bank's Approval Date of the Original Procurement Plan:** 2010-11-07

**Project ID:** P154782

**GPN Date:**

**Project Name:** Indonesia National Slum Upgrading Project

**Loan / Credit No:** IBRD / 06360

**Executing Agency:** Directorate General of Human Settlements, Ministry of Public Works and Housing, Central Sulawesi

### Works

| Activity Reference No. | Description | Component | Review Type | Method | Market Approach | Procurement Process | Prequalification (Y/N) | Estimated Amount (US$) | Actual Amount (US$) | Phase Status | Pre-qualification Documents | Prequalification Evaluation Report | Draft Bidding Document / Certification | Specific Procurement Notice / Invitation | Bidding Documents on Issued | Estimated Date of Bids Bidding / Specific Notice | Planned Date of Bids Bidding / Specific Notice | Estimated Date of Bids Bidding / Specific Notice | Estimated Date of Bids Bidding / Specific Notice | Estimated Date of Bids Bidding / Specific Notice |
|-----------------------|-------------|-----------|-------------|--------|----------------|---------------------|------------------------|-----------------------|------------------------|-------------|----------------------------|---------------------------------|---------------------------------|-----------------------------|-----------------------------|------------------------------------------------|------------------------------------------------|------------------------------------------------|------------------------------------------------|------------------------------------------------|------------------------------------------------|
| POKJA19               | DSGN19/01/01 | Construction of Settlement Infrastructure in Kulon Progo Sub-district, Kulon Progo | Proc | Multi-Stage | Open | 2020-02-13 | 607,000.00 | 6.00 | 2019-11-14 | 2020-02-15 | 2020-09-12 |
| POKJA19               | DSGN19/01/02 | Construction of Settlement Infrastructure in City Sub-district, Kaliurang, Yogyakarta | Proc | Multi-Stage | Open | 2020-02-13 | 1,223,511.00 | 6.00 | 2020-03-14 | 2020-03-15 | 2020-09-12 |
| POKJA19               | DSGN19/01/03 | Construction of Settlement Infrastructure in Paltan, Yogyakarta | Proc | Multi-Stage | Open | 2020-02-13 | 4,136,330.00 | 6.00 | 2020-03-14 | 2020-03-15 | 2020-09-12 |
| POKJA19               | DSGN19/01/04 | Construction of Settlement Infrastructure in Parowinno Sub-district, Kulon Progo | Proc | Multi-Stage | Open | 2020-02-13 | 2,136,419.00 | 6.00 | 2020-03-14 | 2020-03-15 | 2020-09-12 |
| POKJA19               | DSGN19/01/05 | Construction of Settlement Infrastructure in Kebonsari Sub-district, Yogyakarta | Proc | Multi-Stage | Open | 2020-02-13 | 6,307,722.00 | 6.00 | 2020-03-14 | 2020-03-15 | 2020-09-12 |
| POKJA19               | DSGN19/01/06 | Construction of Settlement Infrastructure in Kebonsari Sub-district, Yogyakarta | Proc | Multi-Stage | Open | 2020-02-13 | 3,270,600.00 | 6.00 | 2020-03-14 | 2020-03-15 | 2020-09-12 |
| POKJA19               | DSGN19/01/07 | Construction of Settlement Infrastructure in Kebonsari Sub-district, Yogyakarta | Proc | Multi-Stage | Open | 2020-02-13 | 2,129,981.00 | 6.00 | 2020-03-14 | 2020-03-15 | 2020-09-12 |
| POKJA19               | DSGN19/01/08 | Construction of Settlement Infrastructure in Kebonsari Sub-district, Yogyakarta | Proc | Multi-Stage | Open | 2020-02-13 | 1,528,731.00 | 6.00 | 2020-03-14 | 2020-03-15 | 2020-09-12 |
### GOODS

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### NON CONSULTING SERVICES

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### CONSULTING FIRMS

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### INDIVIDUAL CONSULTANTS

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**NON CONSULTING SERVICES**

- **ID-DGHS POKJA19**
  - **SULTENG-144760-CS-QCBS**
  - TMC-CERC
  - IBRD / 86360
  - **Contingency for Disaster Response**
  - Post-Disaster Assistance
  - **Open - National**
  - 684,770.00
  - Under Implementation
  - 2019-11-07
  - 2019-11-08
  - 2019-12-05
  - 2020-01-06
  - 2020-01-13
  - 2020-01-22
  - 2020-01-27
  - 2020-07-25

**CONSULTING FIRMS**

- **ID-DGHS POKJA19**
  - **SULTENG-14470-CS-QCBS**
  - TMC-CERC
  - IBRD / 86360
  - **Contingency for Disaster Response**
  - Post-Disaster Assistance
  - **Open - National**
  - 684,770.00
  - Under Implementation
  - 2019-11-07
  - 2019-11-08
  - 2019-11-09
  - 2019-12-02
  - 2019-12-09
  - 2020-01-06
  - 2020-01-13
  - 2020-01-22
  - 2020-07-25

**INDIVIDUAL CONSULTANTS**

- **ID-DGHS POKJA19**
  - **SULTENG-144760-CS-QCBS**
  - TMC-CERC
  - IBRD / 86360
  - **Contingency for Disaster Response**
  - Post-Disaster Assistance
  - **Open - National**
  - 684,770.00
  - Under Implementation
  - 2019-11-07
  - 2019-11-08
  - 2019-12-05
  - 2020-01-06
  - 2020-01-13
  - 2020-01-22
  - 2020-01-27
  - 2020-07-25

**GOODS**

- **ID-DGHS POKJA19**
  - **SULTENG-144760-CS-QCBS**
  - TMC-CERC
  - IBRD / 86360
  - **Contingency for Disaster Response**
  - Post-Disaster Assistance
  - **Open - National**
  - 684,770.00
  - Under Implementation
  - 2019-11-07
  - 2019-11-08
  - 2019-12-05
  - 2020-01-06
  - 2020-01-13
  - 2020-01-22
  - 2020-01-27
  - 2020-07-25

**CONSULTING FIRMS**

- **ID-DGHS POKJA19**
  - **SULTENG-14470-CS-QCBS**
  - TMC-CERC
  - IBRD / 86360
  - **Contingency for Disaster Response**
  - Post-Disaster Assistance
  - **Open - National**
  - 684,770.00
  - Under Implementation
  - 2019-11-07
  - 2019-11-08
  - 2019-11-09
  - 2019-12-02
  - 2019-12-09
  - 2020-01-06
  - 2020-01-13
  - 2020-01-22
  - 2020-07-25

**INDIVIDUAL CONSULTANTS**

- **ID-DGHS POKJA19**
  - **SULTENG-144760-CS-QCBS**
  - TMC-CERC
  - IBRD / 86360
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  - Post-Disaster Assistance
  - **Open - National**
  - 684,770.00
  - Under Implementation
  - 2019-11-07
  - 2019-11-08
  - 2019-12-05
  - 2020-01-06
  - 2020-01-13
  - 2020-01-22
  - 2020-01-27
  - 2020-07-25