Procurement Plan

I. General

1. Project Information
   
   Country: India
   
   Borrower: Department of Finance, GoR
   
   Project Name: Strengthening Public Financial Management in Rajasthan (P156869)
   
   Bank’s Approval Date of the Procurement Plan: October 06, 2016 [as updated regularly on STEP]
   
   2. Date of General Procurement Notice: March 22, 2016
   
   3. Period covered by this Procurement plan: 18 months
   
   4. Risk Rating for the Implementing Agencies: Substantial

II. Goods, Works and Non-Consulting Services

1. (a) Procurement Methods

<table>
<thead>
<tr>
<th>Procurement Method</th>
<th>Threshold (US$ Equivalent)</th>
</tr>
</thead>
<tbody>
<tr>
<td>1 Open International (Goods)</td>
<td>Above 3 million</td>
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<tr>
<td>2 Open National (Goods)</td>
<td>Above 100,000 and up to 3 million (with NCB conditions) – as per para 3.3 and 3.4 of the Guidelines</td>
</tr>
<tr>
<td>3 Open International (Works)</td>
<td>Above 40 million</td>
</tr>
<tr>
<td>3 Open National (Works)</td>
<td>Above 100,000</td>
</tr>
<tr>
<td>4 Shopping (Goods/Works)</td>
<td>Up to 100,000 and up to 40 million</td>
</tr>
<tr>
<td>4 Open International (Non-consulting Services)</td>
<td>Above 3 million</td>
</tr>
<tr>
<td>5 Open National (Non-consulting Services)</td>
<td>Up to 3 million</td>
</tr>
<tr>
<td>6 Direct Contract</td>
<td>As per para 3.7 of Guidelines</td>
</tr>
</tbody>
</table>

(b) Prior Review Threshold: Procurement Decisions subject to Prior Review by the Bank as stated in Appendix 1 to the Guidelines for Procurement:

<table>
<thead>
<tr>
<th>Sl. No.</th>
<th>Category</th>
<th>Prior Review Threshold (US$ Equivalent)</th>
<th>Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Works</td>
<td>Above US$ 10.0 million</td>
<td>All Contracts</td>
</tr>
<tr>
<td>1</td>
<td>Goods</td>
<td>Above US$ 2.0 million</td>
<td>All Contracts</td>
</tr>
<tr>
<td>2</td>
<td>Non-consulting Services</td>
<td>Above US$ 2.0 million</td>
<td>All Contracts</td>
</tr>
</tbody>
</table>

2. Pre-qualification: Bidders shall be pre-qualified in accordance with the provisions of paragraphs 2.9 and 2.10 of the Guidelines.

3. Proposed Procedures for CDD Components (as per paragraph. 3.17 of the Guidelines): Not applicable
4. **Reference to (if any) Project Operational/Procurement Manual:** Under preparation

5. **NCB Provisions:**

   a) Only the model bidding documents for NCB agreed with the Bank (and as amended for time to time), shall be used for bidding;

   b) Invitations to bid shall be advertised in at least one widely circulated national daily newspaper (or on a widely used website or electronic portal with free national and international access along with an abridged version of the said advertisement published in a widely circulated national daily inter-alia giving the website/electronic portal details from which the details of the invitation to bid can be downloaded), at least 30 days prior to the deadline for the submission of bids;

   c) No special preference will be accorded to any bidder either for price or for other terms and conditions when competing with foreign bidders, state-owned enterprises, small-scale enterprises or enterprises from any given State;

   d) Except with the prior concurrence of the Bank, there shall be no negotiation of price with the bidders, even with the lowest evaluated bidder;

   e) Extension of bid validity shall not be allowed with reference to Contracts subject to Bank prior review without the prior concurrence of the Bank (i) for the first request for extension if it is longer than four weeks; and (ii) for all subsequent requests for extension irrespective of the period (such concurrence will be considered by Bank only in cases of Force Majeure and circumstances beyond the control of the Purchaser/Employer);

   f) Re-bidding shall not be carried out with reference to contracts subject to Bank prior review without the prior concurrence of the Bank. The system of rejecting bids outside a pre-determined margin or "bracket" of prices shall not be used in the project;

   g) To improve efficiency and transparency of small value purchases in Bank financed projects, the Bank has agreed to allow use of Government e-Market place (GeM) as follows: (i) Use of GeM is allowed in lieu of shopping up to US$ 30,000 in catalogue mode; (ii) Use of GeM is allowed in lieu of shopping up to US$ 100,000, provided there are at least 3 suppliers for the item on GeM and the Purchaser uses RFQ (mini competition or bidding among suppliers) feature on GeM to discover the final price; (iii) In both above cases Borrowers will record their assessment on reasonableness of price; and (iv) GeM is not to be used in lieu of NCB.

   h) Two or three envelope system will not be used (except when using e-procurement system assessed and agreed by the Bank).

6. **Summary of the Procurement Packages planned during the first 18 months after project effectiveness (including those that are subject to retroactive financing and advanced procurement)**

   Available on STEP
III. Selection of Consultants

1. (a) Procurement Methods

<table>
<thead>
<tr>
<th>Sl. No.</th>
<th>Procurement Method</th>
<th>Threshold (US$ Equivalent)</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Selection based on Consultants’ Qualifications / Least Cost Selection</td>
<td>Up to 300,000</td>
</tr>
<tr>
<td>2</td>
<td>Single-source Selection of consulting firms</td>
<td>As per para 3.9 – 3.11 of Guidelines</td>
</tr>
<tr>
<td>3</td>
<td>Selection of Individual Consultants/ Sole Source Procedures for the Selection of Individual Consultants</td>
<td>As per Section V of Guidelines</td>
</tr>
<tr>
<td>4</td>
<td>Quality- and Cost-based Selection/ Quality-based Selection/ Selection under a Fixed Budget</td>
<td>For all other cases</td>
</tr>
<tr>
<td>5</td>
<td>i. International Shortlist</td>
<td>Above US$ 800,000</td>
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<td></td>
<td>ii. Shortlist may comprise national consultants only</td>
<td>Up to US$ 800,000</td>
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</tbody>
</table>

(b) Prior Review Threshold: Selection decisions subject to Prior Review by Bank as stated in Appendix 1 to the Guidelines Selection and Employment of Consultants:

<table>
<thead>
<tr>
<th>Selection Method</th>
<th>Prior Review Threshold</th>
<th>Comment</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Competitive Methods (Firms)</td>
<td>Above USD 1.0 million</td>
<td>All Contracts</td>
</tr>
<tr>
<td>2. Individual</td>
<td>Up to US$ 300,000</td>
<td>All Contracts</td>
</tr>
</tbody>
</table>

2. Short list comprising entirely of national consultants: Short list of consultants for services, estimated to cost up to US$ 800,000 equivalent per contract, may comprise entirely of national consultants in accordance with the provisions of paragraph 2.7 of the Consultant Guidelines.

3. Any Other Special Selection Arrangements: NA

IV. Other Requirements / Information (applicable for all procurements)

a) Even for Post Review cases, the inputs of Bank on TORs will be obtained by project.

b) The above thresholds are for the initial 18-month implementation period. Based on the procurement performance of the project, these thresholds may be subsequently modified.

c) The prior review thresholds will also be indicated in the procurement plan. The procurement plan will be subsequently updated annually (or at any other time, if required), and will reflect any change in prior review thresholds.

d) The World Bank will carry out an annual ex-post procurement review falling below the prior review thresholds indicated above. To avoid any doubts, the Bank may conduct at any time Independent Procurement Reviews (IPRs) of all the contracts financed under the grant.
### PROCUREMENT

**India : Strengthening Public Financial Management in Rajasthan**

**PLAN**

**General Information**

- **Country:** India
- **Government Department:** Commercial Data
- **Project Name:** Strengthening Public Financial Management in Rajasthan
- **Project ID:** P19699
- **Indicative Budget:** Rs 1000
- **Loan No:** N29819
- **Loan Agreement:** Department of Finance, Government of Rajasthan

**WORKS**

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<tbody>
<tr>
<td>IN-DOF-5925-GO-RFQ</td>
<td>procurement of computer peripherals, software licenses (MS Office &amp; Antivirus), etc., for SPFM-PMU</td>
<td>N0028</td>
<td>Post</td>
<td>Request for Information</td>
<td>Open</td>
<td>Single Stage - One Envelope</td>
<td>Pre-qualification</td>
<td>Yes</td>
<td>1,00,000.00</td>
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<td>2017-05-05</td>
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<tr>
<td>IN-DOF-5925-GO-RFQ</td>
<td>procurement of furniture, office furniture, etc., for SPFM-PMU</td>
<td>N0028</td>
<td>Post</td>
<td>Request for Information</td>
<td>Open</td>
<td>Single Stage - One Envelope</td>
<td>Pre-qualification</td>
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<td>2017-04-30</td>
<td>2017-05-05</td>
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<tr>
<td>IN-DOF-5925-GO-RFQ</td>
<td>procurement of hardware for Commercial Taxes, Government of Rajasthan</td>
<td>N0028</td>
<td>Post</td>
<td>Request for Information</td>
<td>Open</td>
<td>Single Stage - One Envelope</td>
<td>Pre-qualification</td>
<td>Yes</td>
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<td>2017-04-30</td>
<td>2017-05-05</td>
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<tr>
<td>IN-DOF-5925-GO-RFQ</td>
<td>procurement of IT Hardware (HP) for new center of the Commercial Taxes Department (CTD), Government of Rajasthan</td>
<td>N0028</td>
<td>Post</td>
<td>Direct Selection</td>
<td>Direct</td>
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**GOODS**

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<tbody>
<tr>
<td>IN-DOF-5925-GO-RFQ</td>
<td>procurement of office furniture, computer furniture, and storage furniture etc., for SPFM-PMU</td>
<td>N0028</td>
<td>Post</td>
<td>Request for Information</td>
<td>Open</td>
<td>Single Stage - One Envelope</td>
<td>Pre-qualification</td>
<td>Yes</td>
<td>1,00,000.00</td>
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**Justification Document**

- **Method:** Market
- **Approach:** Pre-qualification
- **Process:** Letter of Intent
- **Approach:** Request for Information
- **Process:** Letter of Invitation
- **Approach:** Request for Quotation
- **Process:** Letter of Invitation
- **Approach:** Request for Tender
- **Process:** Letter of Invitation
- **Approach:** Direct Selection
- **Process:** Direct Selection

**Letter of Intent:**

- **Request for Information:**
  - **Method:** Market
  - **Approach:** Pre-qualification
  - **Process:** Letter of Intent

**Letter of Invitation:**

- **Request for Quotation:**
  - **Method:** Market
  - **Approach:** Request for Quotation
  - **Process:** Letter of Invitation

**Letter of Invitation:**

- **Request for Tender:**
  - **Method:** Market
  - **Approach:** Request for Tender
  - **Process:** Letter of Invitation

**Direct Selection:**

- **Direct Selection:**
  - **Method:** Direct Selection
  - **Approach:** Direct Selection
  - **Process:** Direct Selection

**Amounts:**

- **IN-DOF-5925-GO-RFQ:**
  - **Procurement of Office Furniture:** Rs 1,00,000.00
  - **Procurement of Furniture:** Rs 1,00,000.00
  - **Procurement of Hardware:** Rs 1,00,000.00
  - **Procurement of IT Hardware:** Rs 1,00,000.00

**Date:**

- **2017-04-30**
- **2017-05-05**

**Status:**

- **Signed:**
  - **Draft:**
    - **Planned:**
      - **Actual:**
        - **Planned:**
          - **Actual:**

**Contract Completion:**

- **2017-04-30**
- **2017-05-05**
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<tbody>
<tr>
<td>CONSULTANTS</td>
<td>Nature of Consultancy</td>
<td>Number of Consultants</td>
<td>Post Qualification Details</td>
<td>Gender</td>
<td>Qualification Details</td>
<td>Fee Structure</td>
<td>Contract Duration</td>
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