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Independent Auditor's Report

To,
General Manager
DCV-Green Climate and Sustainable Development Initiatives (GC&SDI)
Small Industries Development Bank of India
O/o ISTSL, E-1, First Floor, Baluja House,
Jhandewalan Extension, New Delhi-110055
New Delhi

Report on the PRSFProject Financial Statements for FY 2018-19

We have audited the accompanying special purpose financial statements of"**Partial Risk Sharing Facility**"(PRSF) for Energy Efficiency Project (the project) with GEF TF grant number TF019308 and CTF Guarantee Number TF019230 along with the part of Technical Assistance Component. These financial statements comprise of the PRSF- Balance Sheet, Quarterly IUFRs as on March 31, 2019 and notes to these financial statements, including a summary of significant accounting policies (collectively referred to as the "Project Financial Statements").

1. Statement of PRSF- Balance Sheet as on 31st March,2019.
2. Summary Guarantee Status as on 31st March, 2019.
3. Detailed Guarantee Status as on 31st March,2019.
4. Statement of Sources and Application of Funds for TA Component for the year ended 31st March, 2019.
5. Claim for Disbursement by the Bank for the year ended 31st March,2019.
6. Transaction is designated account heads including Income, Expenditure etc.,for the year ended 31st March, 2019.
7. Review of quarterly IUFRs of the project submitted to the World Bank during the period.

In our opinion,the aforesaid special purpose Project Financial Statements give a true and fair view of the ***Balance Sheet as at March 31, 2019***, Statement of Sources and Application of Funds for TA Component, Claim for disbursements by the bankof the Project for the year ended on March 31, 2019 andthe expenditure of the Project for the year ended on March 31, 2019,in accordance with the financial reporting Review of quarterly IUFRs of the Project submitted to the World Bank.

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Basis for Opinion

We conducted our audit in accordance with the Standards on Auditing issued by Institute of Chartered Accountants of India (ICAI). Our responsibilities under those standards are further described in the *Auditor's Responsibilities for the Audit of the Financial Statements* section of our report. We are independent of the implementing agency in accordance with the ICAI's Code of Ethics for undertaking this assignment,and we have fulfilled our ethical responsibilities in accordance with ICAI's Code of Ethics[1]. We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our opinion.

Emphasis of Matter

The PFS is prepared to assist theGreen Climate and Sustainable Development Initiatives (GC&SDI)of Small Industries Development Bank of India to meet the financial reporting requirements of the Projectin a manner to reflect the operations, resources and expenditures related to the Project. As a result, these special purpose financial statements may not be suitable for another purpose.Our opinion is not modified in respect of this matter.

Responsibilities of Management and those charged with Governancefor the Project Financial Statements

The Management of the implementing agency is responsible for the preparation and fair presentation of the Project Financial Statements in accordance with the financial reporting frameworkdescribed in thesefinancial statements, and for such internal control as management determines is necessary to enable the preparation of Project Financial Statements that are free from material misstatement, whether due to fraud or error.

Auditor's Responsibilities for the Audit of the Project Financial Statements

Our objectives are to obtain reasonable assurance about whether the Project Financial Statements as a whole are free from material misstatement, whether due to fraud or error, and to issue an auditor's report that includes our opinion. Reasonable assurance is a high level of assurance but is not a guarantee that an audit conducted in accordance with the Standards of Auditing will always detect a material misstatement when it exists. Misstatements can arise from fraud or error and are considered material if, individually or in the aggregate, they could reasonably be expected to influence the economic decisions of users taken on the basis of these financial statements.

As part of an audit in accordance with Standard of Auditing, we exercise professional judgment and maintain professional skepticism throughout the audit. We also:

- identify and assess the risks of material misstatement of the Project Financial Statements, whether due to fraud or error, design and perform audit procedures responsive to those risks, and obtain audit evidence that is sufficient and appropriate to provide a basis for our opinion. The risk of not detecting a material misstatement resulting from fraud is higher than for one resulting from error, as fraud may involve collusion, forgery, intentional omissions, misrepresentations, or the override of internal control.

- obtain an understanding of internal control relevant to the audit in order to design audit procedures that are appropriate in the circumstances.

- evaluate the appropriateness of accounting policies used and the reasonableness of accounting estimates and related disclosures made by management.

- evaluate the overall presentation, structure and content of the Project Financial Statements, including the disclosures and whether these financial statements present the Project's operationsandunderlying transactions and events in a manner that achieves fair presentation in accordance with the financial reporting provisions which may be described in the Project Financial Statements.

- communicate with those charged with governance regarding, among other matters, the planned scope and timing of the audit and significant audit findings, including any significant deficiencies in internal control that we identify during our audit.

- provide those charged with governance with a statement that we have complied with relevant ethical requirements regarding independence, and to communicate with them all relationships and other matters that may reasonably be thought to bear on our independence, and where applicable, related safeguards.

Other Matter

Report on Other Legal and Regulatory Requirements *the below as applicable per the terms of reference*

Further to our opinion on the Project Financial Statements we further report that:

a) we have sought and obtained all the information and explanations which to the best of our knowledge and belief were necessary for the purposes of our audit;
b) in our opinion, proper books of accounts have been kept by the implementing agency for Project purposes so far as appears from our examination of those books;

c) the Project Financial Statements dealt with by this report is in agreement with the books of accounts;
d) the Project funds were utilized for the purposes for which they were provided during the period 1st April 2018 to 31stMarch, 2019;
e) All expenditures, including fixed and variable management fees charged, guarantee fee received, income booked, assets created, and transactionseffected, and vouchers posted in all designated account heads (including income and expenditure accounts) under the Project, shown in the PFS and IUFRfor the period 1st April 2018 to 31st March, 2019are eligible under the Project Financing Agreements and found to be in order;
f) Quarterly Interim Unaudited Financial Reports (IUFR) alongwith ledger proof of transactions in all designated account heads, submittedfor the period1st April 2018 to 31stMarch, 2019by the Project management can be relied upon to support applications for withdrawal of the Loan, and adequate supporting documentation has been maintained to support these claims;
g) Procurement has been carried out in line with the agreed procedures as detailed in the Operations Manual/Procurement Manual/Project Implementation Plan/Legal Agreements; and
h) The Project has an adequate internal financial control system (including IT controls)and such controls were operating effectively as at March 31, 2019and the Project complies with the provisions on financial management contained in the Operations Manual/Project Implementation Plan/ Financial Management Manual, in all material aspects.

For **K J N & Co.**
Chartered Accountants
Firm's Registration No.**020692C**

CA Ravindra Kumar Yadav
Partner
(Membership No.**0526848**)

Place of Signature: New Delhi
Date: 10/12/2019
UDIN: 19526848AAAAIL6191

PRSF - Quarterly IUFR for the period till June 30, 2018

1. PRSF - Balance Sheet as on June 30, 2018

Particulars		INR	
		Annex Ref	Amount
Liabilities			
Partial Risk Sharing Facility :			
	GEF Guarantee Facility - SIDBI	1A	39,83,10,000.00
	GEF Guarantee Facility - PFI	1A	39,83,10,000.00
	CTF Sub Guarantee	1A	-
Cumulative amount withdrawn from the GEF Fund Corpus			
Operating Fund balance		1B	1,04,17,805.65
Guarantee Payable (balance)			-
Total Liabilities			**80,70,37,805.65**
Assets			
Balance at Bank			79,66,20,000.00
Facility Income			1,04,17,805.65
Total Assets			**80,70,37,805.65**

K.J.N. & CO. CHARTERED ACCOUNTANT M. No. 526848 FRN:020692C

Authorized Signatory (SIDBI)

DCV (GC & SDI)

PRSF - Quarterly IUFR for the period till June 30, 2018

Annex 1A. Statement of Facility Sources and Application of Funds for the period till June 30, 2018

Particulars		INR			
		GEF Guarantee Facility - SIDBI	GEF Guarantee Facility - PFI	CTF Sub-Guarantee	Total
(i)	Facility balance at the beginning of the quarter	40,75,40,749.68	39,83,10,000.00	-	80,58,50,749.68
(ii)	Add: Receipts/ inflows during the quarter:				
a.	Amounts received from GEF (one time)	-	-	-	-
b.	Amounts received from CTF (recurring)	-	-	-	-
c.	Recoveries against payouts	-	-	-	-
d.	Penalties & fines received	-	-	-	-
e.	Transfer in from Operations Account	-	-	-	-
(iii)	Total receipts/ inflows during the quarter (a to e)	-	-	-	-
(iv)	**Total Fund Sources (i)+(iii)**	**40,75,40,749.00**	**39,83,10,000.00**	**-**	**80,58,50,749.00**
(v)	Less: Payments/ outflows during the quarter:				
f.	Guarantee payouts - first tranch	-	-	-	-
g.	Guarantee payouts - final tranch	-	-	-	-
h.	Transfer out to Operations Account	92,30,749.00	-	-	92,30,749.00
(vi)	Total payments/ outflows during the quarter (f to h)	-	-	-	-
(vii)	**Fund balance at the end of the quarter (iv-vi) carried forward to IUFR**	**39,83,10,000.00**	**39,83,10,000.00**	**-**	**79,66,20,000.00**

K.J.N. & CO. CHARTERED ACCOUNTANT M. No. 526848 FRN:020692C

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PRSF - IUFR for the period till June 30, 2018

Annex 1B. Facility Operations Account for the period till June 30, 2018 showing income received and expenses incurred during the period (INR)

Particulars	Actual			Remarks
	During the qr	Year to date	Project to date	
Opening balance	92,30,749.65	92,30,749.65	-	-
Add Interest on Facility cash balances	80,80,782.00	80,80,782.00	9,55,78,837.97	
Add guarantee fees received from SIDBI	1,22,397.00	1,22,397.00	8,06,295.00	
Add guarantee fees received from PFIs	17,26,252.00	17,26,252.00	28,50,498.65	
Add transfer from facility account (GEF - SIDBI)		-	2,83,39,302.00	
Less transfer to facility account (GEF - SIDBI)		-	2,83,39,302.00	-
				-
Total inflow	**1,91,60,180.65**	**1,91,60,180.65**	**9,92,35,631.62**	
Less:				
Fixed management fee paid to SIDBI	45,11,040.00	45,11,040.00	5,18,04,006.00	
Variable management fee paid to SIDBI	1,55,876.00	1,55,876.00	7,00,064.00	
CTF front end fee	8,49,333.00	8,49,333.00	1,59,73,956.00	
GST on CTF guarantee fee *	1,52,880.00	1,52,880.00	54,89,607.00	-
M&V fees and costs		-	-	-
End to end solutions	6,21,860.00	6,21,860.00	51,71,060.00	-
Salaries of contractual staff of PMU	19,35,656.00	19,35,656.00	79,79,800.00	-
Admin & others operating expenses of PMU	4,51,597.00	4,51,597.00	15,00,267.00	-
Audit fees	64,133.00	64,133.00	1,99,066.00	
Total outflow	**87,42,375.00**	**87,42,375.00**	**8,88,17,826.00**	
Closing balance	**1,04,17,805.65**	**1,04,17,805.65**	**1,04,17,805.62**	
* GST on CTF fee due to revserse charge mechanism				

K.J.N. & CO. CHARTERED ACCOUNTANTS M. No. 526848 FRN:020692C

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PRSF - Quarterly IUFR for the period from April 01, 2018 to June 30, 2018

2. Summary Guarantee Status as on June 30, 2018 (In INR)

Lender Name	Total Amount of Loan	Initial Guarantee Amount	Loan Outstanding	Guarantee Outstanding				Guarantee Claims (Total)		Guarantee Claims Paid		Guarantee Claims Payable		Recovery		Unrecovered Guarantee Paid		Guarantee Status - Open/ Close	Remarks
			Principal	PRSF	%	Others	%	PRSF	Others	PRSF	Others	PRSF	Others	PRSF	Others	PRSF	Others		
A. GEF - SIDBI (Facility Limit INR 39,83,10,000)																			
SIDBI Ahmedabad BO	50,00,000	37,50,000	34,30,333	25,72,750	75.00%	-	-	-	-	-	-	-	-	-	-	-	-	Open	-
SIDBI Pune BO	3,75,00,000	2,81,25,000	34,61,653	25,96,240	75.00%	-	-	-	-	-	-	-	-	-	-	-	-	Open	-
SIDBI Thane BO	1,00,00,000	75,00,000	1,00,00,000	75,00,000	75.00%	-	-	-	-	-	-	-	-	-	-	-	-	Open	-
Sub-Total	**5,25,00,000**	**3,93,75,000**	**1,68,91,986**	**1,26,68,990**															
0																			
B. GEF - PFI (Facility Limit INR 39,83,10,000)																			
Tata Cleantech Capital Limited	50,00,000	37,50,000	21,58,413	16,18,810	75.00%	-	-	-	-	-	-	-	-	-	-	-	-	Open	-
Yes Bank	1,42,50,000	1,06,87,500	1,13,44,589.00	85,08,442	75.00%	-	-	-	-	-	-	-	-	-	-	-	-	Open	-
Yes Bank	13,00,000	9,75,000	-	-	75.00%	-	-	-	-	-	-	-	-	-	-	-	-	Closed	-
Yes Bank	15,00,00,000.00	11,25,00,000	11,24,07,393.00	8,43,05,545	75.00%	-	-	-	-	-	-	-	-	-	-	-	-	Open	-
Yes Bank	25,00,000.00	18,75,000	22,50,000.00	16,87,500	75.00%	-	-	-	-	-	-	-	-	-	-	-	-	Open	-
Yes Bank	1,75,00,000.00	1,31,25,000	1,73,49,231.00	1,30,11,923	75.00%														
Corporation Bank	6,00,00,000.00	4,50,00,000	6,00,00,000.00	4,50,00,000	75.00%														
Sub-Total	**25,05,50,000**	**18,79,12,500**	**20,55,09,626**	**15,41,32,220**															
C. CTF (PFI) Sub-Guarantee (Facility Limit INR XXXXXX)																			
NIL	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	-
NIL	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	-
Sub-Total	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	

K.J.N. & CO. CHARTERED ACCOUNTANT M. No. 526848 FRN:020692C

DCV (DC & SDI)

PRSF - Quarterly IUFR for the period from April 01, 2018 to June 30, 2018

3. Detailed Statement on Guarantee Status as on June 30, 2018, 2018 (INR)

PRSF - Quarterly IUFR for the period from January 01, 2018 to March 31, 2018(contd)

3. Detailed Statement on Guarantee Status as on March 31, 2018 (INR)

Sl no	Fund Source	PFI Name	Borrower Name	Loan Ref	Loan Amount	Loan Particulars and Purpose	Loan Period (Date)		Loan Outstanding (INR)	Guarantee Ref	Guarantee Coverage				Available Limit for Guarantee Issue (PRSF)	Guarantee Claim Paid		Guarantee Claim Payable		Recovery Proceedings (Date)		Recovery		Unrecovered Guarantee		Guarantee Status - Open/ Close	Remarks
							From	To	Principal		PRSF	%	Others	%		PRSF	Others	PRSF	Others	Start	Close	PRSF	Others	PRSF	Others		
					(i) Loan Particuars by Fund Source, Borrower and PFI					(ii) Guarantee Details						(iii) Guarantee Claims, Recovery and Status											
A	GEF (limit INR 39.83.10.000)	SIDBI (Ahmedabad)	Sireej Electronics	416/SE	50,00,000	-	22-Jul-16	10-Jul-21	34,30,333	PRSF-SIDBI-002	25,72,750	75%	-	-	38,56,41,011	-	-	-	-	-	-	-	-	-	Open	-
		SIDBI (Pune)	Yantra Harvest Energy Private Limited	SIDBI/EEC/PRSF/PNBO/676	3,75,00,000	-	5-Aug-16	10-Aug-18	34,61,653	PRSF-SIDBI-001	25,96,240	75%	-	-		-	-	-	-	-	-	-	-	-	-	Open	-
		SIDBI Mumbai BO	Yajna Fuel services		1,00,00,000	-	06-Apr-17	05-Apr-21	1,00,00,000	SIDBI/EEC/PRSF/2018 Apr 26/100239563	75,00,000	75%	-	-		-	-	-	-	-	-	-	-	-	-	-	-
		Sub-Total			5,25,00,000				1,68,91,986.00		1,26,68,990																
B	GEF (limit INR 39.83.10.000)	Tata Cleantech Capital Limited	Smart Joules	TCCL/28032016/19	50,00,000	-	2-Sep-16	1-Sep-19	21,58,413	SIDBI/EEC/PRSF/2017Nov29/100308248	16,18,810	75%	-	-	24,41,77,781	-	-	-	-	-	-	-	-	-	Open	-
		Yes Bank	Smart Joules	YBL/DEL/BB/FL/2052/2016-17	1,42,50,000	-	27-Jan-17	30-Apr-22	1,13,44,589.00	SIDBI/EEC/PRSF/ 2017Mar21/ 100233856	85,08,442	75%	-	-		-	-	-	-	-	-	-	-	-	-	Open	-
		Yes Bank	Alien Energy Private Limited	YBL/DEL/BB/FL/2255/2016-17	13,00,000	-	17-Mar-17	31-Oct-17		SIDBI/EEC/PRSF/ 2017Mar29/ 100234320		75%	-	-		-	-	-	-	-	-	-	-	-	-	Closed	-
		Yes Bank	eSmart Energy Solutions Pvt Ltd	YBL / MUM/ EBB/FL/1529/2016-17	15,00,00,000	-	16-Feb-17	16-Feb-20	11,24,07,393.00	SIDBI/EEC/PRSF/ 2018Apr12/100237236	8,43,05,545	75%	-	-		-	-	-	-	-	-	-	-	-	-	Open	-
		Yes Bank	Energized Solutions India Private Limited	YBL/DEL/BB/FL/1173/2017-18	25,00,000	-	21-Jul-17	21-Jun-22	22,50,000.00	SIDBI/EEC/PRSF/2018Nov20/100276161	16,87,500	75%	-	-		-	-	-	-	-	-	-	-	-	-	Open	-
		Yes Bank	Energized Solutions India Private Limited	YBL/DEL/BB/FL/856/2017-18	1,75,00,000		21-Jul-17	21-Jun-22	1,73,69,231.00	SIDBI/EEC/PRSF/2018Mar21/100298735	1,30,11,923	75%														Open	
		Corporation Bank	eSmart Energy Solutions Pvt Ltd	CO/MUM/CLCC/106/2017-18	6,00,00,000		21-Feb-18	21-Jun-22	6,00,00,000.00	SIDBI/EEC/PRSF/2018FEB/100294045	4,50,00,000	75%														Open	
		Sub-Total			25,05,50,000				20,55,09,626.00		15,41,32,220																
C	CTF (limit xxxxx)	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	-
		Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil		Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	-
		Sub-Total			Nil				Nil		Nil																

PRSF - Quarterly IUFR for the period till June 30, 2018

4. Sources and Application of Funds for TA Component For the period till June 30, 2018(INR)

SIDBI

Particulars	Actual			Remarks
	During the 01st April to 30th June, 2018	Year to date	Project to date	
Reimbursement received from the Bank	3,97,252.00	3,97,252.00	3,03,31,014.00	-
Sources of funds				
Contribution from SIDBI	19,95,593.00	19,95,593.00	3,23,26,607.00	-
Total	**19,95,593.00**	**19,95,593.00**	**3,23,26,607.00**	
Application of funds				
Capacity, resource building and institutional strengthening	9,27,052.00	9,27,052.00	2,86,25,730.00	
Technical assistance and capacity building for ESCOs and PFIs	10,68,541.00	10,68,541.00	37,00,877.00	
Other market development elements	-	-	-	-
Total	**19,95,593.00**	**19,95,593.00**	**3,23,26,607.00**	

K.J.N. & CO. CHARTERED ACCOUNTANT M. No. 526848 FRN:020692C

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PRSF - Quarterly IUFR for the period till June 30, 2018

5. Claim for Disbursement by the Bank (INR)

Particulars	Claims under Guarantee Facility			Technical Assistance Claims		
	Current Claim	Total claimed including current claim	Claims disbursed by the Bank till date	Current Claim	Total claimed including current claim	Claims disbursed by the Bank till date
GEF disbursement - SIDBI	-	-	-			
GEF disbursement - PFI	-	-	-			
Guarantee payout - CTF	-	-	-			
TA reimbursement				19,95,593.00	3,23,26,607.00	3,03,31,014.00
Total	-	-	-	**19,95,593.00**	**3,23,26,607.00**	**3,03,31,014.00**

J.N. & CO. CHARTERED ACCOUNTANT M. No. 526848 FRN:020692C

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Partial Risk Sharing Facility for Energy Efficiency (PRSF) - Interest Calculation

Annexure-A

GEF Grant (USD)	Equivalent converted INR amount	Period of Interest			No. of Days
		From		To	
1,20,00,000	79,66,20,000	01-Apr-18		30-Jun-18	91

Sl. No.	Date	Opening Balance	Deposits/ Withdrawals	Closing Balance	Repo Rate	Margin	Effective Rate	Interest Earned (INR)
1	01-Apr-18	79,66,20,000.00	-	79,66,20,000.00	6.00%	2%	4.00%	87,300.82
2	02-Apr-18	79,66,20,000.00	-	79,66,20,000.00	6.00%	2%	4.00%	87,300.82
3	03-Apr-18	79,66,20,000.00	-	79,66,20,000.00	6.00%	2%	4.00%	87,300.82
4	04-Apr-18	79,66,20,000.00	-	79,66,20,000.00	6.00%	2%	4.00%	87,300.82
5	05-Apr-18	79,66,20,000.00	-	79,66,20,000.00	6.00%	2%	4.00%	87,300.82
6	06-Apr-18	79,66,20,000.00	-	79,66,20,000.00	6.00%	2%	4.00%	87,300.82
7	07-Apr-18	79,66,20,000.00	-	79,66,20,000.00	6.00%	2%	4.00%	87,300.82
8	08-Apr-18	79,66,20,000.00	-	79,66,20,000.00	6.00%	2%	4.00%	87,300.82
9	09-Apr-18	79,66,20,000.00	-	79,66,20,000.00	6.00%	2%	4.00%	87,300.82
10	10-Apr-18	79,66,20,000.00	-	79,66,20,000.00	6.00%	2%	4.00%	87,300.82
11	11-Apr-18	79,66,20,000.00	-	79,66,20,000.00	6.00%	2%	4.00%	87,300.82
12	12-Apr-18	79,66,20,000.00	-	79,66,20,000.00	6.00%	2%	4.00%	87,300.82
13	13-Apr-18	79,66,20,000.00	-	79,66,20,000.00	6.00%	2%	4.00%	87,300.82
14	14-Apr-18	79,66,20,000.00	-	79,66,20,000.00	6.00%	2%	4.00%	87,300.82
15	15-Apr-18	79,66,20,000.00	-	79,66,20,000.00	6.00%	2%	4.00%	87,300.82
16	16-Apr-18	79,66,20,000.00	-	79,66,20,000.00	6.00%	2%	4.00%	87,300.82
17	17-Apr-18	79,66,20,000.00	-	79,66,20,000.00	6.00%	2%	4.00%	87,300.82
18	18-Apr-18	79,66,20,000.00	-	79,66,20,000.00	6.00%	2%	4.00%	87,300.82
19	19-Apr-18	79,66,20,000.00	-	79,66,20,000.00	6.00%	2%	4.00%	87,300.82
20	20-Apr-18	79,66,20,000.00	-	79,66,20,000.00	6.00%	2%	4.00%	87,300.82
21	21-Apr-18	79,66,20,000.00	-	79,66,20,000.00	6.00%	2%	4.00%	87,300.82
22	22-Apr-18	79,66,20,000.00	-	79,66,20,000.00	6.00%	2%	4.00%	87,300.82
23	23-Apr-18	79,66,20,000.00	-	79,66,20,000.00	6.00%	2%	4.00%	87,300.82
24	24-Apr-18	79,66,20,000.00	-	79,66,20,000.00	6.00%	2%	4.00%	87,300.82
25	25-Apr-18	79,66,20,000.00	-	79,66,20,000.00	6.00%	2%	4.00%	87,300.82
26	26-Apr-18	79,66,20,000.00	-	79,66,20,000.00	6.00%	2%	4.00%	87,300.82
27	27-Apr-18	79,66,20,000.00	-	79,66,20,000.00	6.00%	2%	4.00%	87,300.82
28	28-Apr-18	79,66,20,000.00	-	79,66,20,000.00	6.00%	2%	4.00%	87,300.82
29	29-Apr-18	79,66,20,000.00	-	79,66,20,000.00	6.00%	2%	4.00%	87,300.82
30	30-Apr-18	79,66,20,000.00	-	79,66,20,000.00	6.00%	2%	4.00%	87,300.82
31	01-May-18	79,66,20,000.00	-	79,66,20,000.00	6.00%	2%	4.00%	87,300.82
32	02-May-18	79,66,20,000.00	-	79,66,20,000.00	6.00%	2%	4.00%	87,300.82
33	03-May-18	79,66,20,000.00	-	79,66,20,000.00	6.00%	2%	4.00%	87,300.82
34	04-May-18	79,66,20,000.00	-	79,66,20,000.00	6.00%	2%	4.00%	87,300.82
35	05-May-18	79,66,20,000.00	-	79,66,20,000.00	6.00%	2%	4.00%	87,300.82
36	06-May-18	79,66,20,000.00	-	79,66,20,000.00	6.00%	2%	4.00%	87,300.82
37	07-May-18	79,66,20,000.00	-	79,66,20,000.00	6.00%	2%	4.00%	87,300.82
38	08-May-18	79,66,20,000.00	-	79,66,20,000.00	6.00%	2%	4.00%	87,300.82
39	09-May-18	79,66,20,000.00	-	79,66,20,000.00	6.00%	2%	4.00%	87,300.82
40	10-May-18	79,66,20,000.00	-	79,66,20,000.00	6.00%	2%	4.00%	87,300.82
41	11-May-18	79,66,20,000.00	-	79,66,20,000.00	6.00%	2%	4.00%	87,300.82
42	12-May-18	79,66,20,000.00	-	79,66,20,000.00	6.00%	2%	4.00%	87,300.82
43	13-May-18	79,66,20,000.00	-	79,66,20,000.00	6.00%	2%	4.00%	87,300.82
44	14-May-18	79,66,20,000.00	-	79,66,20,000.00	6.00%	2%	4.00%	87,300.82
45	15-May-18	79,66,20,000.00	-	79,66,20,000.00	6.00%	2%	4.00%	87,300.82
46	16-May-18	79,66,20,000.00	-	79,66,20,000.00	6.00%	2%	4.00%	87,300.82
47	17-May-18	79,66,20,000.00	-	79,66,20,000.00	6.00%	2%	4.00%	87,300.82
48	18-May-18	79,66,20,000.00	-	79,66,20,000.00	6.00%	2%	4.00%	87,300.82
49	19-May-18	79,66,20,000.00	-	79,66,20,000.00	6.00%	2%	4.00%	87,300.82
50	20-May-18	79,66,20,000.00	-	79,66,20,000.00	6.00%	2%	4.00%	87,300.82
51	21-May-18	79,66,20,000.00	-	79,66,20,000.00	6.00%	2%	4.00%	87,300.82
52	22-May-18	79,66,20,000.00	-	79,66,20,000.00	6.00%	2%	4.00%	87,300.82
53	23-May-18	79,66,20,000.00	-	79,66,20,000.00	6.00%	2%	4.00%	87,300.82
54	24-May-18	79,66,20,000.00	-	79,66,20,000.00	6.00%	2%	4.00%	87,300.82
55	25-May-18	79,66,20,000.00	-	79,66,20,000.00	6.00%	2%	4.00%	87,300.82
56	26-May-18	79,66,20,000.00	-	79,66,20,000.00	6.00%	2%	4.00%	87,300.82
57	27-May-18	79,66,20,000.00	-	79,66,20,000.00	6.00%	2%	4.00%	87,300.82
58	28-May-18	79,66,20,000.00	-	79,66,20,000.00	6.00%	2%	4.00%	87,300.82
59	29-May-18	79,66,20,000.00	-	79,66,20,000.00	6.00%	2%	4.00%	87,300.82
60	30-May-18	79,66,20,000.00	-	79,66,20,000.00	6.00%	2%	4.00%	87,300.82
61	31-May-18	79,66,20,000.00	-	79,66,20,000.00	6.00%	2%	4.00%	87,300.82
62	01-Jun-18	79,66,20,000.00	-	79,66,20,000.00	6.00%	2%	4.00%	87,300.82
63	02-Jun-18	79,66,20,000.00	-	79,66,20,000.00	6.00%	2%	4.00%	87,300.82
64	03-Jun-18	79,66,20,000.00	-	79,66,20,000.00	6.00%	2%	4.00%	87,300.82
65	04-Jun-18	79,66,20,000.00	-	79,66,20,000.00	6.00%	2%	4.00%	87,300.82
66	05-Jun-18	79,66,20,000.00	-	79,66,20,000.00	6.00%	2%	4.00%	87,300.82
67	06-Jun-18	79,66,20,000.00	-	79,66,20,000.00	6.25%	2%	4.25%	92,757.12
68	07-Jun-18	79,66,20,000.00	-	79,66,20,000.00	6.25%	2%	4.25%	92,757.12
69	08-Jun-18	79,66,20,000.00	-	79,66,20,000.00	6.25%	2%	4.25%	92,757.12
70	09-Jun-18	79,66,20,000.00	-	79,66,20,000.00	6.25%	2%	4.25%	92,757.12
71	10-Jun-18	79,66,20,000.00	-	79,66,20,000.00	6.25%	2%	4.25%	92,757.12
72	11-Jun-18	79,66,20,000.00	-	79,66,20,000.00	6.25%	2%	4.25%	92,757.12
73	12-Jun-18	79,66,20,000.00	-	79,66,20,000.00	6.25%	2%	4.25%	92,757.12
74	13-Jun-18	79,66,20,000.00	-	79,66,20,000.00	6.25%	2%	4.25%	92,757.12
75	14-Jun-18	79,66,20,000.00	-	79,66,20,000.00	6.25%	2%	4.25%	92,757.12
76	15-Jun-18	79,66,20,000.00	-	79,66,20,000.00	6.25%	2%	4.25%	92,757.12
77	16-Jun-18	79,66,20,000.00	-	79,66,20,000.00	6.25%	2%	4.25%	92,757.12
78	17-Jun-18	79,66,20,000.00	-	79,66,20,000.00	6.25%	2%	4.25%	92,757.12
79	18-Jun-18	79,66,20,000.00	-	79,66,20,000.00	6.25%	2%	4.25%	92,757.12
80	19-Jun-18	79,66,20,000.00	-	79,66,20,000.00	6.25%	2%	4.25%	92,757.12
81	20-Jun-18	79,66,20,000.00	-	79,66,20,000.00	6.25%	2%	4.25%	92,757.12
82	21-Jun-18	79,66,20,000.00	-	79,66,20,000.00	6.25%	2%	4.25%	92,757.12
83	22-Jun-18	79,66,20,000.00	-	79,66,20,000.00	6.25%	2%	4.25%	92,757.12
84	23-Jun-18	79,66,20,000.00	-	79,66,20,000.00	6.25%	2%	4.25%	92,757.12
85	24-Jun-18	79,66,20,000.00	-	79,66,20,000.00	6.25%	2%	4.25%	92,757.12
86	25-Jun-18	79,66,20,000.00	-	79,66,20,000.00	6.25%	2%	4.25%	92,757.12
87	26-Jun-18	79,66,20,000.00	-	79,66,20,000.00	6.25%	2%	4.25%	92,757.12
88	27-Jun-18	79,66,20,000.00	-	79,66,20,000.00	6.25%	2%	4.25%	92,757.12
89	28-Jun-18	79,66,20,000.00	-	79,66,20,000.00	6.25%	2%	4.25%	92,757.12
90	29-Jun-18	79,66,20,000.00	-	79,66,20,000.00	6.25%	2%	4.25%	92,757.12
91	30-Jun-18	79,66,20,000.00	-	79,66,20,000.00	6.25%	2%	4.25%	92,757.12
			Total					80,80,782

K.J.N. & CO. CHARTERED ACCOUNTANT M. No. 526848 FRN:020692C

भारतीय लघु उद्योग विकास बैंक DCV (GC & SDI) नई दिल्ली

PRSF - Quarterly IUFR for the period till September 30, 2018

1. PRSF - Balance Sheet as on September 30, 2018

Particulars		INR	
		Annex Ref	Amount
Liabilities			
Partial Risk Sharing Facility :			
	GEF Guarantee Facility - SIDBI	1A	398,310,000.00
	GEF Guarantee Facility - PFI	1A	398,310,000.00
	CTF Sub Guarantee	1A	-
Cumulative amount withdrawn from the GEF Fund Corpus			
Operating Fund balance		1B	12,755,250.65
Guarantee Payable (balance)			-
Total Liabilities			**809,375,250.65**
Assets			
Balance at Bank			796,620,000.00
Facility Income			12,755,250.65
Total Assets			**809,375,250.65**

K J N & CO. CHARTERED ACCOUNTANTS M. No. 526848 FRN:020692C

Authorized Signatory (SIDBI)

DCV (GD & SDI) नई दिल्ली

PRSF - Quarterly IUFR for the period till September 30, 2018

Annex 1A. Statement of Facility Sources and Application of Funds for the period till September 30, 2018

Particulars		INR			
		GEF Guarantee Facility - SIDBI	GEF Guarantee Facility - PFI	CTF Sub-Guarantee	Total
(i)	Facility balance at the beginning of the quarter	407,540,749.68	398,310,000.00	-	805,850,749.68
(ii)	Add: Receipts/ inflows during the quarter:				
a.	Amounts received from GEF (one time)	-	-	-	-
b.	Amounts received from CTF (recurring)	-	-	-	-
c.	Recoveries against payouts	-	-	-	-
d.	Penalties & fines received	-	-	-	-
e.	Transfer in from Operations Account	-	-	-	-
(iii)	Total receipts/ inflows during the quarter (a to e)	-	-	-	-
(iv)	**Total Fund Sources (i)+(iii)**	**407,540,749.00**	**398,310,000.00**	-	**805,850,749.00**
(v)	Less: Payments/ outflows during the quarter:				
f.	Guarantee payouts - first tranch	-	-	-	-
g.	Guarantee payouts - final tranch	-	-	-	-
h.	Transfer out to Operations Account	9,230,749.00	-	-	9,230,749.00
(vi)	Total payments/ outflows during the quarter (f to h)	-	-	-	-
(vii)	**Fund balance at the end of the quarter (iv-vi) carried forward to IUFR**	**398,310,000.00**	**398,310,000.00**	-	**796,620,000.00**

K.J.N. & CO. CHARTERED ACCOUNTANT M. No. 526848 FRN:020692C

भारतीय लघु उद्योग विकास बैंक DCM (GC & SDI) नई दिल्ली

PRSF - IUFR for the period till September 30, 2018

Annex 1B. Facility Operations Account for the period till September 30, 2018
showing income received and expenses incurred during the period (INR)

Particulars	Actual			Remarks
	During the qr	Year to date	Project to date	
Opening balance	10,417,805.65	9,230,749.65	-	-
Add Interest on Facility cash balances	8,866,490.00	16,947,272.00	104,445,327.97	
Add guarantee fees received from SIDBI	-	122,397.00	806,295.00	
Add guarantee fees received from PFIs	166,439.00	1,892,691.00	3,016,937.65	
Add transfer from facility account (GEF - SIDBI)		-	28,339,302.00	
Less transfer to facility account (GEF - SIDBI)		-	28,339,302.00	-
				-
Total inflow	**19,450,734.65**	**28,193,109.65**	**108,268,560.62**	
Less:				
Fixed management fee paid to SIDBI	4,760,700.00	9,271,740.00	56,564,706.00	
Variable management fee paid to SIDBI	135,506.00	291,382.00	835,570.00	
M&V fees and costs		-		
CTF front fees		1,002,213.00	15,973,956.00	
GST on CTF guarantee fee *			5,489,607.00	
4E, End to end solutions	451,940.00	1,073,800.00	5,623,000.00	
Salaries of contractual staff of PMU	1,156,016.00	3,091,672.00	9,135,816.00	
Admin & others operating expenses of PMU	191,322.00	642,919.00	1,691,589.00	
Audit fees		64,133.00	199,066.00	
Total outflow	**6,695,484.00**	**15,437,859.00**	**95,513,310.00**	
				-
Closing balance	**12,755,250.65**	**12,755,250.65**	**12,755,250.62**	
*GST on CTF fee due to revserse charge mechanism				

K.J.N. & CO. CHARTERED ACCOUNTANT M. No. 526846 FRN:020692C

DGV (SC & SDI)

PRSF - Quarterly IUFR for the period from July 01, 2018 to September 30, 2018

2. Summary Guarantee Status as on September 30, 2018 (In INR)

Lender Name	Total Amount of Loan	Initial Guarantee Amount	Loan Outstanding	Guarantee Outstanding				Guarantee Claims (Total)		Guarantee Claims Paid		Guarantee Claims Payable		Recovery		Unrecovered Guarantee Paid		Guarantee Status - Open/ Close	Remarks
			Principal	PRSF	%	Others	%	PRSF	Others	PRSF	Others	PRSF	Others	PRSF	Others	PRSF	Others		
A. GEF - SIDBI (Facility Limit INR 39,83,10,000)																			
SIDBI Ahmedabad BO	5,000,000.00	3,750,000.00	3,153,333.00	2,364,999.75	75.00%	-	-	-	-	-	-	-	-	-	-	-	-	Open	-
SIDBI Pune BO	37,500,000.00	28,125,000.00	-	-	75.00%	-	-	-	-	-	-	-	-	-	-	-	-	Closed	-
SIDBI Thane BO	10,000,000.00	7,500,000.00	10,000,000.00	7,500,000.00	75.00%	-	-	-	-	-	-	-	-	-	-	-	-	Open	-
Sub-Total	52,500,000.00	39,375,000.00	13,153,333.00	9,864,999.75		-	-	-	-	-	-	-	-	-	-	-	-		
0																			
B. GEF - PFI (Facility Limit INR 39,83,10,000)																			
Tata Cleantech Capital Limited	5,000,000.00	3,750,000.00	1,720,630.00	1,290,472.50	75.00%	-	-	-	-	-	-	-	-	-	-	-	-	Open	-
Yes Bank	14,250,000.00	10,687,500.00	10,588,283.00	7,941,212.25	75.00%	-	-	-	-	-	-	-	-	-	-	-	-	Open	-
Yes Bank	1,300,000.00	975,000.00	-	-	75.00%	-	-	-	-	-	-	-	-	-	-	-	-	Closed	-
Yes Bank	150,000,000.00	112,500,000.00	84,654,875.00	63,491,156.25	75.00%	-	-	-	-	-	-	-	-	-	-	-	-	Open	-
Yes Bank	2,500,000.00	1,875,000.00	2,125,000.00	1,593,750.00	75.00%	-	-	-	-	-	-	-	-	-	-	-	-	Open	-
Yes Bank	17,500,000.00	13,125,000.00	11,105,294.00	8,328,970.50	75.00%	-	-	-	-	-	-	-	-	-	-	-	-	Open	
Corporation Bank	60,000,000.00	45,000,000.00	60,000,000.00	45,000,000.00	75.00%	-	-	-	-	-	-	-	-	-	-	-	-	Open	
Yes Bank	22,500,000.00	16,875,000.00	22,500,000.00	16,875,000.00	75.00%	-	-	-	-	-	-	-	-	-	-	-	-	Open	
Sub-Total	273,050,000.00	204,787,500.00	192,694,082.00	144,520,561.50		-	-	-	-	-	-	-	-	-	-	-	-		
C. CTF (PFI) Sub-Guarantee (Facility Limit INR XXXXXX)																			
NIL	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	-
NIL	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	-
Sub-Total	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	

K.J.N. & CO. CHARTERED ACCOUNTANT M. No. 526848 FRN:020692C

भारतीय लघु उद्योग विकास बैंक (SIDBI) नई दिल्ली

PRSF - Quarterly IUFR for the period from July 01, 2018 to September 30, 2018
3. Detailed Statement on Guarantee Status as on September 30, 2018, 2018 (INR)

PRSF - Quarterly IUFR for the period from July 01, 2018 to September 30, 2018(contd)
3. Detailed Statement on Guarantee Status as on September 30, 2018 (INR)

(i) Loan Particuars by Fund Source, Borrower and PFI | (ii) Guarantee Details | (iii) Guarantee Claims, Recovery and Status

Sl no	Fund Source	PFI Name	Borrower Name	Loan Ref	Loan Amount	Loan Particulars and Purpose	Loan Period (Date)		Loan Outstanding (INR)	Guarantee Ref	Guarantee Coverage				Available Limit for Guarantee Issue (PRSF)	Guarantee Claim Paid		Guarantee Claim Payable		Recovery Proceedings (Date)		Recovery		Unrecovered Guarantee		Guarantee Status - Open/ Close	Remarks
							From	To	Principal		PRSF	%	Others	%		PRSF	Others	PRSF	Others	Start	Close	PRSF	Others	PRSF	Others		
A	GEF (limit INR 39.83,10,000)	SIDBI (Ahmedabad)	Suvarg Electronics	416/SE	5,000,000	-	22-Jul-16	10-Jul-21	3,153,333.00	PRSF-SIDBI-001	2,365,000	75%			388,445,000	-	-	-	-	-	-	-	-	-	-	Open
		SIDBI (Pune)	Tantra Harvest Energy Private Limited	SIDBI/EEC/PRSF/PNSO/676	17,500,000		3-Aug-16	10-Aug-18	-	PRSF-SIDBI-001		75%														Open
		SIDBI Mumbai BO	Yagna fuel services		10,000,000		6-Apr-17	6-Apr-21	10,000,000.00	SIDBI/EEC/PRSF/2018 Apr 26/L00239563	7,500,000	75%														Open
		Sub-Total			52,500,000				13,153,333.00		9,865,000															
B	GEF (limit INR 39.83 10,000)	Tata Cleantech Capital Limited	Smart Joules	TCCL/28032016/19	5,000,000	-	2-Sep-16	1-Sep-19	1,720,630.00	SIDBI/EEC/PRSF/2017Nov29/L00208298	1,290,473	75%			253,789,439	-	-	-	-	-	-	-	-	-	-	Open
		Yes Bank	Smart Joules	YBL/DEL/BB/TL/2092/2016-17	14,250,000	-	27-Jan-17	30-Apr-22	10,588,283.00	SIDBI/EEC/PRSF/ 2017Mar21/ L00233856	7,941,212	75%														Open
		Yes Bank	Alten Energy Private Limited	YBL/DEL/BB/TL/2255/2016-17	1,300,000	-	17-Mar-17	31-Oct-17	-	SIDBI/EEC/PRSF/ 2017Mar29/ L00234220		75%														Closed
		Yes Bank	eSmart Energy Solutions Pvt Ltd	YBL / MUM/ EBB/FI/1574/2016-17	150,000,000	-	16-Feb-17	16-Feb-20	84,614,875.00	SIDBI/EEC/PRSF/ 2018Apr12/L00237236	63,491,156	75%														Open
		Yes Bank	Energized Solutions India Private Limited	YBL/DEL/BB/TL/1171/2017-18	2,500,000	-	21-Jul-17	21-Jun-22	2,125,000.00	SIDBI/EEC/PRSF/2018Nov20/L00276163	1,593,750	75%														Open
		Yes Bank	Energized Solutions India Private Limited	YBL/DEL/BB/TL/898/2017-18	17,500,000	-	21-Jul-17	21-Jun-22	11,105,294.00	SIDBI/EEC/PRSF/2018Mar21/L00298715	8,328,971	75%														Open
		Corporation Bank	eSmart Energy Solutions Pvt Ltd	CO/MUM/ELCC/106/2017-18	60,000,000	-	21-Feb-18	21-Jun-22	60,000,000.00	SIDBI/EEC/PRSF/2018FEB/L00294045	45,000,000	75%														Open
		Yes Bank	Smart Joules	SIDBI/EEC/PRSF/2018Jul05/L00211562	22,500,000	-	26-Feb-18	21-Feb-22	22,500,000.00	SIDBI/EEC/PRSF4/2019Jul05/L00211562	16,875,000	75%														Open
		Sub-Total			273,050,000				192,694,082.00		144,920,562															
C	CTF (limit xxxxxx)	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL
		NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL		NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL		
		Sub-Total			NIL				NIL		NIL															

K.J.N. & CO. CHARTERED ACCOUNTANT M. No. 526848 FRN:020692C

DCV (GC & SDI)

PRSF - Quarterly IUFR for the period till September 30, 2018

4. Sources and Application of Funds for TA Component For the period till September 30, 2018(INR)

SIDBI

Particulars	Actual			Remarks
	During the 01st July to 30th September , 2018	Year to date	Project to date	
Reimbursement received from the Bank	1,995,593.00	2,392,845.00	32,326,607.00	-
Sources of funds				
Contribution from SIDBI	25,650.00	2,021,243.00	32,352,257.00	-
Total	**25,650.00**	**2,021,243.00**	**32,352,257.00**	
Application of funds				
Capacity, resource building and institutional strengthening	25,650.00	952,702.00	28,651,380.00	-
Technical assistance and capacity building for ESCOs and PFIs	-	1,068,541.00	3,700,877.00	-
Other market development elements	-	-	-	-
Total	**25,650.00**	**2,021,243.00**	**32,352,257.00**	

K.J.N. & CO. CHARTERED ACCOUNTANT M. No. 526848 FRN:020692C

भारतीय लघु उद्योग विकास बैंक (DCV (GC & SDI)) नई दिल्ली

PRSF - Quarterly IUFR for the period till September 30, 2018

5. Claim for Disbursement by the Bank (INR)

Particulars	Claims under Guarantee Facility			Technical Assistance Claims		
	Current Claim	Total claimed including current claim	Claims disbursed by the Bank till date	Current Claim	Total claimed including current claim	Claims disbursed by the Bank till date
GEF disbursement - SIDBI	-	-	-			
GEF disbursement - PFI	-	-	-			
Guarantee payout - CTF	-	-	-			
TA reimbursement				25,650.00	32,352,257.00	32,326,607.00
Total	-	-	-	**25,650.00**	**32,352,257.00**	**32,326,607.00**

K.J.N. & CO. CHARTERED ACCOUNTANT M. No. 526848 FRN:020692C

DCV (GC & SDI)

Partial Risk Sharing Facility for Energy Efficiency (PRSF) - Interest Calculation

Annexure-A

GEF Grant (USD)	Equivalent converted INR amount	Period of Interest			No. of Days
		From		To	
12,000,000	796,620,000	1-Jul-18		30-Sep-18	92

Sl. No.	Date	Opening Balance	Deposits/ Withdrawals	Closing Balance	Repo Rate	Margin	Effective Rate	Interest Earned (INR)
1	1-Jul-18	796,620,000.00	-	796,620,000.00	6.25%	2%	4.25%	92,757.12
2	2-Jul-18	796,620,000.00	-	796,620,000.00	6.25%	2%	4.25%	92,757.12
3	3-Jul-18	796,620,000.00	-	796,620,000.00	6.25%	2%	4.25%	92,757.12
4	4-Jul-18	796,620,000.00	-	796,620,000.00	6.25%	2%	4.25%	92,757.12
5	5-Jul-18	796,620,000.00	-	796,620,000.00	6.25%	2%	4.25%	92,757.12
6	6-Jul-18	796,620,000.00	-	796,620,000.00	6.25%	2%	4.25%	92,757.12
7	7-Jul-18	796,620,000.00	-	796,620,000.00	6.25%	2%	4.25%	92,757.12
8	8-Jul-18	796,620,000.00	-	796,620,000.00	6.25%	2%	4.25%	92,757.12
9	9-Jul-18	796,620,000.00	-	796,620,000.00	6.25%	2%	4.25%	92,757.12
10	10-Jul-18	796,620,000.00	-	796,620,000.00	6.25%	2%	4.25%	92,757.12
11	11-Jul-18	796,620,000.00	-	796,620,000.00	6.25%	2%	4.25%	92,757.12
12	12-Jul-18	796,620,000.00	-	796,620,000.00	6.25%	2%	4.25%	92,757.12
13	13-Jul-18	796,620,000.00	-	796,620,000.00	6.25%	2%	4.25%	92,757.12
14	14-Jul-18	796,620,000.00	-	796,620,000.00	6.25%	2%	4.25%	92,757.12
15	15-Jul-18	796,620,000.00	-	796,620,000.00	6.25%	2%	4.25%	92,757.12
16	16-Jul-18	796,620,000.00	-	796,620,000.00	6.25%	2%	4.25%	92,757.12
17	17-Jul-18	796,620,000.00	-	796,620,000.00	6.25%	2%	4.25%	92,757.12
18	18-Jul-18	796,620,000.00	-	796,620,000.00	6.25%	2%	4.25%	92,757.12
19	19-Jul-18	796,620,000.00	-	796,620,000.00	6.25%	2%	4.25%	92,757.12
20	20-Jul-18	796,620,000.00	-	796,620,000.00	6.25%	2%	4.25%	92,757.12
21	21-Jul-18	796,620,000.00	-	796,620,000.00	6.25%	2%	4.25%	92,757.12
22	22-Jul-18	796,620,000.00	-	796,620,000.00	6.25%	2%	4.25%	92,757.12
23	23-Jul-18	796,620,000.00	-	796,620,000.00	6.25%	2%	4.25%	92,757.12
24	24-Jul-18	796,620,000.00	-	796,620,000.00	6.25%	2%	4.25%	92,757.12
25	25-Jul-18	796,620,000.00	-	796,620,000.00	6.25%	2%	4.25%	92,757.12
26	26-Jul-18	796,620,000.00	-	796,620,000.00	6.25%	2%	4.25%	92,757.12
27	27-Jul-18	796,620,000.00	-	796,620,000.00	6.25%	2%	4.25%	92,757.12
28	28-Jul-18	796,620,000.00	-	796,620,000.00	6.25%	2%	4.25%	92,757.12
29	29-Jul-18	796,620,000.00	-	796,620,000.00	6.25%	2%	4.25%	92,757.12
30	30-Jul-18	796,620,000.00	-	796,620,000.00	6.25%	2%	4.25%	92,757.12
31	31-Jul-18	796,620,000.00	-	796,620,000.00	6.25%	2%	4.25%	92,757.12
32	1-Aug-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
33	2-Aug-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
34	3-Aug-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
35	4-Aug-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
36	5-Aug-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
37	6-Aug-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
38	7-Aug-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
39	8-Aug-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
40	9-Aug-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
41	10-Aug-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
42	11-Aug-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
43	12-Aug-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
44	13-Aug-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
45	14-Aug-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
46	15-Aug-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
47	16-Aug-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
48	17-Aug-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
49	18-Aug-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
50	19-Aug-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
51	20-Aug-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
52	21-Aug-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
53	22-Aug-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
54	23-Aug-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
55	24-Aug-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
56	25-Aug-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
57	26-Aug-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
58	27-Aug-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
59	28-Aug-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
60	29-Aug-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
61	30-Aug-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
62	31-Aug-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
63	1-Sep-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
64	2-Sep-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
65	3-Sep-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
66	4-Sep-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
67	5-Sep-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
68	6-Sep-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
69	7-Sep-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
70	8-Sep-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
71	9-Sep-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
72	10-Sep-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
73	11-Sep-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
74	12-Sep-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
75	13-Sep-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
76	14-Sep-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
77	15-Sep-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
78	16-Sep-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
79	17-Sep-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
80	18-Sep-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
81	19-Sep-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
82	20-Sep-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
83	21-Sep-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
84	22-Sep-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
85	23-Sep-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
86	24-Sep-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
87	25-Sep-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
88	26-Sep-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
89	27-Sep-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
90	28-Sep-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
91	29-Sep-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
92	30-Sep-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
			Total					8,366,490

PRSF - Quarterly IUFR for the period till December 31, 2018

1. PRSF - Balance Sheet as on December 31, 2018

Particulars		INR	
		Annex Ref	Amount
Liabilities			
Partial Risk Sharing Facility :			
	GEF Guarantee Facility - SIDBI	1A	398,310,000.00
	GEF Guarantee Facility - PFI	1A	398,310,000.00
	CTF Sub Guarantee	1A	-
Cumulative amount withdrawn from the GEF Fund Corpus			
Operating Fund balance		1B	12,839,893.65
Guarantee Payable (balance)			-
Total Liabilities			**809,459,893.65**
Assets			
Balance at Bank			796,620,000.00
Facility Income			12,839,893.65
Total Assets			**809,459,893.65**

K.J.N. & CO. CHARTERED ACCOUNTANT M. No. 526848 FRN:020692C

DCV (GC & SDI)

Authorized Signatory (SIDBI)

PRSF - Quarterly IUFR for the period till December 31, 2018

Annex 1A. Statement of Facility Sources and Application of Funds for the period till December 31, 2018

Particulars		INR			
		GEF Guarantee Facility - SIDBI	GEF Guarantee Facility - PFI	CTF Sub-Guarantee	Total
(i)	Facility balance at the beginning of the quarter	398,310,000.00	398,310,000.00	-	796,620,000.00
(ii)	Add: Receipts/ inflows during the quarter:				
a.	Amounts received from GEF (one time)	-	-	-	-
b.	Amounts received from CTF (recurring)	-	-	-	-
c.	Recoveries against payouts	-	-	-	-
d.	Penalties & fines received	-	-	-	-
e.	Transfer in from Operations Account	-	-	-	-
(iii)	Total receipts/ inflows during the quarter (a to e)	-	-	-	-
(iv)	**Total Fund Sources (i)+(iii)**	**398,310,000.00**	**398,310,000.00**	-	**796,620,000.00**
(v)	Less: Payments/ outflows during the quarter:				
f.	Guarantee payouts - first tranch	-	-	-	-
g.	Guarantee payouts - final tranch	-	-	-	-
h.	Transfer out to Operations Account	-	-	-	-
(vi)	Total payments/ outflows during the quarter (f to h)	-	-	-	-
(vii)	**Fund balance at the end of the quarter (iv-vi) carried forward to IUFR**	**398,310,000.00**	**398,310,000.00**	-	**796,620,000.00**

K.J.N. & CO. CHARTERED ACCOUNTANT M. No: 526848 FRN:020692C

DDG (GC & SDI)

PRSF - IUFR for the period till December 31, 2018

Annex 1B. Facility Operations Account for the period till December 31, 2018
showing income received and expenses incurred during the period (INR)

Particulars	Actual			Remarks
	During the qr	Year to date	Project to date	
Opening balance	12,755,250.65	9,230,749.65	-	-
Add Interest on Facility cash balances	9,035,635.00	25,982,907.00	113,480,962.97	
Add guarantee fees received from SIDBI	-	122,397.00	806,295.00	
Add guarantee fees received from PFIs	-	1,892,691.00	3,016,937.65	
Add transfer from facility account (GEF - SIDBI)	-	-	28,339,302.00	
Less transfer to facility account (GEF - SIDBI)	-	-	28,339,302.00	-
				-
Total inflow	**21,790,885.65**	**37,228,744.65**	**117,304,195.62**	
Less:				
Fixed management fee paid to SIDBI	5,116,469.00	14,388,209.00	61,681,175.00	
Variable management fee paid to SIDBI	108,894.00	400,276.00	944,464.00	
M&V fees and costs	-	-	-	
CTF front fees	-	-	15,124,623.00	
CTF guarantee fee	1,056,884.00	2,059,097.00	7,395,824.00	
End to end solutions	375,830.00	1,449,630.00	5,998,830.00	
Salaries of contractual staff of PMU	2,086,154.00	5,177,826.00	11,221,970.00	
Admin & others operating expenses of PMU	206,761.00	849,680.00	1,898,350.00	
Audit fees	-	64,133.00	199,066.00	
Total outflow	**8,950,992.00**	**24,388,851.00**	**104,464,302.00**	
				-
Closing balance	**12,839,893.65**	**12,839,893.65**	**12,839,893.62**	

K.J.N. & CO. CHARTERED ACCOUNTANTS M. No. 526648 FRN:

DCV (CC & SDI) नई दिल्ली

PRSF - Quarterly IUFR for the period from October 01, 2018 to December 31, 2018

2. Summary Guarantee Status as on December 31, 2018 (In INR)

Lender Name	Total Amount of Loan	Initial Guarantee Amount	Loan Outstanding	Guarantee Outstanding				Guarantee Claims (Total)		Guarantee Claims Paid		Guarantee Claims Payable		Recovery		Unrecovered Guarantee Paid		Guarantee Status - Open/ Close	Remarks
			Principal	PRSF	%	Others	%	PRSF	Others	PRSF	Others	PRSF	Others	PRSF	Others	PRSF	Others		
A. GEF - SIDBI (Facility Limit INR 39,83,10,000)																			
SIDBI Ahmedabad BO	5,000,000.00	3,750,000.00	2,876,333.33	2,157,250.00	75.00%	-	-	-	-	-	-	-	-	-	-	-	-	Open	-
SIDBI Pune BO	37,500,000.00	28,125,000.00	-	-	75.00%	-	-	-	-	-	-	-	-	-	-	-	-	Closed	-
SIDBI Thane BO	10,000,000.00	7,500,000.00	10,000,000.00	7,500,000.00	75.00%	-	-	-	-	-	-	-	-	-	-	-	-	Open	-
Sub-Total	**52,500,000.00**	**39,375,000.00**	**12,876,333.33**	**9,657,250.00**		-	-	-	-	-	-	-	-	-	-	-	-		
0																			
B. GEF - PFI (Facility Limit INR 39,83,10,000)																			
Tata Cleantech Capital Limited	5,000,000.00	3,750,000.00	1,115,669.00	836,751.75	75.00%	-	-	-	-	-	-	-	-	-	-	-	-	Open	-
Yes Bank	14,250,000.00	10,687,500.00	9,831,977.00	7,373,982.75	75.00%	-	-	-	-	-	-	-	-	-	-	-	-	Open	-
Yes Bank	1,300,000.00	975,000.00	-	-	75.00%	-	-	-	-	-	-	-	-	-	-	-	-	Closed	-
Yes Bank	150,000,000.00	112,500,000.00	112,407,393.88	84,305,545.41	75.00%	-	-	-	-	-	-	-	-	-	-	-	-	Open	-
Yes Bank	2,500,000.00	1,875,000.00	1,999,999.96	1,499,999.97	75.00%	-	-	-	-	-	-	-	-	-	-	-	-	Open	-
Yes Bank	17,500,000.00	13,125,000.00	16,159,026.27	12,119,269.70	75.00%	-	-	-	-	-	-	-	-	-	-	-	-	Open	
Corporation Bank	60,000,000.00	45,000,000.00	54,575,503.33	40,931,627.50	75.00%	-	-	-	-	-	-	-	-	-	-	-	-	Open	
Yes Bank	22,500,000.00	16,875,000.00	21,718,283.32	16,288,712.49	75.00%	-	-	-	-	-	-	-	-	-	-	-	-	Open	
Sub-Total	**273,050,000.00**	**204,787,500.00**	**217,807,852.76**	**163,355,889.57**		-	-	-	-	-	-	-	-	-	-	-	-		
C. CTF (PFI) Sub-Guarantee (Facility Limit INR XXXXXX)																			
NIL	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	-
NIL	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	-
Sub-Total	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	

To be submitted by SIDBI

The report will provide summary status of outstanding loans, guarantees etc as on date of the report. This will be supported by the detailed list

K.J.N. & CO. CHARTERED ACCOUNTANT M. No. 526848 FRN:020692C

भारतीय लघु उद्योग विकास बैंक (SIDBI) नई दिल्ली

PRSF - Quarterly IUFR for the period from October 01, 2018 to December 31, 2018

3 Detailed Statement on Guarantee Status as on December 31, 2018, (INR)

PRSF - Quarterly IUFR for the period from July 01, 2018 to December 31, 2018(contd)

3 Detailed Statement on Guarantee Status as on December 31, 2018 (INR)

Sl no	Fund Source	PFI Name	Borrower Name	Loan Ref	Loan Amount	Loan Particulars and Purpose	Loan Period (Date)		Loan Outstanding (INR)	Guarantee Ref	Guarantee Coverage				Available Limit for Guarantee Issue (PRSF)	Guarantee Claim Paid		Guarantee Claim Payable		Recovery Proceedings (Date)		Recovery		Unrecovered Guarantee		Guarantee Status - Open/ Close	Remarks
							From	To	Principal		PRSF	%	Others	%		PRSF	Others	PRSF	Others	Start	Close	PRSF	Others	PRSF	Others		
						(i) Loan Particuars by Fund Source, Borrower and PFI				(ii) Guarantee Details						(iii) Guarantee Claims, Recovery and Status										
A	GEF (limit INR 39.83 cr.000)	SIDBI (Ahmedabad)	Suzlon Electronics	4316/SE	5,000,000		22-Jul-16	10-Jul-21	2,876,733	PRSF-SIDBI-002	2,157,250	75%	-	-	182,342,750	-	-	-	-	-	-	-	-	-	Open	
		SIDBI (Pune)	Ratnis Harvest Energy Private Limited	SIDBI/EEC/PRSF/PNRO/475	17,500,000		15-Aug-16	15-Aug-18	-	PRSF-SIDBI-001	-	75%	-	-		-	-	-	-	-	-	-	-	-	-	Open	
		SIDBI Mumbai SO	Ratna Fuel Ventures		20,000,000		6-Apr-17	6-Apr-21	20,000,000.00	SIDBI/EEC/PRSF/2016 Apr 25/L0021955G1	7,500,000	75%	-	-		-	-	-	-	-	-	-	-	-	-	Open	
		Sub-Total			52,500,000				12,876,733.33		9,657,250															
B	GEF (limit INR 39.83 cr.000)	Tata Cleantech Capital Limited	Smart Joules	TCC/1803/2016/19	5,000,000		2-Sep-16	2-Sep-19	1,115,469.00	SIDBI/EEC/PRSF/2017Nov29/L0210042N8	836,752	75%	-	-	234 964 110	-	-	-	-	-	-	-	-	-	-	Open	
		Yes Bank	Smart Joules	YBL/DEL/BB/FL/2992/2016-17	14,250,000	-	27-Jan-17	16-Apr-22	9,831,977.00	SIDBI/EEC/PRSF/2017Mar21/L0021185N	7,373,983	75%	-	-		-	-	-	-	-	-	-	-	-	-	Open	
		Yes Bank	Aiken Energy Private Limited	YBL/DEL/BB/FL/2265/2016-17	1,500,000		17-Mar-17	31-Dec-17	-	SIDBI/EEC/PRSF/2017Mar29/L0021842C	-	75%	-	-		-	-	-	-	-	-	-	-	-	-	Closed	
		Yes Bank	eSmart Energy Solutions Pvt Ltd	YBL / MUM/ EBB/FL/1525/2016-17	150,000,000	-	15-Feb-17	16-Feb-20	112,407,393.32	SIDBI/EEC/PRSF/2018Apr12/L0023F23G	84,305,545	75%	-	-		-	-	-	-	-	-	-	-	-	-	Open	
		Yes Bank	Energized Solutions India Private Limited	YBL/DEL/BB/FL/1171/2017-18	2,500,000	-	21-Jul-17	21-Jun-22	2,500,000	SIDBI/EEC/PRSF/2018Nov20/L0017EUE1	1,500,000	75%	-	-		-	-	-	-	-	-	-	-	-	-	Open	
		Yes Bank	Energized Solutions India Private Limited	YBL/DEL/BB/FL/898/2017-18	17,500,000	-	21-Jul-17	21-Jun-22	16,159,036.37	SIDBI/EEC/PRSF/2018Mar21/L0C2P8F1G	12,119,270	75%	-	-		-	-	-	-	-	-	-	-	-	-	Open	
		Corporation Bank	eSmart Energy Solutions Pvt Ltd	CO/MUM/CLCC/106/2017-18	60,000,000	-	21-Feb-18	21-Jun-22	54,575,503.13	SIDBI/EEC/PRSF/2018FEB/L0029604P	40,931,627	75%	-	-		-	-	-	-	-	-	-	-	-	-	Open	
		Yes Bank	Smart Joules	SIDBI/EEC/PRSF/2019Jul05/L0021153G2	72,500,000		26-Feb-18	21-Feb-22	71,718,281.11	SIDBI/EEC/PRSF/2019Jul05/L0021153G2	14,284,712	75%	-	-		-	-	-	-	-	-	-	-	-	-	Open	
		Sub-Total			373,050,000				217,807,852.76		* 163,155,890															
										*calculated based on information submitted by the PFI, shall be reconciled in next quarter																	
C	GTF (limit awaited)	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	
		NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL		NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL		
		Sub-Total			NIL				NIL		NIL															

K.J.N. & CO. CHARTERED ACCOUNTANT M/No. 526848 FRN:020692C

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PRSF - Quarterly IUFR for the period till December 31, 2018

4. Sources and Application of Funds for TA Component For the period till December 31, 2018(INR)

SIDBI

Particulars	Actual			Remarks
	During the 01st October to 31st December, 2018	Year to date	Project to date	
Reimbursement received from the Bank	-	2,392,845.00	32,326,607.00	-
Reimbursement pending with World Bank	25,650.00	25,650.00	25,650.00	Reimbursement pending for last quarter
Sources of funds				
Contribution from SIDBI	482,154.00	2,503,397.00	32,834,411.00	-
Total	**482,154.00**	**2,503,397.00**	**32,834,411.00**	
Application of funds				
Capacity, resource building and institutional strengthening	482,154.00	1,434,856.00	29,133,534.00	-
Technical assistance and capacity building for ESCOs and PFIs	-	1,068,541.00	3,700,877.00	-
Other market development elements	-	-	-	-
Total	**482,154.00**	**2,503,397.00**	**32,834,411.00**	

M.J.N. & CO. CHARTERED ACCOUNTANT M. No. 526848 FRN:020692C

DGM (GO & SDI)

PRSF - Quarterly IUFR for the period till December 31, 2018

5. Claim for Disbursement by the Bank (INR)

Particulars	Claims under Guarantee Facility			Technical Assistance Claims		
	Current Claim	Total claimed including current claim	Claims disbursed by the Bank till date	Current Claim	Total claimed including current claim	Claims disbursed by the Bank till date
GEF disbursement - SIDBI	-	-	-			
GEF disbursement - PFI	-	-	-			
Guarantee payout - CTF	-	-	-			
TA reimbursement				507,804.00	32,834,411.00	32,326,607.00
Total	-	-	-	**507,804.00**	**32,834,411.00**	**32,326,607.00**

For current quarter	482,154.00
Pending for last quarter	25,650.00
Total	**507,804.00**

including pending claim for last quarter of Rs 25,650/-

K.J.N. & CO. CHARTERED ACCOUNTANT M. No. 526348 FRN:020892C

GCV (GC & SDI)

Partial Risk Sharing Facility for Energy Efficiency (PRSF) - Interest Calcul

Annexure-A

GEF Grant (USD)	Equivalent converted INR amount	Period of Interest		No. of Days
		From	To	
12,000,000	796,620,000	1-Oct-18	31-Dec-18	92

in INR

Sl. No.	Date	Opening Balance	Deposits/ Withdrawls	Closing Balance	Repo Rate	Margin	Effective Rate	Interest Earned (INR)
1	1-Oct-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
2	2-Oct-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
3	3-Oct-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
4	4-Oct-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
5	5-Oct-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
6	6-Oct-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
7	7-Oct-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
8	8-Oct-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
9	9-Oct-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
10	10-Oct-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
11	11-Oct-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
12	12-Oct-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
13	13-Oct-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
14	14-Oct-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
15	15-Oct-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
16	16-Oct-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
17	17-Oct-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
18	18-Oct-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
19	19-Oct-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
20	20-Oct-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
21	21-Oct-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
22	22-Oct-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
23	23-Oct-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
24	24-Oct-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
25	25-Oct-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
26	26-Oct-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
27	27-Oct-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
28	28-Oct-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
29	29-Oct-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
30	30-Oct-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
31	31-Oct-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
32	1-Nov-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
33	2-Nov-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
34	3-Nov-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
35	4-Nov-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
36	5-Nov-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
37	6-Nov-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
38	7-Nov-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
39	8-Nov-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
40	9-Nov-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
41	10-Nov-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
42	11-Nov-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
43	12-Nov-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
44	13-Nov-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
45	14-Nov-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
46	15-Nov-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
47	16-Nov-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
48	17-Nov-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
49	18-Nov-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
50	19-Nov-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
51	20-Nov-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
52	21-Nov-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
53	22-Nov-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
54	23-Nov-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
55	24-Nov-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
56	25-Nov-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
57	26-Nov-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
58	27-Nov-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
59	28-Nov-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
60	29-Nov-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
61	30-Nov-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
62	1-Dec-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
63	2-Dec-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
64	3-Dec-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
65	4-Dec-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
66	5-Dec-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
67	6-Dec-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
68	7-Dec-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
69	8-Dec-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
70	9-Dec-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
71	10-Dec-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
72	11-Dec-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
73	12-Dec-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
74	13-Dec-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
75	14-Dec-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
76	15-Dec-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
77	16-Dec-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
78	17-Dec-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
79	18-Dec-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
80	19-Dec-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
81	20-Dec-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
82	21-Dec-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
83	22-Dec-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
84	23-Dec-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
85	24-Dec-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
86	25-Dec-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
87	26-Dec-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
88	27-Dec-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
89	28-Dec-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
90	29-Dec-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
91	30-Dec-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
92	31-Dec-18	796,620,000.00	-	796,620,000.00	6.50%	2%	4.50%	98,213.42
			Total					9,035,835.07

PRSF - Quarterly IUFR for the period till March 31, 2019

1. PRSF - Balance Sheet as on March 31, 2019

Particulars		INR	
		Annex Ref	Amount
Liabilities			
Partial Risk Sharing Facility :			
	GEF Guarantee Facility - SIDBI	1A	39,83,10,000.00
	GEF Guarantee Facility - PFI	1A	39,83,10,000.00
	CTF Sub Guarantee	1A	-
Cumulative amount withdrawn from the GEF Fund Corpus			
Operating Fund balance		1B	1,46,58,821.65
Guarantee Payable (balance)			-
Total Liabilities			**81,12,78,821.65**
Assets			
Balance at Bank			79,66,20,000.00
Facility Income			1,46,58,821.65
Total Assets			**81,12,78,821.65**

Authorized Signatory (SIDBI)

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PRSF - Quarterly IUFR for the period till March 31, 2019

Annex 1A. Statement of Facility Sources and Application of Funds for the period till March 31, 2019

	Particulars	INR			
		GEF Guarantee Facility - SIDBI	GEF Guarantee Facility - PFI	CTF Sub-Guarantee	Total
(i)	Facility balance at the beginning of the quarter	39,83,10,000.00	39,83,10,000.00	-	79,66,20,000.00
(ii)	Add: Receipts/ inflows during the quarter:				
a.	Amounts received from GEF (one time)	-	-	-	-
b.	Amounts received from CTF (recurring)	-	-	-	-
c.	Recoveries against payouts	-	-	-	-
d.	Penalties & fines received	-	-	-	-
e.	Transfer in from Operations Account	-	-	-	-
(iii)	Total receipts/ inflows during the quarter (a to e)	-	-	-	-
(iv)	**Total Fund Sources (i)+(iii)**	**39,83,10,000.00**	**39,83,10,000.00**	**-**	**79,66,20,000.00**
(v)	Less: Payments/ outflows during the quarter:				
f.	Guarantee payouts - first tranch	-	-	-	-
g.	Guarantee payouts - final tranch	-	-	-	-
h.	Transfer out to Operations Account	-	-	-	-
(vi)	Total payments/ outflows during the quarter (f to h)	-	-	-	-
(vii)	**Fund balance at the end of the quarter (iv-vi) carried forward to IUFR**	**39,83,10,000.00**	**39,83,10,000.00**	**-**	**79,66,20,000.00**

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PRSF - IUFR for the period till March 31, 2019

Annex 1B. Facility Operations Account for the period till March 31, 2019
showing income received and expenses incurred during the period (INR)

Particulars	Actual			Remarks
	During the qr	Year to date	Project to date	
Opening balance	1,28,39,893.65	92,30,749.65		-
Add Interest on Facility cash balances	85,50,024.00	3,45,32,931.00	12,20,30,986.97	
Add guarantee fees received from SIDBI	2,08,220.00	3,30,617.00	10,14,515.00	
Add guarantee fees received from PFIs	-	18,92,691.00	30,16,937.65	
Add transfer from facility account (GEF - SIDBI)	-	-	2,83,39,302.00	
Less transfer to facility account (GEF - SIDBI)	-	-	2,83,39,302.00	-
				-
Total inflow	**2,15,98,137.65**	**4,59,86,988.65**	**12,60,62,439.62**	
Less:				
Fixed management fee paid to SIDBI	48,36,346.00	1,92,24,555.00	6,65,17,521.00	
Variable management fee paid to SIDBI	1,70,119.00	5,70,395.00	11,14,583.00	
M&V fees and costs	-	-	-	
CTF front fees	-	-	1,51,24,623.00	
CTF guarantee fee	-	20,59,097.00	73,95,824.00	
End to end solutions	1,80,540.00	16,30,170.00	61,79,370.00	
Salaries of contractual staff of PMU	13,59,697.00	65,37,523.00	1,25,81,667.00	
Admin & others operating expenses of PMU	2,58,094.00	11,07,774.00	21,56,444.00	
Audit fees	1,34,520.00	1,98,653.00	3,33,586.00	
Total outflow	**69,39,316.00**	**3,13,28,167.00**	**11,14,03,618.00**	
				-
Closing balance	**1,46,58,822**	**1,46,58,822**	**1,46,58,822**	

DCV (GC & SDI)

PRSF - Quarterly IUFR for the period from January 01, 2019 to March 31, 2019

2. Summary Guarantee Status as on March 31, 2019 (In INR)

Lender Name	Total Amount of Loan	Initial Guarantee Amount	Loan Outstanding	Guarantee Outstanding				Guarantee Claims (Total)		Guarantee Claims Paid		Guarantee Claims Payable		Recovery		Unrecovered Guarantee Paid		Guarantee Status - Open/ Close	Remarks
			Principal	PRSF	%	Others	%	PRSF	Others	PRSF	Others	PRSF	Others	PRSF	Others	PRSF	Others		
A. GEF - SIDBI (Facility Limit INR 39,83,10,000)																			
SIDBI Ahmedabad BO	50,00,000.00	37,50,000.00	25,99,333.00	19,49,499.75	75.00%	-	-	-	-	-	-	-	-	-	-	-	-	Open	-
SIDBI Pune BO	3,75,00,000.00	2,81,25,000.00	-	-	75.00%	-	-	-	-	-	-	-	-	-	-	-	-	Closed	-
SIDBI Thane BO	1,00,00,000.00	75,00,000.00	1,00,00,000.00	75,00,000.00	75.00%	-	-	-	-	-	-	-	-	-	-	-	-	Open	-
SIDBI	1,00,00,000.00	75,00,000.00	1,00,00,000.00	75,00,000.00	75.00%	-	-	-	-	-	-	-	-	-	-	-	-	Open	-
SIDBI	1,00,00,000.00	75,00,000.00	1,00,00,000.00	75,00,000.00	75.00%	-	-	-	-	-	-	-	-	-	-	-	-	Open	-
Sub-Total	7,25,00,000.00	5,43,75,000.00	3,25,99,333.00	2,44,49,499.75		-	-	-	-	-	-	-	-	-	-	-	-		
B. GEF - PFI (Facility Limit INR 39,83,10,000)																			
Tata Cleantech Capital Limited	50,00,000.00	37,50,000.00	6,49,333.00	4,86,999.75	75.00%	-	-	-	-	-	-	-	-	-	-	-	-	Open	-
Yes Bank	1,42,50,000.00	1,06,87,500.00	90,75,672.00	68,06,754.00	75.00%	-	-	-	-	-	-	-	-	-	-	-	-	Open	-
Yes Bank	13,00,000.00	9,75,000.00	-	-	75.00%	-	-	-	-	-	-	-	-	-	-	-	-	Closed	-
Yes Bank	15,00,00,000.00	11,25,00,000.00	10,74,27,695.00	8,05,70,771.25	75.00%	-	-	-	-	-	-	-	-	-	-	-	-	Open	-
Yes Bank	25,00,000.00	18,75,000.00	18,79,000.00	14,09,250.00	75.00%	-	-	-	-	-	-	-	-	-	-	-	-	Open	-
Yes Bank	1,75,00,000.00	1,31,25,000.00	1,52,78,578.00	1,14,58,933.50	75.00%	-	-	-	-	-	-	-	-	-	-	-	-	Open	
Corporation Bank	6,00,00,000.00	4,50,00,000.00	5,09,00,001.00	3,81,75,000.75	75.00%	-	-	-	-	-	-	-	-	-	-	-	-	Open	
Yes Bank	2,25,00,000.00	1,68,75,000.00	2,09,77,450.00	1,57,33,087.50	75.00%	-	-	-	-	-	-	-	-	-	-	-	-	Open	
Yes Bank	7,50,00,000.00	5,62,50,000.00	7,50,00,000.00	5,62,50,000.00	75.00%	-	-	-	-	-	-	-	-	-	-	-	-	Open	
Sub-Total	34,80,50,000.00	26,10,37,500.00	28,11,87,729.00	21,08,90,796.75		-	-	-	-	-	-	-	-	-	-	-	-		
C. CTF (PFI) Sub-Guarantee (Facility Limit INR XXXXXX)																			
NIL	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	-
NIL	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	-
Sub-Total	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	

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PRSF - Quarterly IUFR for the period from January 01, 2019 to March 31, 2019

3. Detailed Statement on Guarantee Status as on March 31, 2019 (INR)

PRSF - Quarterly IUFR for the period from January 31, 2019 to March 31, 2019(contd)

3. Detailed Statement on Guarantee Status as on March 31, 2019 (INR)

(i) Loan Particulars by Fund Source, Borrower and PFI

(ii) Guarantee Details

(iii) Guarantee Claims, Recovery and Status

Sl no	Fund Source	PFI Name	Borrower Name	Loan Ref	Loan Amount	Loan Particulars and Purpose	Loan Period (Date)		Loan Outstanding (INR)	Guarantee Ref	Guarantee Coverage				Available Limit for Guarantee Issue (PRSF)	Guarantee Claim Paid		Guarantee Claim Payable		Recovery Proceedings (Date)		Recovery		Unrecovered Guarantee		Guarantee Status - Open/ Close	Remarks
							From	To	Principal		PRSF	%	Others	%		PRSF	Others	PRSF	Others	Start	Close	PRSF	Others	PRSF	Others		
		SIDBI (Ahmedabad)	Suvaq Electronics	AEA/NL	50,00,000	-	22-Jul-16	10-Jul-21	25,99,333	PRSF-SIDBI-002	19,48,500	75%	-	-	37,38,60,500	-	-	-	-	-	-	-	-	-	Open	-
		SIDBI (Pune)	Ventra Harvest Energy Private Limited	SIDBI/EEC/PRSF/PNBO/676	3,75,00,000	-	5-Aug-16	10-Aug-18	-	PRSF-SIDBI-001	-	75%	-	-		-	-	-	-	-	-	-	-	-	Closed	-
4	SEF (Limit INR 19,81,10,000)	IIDB Mumbai BO	Yasna Fuel services		1,00,00,000	-	06-Apr-17	06-Apr-21	1,00,00,000.00	SIDBI/EEC/PRSF/2018 Apr 26 /L00219583	75,00,000	75%	-	-		-	-	-	-	-	-	-	-	-	-	Open	-
		SIDBI	Rikhy Granite		1,00,00,000	-	14-Mar-19	14-Mar-24	1,00,00,000.00	SIDBI/EEC/PRSF/2018-19/L00214543	75,00,000	75%	-	-		-	-	-	-	-	-	-	-	-	-	Open	-
		SIDBI	Conquerent Combi System Pvt. Ltd.		1,00,00,000	-	19-Mar-19	19-Mar-24	1,00,00,000.00	SIDBI/PRSF/OCT19/March15/L00219970	75,00,000	75%	-	-		-	-	-	-	-	-	-	-	-	-	Open	-
		Sub-Total			3,25,00,000				3,25,99,333.00		2,44,48,500																
		Tata Cleantech Capital Limited	Smart Joules	TCCL/SMIL2016/19	50,00,000	-	2-Sep-16	5-Sep-19	6,49,333.00	SIDBI/EEC/PRSF/2017Nov29/L00208298	4,87,000	75%	-	-	18,74,33,207	-	-	-	-	-	-	-	-	-	Open	-
		Yes Bank	Smart Joules	YBL/DEL/BB/FL/2092/2016-17	1,42,50,000	-	27-Jan-17	30-Apr-22	90,75,671.00	SIDBI/EEC/PRSF/2017Mar21/ L00211896	68,06,754	75%	-	-		-	-	-	-	-	-	-	-	-	-	Closed	-
		Yes Bank	Allen Energy Private Limited	YBL/DEL/BB/FL/2255/2016-17	13,00,000	-	17-Mar-17	31-Oct-17	-	SIDBI/EEC/PRSF/2017Mar29/ L00234220	-	75%	-	-		-	-	-	-	-	-	-	-	-	-	Open	-
		Yes Bank	eSmart Energy Solutions Pvt Ltd	*YBL / MUM/ EBB/FL/1529/2016-17	15,00,00,000	-	16-Feb-17	16-Feb-20	10,74,27,895.00	SIDBI/EEC/PRSF/ 2018Apr12/L00237734	8,05,70,771	75%	-	-		-	-	-	-	-	-	-	-	-	-	Open	-
		Yes Bank	Energized Solutions India Private Limited	YBL/DEL/BB/FL/1171/2017-18	25,00,000	-	23-Jun-17	21-Jun-22	18,75,000	SIDBI/EEC/PRSF/2018Mar20/L00217616	14,06,250	75%	-	-		-	-	-	-	-	-	-	-	-	-	Open	-
5	SEF (Limit INR 19,81,10,000)	Yes Bank	Energized Solutions India Private Limited	*YBL/DEL/BB/FL/898/2017-18	1,75,00,000	-	23-Jun-17	21-Jun-22	1,31,78,576.00	SIDBI/EEC/PRSF/2018Mar21/L00196735	1,14,58,958	75%	-	-		-	-	-	-	-	-	-	-	-	-	Open	-
		Corporation Bank	eSmart Energy Solutions Pvt Ltd	SCB/MUM/CLCC/106/2017-18	6,00,00,000	-	21-Feb-18	21-Jun-22	5,09,00,001.00	SIDBI/EEC/PRSF/2018Feb/L00254045	3,81,75,001	75%	-	-		-	-	-	-	-	-	-	-	-	-	Open	-
		Yes Bank	Smart Joules	SIDBI/EEC/PRSF/2019Jul05/L00211362	2,75,00,000	-	26-Feb-18	21-Feb-22	1,09,77,450.00	SIDBI/EEC/PRSF/2019Jul05/L00211362	1,57,33,088	75%	-	-		-	-	-	-	-	-	-	-	-	-	Open	-
		Yes Bank	Efficient Illumination Pvt. Ltd.	YBL/MUM/NEE/FL/0389/2018-19	7,50,00,000	-	24-Oct-18	24-Oct-27	7,50,00,000.00	SIDBI/EEC/PRSF/2018-19/A00214583	5,62,50,000	75%	-	-		-	-	-	-	-	-	-	-	-	-	Open	-
		Sub-Total			34,80,50,000				28,12,87,729.00	*	21,66,90,797																
										*Calculated based on information submitted by the PFI, shall be reconciled in next quarter																	
		Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	
6	CTF (limit INR [illegible])	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	
		Sub-Total			Nil				Nil	Nil																	

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PRSF - Quarterly IUFR for the period till March 31, 2019

4. Sources and Application of Funds for TA Component For the period till March 31, 2019 (INR)

SIDBI

Particulars	Actual			Remarks
	During the 01 January 2019 to March 31, 2019	Year to date	Project to date	
Reimbursement received from the Bank	5,07,804.00	23,92,845.00	3,28,34,411.00	Reimbursement received on April 11, 2019
Reimbursement pending with World Bank		-	-	
Sources of funds				
Contribution from SIDBI	13,87,661.00	38,91,058.00	3,42,22,072.00	-
Total	**13,87,661.00**	**38,91,058.00**	**3,42,22,072.00**	
Application of funds				
Capacity, resource building and institutional strengthening	13,87,661.00	28,22,517.00	3,05,21,195.00	-
Technical assistance and capacity building for ESCOs and PFIs	-	10,68,541.00	37,00,877.00	-
Other market development elements	-	-	-	-
Total	**13,87,661.00**	**38,91,058.00**	**3,42,22,072.00**	

DCV (GC & SDI)

PRSF - Quarterly IUFR for the period till March 31, 2019

5. Claim for Disbursement by the Bank (INR)

Particulars	Claims under Guarantee Facility			Technical Assistance Claims		
	Current Claim	Total claimed including current claim	Claims disbursed by the Bank till date	Current Claim	Total claimed including current claim	Claims disbursed by the Bank till date
GEF disbursement - SIDBI	-	-	-			
GEF disbursement - PFI	-	-	-			
Guarantee payout - CTF	-	-	-			
TA reimbursement				13,87,661.00	3,42,22,072.00	3,28,34,411.00
Total	-	-	-	**13,87,661.00**	**3,42,22,072.00**	**3,28,34,411.00**

DCV (GC & SDI)

Partial Risk Sharing Facility for Energy Efficiency (PRSF) - Interest Calculation

Annexure-A

GEF Grant (USD)	Equivalent converted INR amount	Period of Interest		No. of Days
		From	To	
1,20,00,000	79,66,20,000	01-Jan-19	31-Mar-19	90

in INR

Sl. No.	Date	Opening Balance	Deposits/ Withdrawls	Closing Balance	Repo Rate	Margin	Effective Rate	Interest Earned (INR)
1	01-Jan-19	79,66,20,000.00	-	79,66,20,000.00	6.50%	2%	4.50%	98,213.42
2	02-Jan-19	79,66,20,000.00	-	79,66,20,000.00	6.50%	2%	4.50%	98,213.42
3	03-Jan-19	79,66,20,000.00	-	79,66,20,000.00	6.50%	2%	4.50%	98,213.42
4	04-Jan-19	79,66,20,000.00	-	79,66,20,000.00	6.50%	2%	4.50%	98,213.42
5	05-Jan-19	79,66,20,000.00	-	79,66,20,000.00	6.50%	2%	4.50%	98,213.42
6	06-Jan-19	79,66,20,000.00	-	79,66,20,000.00	6.50%	2%	4.50%	98,213.42
7	07-Jan-19	79,66,20,000.00	-	79,66,20,000.00	6.50%	2%	4.50%	98,213.42
8	08-Jan-19	79,66,20,000.00	-	79,66,20,000.00	6.50%	2%	4.50%	98,213.42
9	09-Jan-19	79,66,20,000.00	-	79,66,20,000.00	6.50%	2%	4.50%	98,213.42
10	10-Jan-19	79,66,20,000.00	-	79,66,20,000.00	6.50%	2%	4.50%	98,213.42
11	11-Jan-19	79,66,20,000.00	-	79,66,20,000.00	6.50%	2%	4.50%	98,213.42
12	12-Jan-19	79,66,20,000.00	-	79,66,20,000.00	6.50%	2%	4.50%	98,213.42
13	13-Jan-19	79,66,20,000.00	-	79,66,20,000.00	6.50%	2%	4.50%	98,213.42
14	14-Jan-19	79,66,20,000.00	-	79,66,20,000.00	6.50%	2%	4.50%	98,213.42
15	15-Jan-19	79,66,20,000.00	-	79,66,20,000.00	6.50%	2%	4.50%	98,213.42
16	16-Jan-19	79,66,20,000.00	-	79,66,20,000.00	6.50%	2%	4.50%	98,213.42
17	17-Jan-19	79,66,20,000.00	-	79,66,20,000.00	6.50%	2%	4.50%	98,213.42
18	18-Jan-19	79,66,20,000.00	-	79,66,20,000.00	6.50%	2%	4.50%	98,213.42
19	19-Jan-19	79,66,20,000.00	-	79,66,20,000.00	6.50%	2%	4.50%	98,213.42
20	20-Jan-19	79,66,20,000.00	-	79,66,20,000.00	6.50%	2%	4.50%	98,213.42
21	21-Jan-19	79,66,20,000.00	-	79,66,20,000.00	6.50%	2%	4.50%	98,213.42
22	22-Jan-19	79,66,20,000.00	-	79,66,20,000.00	6.50%	2%	4.50%	98,213.42
23	23-Jan-19	79,66,20,000.00	-	79,66,20,000.00	6.50%	2%	4.50%	98,213.42
24	24-Jan-19	79,66,20,000.00	-	79,66,20,000.00	6.50%	2%	4.50%	98,213.42
25	25-Jan-19	79,66,20,000.00	-	79,66,20,000.00	6.50%	2%	4.50%	98,213.42
26	26-Jan-19	79,66,20,000.00	-	79,66,20,000.00	6.50%	2%	4.50%	98,213.42
27	27-Jan-19	79,66,20,000.00	-	79,66,20,000.00	6.50%	2%	4.50%	98,213.42
28	28-Jan-19	79,66,20,000.00	-	79,66,20,000.00	6.50%	2%	4.50%	98,213.42
29	29-Jan-19	79,66,20,000.00	-	79,66,20,000.00	6.50%	2%	4.50%	98,213.42
30	30-Jan-19	79,66,20,000.00	-	79,66,20,000.00	6.50%	2%	4.50%	98,213.42
31	31-Jan-19	79,66,20,000.00	-	79,66,20,000.00	6.50%	2%	4.50%	98,213.42
32	01-Feb-19	79,66,20,000.00	-	79,66,20,000.00	6.50%	2%	4.50%	98,213.42
33	02-Feb-19	79,66,20,000.00	-	79,66,20,000.00	6.50%	2%	4.50%	98,213.42
34	03-Feb-19	79,66,20,000.00	-	79,66,20,000.00	6.50%	2%	4.50%	98,213.42
35	04-Feb-19	79,66,20,000.00	-	79,66,20,000.00	6.50%	2%	4.50%	98,213.42
36	05-Feb-19	79,66,20,000.00	-	79,66,20,000.00	6.50%	2%	4.50%	98,213.42
37	06-Feb-19	79,66,20,000.00	-	79,66,20,000.00	6.50%	2%	4.50%	98,213.42
38	07-Feb-19	79,66,20,000.00	-	79,66,20,000.00	6.25%	2%	4.25%	92,757.12
39	08-Feb-19	79,66,20,000.00	-	79,66,20,000.00	6.25%	2%	4.25%	92,757.12
40	09-Feb-19	79,66,20,000.00	-	79,66,20,000.00	6.25%	2%	4.25%	92,757.12
41	10-Feb-19	79,66,20,000.00	-	79,66,20,000.00	6.25%	2%	4.25%	92,757.12
42	11-Feb-19	79,66,20,000.00	-	79,66,20,000.00	6.25%	2%	4.25%	92,757.12
43	12-Feb-19	79,66,20,000.00	-	79,66,20,000.00	6.25%	2%	4.25%	92,757.12
44	13-Feb-19	79,66,20,000.00	-	79,66,20,000.00	6.25%	2%	4.25%	92,757.12
45	14-Feb-19	79,66,20,000.00	-	79,66,20,000.00	6.25%	2%	4.25%	92,757.12
46	15-Feb-19	79,66,20,000.00	-	79,66,20,000.00	6.25%	2%	4.25%	92,757.12
47	16-Feb-19	79,66,20,000.00	-	79,66,20,000.00	6.25%	2%	4.25%	92,757.12
48	17-Feb-19	79,66,20,000.00	-	79,66,20,000.00	6.25%	2%	4.25%	92,757.12
49	18-Feb-19	79,66,20,000.00	-	79,66,20,000.00	6.25%	2%	4.25%	92,757.12
50	19-Feb-19	79,66,20,000.00	-	79,66,20,000.00	6.25%	2%	4.25%	92,757.12
51	20-Feb-19	79,66,20,000.00	-	79,66,20,000.00	6.25%	2%	4.25%	92,757.12
52	21-Feb-19	79,66,20,000.00	-	79,66,20,000.00	6.25%	2%	4.25%	92,757.12
53	22-Feb-19	79,66,20,000.00	-	79,66,20,000.00	6.25%	2%	4.25%	92,757.12
54	23-Feb-19	79,66,20,000.00	-	79,66,20,000.00	6.25%	2%	4.25%	92,757.12
55	24-Feb-19	79,66,20,000.00	-	79,66,20,000.00	6.25%	2%	4.25%	92,757.12
56	25-Feb-19	79,66,20,000.00	-	79,66,20,000.00	6.25%	2%	4.25%	92,757.12
57	26-Feb-19	79,66,20,000.00	-	79,66,20,000.00	6.25%	2%	4.25%	92,757.12
58	27-Feb-19	79,66,20,000.00	-	79,66,20,000.00	6.25%	2%	4.25%	92,757.12
59	28-Feb-19	79,66,20,000.00	-	79,66,20,000.00	6.25%	2%	4.25%	92,757.12
60	01-Mar-19	79,66,20,000.00	-	79,66,20,000.00	6.25%	2%	4.25%	92,757.12
61	02-Mar-19	79,66,20,000.00	-	79,66,20,000.00	6.25%	2%	4.25%	92,757.12
62	03-Mar-19	79,66,20,000.00	-	79,66,20,000.00	6.25%	2%	4.25%	92,757.12
63	04-Mar-19	79,66,20,000.00	-	79,66,20,000.00	6.25%	2%	4.25%	92,757.12
64	05-Mar-19	79,66,20,000.00	-	79,66,20,000.00	6.25%	2%	4.25%	92,757.12
65	06-Mar-19	79,66,20,000.00	-	79,66,20,000.00	6.25%	2%	4.25%	92,757.12
66	07-Mar-19	79,66,20,000.00	-	79,66,20,000.00	6.25%	2%	4.25%	92,757.12
67	08-Mar-19	79,66,20,000.00	-	79,66,20,000.00	6.25%	2%	4.25%	92,757.12
68	09-Mar-19	79,66,20,000.00	-	79,66,20,000.00	6.25%	2%	4.25%	92,757.12
69	10-Mar-19	79,66,20,000.00	-	79,66,20,000.00	6.25%	2%	4.25%	92,757.12
70	11-Mar-19	79,66,20,000.00	-	79,66,20,000.00	6.25%	2%	4.25%	92,757.12
71	12-Mar-19	79,66,20,000.00	-	79,66,20,000.00	6.25%	2%	4.25%	92,757.12
72	13-Mar-19	79,66,20,000.00	-	79,66,20,000.00	6.25%	2%	4.25%	92,757.12
73	14-Mar-19	79,66,20,000.00	-	79,66,20,000.00	6.25%	2%	4.25%	92,757.12
74	15-Mar-19	79,66,20,000.00	-	79,66,20,000.00	6.25%	2%	4.25%	92,757.12
75	16-Mar-19	79,66,20,000.00	-	79,66,20,000.00	6.25%	2%	4.25%	92,757.12
76	17-Mar-19	79,66,20,000.00	-	79,66,20,000.00	6.25%	2%	4.25%	92,757.12
77	18-Mar-19	79,66,20,000.00	-	79,66,20,000.00	6.25%	2%	4.25%	92,757.12
78	19-Mar-19	79,66,20,000.00	-	79,66,20,000.00	6.25%	2%	4.25%	92,757.12
79	20-Mar-19	79,66,20,000.00	-	79,66,20,000.00	6.25%	2%	4.25%	92,757.12
80	21-Mar-19	79,66,20,000.00	-	79,66,20,000.00	6.25%	2%	4.25%	92,757.12
81	22-Mar-19	79,66,20,000.00	-	79,66,20,000.00	6.25%	2%	4.25%	92,757.12
82	23-Mar-19	79,66,20,000.00	-	79,66,20,000.00	6.25%	2%	4.25%	92,757.12
83	24-Mar-19	79,66,20,000.00	-	79,66,20,000.00	6.25%	2%	4.25%	92,757.12
84	25-Mar-19	79,66,20,000.00	-	79,66,20,000.00	6.25%	2%	4.25%	92,757.12
85	26-Mar-19	79,66,20,000.00	-	79,66,20,000.00	6.25%	2%	4.25%	92,757.12
86	27-Mar-19	79,66,20,000.00	-	79,66,20,000.00	6.25%	2%	4.25%	92,757.12
87	28-Mar-19	79,66,20,000.00	-	79,66,20,000.00	6.25%	2%	4.25%	92,757.12
88	29-Mar-19	79,66,20,000.00	-	79,66,20,000.00	6.25%	2%	4.25%	92,757.12
89	30-Mar-19	79,66,20,000.00	-	79,66,20,000.00	6.25%	2%	4.25%	92,757.12
90	31-Mar-19	79,66,20,000.00	-	79,66,20,000.00	6.25%	2%	4.25%	92,757.12
			Total					85,50,024.25

भारतीय लघु उद्योग विकास बैंक
DCV
(GC & SDI)
नई दिल्ली