

CGIAR/Health
169018

**CONSORTIUM PERFORMANCE AGREEMENT
UNDER THE CGIAR FUND (MTO 069018)
FOR CRP 4 - Agriculture for Improved Nutrition and Health**

This Consortium Performance Agreement (the "CPA") is entered into by and between:

- the Fund Council of the CGIAR Fund (the "Fund Council"), represented by the International Bank for Reconstruction and Development (the "World Bank"), and
- the International Plant Genetic Resources Institute (operating under the name of Bioversity International, hereafter referred to as "Bioversity"), on behalf of the Consortium of International Agricultural Research Centers (the "Consortium")

(the Consortium together with the Fund Council are collectively referred to as the "Parties").

WHEREAS the Parties are entering into this CPA for the CGIAR Research Program (the "CRP") entitled "Agriculture for Improved Nutrition and Health" to be financed in whole or in part by the CGIAR Fund (MTO No. 069018) (the "CGIAR Fund");

WHEREAS on October 28, 2010, the CGIAR Fund was established;

WHEREAS donors to the CGIAR Fund (each a "Fund Donor") and the World Bank as trustee (the "Trustee") have entered into Contribution Agreements and Contribution Arrangements for the purpose of contributing funds to the CGIAR Fund (the "Contribution Agreements and Arrangements" or the "Contribution Agreements or Arrangements," as the case may be);

WHEREAS on April 15, 2011 the Parties entered into a Joint Agreement setting out certain terms and conditions governing the submission and approval of CRP proposals, the implementation and use of funds in resulting CRPs, including, with respect to the Consortium and Centers, the treatment of System Costs (the "Joint Agreement");

WHEREAS, the World Bank is signing this CPA solely in its capacity as signatory for the Fund Council as the Fund Council does not have legal personality, and not in its capacity as Trustee, Fund Office or in any other role, except as otherwise provided herein;

WHEREAS, the Consortium is a contractual joint venture set up among the 15 International Agricultural Research Centers supported by the CGIAR under the Agreement establishing the Consortium of International Agricultural Research Centers signed on April 29, 2010 and governed by the rules of the Constitution annexed to that agreement;

WHEREAS, pending its formal establishment as an international organization and until it is ready to carry out its business in its own name (the "Interim Period"), the Consortium shall draw on the legal personality of Bioversity, a Center which has its international headquarters in Maccaresse, Italy and an office in Montpellier, France, and which is authorized to enter into agreements and contract obligations on behalf of the Consortium under a Memorandum of Understanding between Bioversity and the Consortium Board on behalf of the Consortium dated February 23, 2011 (the "Bioversity MOU"); and

WHEREAS a proposal dated May 2011, as revised October 6, 2011, which was submitted by the Consortium to the Fund Council and attached hereto as Exhibit 1 (the "CRP proposal"), was approved by the Fund Council on July 8, 2011 and approved in revised form as of December 19, 2011, as

recorded in agreed minutes of the Fund Council attached hereto as Exhibit 2 (the “Fund Council Approval”);

NOW THEREFORE, the Parties hereto agree as follows:

1. Based on the Fund Council Approval and as set forth in the CRP proposal, the amount of funds from Window 1 and Window 2 of the CGIAR Fund that may be transferred to the Lead Center as part of this CRP is US\$93.631 million (or the equivalent thereof) (the Fund Council-Allocated Component); the Total Budget for this CRP is US\$191.400 million (or the equivalent thereof). The Consortium agrees, and shall require the Lead Center for each CRP and, through such Lead Center, any other Centers or Partners participating in such CRP to agree, that this amount and any income earned thereon (“Investment Income”) will be used only for the purposes described in the CRP proposal and will be governed by the terms and conditions of the Joint Agreement, which is incorporated by reference herein, and this CPA.

2. Following the Effective Date (as defined below), the Trustee shall disburse the Window 1 and Window 2 Funds (as defined in the Joint Agreement) to the Lead Center as follows in accordance with a proper Payment Request received by the Trustee from the Consortium prior to each such disbursement, at all times subject to the availability of funds:

	Year 1	Year 2	Year 3	(in \$ million) TOTAL
Window 1 and Window 2	17.176	32.849	43.606	93.631
Window 3 and Bilateral	41.624	30.560	25.585	97.769
Total Budget	58.800	63.409	69.191	191.400

For clarity, the Fund Council understood, and the Consortium concurred, that the amounts provided for (i) the Fund Council-Allocated Component corresponded to the “CGIAR Fund” line items and (ii) the Window 3 and Bilateral Component corresponded to the sums of the “Current Restricted Donor Projects” and “Other Income” line items, all in Table B2, “Breakdown of costs on an overall program basis,” in the CRP proposal.

It is understood that the Trustee will transfer Window 3 Funds to Centers so designated by Fund Donors in Contribution Agreements and Arrangements pursuant to a W3 Transfer Agreement entered into by the Trustee and each such Center, and that a portion of such Window 3 Funds may constitute a portion of the Window 3 and Bilateral Component, as set out in the attached CRP proposal.

3. Following disbursement by the Trustee, (i) the Consortium shall have full fiduciary responsibility to the Fund Donors and the Fund Council for use of Window 1 and 2 Funds disbursed and the implementation of the CRP in accordance with the terms of the Contribution Agreements or Arrangements, the governing Fund Use Agreements to which the Consortium is a party, including the Joint Agreement, this Consortium Performance Arrangement and any relevant W3 Transfer Agreements, and (ii) the World Bank in any capacity will have no responsibility to the Fund Donors, the Fund Council or otherwise for the Consortium’s handling of the funds from the CGIAR Fund or the activities carried out with such funds. The Consortium shall have no responsibility to supervise or monitor use of Window 3 Funds, and Fund Donors shall have no specific rights of recourse with respect to Window 3 Funds under this Consortium Performance Agreement, except that in the event a Center implementing the CRP fails to use Window 3 Funds for implementation of the SRF or in

accordance with the Common Operational Framework, (i) the Consortium may take whatever corrective actions it considers appropriate and within its purview; and (ii) the Trustee, in its discretion or as instructed by Fund Council decisions, may withhold further disbursements from the CGIAR Fund to that Center. In the event questions of interpretation regarding the use of Window 3 Funds arise, (i) decisions about what constitutes activities for implementing the SRF shall be made by the Consortium in consultation with the Fund Council, and (ii) decisions about compliance with the Common Operational Framework shall be made jointly by the Consortium and the Fund Council. Nothing in this CPA shall preclude Fund Donors from entering into side agreements with individual Centers for use of Window 3 Funds financed by their Contributions.

4. The Consortium agrees to exercise its fiduciary duties over the use of Window 1 and Window 2 Funds.

5. The offices responsible for the Consortium, Fund Council, Fund Office and Trustee for coordination of all relevant matters related to the implementation of this CPA, including providing or being provided any notice, taking any action and executing any documents required or permitted pursuant to this CPA, are, except as may be notified in writing to the other Contact:

For the Consortium (the "Consortium Contact"):

Chief Executive Officer, Consortium
c/o Agropolis International
Avenue Agropolis
F-34394 Montpellier Cedex 5
France
Tel: +33 4 67 04 7575
Email: consortium@cgiar.org

For the Trustee (the "Trustee Contact"):

Director
Multilateral Trusteeship and Innovative Financing
The World Bank
1818 H Street, NW
Washington, DC 20433, U.S.A.
Tel: + 1 202 458 0019
Fax: + 1 202 614 0249

For the Fund Council (the "Fund Council Contact")

Chair of the CGIAR Fund Council
Vice President, Sustainable Development Network
The World Bank
1818 H Street, NW
Washington, DC 20433, U.S.A.
Tel: + 1 202 458 7405
Fax: + 1 202 522 7122

For the Fund Office (the "Fund Office Contact"):

Executive Secretary, CGIAR Fund Council and
Head, CGIAR Fund Office
The World Bank
1818 H Street, NW
Washington, DC 20433, USA
Tel: +1 202 473 8918
Fax: +1 202 473 8110

6. The offices responsible for each of the Fund Donors for coordination of any relevant matters related to implementation of this CPA, including providing or being provided any notice, taking any action or executing any documents required and permitted pursuant to this CPA, are as specified as the "Donor Contact" in the respective Contribution Agreements or Arrangements with the Trustee. The Fund Office agrees to notify (i) each of the then-current Fund Donors in the event the Consortium Contact changes, and (ii) the Consortium Contact in the event any Donor Contact changes or is added to the CGIAR Fund.

7. The Fund Council and the Consortium will be responsible only for performing their respective functions specifically set forth in the Joint Agreement and this CPA and will not be subject to any other duties or responsibilities, including, without limitation, any duties or obligations that might otherwise apply to a fiduciary or trustee under general principles of trust or fiduciary law. Nothing in this Consortium Performance Agreement will be considered a waiver of any privileges or immunities of the Fund Council, the Fund Donors, the World Bank, the Consortium or Bioversity under their respective Articles of Agreement or equivalent documents and any applicable law, all of which are expressly reserved.

8. The Consortium consents to the disclosure of this CPA and related information in accordance with the World Bank's policy on disclosure of information. This provision will continue in full force and effect following completion of all CGIAR Fund disbursements and termination of the Contribution Agreement or Arrangement.

9. This Consortium Performance Agreement may be terminated by either the Fund Council or the Consortium upon 180 days prior written notice. Additionally, in the event the Trustee exercises its right of suspension and/or termination under the Contribution Agreements and Arrangements, the Trustee shall consult with the Fund Council and the Consortium to determine the subsequent measures to be taken with respect to the CGIAR Fund (as provided in the Contribution Agreements and Arrangements), and the Consortium shall accordingly agree to suspend activities under or terminate, as the case may be, this CPA. In the event of any such termination, unless the Fund Council and the Consortium agree on another course of action, (i) any agreement entered into prior to the termination between the Consortium and any consultants and/or other third parties will remain in effect and be unaffected by the termination, and (ii) the Consortium will be entitled to continue to request disbursement of amounts from the Fund Council-Allocated Component in respect of such agreements to the extent contemplated in the Fund Council-Allocated Component and necessary to fulfill the Consortium's obligations thereunder as if this CPA had not been terminated. The Consortium shall cause all other amounts from the Fund Council-Allocated Component remaining with the Lead Center or other Centers or Partners participating in the CRP after such termination, along with Investment Income thereon, to be returned to the Trustee for deposit into the CGIAR Fund (or returned to the Fund Donors *pro rata* to their respective contributions to the CGIAR Fund if the CGIAR Fund has been closed).

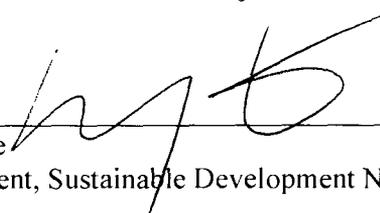
10. Except with respect to clauses that by their nature are meant to survive, this CPA shall terminate upon the completion of the CRP.

11. This CPA may be amended only by written statement between the Fund Council and the Consortium. In the event of conflict between this CPA and the Joint Agreement, the Joint Agreement shall prevail.

12. This Consortium Performance Agreement will come into operation on the date of the last duly authorized signature provided below (the "Effective Date").

13. Once the Consortium has been established with its own legal personality as an international organization and is ready to conduct operations in its own name, the Consortium may request approval from the Fund Council to transfer the rights and obligations contracted by Bioversity on behalf of the Consortium under this CPA to such international organization. If approved by the Fund Council, then such rights and obligations shall transfer to such international organization as of the date of such approval or as otherwise specified by the Fund Council, and the Interim Period shall end on that date. Until such date, Bioversity shall have the rights and obligations contracted on behalf of the Consortium under this CPA, and shall be responsible for any liabilities incurred on behalf of the Consortium under this CPA, notwithstanding any termination of the Bioversity MOU or any termination under Section 9 above. As provided in the Bioversity MOU, any such liability of the Consortium or (during the Interim Period) Bioversity shall be paid from the Funds of the Consortium, as such term is defined therein, subject to the consent of the Fund Council, and shared jointly and severally among all Centers concerned for any liabilities that are greater than the assets held by or on behalf of the Consortium.

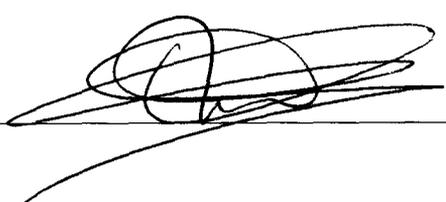
THE FUND COUNCIL,
By the International Bank for Reconstruction and Development,



Rachel Kyte
Vice President, Sustainable Development Network

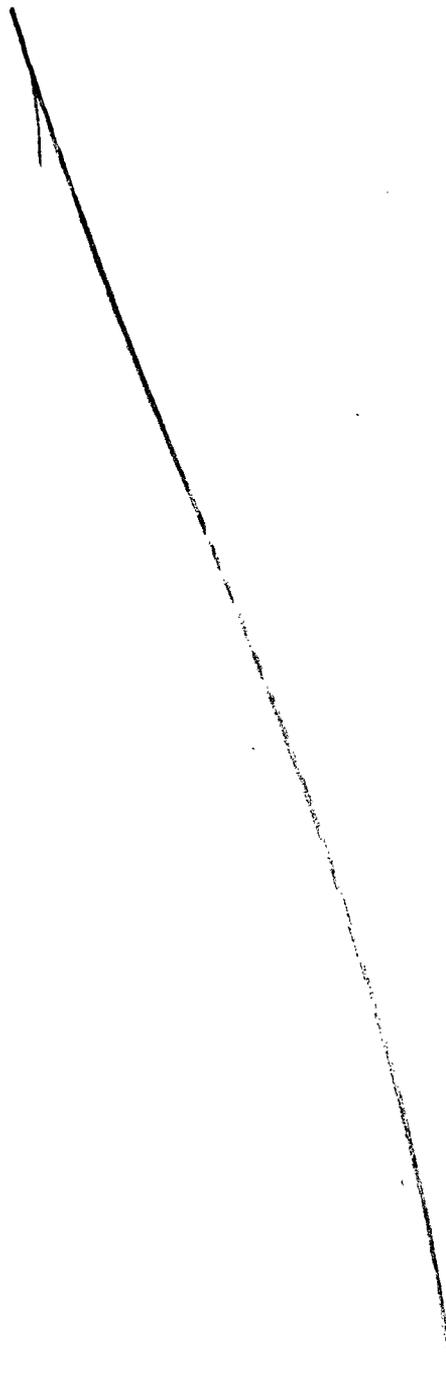
Date _____

THE INTERNATIONAL PLANT GENETIC RESOURCES INSTITUTE,
on behalf of the Consortium

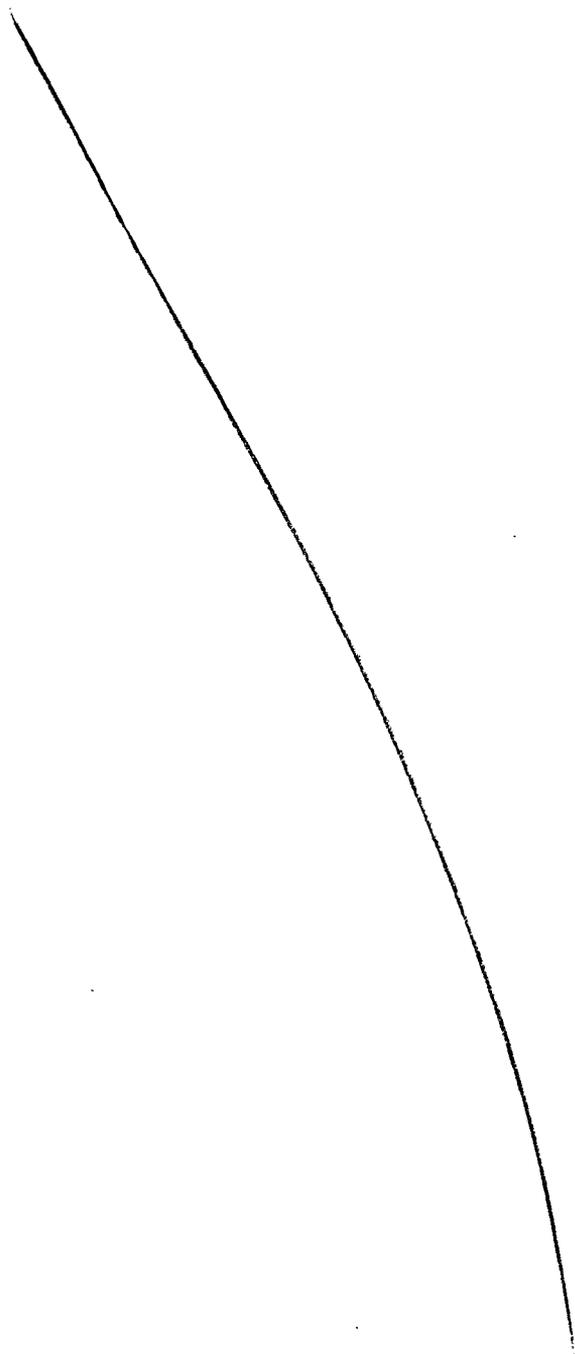


Emile Frison
Director General

Date 13-02-2012



**APPROVED CRP 4 PROPOSAL
AGRICULTURE FOR IMPROVED NUTRITION AND HEALTH**



MINUTES OF FUND COUNCIL MEETING ON JULY 8, 2011 (excerpt):

CGIAR Fund Council
July 6-8, 2011
Washington, DC

Agenda Item 14. Decision Session for CRP Proposals

The Chair reminded the FC of the four categories of decisions that were adopted at FC4 in the Council's decision making process. They were as follows:

CATEGORY I: Approval

- Light adjustments which the Fund Council expects proponents to take into consideration

CATEGORY II: Approval with conditions

- 'Must haves'
- ISPC review of revised CRP proposal with 'Must haves' addressed
- Virtual 'no-objection' approval of the proposal by the Fund Council
- If there is objection, decision will be taken at a face to face Fund Council Meeting

CATEGORY III: Request re-submission of the CRP proposal

- Substantial 'Must haves'
- ISPC review of revised CRP proposal with 'Must haves' addressed
- Decision taken at a face to face Fund Council Meeting

CATEGORY IV: Rejection

Discussion:

- The FC Chair clarified that decision-making on the CRP proposals is a two-stage process, i.e. that the decisions to be made in this session would be based primarily on the programmatic/science content and quality of the design of the CRPs; the funding profile discussion would be the second stage of the process and would take place following this session.
- In response to the question about modifications that need to be made in proposals receiving Category II approval or Category III decision, the FC Chair clarified that they would be drawn from the written comments previously submitted by ISPC and FC members, and from those that were raised during the meeting.
- On the suggestion that some proposals may be asked to come back to the Fund Council after one year of implementation because of the nature of the requested revisions, it was pointed out that the annual reports would be a better mechanism to monitor the changes.

A table showing a decision scenario prepared by the Fund Office based on initial feedback from FC members on the proposals was used as a starting point for discussion. Individual FC members indicated their views on it, and consensus was reached on a few suggested changes.

Decisions:

The Fund Council agreed on the following decisions on the 7 CRP proposals:

- **CRP3.1 – WHEAT – Global Alliance for Improving Food Security and the Livelihoods of the Resource-Poor in the Developing World: The overall proposal (program content, total budget and its components) was Approved with Conditions (Category II)**
 - *Must Haves: Please see Annex 1*

- **CRP1.3 – Harnessing the Developmet Potential of Aquatic Agricultural Systems for the Poor and Vulnerable: The overall proposal (program content, total budget and its components) was Approved with light adjustments (Category I)**
- **CRP5 – Water, Land and Ecosystems: The decision was Request re-submission of the CRP proposal (Category III)with substantial revisions.**
 - **Must Haves: Please see Annex 2**
- **CRP3.7 – More Meat, Milk and Fish by and for the Poor: The overall proposal (program content, total budget and its components) was Approved with light adjustments (Category I)**
- **CRP4 - Agriculture for Improved Nutrition and Health: The overall proposal (program content, total budget and its components) was Approved with Conditions (Category II)**
 - **Must Haves: Please see Annex 3**
- **CRP3.4 - Roots, Tubers and Bananas for Food Security and Income: The overall proposal (program content, total budget and its components) was Approved with Conditions (Category II)**
 - **Must Haves: Please see Annex 4**
- **CRP2 - Policies, Institutions and Markets to Strengthen Food Security and Incomes for the Rural Poor: The overall proposal (program content, total budget and its components) was Approved with Conditions (Category II)¹**
 - **Must Haves: Please see Annex 5**

Summary of Budgets: CRP No	CRP Title	Lead Center	Total Budget (\$M)	Funds from W1&W2 (\$ M)	No of Years
Category I – Approval with light adjustments					
CRP1.3	Aquatic Agricultural Systems	WorldFish	59.420	32.273	3
CRP3.7	More Meat, Milk & Fish for and by the Poor	ILRI	119.708	35.183	3
Category II - Approval with conditions					
CRP3.1	WHEAT – Global Alliance for Food Security and Livelihoods	CIMMYT	260.508	40.967	3
CRP4	Agriculture for Improved Nutrition and Health	IFPRI	191.400	93.631	3
CRP3.4	Roots, Tubers & Bananas for Food Security and Income	CIP	207.300	135.600	3
CRP2	Policies, Institutions and Markets	IFPRI	265.595	139.889	3
CRP5	Water, Land and Ecosystems	IWMI	569.984	334.933	5

¹ The European Commission expressed reservation on the decision on CRP2.

[...]

Annex 3

CRP4 Proposal: Agriculture for Improved Nutrition and Health

A. Fund Council Decision: CATEGORY II – Approval with conditions; revised proposal to be submitted to FC

CATEGORY II: Approval with conditions

- ‘Must haves’
- ISPC review of revised CRP proposal with ‘Must haves’ addressed
- Virtual ‘no-objection’ approval of the revised proposal by the Fund Council
- If there is objection, decision taken at a face to face Fund Council Meeting

B. ‘Must haves’

From ISPC²:

1. The articulation of the strategy in the revised proposal should give evidence that the problems identified are recognized by the authorities in countries with major under-nutrition problems and a clearer specification of objectives related to the target groups affected by agricultural intensification should be provided.
2. Many of the research activities are described at a high/generic level and some are already underway or have been completed by partners. The revised proposal should identify the research activities with time-bound milestones and partner roles.
3. On the basis of more detailed activities and specified outputs the revised proposal should include a strategy for how these will be utilized to maximize the IPG benefits and the impacts on/for the specific communities identified.
4. In some parts (components 1 and 3 in particular) the proposals are aspirational rather than realistic and this should be addressed through further prioritization during the process of strategy development.
5. The proposal should make clearer use of nutrition and health–related terminology and articulate the researchable hypotheses underlying the major areas of research to be included as well as providing a mechanism or evidence for the quality of science underpinning each approach.
6. Further detail on linkages to other CRPs should be described, in particular making clear which work will be funded from which CRP.
7. The ISPC encourages a strategic approach to program evolution and the avoidance of opportunistic inclusion of some activities simply because they may draw initial funding.

From FC Members:

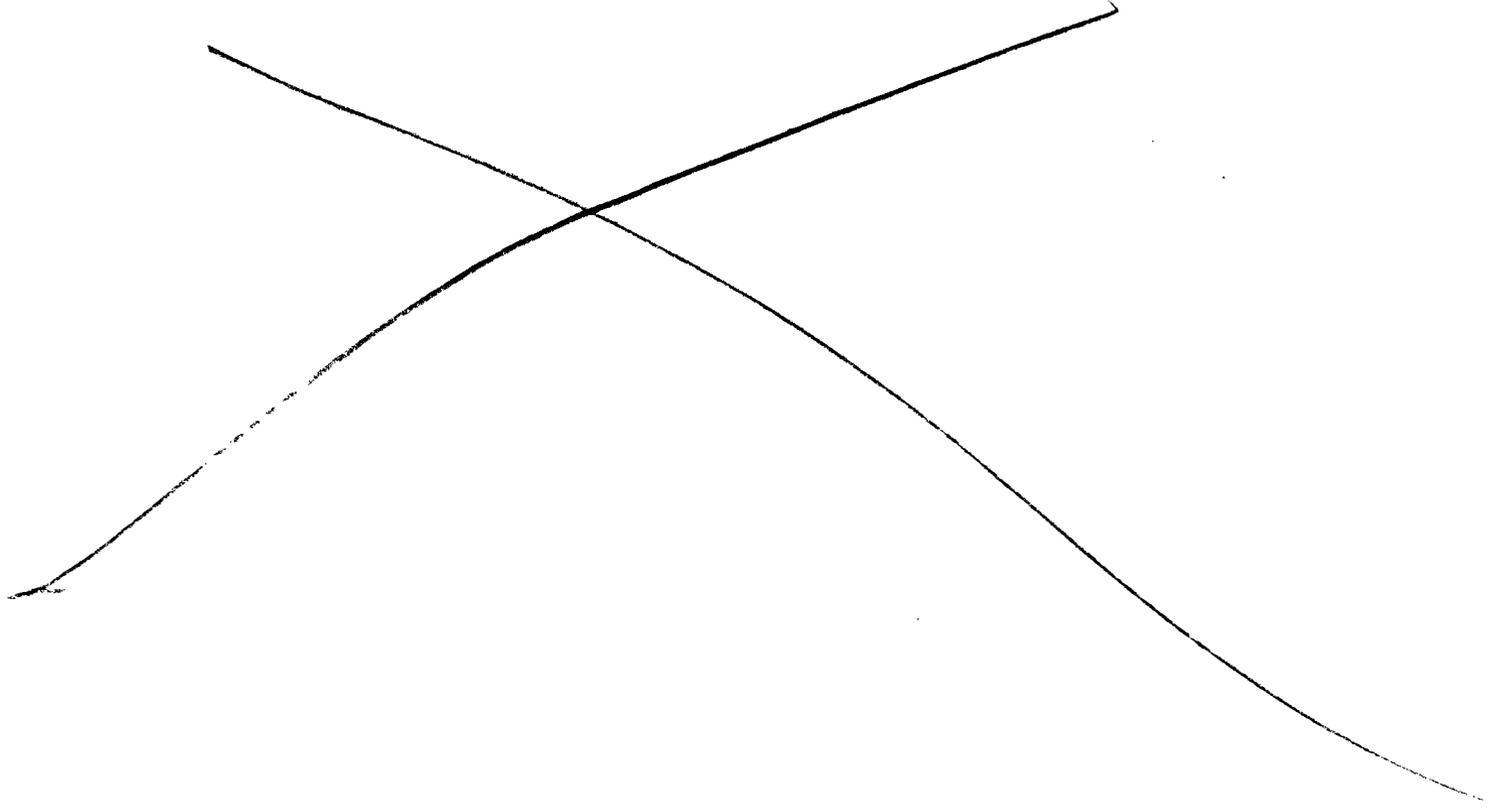
² More detailed commentary is posted on the FC5 website as:
http://www.cgiarfund.org/cgiarfund/sites/cgiarfund.org/files/Documents/PDF/CRP4_ispc_commentary_june20_2001.pdf [and attached as Exhibit 3 to this CPA].

8. Address the question why the four components proposed are the top research for development priorities and how these four pieces fit together (or are linked with each other) to improve nutrition and health.
9. Consider the following issues which were underlined in the Addis Abbaba workshop of CRP4: sustainable diets, and sustainable agriculture (including sustainable agriculture intensification), and the role of food and nutrition as ecosystems services; and the necessity to analyze the nutrient content of the existing biodiversity of species before engaging biofortification work.
10. More attention should be given to priority assessment in component 1 in the proposal.
11. The issue of assessing potential trade-offs among breeding objectives like nutrient contents, yield, yield stability etc. should be included as a priority research question in the biofortification component, where the CGIAR has a comparative advantage and there are obvious IPG attributes associated with the findings.
12. Clarify the comparative advantage of the CGIAR in Component 4.
13. In a balanced treatment of nutrition, appropriate attention needs to be given to dietary diversity and therefore to the development of vegetable and fruit crops, e.g. the role of home gardens for smallholder food security and balanced nutrition, could be given more attention in the CRP.
14. Other components clearly require further development, particularly in terms of identifying target groups and establishing indicators of success. The section on value chain needs to recognize the relative benefits of commercialization and home consumption. "Boundary" issues between CRP4 and other CRPs, for instance on zoonoses on IPM / pesticide residues, also require further attention.
15. Recognize the role and potential of fish and fisheries in the CRP.
16. The policy component of the CRP needs to consider the role and impact of economic growth on nutrition and health.
17. Clarity on the issue of whether or not the products of the Harvest Plus component involve transgenic technology should be made in the proposal.
18. Address overlaps in the work done in biofortification between CRP 4 and CRP 3.4 which need clarification.
19. Strengthen the discussion of partnerships throughout the proposal.
20. Strengthen the section on M & E; it is lacking in originality or specifics..
21. The risk assessment section needs also to be improved; it should provide assessment of risks due to proposed governance model. Sophisticated assessment of what might derail this CRP should be provided.
22. Define a clear strategy on forming the partnerships. The CRP needs to clearly identify a group (or groups) of partners, including the private sector, who are committed to work with the listed CGIAR centers on this CRP.

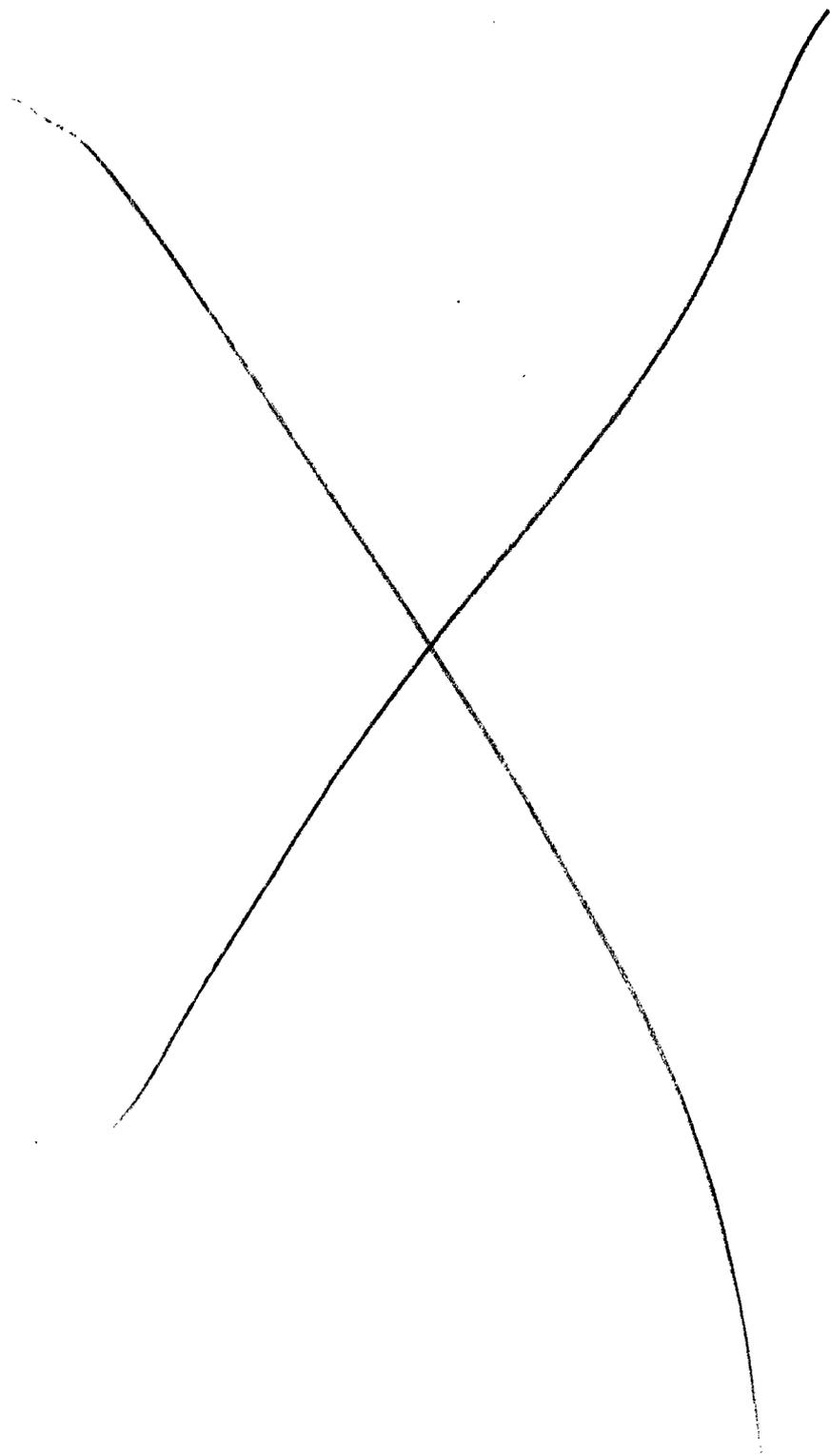
23. Identify quantifiable and critical outcome and impact indicators and targets, and establish a set of agriculture-nutrition indicators that link to nutrition-health indicators.

24. Clarify how the pre-existing funding streams for Harvest Plus are accounted for in the final budget, and what funds are available for the other research activities over the full term of the proposal.

25. From a research continuum and innovation perspective, a more balance investment is desired to advance the agriculture nutrition and health research for development.



CIRCULATION OF REVISED CRP PROPOSAL FOR FUND COUNCIL APPROVAL
(email to Fund Council dated November 30, 2011):



To:
Cc:
Bcc:
Subject: Fw: Revised FC Meeting (FC6) Summary; CRP Matters: CRP2 and CRP4 Proposals; ToR and Search and Selection Process for Head of IEA (Ref: 52-1130-2011)
82655 CGIAR *** DRAFT ***

From: CGIAR Fund/Service/World Bank
To: fundcouncil@lists.cgiarfund.org
Cc: c.perezdelcastillo@cgiar.org, Pamela R. Crivelli/Person/World Bank@WorldBank, kcassman1@unl.edu, jlottman2@unl.edu, R.Malan@cgiar.org
Date: 11/30/2011 04:55 PM
Subject: Revised FC Meeting (FC6) Summary; CRP Matters: CRP2 and CRP4 Proposals; ToR and Search and Selection Process for Head of IEA (Ref: 52-1130-2011)
202-473-8951 CGIAR

Dear Fund Council Members,

(Note: In accordance with Section 15 of the CGIAR Fund Council's Rules of Procedure regarding decisions without a meeting, this message is copied to all Fund Donors for their information.

ONLY Fund Council Members are requested to respond to the Decision Items)

The following items are for your information and action:

1. Sixth Fund Council Meeting (FC6) Summary: Thank you for your comments and suggestions on the draft FC6 summary. These have been incorporated into the revised version (please see attached).

Action Requested:

-- Approval of the meeting summary is requested on a no-objection basis. **Please send your objections, if any, to the Fund Office at <cgiafund@cgiar.org> by December 8, 2011.**

2. CRP Matters: ISPC Commentaries on the Revised CRP2 and CRP4 Proposals. In a message dated Oct 7, 2011 (Ref. No. 42-1007-2011), we informed you of the Consortium's submission of the revised CRP2 proposal "Policies, Institutions and Markets," and CRP4 proposal "Agriculture for Improved Nutrition and Health," and that they had been posted on the research portfolio section of the CGIAR Fund web site: http://www.cgiarfund.org/cgiarfund/research_portfolio. We would like to inform you that the ISPC commentaries on the two proposals are now available. Please find them attached.

Action Requested:

-- In accordance with the process agreed at the April 2011 meeting of the Fund Council (FC4), the revised CRP2 and CRP4 proposals are now presented for Fund Council's virtual approval on a 'no objection' basis. **Please send your objections, if any, to the Fund Office at**

cgiafund@cgiar.org by Dec 15, 2011.

3. Terms of Reference; Search and Selection Process for Head of IEA. Attached is a draft terms of reference (ToR) for the Head of the Independent Evaluation Arrangement (IEA). It was formulated based on recommendations from the IEA design team.

Also attached is a draft search and selection process for the Head of IEA. It was developed in consultation with a working team at FAO which is the host institution of the IEA office.

Action Requested:

-- The draft ToR and the search and selection process for the Head of IEA are presented for Fund Council's virtual approval on a 'no objection' basis. **Please send your objections, if any, to the Fund Office at cgiafund@cgiar.org by Dec 15, 2011.**

-- As indicated in the draft search and selection process for the Head of IEA, a Search and Selection Committee will be created. The Fund Council is requested to send nominations for the following:

- a) **1 FC member** to serve as Co-chair, and **2 FC members** to serve as committee members
- b) **1 evaluation expert**, preferably from among senior management of the evaluation offices in the international system, to serve as committee member

Please send your nominations to the Fund Office at cgiafund@cgiar.org by Dec 15, 2011.

We have been advised by FAO that Ann Tutwiler (Deputy Director General (Knowledge)) and Robert Moore (Director of Evaluation Office) will serve on the committee as Co-chair and member, respectively. Nomination of a Consortium representative to serve on the committee will be requested from the Consortium Board Chair.

FC6 Summary (Rev Draft-Nov 29, 2011).docx

PDF

PDF

ISPC Commentary on the revised proposal CRP2 (Nov 28, 2011).pdf ISPC Commentary on the revised CRP4 ANH (Nov 28, 2011).pdf

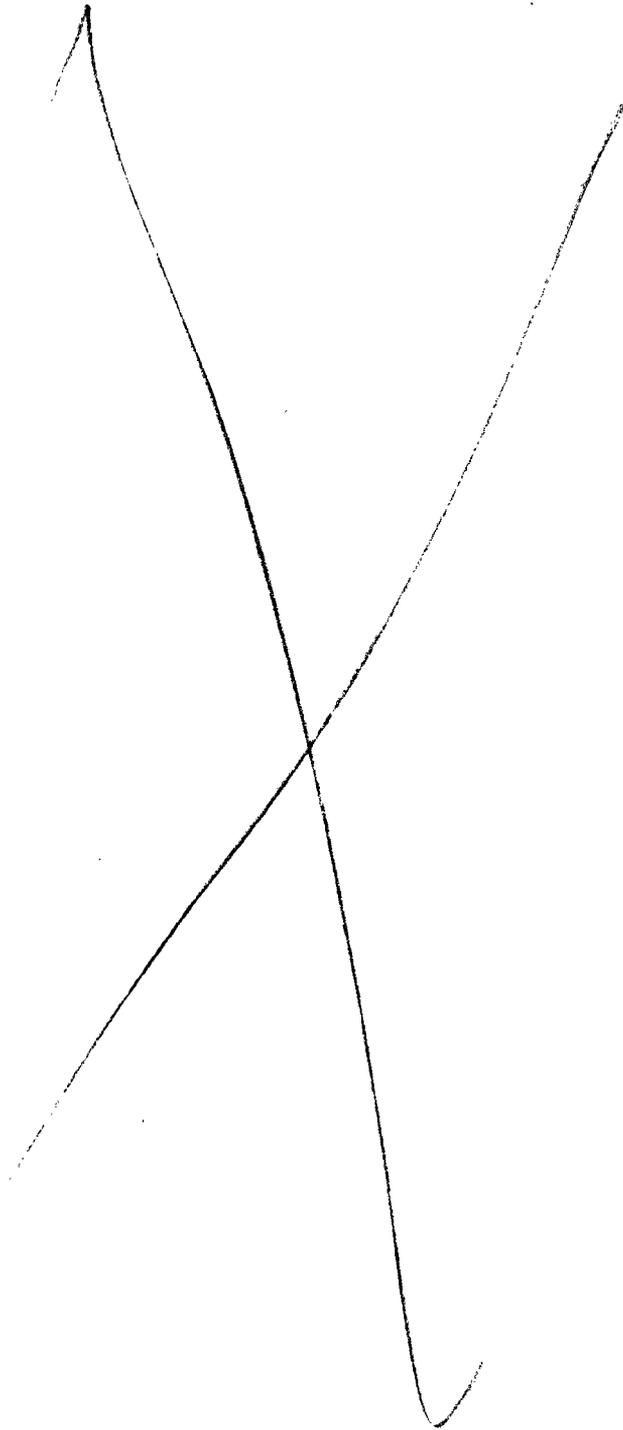
ToR for Head of IEA (Draft-Nov 29, 2011).docx Search and Selection Process for the Head of IEA (Draft - Nov 29, 2011).docx

Best wishes,

Jonathan Wadsworth
Executive Secretary
CGIAR Fund Council

CGIAR Fund Office
1818 H Street, NW, MSN G6-601
Washington, DC 20433 USA
1.202.473.8951 / www.cgiafund.org

APPROVAL OF REVISED CRP PROPOSAL AS OF DECEMBER 19, 2011
(email of Fund Council virtual approval):



To:
Cc:
Bcc:
Subject: Fw: CRP Matters: Decision on CRP2 and CRP4 Proposals; Establishment of IEA; Items for Information (Ref: 55-1219-2011)
82655 CGIAR *** DRAFT ***

From: CGIAR Fund/Service/World Bank
To: fundcouncil@lists.cgiarfund.org
Cc: c.perezdelcastillo@cgiar.org, Pamela R. Crivelli/Person/World Bank@WorldBank, kcassman1@unl.edu, jlottman2@unl.edu, R.Malan@cgiar.org
Date: 12/19/2011 05:25 PM
Subject: CRP Matters: Decision on CRP2 and CRP4 Proposals; Establishment of IEA; Items for Information (Ref: 55-1219-2011)
202-473-8951 CGIAR

Dear Fund Council Members,

1. CRP Matters: Decision on Revised CRP2 and CRP4 Proposals

In a message dated Nov 30, 2011 (Ref. No. 52-1130-2011), we presented the revised CRP2 (Policies, Institutions and Markets) and CRP4 (Agriculture for Improved Nutrition and Health) proposals submitted by the Consortium for Fund Council's virtual approval on a 'no objection' basis. We would like to inform you that no objection was received from any Fund Council member during the comment period. **The revised CRP2 and CRP4 proposals are therefore considered approved.** However, as indicated in the comments received, further revisions or adjustments are expected to be addressed by the proponents during the implementation phase of the two CRPs taking into account the ISPC commentaries.

2. Establishment of IEA

a) Terms of Reference (ToR) for the Head of IEA: No objection was received on the ToR for the Head of IEA. The approved version is attached.

b) Search and Selection Process for the Head of IEA: A number of comments and suggestions were received on the search and selection process for the Head of IEA. They have been shared with FAO for its consideration.

On the proposed Search and Selection Committee for Head of IEA, the Fund Office received a number of nominations for co-chair and members of the committee. The Fund Office will ascertain their willingness and availability to serve on the committee.

3. Items for Information

a. Change in Schedule of the Seventh Fund Council Meeting (FC7): We would like to inform you that the schedule of the 7th Fund Council Meeting has been changed to March 7-8, 2012 because of some logistical issues. As previously announced the venue is the Bill and Melinda Gates Foundation headquarters in Seattle, Washington. The special lunch seminar with BMGF Agricultural Development team to which FC members are also invited to participate will be held on March 6, 2012.

b. Letter from Consortium Board Chair on AWARD Program: At the Consortium Board Chair's request, I am sharing with you his letter regarding the African Women in Agricultural Research and Development (AWARD) program. Please see attached.

Best wishes,

Jonathan Wadsworth
Executive Secretary
CGIAR Fund Council



ToR for Head of IEA (Dec 19, 2011).pdf

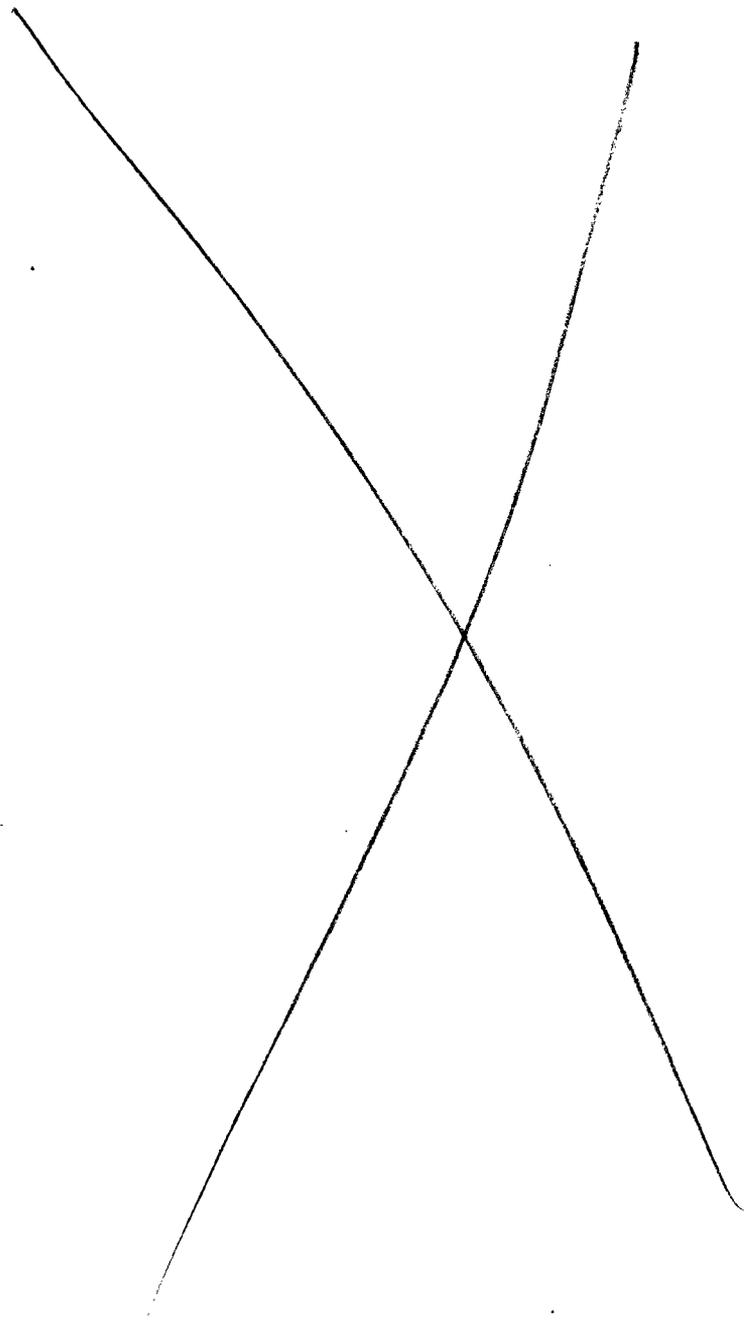


Letter GD-AWARD Consortium to FC.pdf

CGIAR Fund Office
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EXHIBIT 3

**ISPC COMMENTARY ON THE REVISED PROPOSAL FOR CRP4:
POLICIES, INSTITUTIONS AND MARKETS TO STRENGTHEN FOOD SECURITY AND
INCOMES FOR THE RURAL POOR**



20 June 2011

ISPC Commentary on the proposal CRP 4: Agriculture for Improved Nutrition & Health

Summary

The research program proposal, CRP4, as the title implies, addresses the strategic system level objective in the CGIAR Strategic Research Framework; “to improve nutrition and health”. As the only CRP to address health and nutrition explicitly, it should add considerable value to the CRP portfolio, given mounting evidence that food supply and access to food are not enough to achieve favorable nutritional outcomes. Selection of the four main research themes (Value chains for enhanced nutrition; Biofortification; Prevention and control of agriculture-associated diseases (AAD); and, Integrated Animal, Health and Nutrition (AHN) programs and policies) provides a generally logical approach to the subject matter for the CGIAR. It incorporates and builds on the Harvest Plus and Agrosalud programs and other existing activities¹. Innovative features of the proposed research programs include searching for solutions to poor health and nutrition beyond just producing more food, through value chain approaches and by targeting specific demand side outcomes through influencing poor consumers’ behavior.

The proposal is generally outcome-focused and the desired impacts are relevant, although few provide quantified information or a time frame for their achievement. Gender issues are given appropriate attention, supported by a strong justification of their importance. Simplified CRP impact pathways are described (Figure 2) with three clear entry points; value chains, development program implementation and policy. The IPG elements, however, are not well developed, and some of the work appears very local in nature. But much of the research could have strong IPG elements if efforts are made to do so. Some of the components provide a 10 year perspective but the proposal notes (p119; Table 19, p120) that a three year period is required to turn the current aggregation of subjects into a prioritized workplan. This is needed to be confident of the program’s future utility as, currently, the scope of the activities sketched under general headings would seem to be too broad. That so many “tools and approaches” are to be defined or validated as a first step in the research, and that “characterization” and “assessment” of problems still needs to be done, is a cause for concern. The ISPC expected a stronger understanding of the problems and the potential solutions as the foundation for this proposal. After many decades of discussion about the links between agriculture and nutrition it is disconcerting to read that much of the research proposed would be to improve understanding rather than generate evidence of effective solutions.

Overall, the proposed research should be prioritized. The current balance between the different components can be questioned and in two research components (1 and 3) the ISPC believes the Program

¹ In many ways the current proposal is the former CGIAR Agriculture and Health Research Platform writ large. It would be preferable in the redevelopment of the CRP4 proposal to utilize the same short and accurate statements about the objectives of each component (see <http://programs.ifpri.org/ahp/ahrpabout.asp>) which provide a much clearer description of the true extent of CGIAR experience and capacities in the several fields.

seeks to do too much and hence is likely to lose its focus on delivery. In these cases, prioritization is needed and trade-offs in pursuing alternative research choices should be provided.

Given the lack of detail, the quality of the science has to be largely assumed based on the track record of the Centers involved. Confidence about the quality would be enhanced, however, if more reference was made to the underlying hypotheses which will be required to develop research plans. The Program has a wide variety of partners although, for some components, the involvement with ARIs remains to be defined. Fuller consultation with other key agencies is promised and is a prerequisite before new priorities can be developed or refined, particularly for the newer research components (1, 3 and 4). It will be incumbent on the program's proponents to narrow the aspirational agenda of activities and find the program's proper place in relation to global networks of research and development players (which the proposal lists) with active expertise in the nutrition and health fields.

The linkages to other CRPs are listed but it is often not easy to interpret exactly in which CRP the research will take place. This raises questions of boundaries and "co-financing", which was also a concern with the climate change proposal.

The ISPC has commented previously, in relation to CRP 3.7 (Meat, milk and fish) that relationships between basic technological research on livestock disease and the more immediate research needs that may be selected through constraint analysis of the chosen livestock and fish value chains, requires better analysis and description. In the current proposal, links are made (p71) between sub-component 1 (Food Safety) of Component 3 and the value chain work in CRP 3.7, but in sub-component 2 (Zoonoses), despite a long rationale for treating zoonotic disease in general, no explanation is proposed for the two diseases singled out (p79). Given that cysticercosis is a food-borne disease, it is difficult to see the overall strategy as to how synergies both within and between CRPs will be captured. The ISPC would welcome clarification of how the different sub-components across CRPs in this area fit together.

Overall, the document provides a good description of the "why" although, despite its lengthy text, the proposal is unclear or lacks details in several instances on the "how". This makes it difficult to apply the CRP evaluation criteria to judge the likelihood of delivery of high quality science and the intended impacts. The time frame for many of the deliverables is left quite open. Thus it does not make sense (contrary to the suggestion of the proposal, p128), to embark on a rapid deployment of CRP4 in 2011 before many of the major potential partners have been adequately consulted to refine activities and a more structured and balanced work plan is in place.

Recommendation: Because the current proposal is not sufficiently developed, the ISPC recommends that the CRP 4 approach be approved to allow the program's proponents to engage with partners so as to develop a revised proposal and work plan with greater specificity. Whilst current work, including the experimental validation of biofortification, should continue as planned, the ISPC further recommends that approval of the remaining content and substance of the program be withheld until a more detailed proposal is submitted. That proposal should take into account the general and more detailed advice contained in this Commentary:

- The articulation of the strategy in the revised proposal should give evidence that the problems identified are recognized by the authorities in countries with major under-nutrition problems and a clearer specification of objectives related to the target groups affected by agricultural intensification should be provided.

- Many of the research activities are described at a high/generic level and some are already underway or have been completed by partners. The revised proposal should identify the research activities with time-bound milestones and partner roles.
- On the basis of more detailed activities and specified outputs the revised proposal should include a strategy for how these will be utilized to maximize the IPG benefits and the impacts on/for the specific communities identified.
- In some parts (components 1 and 3 in particular) the proposals are aspirational rather than realistic and this should be addressed through further prioritization during the process of strategy development.
- The proposal should make clearer use of nutrition and health-related terminology and articulate the researchable hypotheses underlying the major areas of research to be included as well as providing a mechanism or evidence for the quality of science underpinning each approach.
- Further detail on linkages to other CRPs should be described, in particular making clear which work will be funded from which CRP.
- The ISPC encourages a strategic approach to program evolution and the avoidance of opportunistic inclusion of some activities simply because they may draw initial funding.

1. Strategic coherence and clarity of Program objectives

The overall objective and four research components of CRP4 directly address the System Level Outcome (SLO) of improvement of health and nutrition. In developing this proposal, four areas emerged from a brainstorming session of 12 CGIAR Centers. As a result, the underlying logic and potential scientific synergies which can be achieved by achieving focus of these Centers on AHN are apparent. However, it would be more convincing if these were 'ground-truthed' against the priorities of the 36 highest nutritionally burdened countries (based on stunting). Before embarking on strengthening such stakeholder interactions, however, it may be useful to better define the 'problem' of under-nutrition. Nutrition is not one status, process or situation (nor is health). The nearly interchangeable use of terms like food security, hunger, malnutrition, under-nutrition, ill health, and poverty throughout the proposal did not help provide clarity. Drilling down to what aspects of nutrition and health problems would be best tackled through investments in agriculture should be a research question in itself. The risk is that the focus on integrating agriculture, nutrition and health over time becomes a mantra which dominates over the focus on outcomes. As written, it is the food chain rather than simply agriculture which is the subject of study.

That said, the program seeks to address several of the main causes of malnutrition and agriculture-associated diseases (AAD) and to identify possible avenues for improvement. The questions leading to this identification of the research components (p 9) are the appropriate ones. However, the balance between the individual research components, with more than fifty percent of the resources going to one component (biofortification) invites review. A stronger justification is required for this apparent imbalance in the allocation of resources. The proposal seems to suggest that Harvest Plus and AgroSalud will be stand-alone elements of the new program, and the degree to which they may subsequently be merged (with other components) into a future sub-component on biofortification is unclear. An overall conceptual framework to provide a cohesive articulation of the Program is missing.

The program targets two groups: (a) those with high level of malnutrition and AAD's and (b) groups affected by agricultural intensification (see for example p ix). While the profile of the first group is well understood, the characteristics and objectives for the second are not clear. For example, the foreword (p ix) mentions the "poor people" in this group, whereas the text (p11) mentions all groups. Is there a clearly proven link between intensification and greater health and nutritional risks for the poor? What is the program's objective for this group? If it is to provide safer food, the ISPC would argue that the program would seek to cover all groups, including planning for the inclusion of the urban poor in the future, and not only the rural poor. Lastly, in considering targets, one of the challenges to the value chain work is that many value chains serve both undernourished and over nourished people, so that entry points via value chains may be a very blunt instrument.

The international public goods nature of the research is obvious in the bio-fortification component (and should be for zoonoses when this is more clearly developed), but less clear in the other components. Working on value chains, food safety and policies and institutions will result in local solutions. The program has addressed the issue of generating international public goods by including the development of methodologies and tools, but this aspect needs to be more explicitly stressed in a revised proposal.

Component 1 on Value chains. Overall this seems a sensible choice but the extent to which research can push changes in value chains to meet the numerous objectives of efficiency, sustainability, and now nutrition, should be subject to tradeoff analysis. For objective 4 (identifying the nutrition entry points for intervention), which is clearly the most significant activity in this component, the selection criteria should include a poverty focus to respond to the CGIAR's comparative advantage for being involved in this work. In addition, this component will require close interaction with the private sector to be effective but the CGIAR partners have to date little experience in developing tools for "chain agents" to assess and enhance nutrients in foods. There is a lack of reference to policy incentives and distortions as a potentially important determinant of nutritional outcomes of value chains, for example subsidies on specific products.

A significant element seems to focus on changing consumer behavior, which is a very challenging area with little clarity about likelihood of success. It is very difficult to change consumer behavior, even in rich countries, and even with so much media attention on healthy foods and diet improvement. Research in this area will need to go well beyond economics to embrace consumer psychology, an area in which the CGIAR is weak. The feasibility of success in this area is rated by the ISPC as "optimistic". Evidence of strong buy-in from stakeholders who are in a position to influence consumers would be critical here.

Component 2. Biofortification. The Harvest Plus CP appears to continue unchanged as a component of this CRP. Some protection may well be needed to ensure that the work started in this CP is given a chance to succeed, but it currently appears isolated. Indeed, it is considered an important feasibility experiment which may well temper future strategies and investment in this area. AgroSalud will work with food technologists to produce commercially prepared biofortified food resulting in urban consumers having access to biofortified food (p54/p57). This is one of the few examples of engagement with the nutrition and health of urban consumers in the proposal. Indeed, rapid urbanization underway in many developing countries is associated with a major change in diets such that a growing share of food consumed by urban poor is processed. Achieving impact on nutrition for this group may well require greater collaboration with the food processing and manufacturing industries. This will represent new partners and, as mentioned, may require further definition of target groups.

Component 3. Agriculture Associated Diseases. The text in this component is repetitive and hard to follow as it seems to have a different structure and format from the other components. Research on zoonotic and food/water-borne diseases is urgently needed but what the CGIAR should contribute is less well articulated. The description on p79 is extremely broad. In component 3, there is reference to One

Health as an overarching approach, but no specific actions on understanding and eventually overcoming the constraints to its implementation. With attention of many institutions, including the major development banks and other investors on this subject, the comparative advantage of the CGIAR in this topic needs to be clear. For instance, specific work on the constraints and benefits of the introduction of “One Health” would have to be a part of this program. However, it could equally well be argued that this activity belongs in component 4.

The attention to mycotoxins (given “initial priority” status) is supported, and the ISPC notes there are a large number of potential partners. The System-wide IPM program is mentioned as a partner for aflatoxin research without identifying what role it might play (p68). In contrast, the System-wide IPM program is not mentioned in tackling research questions associated with reducing pesticide use and effects on ecosystems (p82), which is one of the major health risks from agriculture as measured by DALYs.

Otherwise too much emphasis is given in the background text to acute food safety issues (pandemics) which are the responsibility and expertise of the OIE, FAO and WHO and their networks, when research on other more long-standing constraints could be the focus of the proposed research for the CGIAR. In the current proposal, one sub-component focuses on zoonoses, but there is overlap with the sub-component on food safety. It is not clear how much effort will be directed at the selected zoonoses (Rift Valley Fever and cysticercosis) referred to on p79, but the rationale for their selection is missing. Further clarity is required on the zoonotic diseases to be prioritized under this proposal and the relationship between these thrusts and underpinning strategic work on livestock disease promised under CRP 3.7.

Within component 3 there are indications that concepts from economics will be used in a behavioral setting in disease control. This is extremely important, and a welcome emphasis wherever the research is placed. Diseases may originate in animals, but decisions on disease control are made by humans, and unless an effort is made to understand the incentive structures facing livestock keepers and other stakeholders in a disease control situation, technical disease control is likely to be ineffective. That said, the description of socio-economic data collection and impact assessment is sketchy, especially in comparison to the other three components. For instance, Table 11 (p74) has proper descriptions of technical disease control research activities, but just a simple bullet point noting ‘health, social and other impact assessments’.

Component 4. Cross sectoral. Focusing subcomponent 4.1 (on integrating ANH programs) could provide early understanding (for the program) of how best to create an integrated program. However, written as a stand-alone component, it comes across as verging on the theoretical; ‘Develop methods and tools to improve the effectiveness, efficiency, and timeliness of surveillance and monitoring systems and to permit meaningful evaluation of complex multisectoral programs and policies (Components 1-4)’ could rightly be seen as an objective within components 1-3. More could be done at this stage to demonstrate how the key activities of 4.1 will influence and add value to activities in the first 3 components and not just provide an *ex post* service to other donor initiatives. Such evaluations should pay special attention to prospects for scaling up. Some of the case studies may provide favorable nutritional impacts but have little chance of success at larger scale.

2. Delivery focus and plausibility of impact

In the sense that the ultimate impact statements are appropriate, the proposal could be seen as outcome focused. Considerations with respect to delivery are discussed throughout the proposal and the way in which partners have been selected shows evidence of thinking about delivering impact. There is also a clear geographic focus. However, at this stage of development, the many assertions about the program’s potential for impact on nutritional status and health are vague and unconvincing. Generic claims that the ‘health and nutrition of the poor and undernourished will be improved’ are not good enough given the

scale of funding requested. Only the Harvest Plus activities attempt to define which nutrients and quantitative nutritional metrics, and for which target demographics, the work is intended. The proposal as a whole may need to discuss its measures of success with some care. Will a 5% decline in iron deficiency anemia in children under 5 suffice to determine success, or is it a 20% decline in stunting? Is health really just a reduced exposure to, say, schistosomiasis or should it be a 50% reduced prevalence of diarrhoeal disease (which kills far more children than schistosomiasis does)?

Target groups are not well defined or the wording is unclear. The program targets two groups (a) groups with high level of malnutrition and AADs, and, (b) groups affected by agricultural intensification (see for example page ix). While the profile of the first group is well understood, the characteristics and objectives for the second are not clear. For example, the foreword (pix) mentions the "poor people" in this group, whereas the text (p11) mentions all groups. What is the program's objective for this group? Is there a clearly proven link between agricultural intensification and greater health and nutritional risks for the poor? A closely connected choice expressed in the proposal is the focus on poor rural and peri-urban populations. In the next 20 years, developing countries in both Africa and Asia are set to join Latin America in having over half their populations resident in urban areas. The disconnect between production and consumption is going to get steadily larger, nutrition of poor urban populations is going to become ever more important, processed food is going to become more central in diets and value chains are going to become more complex and spatially dispersed. It may make sense to prepare the groundwork for eventual inclusion of urban groups in the proposal.

Page 1 notes that the "primary focus will be on improving human nutrition and health". That requires a significant allocation of resources to demonstrate that investments in (mainly) agricultural research will indeed result in improved nutrition and health, not just in nutritionally-enhanced foods, or in a reduction of agriculture-based diseases. The absence of disease does not in itself equate with improved health, nor does increased availability of more nutritious foods equate with enhanced nutritional status of consumers (given potential anti-nutrients in the diet, nutrient losses in processing/cooking or via communicable diseases, or lack of needed investments in nutrition and health promotion separate from investments in agriculture). Thus the pathways are a) not as clearly defined as suggested in the text, and b) the impacts are likely to be more muted than claimed. Indeed, plausibility of impact can only be assessed in relation to stated objectives but the quantification of these objectives is not well defined in the text (Harvest Plus excepted). There is some discussion and attention to trade-offs - for example between bio-fortification and yield (p43), but the pros and cons of other choices are not discussed in detail. For example, on food safety, ILRI's Kenyan raw milk sale case study (p60) is mentioned, but the critical strategic issue that stricter food safety standards increase the cost of foodstuffs, and hence affect their accessibility to the poor, is not discussed.

That so many 'tools and approaches' are to be defined or validated as a first step in the research, and that "characterization" and "assessment" of problems still needs to be done, is a cause for concern. The ISPC expected a stronger understanding of the problems and the potential solutions as the foundation for this proposal. After many decades of discussion about the links between agriculture and nutrition it is disconcerting to read that much of the research proposed would be to improve understanding rather than generate evidence of effective solutions. With so much vagueness around the actual hypotheses to be tested and actual interventions to be evaluated, the impact of CRP4 cannot be easily predicted. The program potentially includes some innovative social sciences; but to have the intended impacts the proposal will have to achieve and integrate both social and biotechnical outputs (e.g. p79; "*Surveillance and control options based on improved understanding of disease; diagnostics that take into account variants in circulation*" etc). Simple, illustrative impact pathways are provided for the components reflecting the actors, but lack a good description of the levers (positive or negative incentives, awareness, lobbying mechanisms) needed to get the Program findings internalized in the chain. Overall, the research is a mixed bag of plausible and feasible, difficult to achieve, and unclear (particularly in terms of defining

the precise impact pathways expected). That the CRP proposes to adopt SMART indicators and methods to determine impacts is good, and that will require a clear investment in collecting appropriate nutrition and health variables to assess impact and partner involvement.

Finally, in terms of program plausibility, the ISPC would also draw attention to some of the statements made, e.g. p9: “It is well recognized that poor quality diets and related micronutrient deficiencies are a much more widespread nutritional problem than the lack of food. Solutions to improving the poor’s access to nutritious foods are therefore needed, rather than a narrow focus on producing more food.” It is incumbent on the proponents to show that impact on this issue can also be anticipated through this new direction for research in the CGIAR.

3. Quality of science

The program has no clearly formulated hypotheses relating to the needs and constraints identified. It has formulated an objective for each component - which is generally well done, with the exception of the overall program objective - and a large number of research questions. The questions are relevant and are mostly addressed in the subsequent research program. However, these questions do not allow a rigorous validation or rejection.

The program seems, in particular in component 1 and 3, quite ambitious in the number of proposed activities as shown in table 4 and 10. Leaner, more focused research activities in these components might enhance their scientific quality.

A more explicit description of new techniques and tools used and novel outcomes expected in each research component would have given a better understanding of the innovative character of this CRP. Component 1 makes good use of case studies to develop the research issues (e.g. Box 2, providing support for the potential to enhance and increase bioavailability of Vitamin A in staples). Research component 1, objective 2, proposes to employ innovative techniques, whereas the rest is quite traditional; research component 2 is innovative overall. Component 3 starts by describing research themes at the component level, one of which aims to identify AADs with large impact on health of the poor, yet some diseases have already been prioritized. Quite a few stakeholders already work in the area of zoonosis, which raises questions about the extent to which the CGIAR should be leading in this area as opposed to conducting the research identified at the sub-component level. The participatory risk assessment and management approaches developed (box 8) are claimed to be new, although they have been developed some time ago (as part of the HP Avian Influenza epidemic); some of the techniques and technologies proposed in food safety and surveillance techniques seem new. Research component 4 has some innovative aspects in objective 4.1.1, while being rather traditional in the other sub-objectives. Sub-component 4.1 does not exhibit the same outcome focus as other components. Instead, it appears to push a concept, rather than considering under what circumstances the AHN sectors should be integrated. Sub-component 4.2, on policies, reads better as a stand-alone component, although it also lacked explicit mention of extensive interaction with the researchers in the other components, which are crucial for success.

4. Quality of research and development partners and partnership management

CRP 4 presents a thorough, pragmatic approach to building and leveraging a partnership network. It demonstrates its reliance and commitment to a robust partnership strategy by allocating 47 percent of its budget to work with partners. The principal participating Centers—IFPRI and ILRI, and the Harvest Plus Challenge Program have particularly strong track records in building and leveraging effective partnerships to advance their work. Although CRP 4 broadens the focus of the CGIAR’s work to include health more specifically, and notes as a potential risk, “coordination with the health sector, where the

CGIAR has few existing partnerships” (p121), the underlying capacity and experience of the collaborating Centers is likely to mitigate this risk.

A high priority task for this program is development of an explicit partnership strategy. This task will be supported by IFPRI’s Partnership Coordinator. ILRI has done extensive work on its own partnership strategy and IFPRI has institutionalized partnership management as an element of its management structure. A clear typology of partners is articulated early in the proposal and consistently used in the descriptions at the component level, namely: enablers (policy makers and decision makers, including donors/investors), development implementers, value chain actors, and research partners (p19). The proposal demonstrates a strong grasp of the value added as well as the strategic importance of enlisting and making use of the right mix of partners to advance the research and leverage its adoption across a continuum of beneficiaries and actors from the household level to the policy arena. However, the private sector is either ignored, or mentioned as a general category although they will be essential for implementation of several outcomes. In addition, the program seems to be well linked to the regional initiatives in Africa, but less so to other regional institutions, such as the Pan-American Health Organization, which is not mentioned at all. The proponents recognize the challenges of managing many partners and the identification of an individual to take overall responsibility for partnerships, which is commended. Because of CRP 4’s breadth of focus, the proposal itself enlists the participation of 10 CGIAR Centers in addition to the Harvest Plus CP, four of those (Bioversity, CIAT, IFPRI and ILRI) with Harvest Plus will contribute \$3 million or more to the proposal. Additionally, linkages to other CRPs are listed (Appendix 6, table 1) but are often not easy to interpret. Relationships between livestock disease activities have been mentioned. Research on fruits and nutrition is stated to take place through CRP 6 but this component (i.e. CRP 6.1) is a very modest part of that proposal. Rather than being reassuring, the treatment of linkages with other Centers and CPs raise questions of boundaries and “co-financing” which remain to be worked out.

As far as a reader can assess, no formal agreements have yet been made with the partners beyond the CGIAR Centers although many partners were involved in the IFPRI New Delhi meeting, and the core CGIAR partners have been involved in the planning process. The arrangements and responsibilities for M&E are proposed to be developed in the first year of program implementation. There is little information on the adequacy of the research facilities. As these facilities would have to come for a large part from ARIs, more explicit descriptions of which ARIs are to be involved are needed in research component 1 and 4. The other research components seem to have the appropriate partners and facilities identified.

5. Appropriateness and efficiency of Program management

IFPRI is the lead Center for CRP 4, although there is formal acknowledgment of ILRI’s standing in the program through its chairmanship of the Planning and Management Committee and a role in the recruitment and evaluation of the Program Director. As the lead Center, IFPRI will provide financial and management services to the CRP, provide accountability to the Consortium, and oversee the Program Director. The proposed structure for program management and oversight includes: An Independent Advisory Committee, a Planning and Management Committee (PMC), the Program Director, a Program Research Team, comprising the four research leaders from each of the research components, and a Program Management Unit (PMU), which includes a research coordinator, a program manager, and two administrative staff. Communications and partnership management are not included in the proposed management structure although identified as critical functions throughout the proposal. The structure accommodates the relative importance of the two principal participating Centers, and also reflects a useful balance between inside and outside perspectives. Where other CRPs give a cautionary level of attention to conflict resolution mechanisms, CRP 4 seems less concerned by the prospect of conflicts among partners or over resource allocations to research priorities. The proposal accepts a recommendation from

the Consortium Board to assign ILRI the chairmanship of the Planning and Management Committee for two years, and to provide ILRI with a role in the recruitment and evaluation of the Program Director. The Program and Management Committee itself comprises both the program's research leaders, and three representatives from participating Centers and implementing partners. It has a reasonable scope of work and authority, meeting face-to-face twice a year or more often as needed. The Program Director is the convener, which provides a subtle affirmation of the Program Director's relative relationship and authority within the structure.

Program Director: The Program Director will be identified and employed by IFPRI, as the lead Center, and report directly to the DG. As noted above, ILRI will play a role in the recruitment and evaluation of the Program Director. The position is given clear responsibility for the overall intellectual leadership and management of the CRP, and serves as the external representative of the program with a role in partnership development, communications and advocacy, and resource mobilization.

The proposal implies that research leaders will be drawn from among the Centers with existing research portfolios that align with the four research components; it is not clear who has the authority to appoint the research leaders or to evaluate their performance. Although there is a common bias within the CRPs to have very small management footprints, at some point it will be important to provide the Program Director with a more explicit role in recruitment and evaluation of research leaders.

Communications and Partnership Management: The proposal makes a convincing case for the importance of effectively identifying and developing partners, and for the central role of a coherent, well integrated communications strategy to the success of the program (p113-114). In analyzing potential risks, the proposal notes: "Linking research to implementation (Research Component 4) will require extensive investment in communication, dialogue, information sharing, internal education, and advocacy. (p122)". In both areas, the CRP proposes to identify taskforces to develop strategies for partnerships and communications, but it fails to dedicate staff capacity at the program management level to coordinating and overseeing these strategies. Neither function should be fulfilled on an ad hoc basis by borrowing existing capacity from IFPRI or other Centers. The partnership strategy will be complex and involve the engagement of new spheres of influence and different actors than have been the traditional scope of the Centers. It would be prudent to include a management position within the Program Management Unit that can protect the strategy, help to coordinate and align its implementation, evaluate its effectiveness and continue the identification and cultivation of new partners as the program moves forward. Similarly, if, as the proposal states, the program "places priority importance on establishing a strong communications function from the beginning of the program (p.113)," it must include the capacity to manage this function and the underlying strategy as part of the Program Management Unit—which it does not do.

In general, IFPRI as the lead Center has the level of authority that it needs to serve as an effective fiduciary. It has not assigned itself a level of control that curtails the influence of participating Centers and partners, or that raises serious issues about the potential for conflicts of interest. Monitoring and evaluation functions are considered in the management realm, which may deprive them of the benefit of challenging, disinterested assessments.

6. Clear accountability and financial soundness, and efficiency of governance

The budget presentation for the project is straightforward. The total CRP budget over three years is projected to be \$191 million. The proposal requests \$17 million from the Fund in 2011, rising to \$44 million in 2013. CRP management is budgeted at 2 percent of the total budget and ranges in value from \$1.3 to \$1.5 over three years. There is no separate line item for communications. It is difficult to tell from the budget narrative and the detail whether the amount assigned to management resulted from estimating the actual costs of management or deciding that 2 percent was as much as should be spent on

the management functions. IFPRI and other Centers are clearly incorporating some management functions related to the management of the CRP through the Centers' existing management structures. While this makes sense and is clearly efficient in terms of "backroom" functions like accounting, grants management, IT support and personnel, it is not ideal to disaggregate more critical management functions, like communications, partnership strategy and coordination, and resource mobilization, wholly to various Centers (even the lead Center). These are critical components of the proposal's success as outlined in the proposal itself; they are legitimate management functions, have clear costs, and should be coordinated and managed for results by the Program Director within the CRP's management unit.

Governance and Oversight: The Independent Advisory Committee will bring together scientists, partner representatives and a member of the current advisory committee of Harvest Plus. Its primary role is to advise the management committee and IFPRI DG, although the management committee is required to respond formally to its recommendations. The advisory committee is proposed to meet face-to-face once a year. Its membership may be expanded temporarily to accommodate the program's need for specific expertise or perspectives. Nominations will be solicited broadly from partners and participating centers, with the Planning and Program Management Committee (a relatively well-balanced group) advancing a slate of candidates for confirmation by the IFPRI board. The committee would be strengthened if there was a mechanism that enabled the identification of a chair for the group, and if the appointment process included a term limit. It should also meet more than once a year and could meet virtually if there are not sufficient resources to support a second face-to-face meeting.

It is difficult to judge the appropriateness of the budget and budget increases when so much of the proposal remains to be developed. Currently a very large budget is earmarked for partners/collaborators on biofortification. While Harvest Plus and AgroSalud are well described in the proposal, it is not clear to what extent the Harvest Plus activities will be generally merged into the program structure and what the final balance between biofortification (currently around 50% of the total program) and the other components will be. Thus it does not make sense to embark on a rapid deployment of CRP4 in 2011 (as suggested on p128), before many of the major potential partners have been adequately consulted to refine activities and a more structured and balanced work plan is in place. For this reason the ISPC has recommended that the proposed approach for implementing CRP4 activities be approved, but that approval of the content and substance of the program be withheld until a more detailed proposal is submitted.

CGIAR
Research
Program 4

Proposal Submitted by:
International Food
Policy Research
Institute

IFPRI

ILRI

BIOVERSITY

CIAT

CIMMYT

CIP

ICARDA

ICRAF

ICRISAT

IITA

IWMI

WORLD FISH

**Agriculture
for Improved
Nutrition & Health**

CGIAR RESEARCH PROGRAM 4

AGRICULTURE FOR IMPROVED NUTRITION AND HEALTH

A revised proposal submitted to the CGIAR Consortium Board
by the
International Food Policy Research Institute (IFPRI)
on behalf of

Bioversity International
International Center for Tropical Agriculture (CIAT)
International Maize and Wheat Improvement Center (CIMMYT)
International Potato Center (CIP)
International Center for Agricultural Research in Dry Areas (ICARDA)
World Agroforestry Center (ICRAF)
International Crops Research Institute for the Semi-Arid Tropics (ICRISAT)
International Institute of Tropical Agriculture (IITA)
International Livestock Research Institute (ILRI)
International Water Management Institute (IWMI)
The World Fish Center



October 2011

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ACRONYMS

AAD	agriculture-associated disease
ALVs	African leafy vegetables
ANH	agriculture nutrition health
ARDAP	Appropriate Rural Development Agriculture Program
ARI	advanced research institute
ART	antiretroviral therapy
ASARECA	Association for Strengthening Agricultural Research in Eastern and Central Africa
ATP	ability to pay
AU	African Union
AUC	African Union Commission
AU-IBAR	African Union Interafrican Bureau for Animal Resources
CAADP	Comprehensive Africa Agriculture Development Program
CBA	cost-benefit analysis
CBO	community-based organization
CEA	cost-effective analysis
CFA	Comprehensive Framework for Action
CGIAR or CG	Consultative Group on International Agricultural Research
CIAT	International Center for Tropical Agriculture
CIMMYT	International Maize and Wheat Improvement Center
CIP	International Potato Center
CIRA	Collaborations for Integrated Research and Action
CIRAD	Agricultural Research for Development
COMESA	Common Market for Eastern and Southern Africa
CoP	community of practice
CREADIS	Community Research in Environment and Development Initiatives
CRP	CGIAR Research Program
CRS	Catholic Relief Services
CSO	civil society organization
DALYs	disability-adjusted life years
DFID	United Kingdom Department for International Development
DRC	Democratic Republic of Congo
ECOWAS	Economic Community of West African States
E-HFP	Enhanced homestead food production
EID	emerging infectious disease
EMBRAPA	Brazilian Agricultural Research Cooperation
EU FP-7	European Union Framework Program 7
FANRPAN	Food Agriculture and National Resources Policy Analysis Network
FAO	Food and Agriculture Organization of the United Nations
FARA	Forum for Agricultural Research in Africa
FBD	food-borne disease
FERG	Foodborne Disease Burden Epidemiology Reference Group
FIND	Foundation for Innovative New Diagnostics
GAFSP	Global Agriculture and Food Security Programs
GAIN	Global Alliance for Improved Nutrition
GALVmed	Global Alliance for Livestock Veterinary Medicines
GBD	global burden of disease
GCARD	Global Conferences on Agricultural Research for Development
GFSI	Global Food Safety Initiative
GFU	Global Facilitation Unit for Underutilized Species

GXE	gene by environment
HIV/AIDS	human immunodeficiency virus/acquired immune deficiency syndrome
HKI	Helen Keller International
IA	impact assessment
IAH	Institute for Animal Health
IARC	International Association of Research of Cancer
ICARDA	International Center for Agricultural Research in Dry Areas
ICIPE	International Centre of Insect Physiology and Ecology
ICRAF	World Agroforestry Centre
ICRISAT	International Crops Research Institute for the Semi-Arid Tropics
IDRC	International Development Research Centre
IDS	Institute for Development Studies, UK
IEC	Information, Education, and Communication
IFAD	International Fund for Agricultural Development
IFPRI	International Food Policy Research Institute
IGS	Institute for Genome Sciences
IITA	International Institute of Tropical Agriculture
ILAC	Institutional Learning and Change
ILO	International Labor Organization
ILRI	International Livestock Research Institute
INSTAPA	Improved Nutrition through Staple Foods in Africa
IPG	international public good
IPM	integrated pest management
IPMA	Integrated Partnership for Malaria in Africa
IRD	Institut de Recherche pour le Développement
IRRI	International Rice Research Institute
ITG	International Technology Group
IUCN	International Union for Conservation of Nature
IWMI	International Water Management Institute
KAP	knowledge, attitudes, and practices
KARI	Kenyan Agricultural Research Institute
LANSA	Leveraging Agriculture for Nutrition in South Asia
LCIRAH	Leverhulme Center for Integrated Research on Agriculture and Health
LIDC	London International Development Centre
London-RVC	London-Royal Veterinary College
LSHTM	London School of Hygiene and Tropical Medicine
LSTM	Liverpool School of Tropical Medicine
M&E	monitoring and evaluation
MDG	Millennium Development Goals
MoA	Ministry of Agriculture
MoH	Ministry of Health
MVP	Millennium Villages Project
NARES	national research and education system
NARS	National agriculture research system
NEPAD	New Partnership for Africa's Development
NGO	nongovernmental organization
NPCA	NEPAD Planning and Coordination Authority
NTFP	nontimber forest products
OFSP	orange-fleshed sweet potato
OIE	World Organization for Animal Health
OPV	open-pollinated variety

PACA	Partnership for Aflatoxin Control in Africa
PATH	Program for Appropriate Technology in Health
PHAST	Participatory Hygiene and Sanitation Transformation
PHFI	Public Health Foundation of India
PIA	program implementation agreement
PMC	Planning and Management Committee
PMCA	participatory market chain approach
PRA	participatory risk assessment
QCRA	quantitative comparative risk assessment
QMRA	quantitative microbial risk assessment
RAIN	Realigning Agriculture to Integrate Nutrition
REACH	Ending Child Hunger and Undernutrition
RECs	regional economic communities
ReSAKSS	Regional Strategic Analysis and Knowledge Support System
ROA	RAPID outcome assessment
RRC	Rural Resource Center
RVF	Rift Valley fever
SA	South Asia
SACIDS	Southern Africa Center for Infectious Disease
SARS	severe acute respiratory syndrome
SCN	Subcommittee on Nutrition
SLO	system-level outcome
SPMs	stated preference methods
SPS	Sanitary and Phytosanitary Measures Agreement
SROs	subregional organizations
SSA	Sub-Saharan Africa
SSAFE	supply of affordable food everywhere
STPH	Swiss Tropical and Public Health Institute
SUN	Scaling-Up Nutrition
TDR	WHO program for Research and Training in Tropical Diseases
TN	Transform Nutrition
TropiKA	Tropical Diseases Research to Foster Innovation and Knowledge Application
UG	University of Guelph
UN	United Nations
UNAIDS	Joint United Nations Program on HIV/AIDS
UNICEF	United Nations Children's Fund
USA	United States of America
USAID	United States Agency for International Development
USDA	United States Department of Agriculture
VMF	village model farm
WAHO	West African Health Organization
WB	World Bank
WFP	World Food Programme
WHO	World Health Organization
WTO	World Trade Organization
WTP	willingness to pay
WV	World Vision
WWF	World Wildlife Fund
β-ODAP	β-diaminopropionic acid

FOREWORD

The Consultative Group on International Agricultural Research (CGIAR) has committed itself to ensuring that agricultural research serves the needs of the poor. Two urgent needs for the poor are better nutrition and better health. In its new vision (CGIAR SRF 2010), the CGIAR commits to *reduce poverty and hunger, improve human health and nutrition, and enhance ecosystem resilience through high-quality international agricultural research, partnership, and leadership*. This CGIAR Research Program, *Agriculture for Improved Nutrition and Health*, directly and strategically supports this new vision.

Agriculture will need to develop and expand to meet the food needs of a growing population from a finite resource base. How agriculture develops to do this can have critical consequences on the health and nutrition of people. This program is designed to support the overall CGIAR research agenda by improving our understanding and options for how agriculture can better accentuate the positive benefits and mitigate the risks of agricultural development on human health and nutrition. These lessons are meant to serve the entire CGIAR agenda, within agroecological production systems and along food value chains.

Emphasis will be placed on two populations of people. The first group is those people who are left behind by socioeconomic development, suffer from high rates of malnutrition and agriculture associated diseases, and rely on aid and development support. Research in the program will meet the demands of development implementers and investors for better knowledge, technologies, and learning approaches to improving their performance.

The second group is those poor people in dynamically intensifying and changing systems in which research can help shape agricultural development more positively and safely. This program will support policy- and decisionmakers and development implementers. Managing the benefits and risks of agricultural development on human health and nutrition are central to achieving the CGIAR-stated impact goals of poverty reduction, food security, and environmental sustainability for people in developing countries.

This program will work at the interface of the agriculture, nutrition, and health sectors. These are three critical pillars for development. For the ambitions of this program to be met, partnerships will be critical. Twelve CGIAR Centers and multiple partners from agriculture, nutrition, and health communities have actively participated in contributing to the development of this proposal through written contributions, stakeholder and partner workshops, and oral discussions. This program proposes a much closer partnership between the agriculture, nutrition, and health research and development communities than seen previously. New approaches to cross-sectoral work are proposed. While new, this program will build on past successes of CGIAR and partners working together on agriculture, nutrition, and health programs and seeks to complement a number of new international initiatives for improving agriculture-nutrition and agriculture-health integration and synergies.

ACKNOWLEDGMENTS

This proposal is the culmination of the effort of many internal and external collaborators who worked closely together for more than one year. The process of drafting the initial concept note, which serves as the basis for the proposal, was led by Marie Ruel (IFPRI) and John McDermott (ILRI), with important contributions from Howdy Bouis (HarvestPlus) and focal points from 12 CGIAR Centers. The concept note was submitted to the Consortium Board on May 9, 2010.

The project leaders allowed for comments on the concept note by posting it online for more than 10 weeks. During this time, the note benefited from the useful feedback of the Consortium Board (CB), peer reviewers, CGIAR centers, and external partner organizations. Key internal and external stakeholders were also interviewed in person and by phone to further incorporate their perspectives and ideas.

The centers and partners, representing the full spectrum of the agriculture, nutrition, and health fields, met on July 28-30, 2010, in Addis Ababa, Ethiopia. The three-day workshop was attended by more than 60 stakeholders and represented a unique opportunity to incorporate diverse viewpoints on the research and development goals of the CRP4 program. The meeting was supported by Lynette Aspillera (IFPRI), Loza Mesfin (ILRI), Ginette Mignot (IFPRI), Terry Muindi (ILRI), Nicole Rosenvaigue (IFPRI), and Sivan Yosef (IFPRI). Special thanks go to Jürgen Hagmann, Global Team Leader for the People, Innovation, and Change in Organisations (PICO Team, www.picoteam.org), for his excellent facilitation, and to his assistant, Judith Odhiambo.

The complex task of synthesizing all the components into one document was led by Marie Ruel, John McDermott, and Howard Elliott, who coordinated a core writing team to develop the proposal. The writing team included Jessica Fanzo and Stefano Padulosi (Bioversity), Bonnie McClafferty (HarvestPlus), Helena Pachón (CIAT), Maximo Torero (IFPRI), and Delia Grace (ILRI). Numerous CGIAR colleagues and partners provided key contributions to the research proposal development, which was submitted to the Consortium Board (CB) on September 11, 2010.

Comments from the CB and four reviewers were received on February 4, 2011. Marie Ruel and John McDermott coordinated the revisions to the proposal, with strong support from several members of the original writing teams. The revised proposal was shared with the key contact points from all participating CGIAR centers, who contributed extensively to the revisions.

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The current version of the proposal addresses the comments received from the Independent Scientific & Partnership Council (ISPC) and the Fund Council in June 2011. Marie Ruel and John McDermott revised the proposal with inputs from Maximo Torero, Delia Grace, Jessica Fanzo, and Shakuntala Thilsted, with formatting, editing, and research support from Jay Willis (IFPRI), Nicole Rosenvaigue, and Jody Harris.

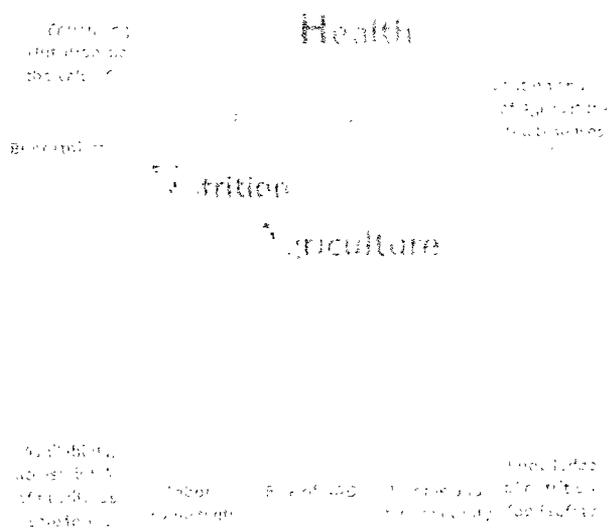
The enthusiasm and responsiveness of all CGIAR partners and their continued support in revising and strengthening this proposal is gratefully acknowledged. Leading organizations in agriculture, nutrition, and health expressed interest in different components of the program and are committed to its development and success. This proposal represents a starting point in an exciting process of operationalizing a cross-sectoral research for development program on agriculture for improved nutrition and health.

1. EXECUTIVE SUMMARY

Background

Hunger, malnutrition, and poor health are widespread and stubborn development challenges. Agriculture has made remarkable advances in the past decades, but progress in improving the nutrition and health of poor farmers and consumers in developing countries is lagging behind. A recent IFPRI 2020 Conference in New Delhi, “Leveraging Agriculture for Improving Nutrition and Health,” brought together about 1,000 stakeholders to examine how agriculture could be energized to become a more powerful tool to tackle the persistent problems of food insecurity, malnutrition, and poor health. Building on the momentum created by those discussions, the CGIAR Research Program on Agriculture for Nutrition and Health (CRP4) is designed to fill the existing gap between agricultural development and its unfulfilled health and nutritional benefits.

The starting point for CRP4 is that agricultural practices, interventions, and policies can be better adapted and redesigned to maximize health and nutrition benefits and to reduce health risks. This concept reflects the new vision of the CGIAR Strategic Results Framework (April 2011), which has four strategic objectives: improving human nutrition and health, reducing rural poverty, improving food security, and achieving sustainable management of resources. While CRP4 will contribute to the achievement of all four CGIAR strategic objectives, its primary focus will be on improving human nutrition and health. In order to achieve this goal, CRP4 will bring together research and development professionals across the agriculture, nutrition, and health (ANH) sectors to jointly tackle key challenges and design joint solutions.



CRP4 Strategic Goal

CRP4 is a research program that will **work to accelerate progress in improving the nutrition and health of poor people** by exploiting and enhancing the synergies between agriculture, nutrition, and health through four key research components: value chains, biofortification, control of agriculture-associated diseases, and integrated agriculture, nutrition, and health development programs and policies.

CRP4 Strategic Framework and Research Components

Figure 1 presents the overall strategic framework of CRP4. The key development challenges that the program will address are the stubborn problems of undernutrition and ill health that affect millions of poor people in developing countries. CRP4 will leverage agriculture to improve the nutrition and health of the poor through four research components that will directly address the problems of low diet quality—the main cause of undernutrition worldwide—and of vulnerability to agriculture-associated diseases.

Component 1 focuses on opportunities to improve nutrition along value chains to increase the poor's access to nutritious foods. Component 2 aims to improve the availability, access, and intake of nutrient-rich, biofortified staple foods for the poor. Component 3 addresses food safety issues along the value chain, including the control of zoonotic diseases and the better management of agricultural systems to reduce the risk of human diseases. Component 4 addresses the need for integration among the agriculture, nutrition, and health sectors, at both the program and policy levels.

These four components were selected based on discussions and brainstorming with representatives from 12 CGIAR centers and a wide range of partners who participated in the CRP4 planning meeting in July 2010. Their selection arises from the recognition and consensus that poor diet quality and related micronutrient deficiencies are now the most pressing nutritional problem affecting the poor. Similarly, the severe disease burden from food-borne infections and zoonotic diseases is associated with changes in agricultural practice and policy, and therefore requires agricultural solutions. As agriculture is the main livelihood strategy for the poor, it is they who are disproportionately affected by these health and nutrition problems. For CRP4 to adequately tackle these challenges, the program team carefully assessed the opportunities that exist within the current (and future) research portfolio of the CGIAR and its partners in order to leverage agriculture to improve nutrition and health and to exploit their potentially powerful synergies to achieve the common goal of improving the nutrition and health of the poor.

Research Objectives

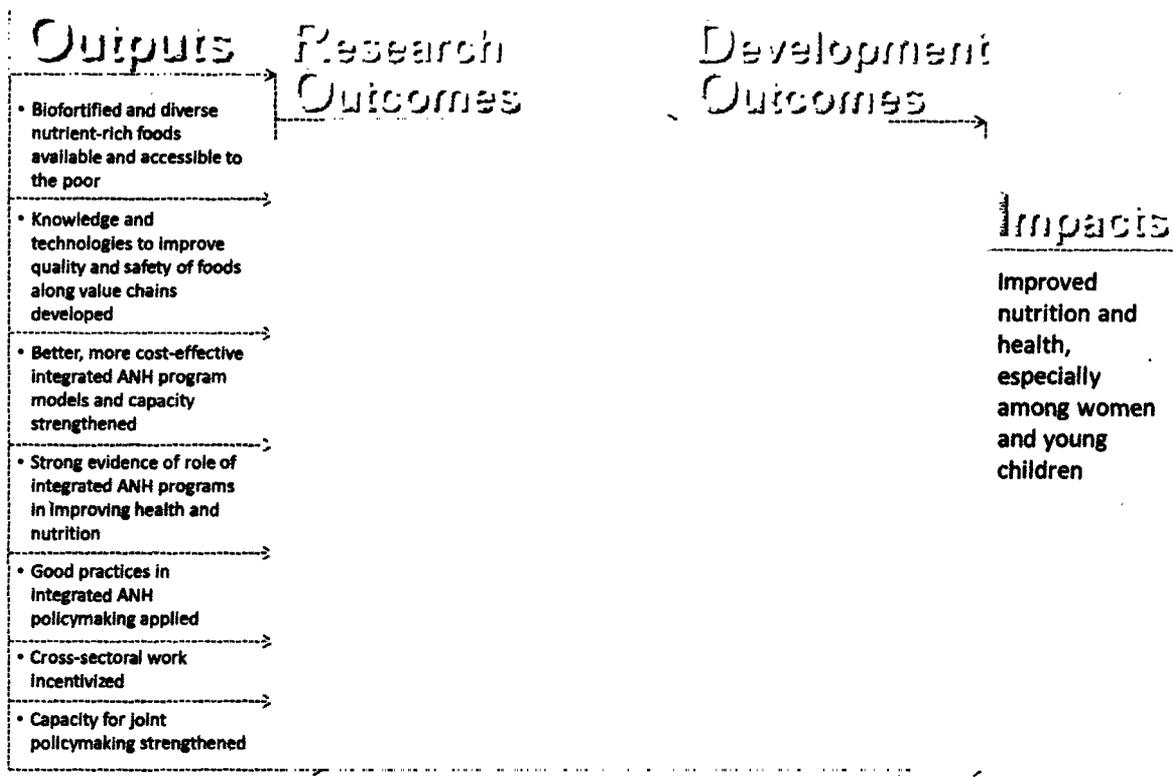
The CRP research objectives across the different components are as follows:

1. Generate knowledge and technologies to improve the nutritional quality and safety of foods along value chains (Components 1, 2, and 3).
2. Develop, test, and release a variety of biofortified foods, as well as other nutrient-rich foods that are affordable for the poor and accessible to them (Components 1 and 2).
3. Generate knowledge and technologies for the control of zoonotic, food-borne, water-borne, and occupational diseases (Component 3).
4. Develop methods and tools to improve the effectiveness, efficiency, and timeliness of surveillance and monitoring systems and to permit meaningful evaluation of complex multisectoral programs and policies (Components 1-4).
5. Produce evidence of nutritional and health burdens and benefits and of the returns to different interventions in different sectors (Components 1-4).
6. Assess and document changes in dietary and nutritional patterns and risks of agriculture-associated diseases among poor people in intensifying systems, and identify and test agricultural options to enhance nutrition and health benefits and mitigate risks of agriculture intensification in these populations (Components 1 and 3).

Impact Pathways

Figure 2 presents the overall program impact pathway. CRP4 is expected to enhance the contribution of agriculture research outputs to nutrition and health impacts through three major impact pathways and their respective actors: (1) *value chains* that provide more nutritious and safer foods; (2) *development programs* that successfully integrate agriculture, nutrition, and health; and (3) *policy* that promotes a supportive and enabling cross-sectoral policymaking process and investment environment. Expected outputs from CRP4 are value chains that provide more nutritious and safer foods accessible to the poor; stronger and more effective integrated ANH programs; and better cross-sectoral policies, investments, and regulation. CRP4 will contribute to large-scale sustainable impacts by developing strong linkages with development implementers, including value-chain actors and ANH program implementers, and with enablers such as international and national policymakers and governments.

Figure 2. CRP4 Program Impact Pathways



Partnerships

Effective partnerships and new partnership practices will be essential for achieving CRP4’s ambitious research outputs and development outcomes and impacts. A *partnership strategy* will be developed initially, to create the best conditions for carrying out the research and making full use of the subsequent findings. The unique complexity of CRP4, which requires working across sectors, calls for a range of partnership types and partnership depths. CRP4 will work with four broad categories of partners: enablers (policymakers and decisionmakers), development implementers, value-chain actors, and research partners. We are committed to a partnership process that incorporates strategic thinking, systematic processes with partners, innovative behaviors and resources, and implementation of best partnership performance practices. We regard partners as the essential ingredient of a successful joint effort.

Research Components: An Overview

Component 1: Value Chains for Enhanced Nutrition—will focus on increasing the demand for nutritious foods among poor rural and peri-urban households, and on identifying leverage points along the value chain where innovative nutrition interventions can be incorporated to stimulate both the supply and the demand for nutritious foods. It will build on work on value chains carried out by the CGIAR and other partners on nutritious (usually high-value) foods. Specifically, it will

- develop innovative approaches and tools to analyze the value chain, using a “nutrition lens” combined with a consumer focus.

- implement research to identify leverage points to enhance the nutritional value of select nutrient-rich foods.
- develop tools to assess and correct information asymmetries regarding nutrition among different value-chain actors, including consumers.

This component's impact will result from (1) enhanced nutritional knowledge and awareness created among value-chain actors, including consumers, and (2) the greater selection of affordable nutrient-rich foods available and accessible to the poor through informal and formal markets.

Component 2: Biofortification—will develop and test biofortified nutrient-dense staple crops and make these novel crops available to the poor and undernourished. This component will have the desired impact via an increased production and consumption of biofortified staple foods; an increased intake of iron, zinc, and vitamin A; and a resulting reduction in the prevalence of iron, zinc, and vitamin A deficiencies.

Component 3: Prevention and Control of Agriculture-Associated Diseases—will enhance environmental sustainability, reduce poverty, increase food security, and contribute to the health of poor communities by assessing, preventing, and mitigating agriculture-associated health risks, through research for improved food and water safety; control of bacterial, viral, parasitic, or fungal diseases that can be transmitted from animals to humans (zoonoses); and managing agroecosystems for better health. This component will find and develop solutions and innovations to reduce the risks of agriculture-associated diseases; understand and support appropriate institutions and incentives that will make these efforts sustainable; assess the impact of interventions; and develop communications, advocacy, and influence strategies that will enable the uptake and use of those interventions.

Component 4: Integrated Agriculture, Nutrition, and Health Programs and Policies—will exploit and enhance the synergies between agriculture, nutrition, and health through operational and policy research that permits (1) more effective integrated community-level programming, and (2) the cultivation and strengthening of an enabling policy and institutional environment to support relevant action. This component will harness both the synergy of integrated programming and the potential for sustained policy commitment, to best realize the benefits of agriculture, nutrition, and health.

Cross-cutting Issues

Gender

Throughout much of the world, women are the guardians of household food security and nutrition. At the same time, biological and cultural factors can put women and girls at particular risk of undernutrition, micronutrient malnutrition, and poor health, especially during the reproductive period. Good agriculture, nutrition, and health programming must therefore account for gender issues at all stages of the project cycle, from participatory assessment and analysis through surveillance, implementation of interventions, monitoring, and evaluation. CRP4 will focus on the following broad areas: (1) gender analysis of needs and differential exposure to risks; (2) fostering women's participation in and benefits from agriculture, nutrition, and health programs; (3) empowering women and increasing their access to assets; (4) promoting equitable intrahousehold food allocation and consumption for all members; (5) ensuring gender-friendly technology and delivery systems; and (6) building capacity.

Capacity Strengthening

Capacity strengthening is a crucial element for CRP4's longer-term and more sustainable impacts, essential for program scale-up and sustainability. Implementing CRP4 will require adequate capacity for translating research methods and outputs into adopted technologies and institutional and policy changes. Just as important, it will mean developing *cross-disciplinary capacity* at various levels, including government and development agencies as well as educational and research institutions. Research teams

working on CRP4 will undertake, as a preliminary step, comprehensive assessments of capacity gaps and needs in targeted countries and institutions, to develop an appropriate capacity-development strategy.

Innovation

Bringing together agriculture, nutrition, and health is not a new idea, but CRP4 will be innovative in a number of areas. It will

- foster new partnerships to ensure that agriculture, nutrition, and health are integrated and delivered—at the community level, in large development programs, and in policymaking.
- undertake cutting-edge research to meet emerging challenges—for instance, it will work with partners to design mechanisms for enhancing nutrition along the agricultural value chain and to apply new molecular biology tools informed by population biology and social research to improve our understanding of how agricultural intensification can be more sustainably managed.
- invest in designing new tools and approaches to build the evidence base to usefully guide policy and practice across sectors.

Management Structure

The governance and management arrangements for CRP4 follow the guidelines set out in the CGIAR Strategic Results Framework. IFPRI will be the lead center, and will have overall fiduciary and operational responsibility for the implementation of CRP4. The International Livestock Research Institute (ILRI) will play a strong supporting role, providing the Chair of the Planning and Management Committee (PMC) for the initial two years. The PMC will oversee the planning, management, implementation, and monitoring and evaluation of the CRP. An Independent Advisory Committee, composed of six members representing scientists and program development experts, will provide advice on research program performance, research priorities and focus, and management and partnership issues.

Monitoring and Evaluation

Indicators for tracking and assessing achievements will be constructed according to the SMART framework—*specific, measurable, achievable, relevant, and time-bound*—allowing for clear, results-based management of the CRP. A monitoring and evaluation plan will be developed under each component and subcomponent. The plans will provide a framework to track both the process of implementation and the attainment of interim targets. They will include milestones for activities, outputs (such as publications, datasets, training materials, and training activities), communication, dissemination, and networking (to ensure appropriate uptake of project outcomes). Plans will also specify corrective actions to be taken if milestones are missed.

Conclusion

The CGIAR has long played a unique role as an internationally coordinated agricultural research system that provides international public goods. With its partners, it is well equipped to provide leadership in developing new technologies, evidence, and applied field research for leveraging agriculture to improve nutrition and health. The CGIAR can work closely with partners in all three sectors to develop innovative and evidence-based solutions, strategies, and policies. Fully utilizing the CGIAR's scientific competence and reputation in this complex interdisciplinary area and its vast collaborative network at all levels of the impact pathway, CRP4 will achieve meaningful outcomes and tremendously benefit the health and nutrition status of poor people, especially women and young children.

2. STATEMENT OF OBJECTIVES AND STRATEGIC FRAMEWORK

Hunger,¹ malnutrition, and poor health are widespread and stubborn development challenges. Agriculture has made remarkable advances in the past decades, but progress in improving the nutrition and health of poor farmers and consumers in developing countries is lagging behind. The recent IFPRI 2020 conference “Leveraging Agriculture for Improving Nutrition and Health” (New Delhi 2011) brought together about 1,000 stakeholders to think through how agriculture could be energized to become a more powerful tool to tackle the persistent problems of food insecurity, malnutrition, and poor health. Building on the momentum created by those discussions, the Consultative Group on International Agricultural Research (CGIAR) Research Program on Agriculture for Nutrition and Health (CRP4) is designed to fill the existing gap between agricultural development and its unfulfilled health and nutritional benefits.

2.1 The Potential Contribution of CRP4 to the Achievement of the CGIAR’s System-Level Outcomes (SLOs)

Agricultural practices and interventions can be better adapted to maximize health and nutrition benefits and to reduce health risks. This concept—the starting point for CRP4—reflects the new vision of the CGIAR Strategic Results Framework (April 2011). Improving human nutrition and health is one of the four strategic objectives of that Framework, along with reducing rural poverty, improving food security, and achieving sustainable management of resources. The CGIAR thus recognizes that nutrition and health are global priorities, and that agricultural research can have a profound influence on both of these outcomes.

Thus, while CRP4 will contribute to the achievement of all four CGIAR strategic objectives, its primary focus will be on improving human nutrition and health. In order to achieve this goal, CRP4 is designed to bring together research and development professionals across the agriculture, nutrition, and health (ANH) sectors to jointly tackle key challenges and design joint solutions. The program recognizes that increasing agricultural productivity is not sufficient in itself to improve health and nutrition, and that the three sectors need to join forces in tackling their common development goals. The persistence of high rates of maternal and child undernutrition, especially in Sub-Saharan Africa and South Asia, calls for new approaches and new partnerships across the ANH sectors. Similarly, there are persistent health risks associated with agriculture—such as water-related, food-borne, and zoonotic diseases—that also require joint solutions to be managed between the agriculture and health sectors. The CGIAR has long played a unique role as an internationally coordinated agricultural research system, and, with its partners, it is well equipped to provide leadership in developing new technologies, evidence, and applied field research for leveraging agriculture to improve nutrition and health.

2.2 CRP4 Objectives

CRP4’s strategic goal is presented in Box 1. To achieve its strategic goal, the program is organized around four components, listed in Table 1 along with their overall objectives.

¹ See Appendix 1 for a glossary of nutrition and health terms used in this proposal.

Table 1. CRP4 components and objectives

Component	Objective
1. Value chains for enhanced nutrition and health	Leverage the value chain for select nutrient-rich foods to increase the demand for, access to, and consumption of affordable and nutritious foods for the poor.
2. Biofortification	Develop and test nutrient-dense staple crops through biofortification; make these novel crops available to the poor and undernourished, either as individual staple crops or as part of a food basket.
3. Prevention and control of agriculture-associated diseases	Prevent and control agriculture-associated diseases through research for improved food safety, water quality, agricultural practices, and better control of infectious (zoonotic and emerging) diseases.
4. Agriculture, nutrition, and health — Integrated programs and harmonized policies	Exploit and enhance the synergies between agriculture, nutrition, and health, through operational and policy research that supports (1) more effective integrated community-level programming, and (2) the cultivation and strengthening of an enabling policy and institutional environment to support relevant action.

The CRP research objectives across the different components are as follows:

1. Generate knowledge and technologies to improve the nutritional quality and safety of foods along value chains (Components 1, 2, and 3).
2. Develop, test, and release a variety of biofortified foods, as well as other nutrient-rich foods that are affordable and accessible to the poor (Components 1 and 2).
3. Generate knowledge and technologies for the control of zoonotic, food-borne, water-borne, and occupational diseases (Component 3).
4. Develop methods and tools to improve the effectiveness and efficiency of surveillance and monitoring systems and to permit meaningful evaluation of complex multisectoral programs and policies (Components 1-4).
5. Produce evidence of nutritional and health burdens and benefits and of the returns to different interventions in different sectors (Components 1-4).
6. Assess and document changes in dietary and nutritional patterns and risks of agriculture-associated diseases among poor people in intensifying systems, and identify and test agricultural options to enhance nutrition and health benefits and mitigate risks of agriculture intensification in these populations (Components 1 and 3).

The four research components of CRP4 were selected based on a broad consultation process with representatives from 12 CGIAR centers and with a wide range of partners who participated in the CRP4 planning meeting in July 2010 (see <https://sites.google.com/a/cgxchange.org/mp4/home>). Taking into consideration the CGIAR's comparative advantage, the components were selected by taking into consideration the following key questions: (1) what is the nature, scope, dimension and causes of the nutrition and health problems that the CGIAR needs to address in order to achieve its strategic goal of improving health and nutrition through agriculture; (2) what opportunities exist within the current (and future) research portfolio of the CGIAR and its partners to leverage agriculture to improve nutrition and health; and (3) how can CRP4 best use these opportunities to exploit the potentially powerful synergies between agriculture, nutrition, and health and to achieve the common goal of improved nutrition and health. These considerations led the team of partners to select the four broad research components listed in Table 1.

2.3 CRP4's Strategic Framework

Figure 1 presents the overall strategic framework of CRP4. The key development challenges that the program is addressing are the stubborn problems of undernutrition and ill health that affect millions of poor people globally. Root causes of poor nutrition and health include poverty, food insecurity, gender inequity and limited access to water, sanitation, and health services. Tackling undernutrition and poor health will thus require joint ANH solutions; each sector is essential but insufficient by itself to solve the nutrition and health challenges faced by the poor. This CRP aims at bringing these three sectors together in research, development programs, and policy.

It is well recognized that poor-quality diets and related micronutrient deficiencies are a much more widespread nutritional problem than the lack of food (or calories). Although estimates from FAO suggest that the number of hungry people (lacking calories) is close to 1 billion (FAO 2009a), WHO estimates that anemia—which is largely caused by iron deficiency—affects 1.62 billion people worldwide and costs US\$50 billion in GDP losses each year (WHO 2008a). Vitamin A deficiency also affects 163 million children, or close to one-third of children living in developing countries (ACC/SCN 2010). Poor diet quality and related micronutrient deficiencies are also a major cause of the staggering numbers of stunted children in the developing world (see Appendix 2 for a list of 36 countries that account for 90 percent of the total burden of childhood stunting). The agriculture sector must provide solutions designed not just to produce *more food*, but to improve poor people's *access to nutritious foods*. Similarly, agricultural solutions are required to reduce the severe disease burden from food-borne infections and zoonotic diseases, which are associated with changes in agricultural practice and policy. Because agriculture is the main livelihood strategy for the poor, it is they who are disproportionately affected by these health and nutrition problems.

Improving health and nutrition requires actions in several sectors, but CRP4 will focus on the CGIAR's comparative advantage, which starts with agriculture. CRP4 will leverage agriculture to improve nutrition and health of the poor through four research components that will directly address the problems of poor diet quality and of vulnerability to agriculture-associated diseases of the poor. Components 1-3 focus on pragmatic nutrition and health solutions to improve the poor's access to nutritious and safe foods and to reduce agriculture-associated health risks. Component 1 focuses on opportunities to improve nutrition along value chains, from production through to consumption; Component 2 aims at improving the availability, access, and intake of nutrient-rich biofortified staple crops for the poor; and Component 3 addresses food safety issues along the value chain, including the control of zoonotic diseases and the better management of agricultural systems to reduce risk of human diseases. Component 4 addresses the need for integration among the agriculture, nutrition, and health sectors, at both the program level and the policy level (Subcomponents 4.1 and 4.2). More specifically, the inputs generated by research on Components 1-3 will be incorporated into integrated ANH programs, which will be tested, evaluated, and scaled up under Component 4 (Subcomponent 1 on integrated ANH programs). Finally, evidence generated through Components 1-4.1 and through policy research (Subcomponent 4.2) will be used to create and sustain an enabling environment, to develop institutional capacity, and to foster synergies between agriculture, nutrition, and health at the policy level.

The lower part of Figure 1 highlights some of the development impacts that will be achieved through CRP4's work, by integrating agriculture, nutrition, and health into value chains, development programs, and policies. Biofortification research and value chains focused on enhancing the nutrition and safety of foods and on stimulating the demand for such foods will lead to new options that can contribute to increasing the availability, accessibility, and consumer awareness of the benefits of high-quality and safe foods. Higher quality diets combined with lower risks of agriculture-associated diseases in the population will result in healthier, better nourished, and more productive men and women farmers. Better access to nutritious food, and better information about nutrition and food safety, will yield cross-cutting benefits to poor consumers and producers.

2.4 Target Population

This program will target two specific populations: (1) poor, food-insecure, and malnourished populations, and (2) populations affected by agricultural intensification. Increasing population, incomes, and urbanization are driving increasing demand for food, which in turn has led to an intensification of agricultural production. The expansion and intensification of agrifood systems has had enormous benefits for farmers, market agents, private-sector business, and consumers. However, in many rapidly intensifying systems, these benefits have been accompanied by negative environmental, nutritional, and health effects, including food-borne and zoonotic diseases. At the same time, despite the overall trend toward dynamic change and intensification in developing-country agrifood systems, many areas have been left behind, and people in remote and marginal areas and conflict zones have been particularly disadvantaged. In many cases, population has increased more rapidly than the capacity of agricultural production and value chains, leading to chronic food and nutrition insecurity and poor health.

- The first target group consists of poor populations who suffer from food insecurity, low diet quality and related poor micronutrient intake, and undernutrition. These populations may be served by social protection and development programs—and CRP4 will work on leveraging these programs with better-integrated ANH interventions to achieve improved health and nutrition. For those left behind, CRP4 will focus on reaching them and improving their access to either biofortified staple crops, or new and better targeted integrated ANH programs.
- The second target group consists of populations that are exposed to changing and intensifying agrifood systems in various regions of the developing world. Research must answer critical questions to assess the rapid changes in dietary patterns and lifestyles of these populations and the associated changes in health risks. Understanding these shifts is critical for designing appropriate policies, technologies, and institutional arrangements that will enhance nutrition and health benefits and mitigate risks for the poor. CRP4 will take a forward-looking perspective, given the rapid changes in many countries in its geographic focal regions.

2.5 Geographic Focus

CRP4 will focus particularly on Sub-Saharan Africa and South Asia—the two regions with the highest burden of poverty, food insecurity, undernutrition, and poor health. The latest *State of Food Insecurity in the World* report from the Food and Agriculture Organization of the United Nations (FAO) estimates that in 2005/07, 202 million people (28 percent of the population) were undernourished in Sub-Saharan Africa, and 333 million people (33 percent of the population) in South Asia (FAO 2010). The same two regions are home to the vast majority of the world's undernourished children. Appendix 2 presents the 36 countries with a prevalence of child stunting greater than or equal to 20 percent; together, these countries account for 90 percent of the global burden of childhood stunting. Several of these countries are currently the focus of new global initiatives, which require a strong commitment to nutrition from the participating Governments. One such initiative is the Scaling-Up Nutrition (SUN) movement (http://www.unscn.org/en/scaling_up_nutrition_sun/), which was launched in 2010 to stimulate leaders to focus more on nutrition and to adopt effective national policies to reduce undernutrition during the most vulnerable 1,000-day period of a child's life from pregnancy to the age of two years. The High-Level Meeting on Nutrition hosted by the United Nations (UN) secretary-general at the General Assembly in September 2011, which provided an overview of progress one year after the launch of the movement, showed that 20 countries (including high-burden countries such as Bangladesh, Ethiopia, Nepal, and several countries in Sub-Saharan Africa) have joined, and several others are in the process of doing so (see Appendix 2 for a list of SUN countries). This result reflects an unprecedented level of commitment from developing-country government leaders.

Other initiatives such as the UN's REACH (Ending Child Hunger and Undernutrition) program also support country efforts to reduce undernutrition. Similarly, the US government's Feed the Future initiative is an agriculture-focused program that supports country-driven approaches to addressing the root

causes of hunger and poverty (<http://www.feedthefuture.gov/countries.html>). CRP4 will partner with these initiatives and their networks of stakeholders in several high-burden countries. During its first year of implementation, CRP4 team members will develop a plan for engaging with these initiatives and other emerging regional or global initiatives in the areas of agriculture, health, and nutrition in several high-burden countries.

CRP4 will also link with research conducted in other CRPs, including CRP3.7 value-chain work on

- high-value animal source foods in Ethiopia, India, Mali, Senegal, Tanzania, Uganda, and Vietnam (Component 1);
- community-based ANH programs implemented by nongovernmental agencies such as Helen Keller International (HKI), Concern Worldwide, Save the Children, and Catholic Relief Services, as well as governments and other partners, in Burkina Faso, Nepal, Zambia, and other locations (Component 4); and
- institutional commodity procurement for food emergencies by agencies such as the World Food Programme (Component 3 on mycotoxins).

Targeted work will be carried out in select regions of Latin America, especially on biofortification (see component 2.2). Within these targeted regions, specific sites for research will be selected according to the locations of our partners' work on value chains and ANH development programming.

3. JUSTIFICATION OF THE PROGRAM

3.1 Agriculture, Nutrition, and Health: Essential Links

The world's poor and hungry have been hard-hit in recent years. Food and financial crises have undermined food security, bringing the number of hungry people to around 1 billion (FAO 2009a). Progress in combating maternal and child undernutrition and micronutrient deficiencies has stalled in many high-burden areas, leading to long-term, irreversible damage to the cognitive and physical abilities of many people in developing countries—and diminishing those countries' economic productivity (World Bank 2006). Maternal and child undernutrition contributes to more than one-third of child deaths and 10 percent of the global burden of disease (Black et al. 2008). Zoonotic diseases are causing unprecedented concern, threatening pandemics and placing an especially heavy burden on the world's most vulnerable people. Agriculture-related health losses are massive, accounting for up to 25 percent of all disability-adjusted life years lost (DALYs) and 10 percent of deaths in low-income countries (Gilbert et al. 2010). The economic toll of these health losses is also huge. For example, severe acute respiratory syndrome (SARS), a zoonotic disease associated with food safety, cost an estimated \$50 -100 billion² (Aguirre and Gomez 2009), and a major avian influenza pandemic could cost more than \$1 trillion (Burns, van der Mensbrugghe, and Timmer 2008). The cost of undernutrition to economic development is estimated at \$20-30 billion annually (UNICEF 2006). Without well-designed investments, programs, and policies to address these challenges, the human and economic costs will continue to be enormous.

Agriculture plays a key role in the interrelationship between nutrition and health. It is the primary source of human energy and essential nutrients; it is a source of income for 80 percent of the world's poor; and it is an essential element of human life, health, and culture. On the other hand, livestock and wild animals are the source of the great majority of human infectious and emerging diseases, and agricultural products and practices can pose serious health risks. And while increased agricultural development is fundamental for sustaining the nutrition and health of billions of people, it also contributes to many challenges—such as population growth, urbanization, and climate change—that threaten the availability of water, land, and other natural resources. Finally, millions of the world's poor are rural people who are trapped in a combination of low-productivity agriculture, poor health, and undernutrition (Ahmed et al. 2007).

The importance of agriculture for nutrition and health—in terms of both benefits and risks—is recognized now as never before. The unprecedented enthusiasm and commitment of stakeholders from all three sectors at the landmark IFPRI 2020 Conference on this topic in early 2011 strongly indicate that a global consensus from the development community is emerging on the need to act quickly (IFPRI 2011). Yet a lot needs to be done to design the approaches and tools needed to bring the three sectors together to achieve their common goals. Links among the ANH communities have traditionally been weak, jeopardizing the effectiveness and efficiency of efforts to improve health and nutrition outcomes.

Indeed, agricultural conditions and interventions may sometimes undermine health and nutrition. Agricultural intensification, for example, has the potential to exacerbate the spread of agriculture-associated diseases and to spur the development of new ones. The failure of agriculture to provide access to nutritious foods and high-quality diets may aggravate the widespread problem of micronutrient deficiencies. For example, past agricultural policies have focused on increasing production of staple cereals, without commensurate investments in productivity increases for other food commodities, leading to lower prices of food staples and higher prices for nutrient-rich foods such as pulses. Dietary *energy* thus became more affordable to the poor (up until the recent food price rises), while dietary *quality* became more expensive (Bouis, Eozenou, and Rahman 2011). The need for greater understanding of these links will become even more critical as countries face the double burden of under- and overnutrition, and the emergence of obesity and related chronic diseases among the poor.

² All dollar figures are USD.

A focus on agricultural development thus presents enormous opportunities for improving health and nutrition. The health and nutrition of vulnerable populations can be vastly improved by managing agricultural intensification in a sustainable way. Better food safety, water quality, and control of occupational, zoonotic, and emerging diseases can reduce the risk of debilitating diseases. Greater access to more nutritious and diversified diets can address maternal and child undernutrition and help tackle the huge burden of micronutrient deficiencies. Improved nutrition and health, in turn, can reduce poverty for the 1.4 billion people living on less than \$1.25 a day (World Bank 2010). A greater focus on the role of women in agriculture—as potential mediators of household and individual food and nutrition security—could accelerate improvements in the nutrition and health of women and young children. The key is to act now, as the ANH communities are beginning to recognize that they cannot meet these challenges in isolation. Only well-coordinated efforts can offer any hope of meeting the shared goals of reducing poverty, undernutrition, and ill health.

3.2 A Unique Opportunity

A succession of alarming recent events—global food price rises, threats of pandemics, and the spread of animal diseases and pests across established boundaries—have threatened livelihoods, health, and nutrition worldwide. These challenges have raised policymakers’ awareness of the problem of sectoral boundaries between disciplines and ministries, “stovepipes” that act as barriers to achieving solutions.

The need for multisectoral approaches—tools, programs, and policies—to achieve impacts at scale is now well recognized among stakeholders in all three sectors, as signaled by a burgeoning of multisectoral global initiatives: on nutrition and health, the Scaling Up Nutrition (SUN) movement and the 1,000 Days Initiative; on agriculture and food security, the High Level Task Force on Food Security’s Comprehensive Framework for Action (CFA), the Committee on World Food Security, the Comprehensive Africa Agriculture Development Program (CAADP), and the recently funded Global Agriculture and Food Security Programs (GAFSP); on infectious diseases, the One Health Initiative; and on food safety, several global food safety alliances, such as the World Health Organization’s Foodborne Disease Burden Epidemiology Reference Group (FERG), the Global Food Safety Initiative (GFSI), and the Partnership for Aflatoxin Control in Africa (PACA).

Several national governments have also realized the importance of building stronger links between agricultural growth and improved nutrition. The Indian Prime Minister, for example, has expressed great concern regarding the persistence of high rates of undernutrition among Indian children, in spite of significant agricultural growth over the past decade. China formed a national food security and nutrition committee and is planning to set up a research institute on food and nutrition under the Chinese Academy of Agricultural Science. NEPAD’s African Union program launched the African Food and Nutrition Security Day on October 31, 2010.

The IFPRI 2020 Conference provided a much-needed platform for sharing knowledge and practice in linking agriculture, nutrition, and health. It identified a huge task ahead: filling knowledge gaps, designing and scaling up innovative joint ANH programs, and creating an enabling environment for joint policy based on solid partnerships and mutual accountability.

The CGIAR, with its partners, is uniquely positioned to draw on its collective experience and research capacity in all three areas—agriculture, nutrition, and health—to start filling some of the critical knowledge gaps and to generate and communicate evidence and learning on the linkages between agriculture, nutrition, and health. This CRP is designed to make a difference to the lives of the rural poor by (1) taking a systematic view of how agriculture, nutrition, and health interact globally, nationally, and locally; (2) developing a strong body of evidence based on rigorous research to help decisionmakers evaluate trade-offs between different investments and policy options; (3) conducting action research to develop technologies that induce positive changes in the lives of the poor; and (4) fostering effective approaches that bridge sectoral boundaries. Within the CGIAR, this CRP represents an opportunity for collective action with partners at all levels of the impact pathway, from research discovery to development outputs, for achieving meaningful outcomes for poor people.

4. IMPACT PATHWAYS

4.1 Research Strategy

CRP4 is designed to strengthen the role of agriculture in improving human nutrition and health, through both enhancing its positive benefits and reducing its potentially negative effects. In creating critical linkages between agriculture, nutrition, and health, CRP4 has two overarching strategies. The first is to influence agricultural research and development efforts to more actively pursue nutrition and health outcomes. The second is to influence the health and nutrition communities to consider and include agricultural solutions for improving nutrition and health outcomes. This CRP will seek to influence and catalyze interactions among the ANH sectors in both directions.

In influencing the agricultural research community to focus on better nutrition and health outcomes, the emphasis will be on broadening the paradigm of agricultural productivity and value-chain research to ensure that food produced is more nutritious, safer, and accessible to the poor. For the *agriculture and nutrition communities*, this work will involve developing joint solutions for the delivery of better nutrition through production of higher-quality foods (such as biofortified, nutrient-rich staple crops) and through nutrition-sensitive value chains.³ Between the *agriculture and health communities*, research will focus on joint programs for the control of agriculture-associated diseases (AAD). CRP4 will also undertake joint research that brings the three sectors together to design efficient and effective cross-sectoral approaches to achieve common ANH impacts. This will work through two main areas of research partnership: to develop tools and solutions for development implementers; and to generate knowledge, evidence, and options for policy and decisionmakers.

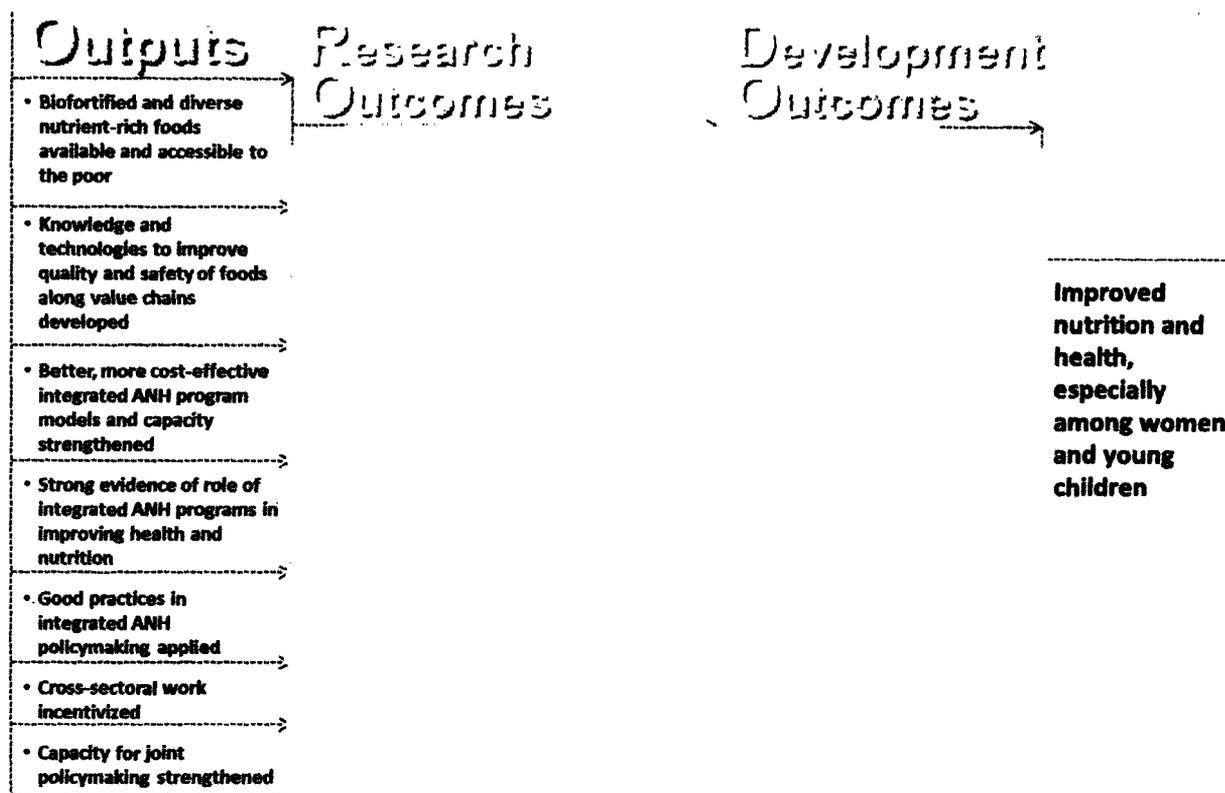
This research agenda will require incorporating innovative elements into the work of planning and implementing research. New emphasis will be placed on communication and improved information systems; integration of actions across the ANH sectors; tools and approaches for cross-sectoral policy and decisionmaking; studying agriculture intervention options (through testing, evaluation, documentation, and scaling-up) to provide evidence on health and nutrition outcomes; and integration of ANH programs into the broader social protection agenda for marginalized and vulnerable populations. A major incentive to cross-sectoral cooperation for all three sectors is the potential for far greater returns to investment and much larger impacts, as compared to interventions in single sectors.

4.2 Impact Pathways

Figure 2 highlights the strategy leading from research outputs to development impacts. CRP4 outputs, outcomes and contribution to the CGIAR system-level outcomes are presented in CRP4's performance indicators matrix (Appendix 3). CRP4 will enhance the contribution of agriculture research outputs to nutrition and health impacts through three major impact pathways: (1) *value chains* that provide more nutritious and safer foods; (2) *development programs* that successfully integrate agriculture, nutrition, and health; and (3) *policy* that promotes a supportive and enabling cross-sectoral policymaking process and investment environment.

³ Nutrition-sensitive value chains are defined here as value chains that incorporate nutrition objectives and interventions to enhance the nutrient content of foods and prevent nutrient losses along the value chain; and focus on educating the different value-chain actors, including the consumers about the nutritional benefits of the targeted foods.

Figure 2. CRP4 Impact pathway



4.2.1 Value-chain Impact Pathways

CRP4 (Component 1) focuses on enhancing and protecting the nutritional content of nutritious foods along the value chain while mitigating key food safety risks. CRP4 will add value to existing research by bringing focused attention to the quality and safety of foods in value chains. This will include collaborations with value-chain work conducted on highly nutritious foods such as livestock and fish (CRP3.7), legumes (CRP3.5), and fruits and vegetables (CRP6, World Vegetable Center, and the Global Horticulture initiative), as well as on enhancing the nutritional value and safety of staple cereals, roots, and tubers (CRP3.1, 3.2, 3.3, 3.4, and 3.6).

Figure 2 highlights four principal ways that CRP4 research will contribute to value chains:

- Providing food producers technical and knowledge inputs to produce more diverse and higher nutritional value foods (Components 1 and 2).
- Enhancing or protecting the nutritional value of foods along the value chain, from production to postharvest handling and storage, through processing and distribution to consumers. This will involve identifying *entry points* and methods to protect or enhance the nutritional value of foods, and *exit points* where nutrient losses can be prevented (Component 1).
- Providing information and knowledge to consumers to positively influence behavior in seeking more nutritious and safer foods (Components 1, 2, and 3).

- Helping regulators assess safety risks of food at different points along the value chains; developing appropriate and effective methods for mitigating public health risks while optimizing economic benefits to poor producers and market agents (Component 3).

There are several points of entry along the value chain where CRP4 research outputs can be used by different value-chain actors. The value chains important to poor people are highly diverse, ranging from small-scale, informal value chains involving only a few actors (such as farmers, traders, and consumers) to more formal value chains involving a much larger number of value-chain actors (including input providers, farmers, market agents, processors, distributors, transporters, retailers, and consumers). Many of the value chains CRP4 will engage in will be local and informal markets in rural areas. Over time, as urban demand increases, more complex value chains develop, bringing both new opportunities and greater challenges for the poor.

There are great potential benefits to links with agribusiness in developing more efficient and effective input and output markets, including the capacity to meet market demand for nutritional quality and food safety standards, but such a strategy poses the risk of leaving behind small producers and poor consumers. Two objectives of CRP4 research will be to support the ability of poor producers to participate in these new market opportunities, and to ensure that nutritious and safe foods are available, accessible, and affordable to poor consumers.

At the policy level, evidence from nutrition- and food safety-focused value-chains research would inform policymakers, regulators, and public and private investors on the nutritional, health, income, and other benefits and risks to be considered in any decisionmaking on value chains.

4.2.2 Development Program Impact Pathway

Research outputs from Components 1-3 will provide important inputs for integration into current and future ANH programs, through evaluation activities by development partners (Subcomponent 4.1). Enhanced monitoring, evaluation, and learning by development partners, supported by CRP4, will include testing and adapting and scaling-up some of the research findings of other program components. This will require CRP4 to provide inputs at critical stages in the program design, targeting, planning, implementation, evaluation, scale-up, and assessment cycle.

Outputs from Components 1 and 3 are expected to contribute to other, more specific agriculture-nutrition and agriculture-health programs implemented by development partners. For example, research in Component 3 would contribute to the public health programs for zoonotic and emerging diseases. Research in Components 1 and 2 could contribute to specific nutrition interventions by being integrated into development programs implemented by partners such as the Ending Child Hunger and Undernutrition (REACH) partnership, the Global Alliance for Improved Nutrition (GAIN), and other nutrition development actors.

4.2.3 Policy Impact Pathway

Research outputs from Components 1, 2, and 3 and Subcomponent 4.1 will provide the evidence base, knowledge, tools, and technical inputs to help decisionmakers make better investment and policy choices. In particular, better approaches for data collection, analysis, and metrics to assess cross-sectoral outcomes will be needed. CRP4 researchers will collaborate with universities, other advanced research institutes, and key developing country research institutions in this area. The ability of the CRP4 partnership to engage policymakers and national governments in evidence-based process will be critical to initial success in the first few years of the program.

While better evidence for decisionmaking is necessary, it is far from sufficient in achieving policy impacts. One step is that evidence needs to be communicated effectively so that it is useful to decisionmakers. At the moment, there is strong international and national consensus on the importance of leveraging agriculture for improving nutrition and health, which is evidenced by major international and national initiatives such as the SUN, REACH and the WHO FERG initiative on food safety, and a variety

of One Health initiatives for zoonoses and emerging diseases control. But this support can only be sustained effectively if it fits with policymaking processes. The role of CRP4 will be to bring the cross-sectoral ANH knowledge and tools into broader policy processes, in close partnership with CRP2. These processes must closely align and support broader policy approaches. Fortunately, there is increasing scope for doing this in Africa through the AU-NEPAD CAADP process that links broader continental and regional policy processes to specific policies and implementation plans at the national level. Appendix 4 provides further details of how CRP4 can link to the CAADP process. For the other major CRP4 target region of South Asia, important efforts to engage governments in policy processes will be built upon, both at regional and national levels. IFPRI has very strong links with policymaking processes and with economic research institutions in the region.

While the CRP4 research partnership can play a catalytic role in evidence-based policymaking, sustaining and deepening impacts along this pathway will require a concerted effort to strengthen the capacity in national governments for analysis, planning, program design, and evaluation of cross-sectoral agriculture-nutrition-health. Efforts have already started to develop a coalition of research and capacity training partners for this purpose. In India, the Public Health Foundation of India will be a critical partner in the interface between capacity, policy, and practice for agriculture-nutrition-health interventions.

4.2.4 Longer-Term and Broader Impacts

CRP4 will only be able to contribute to large-scale sustainable impacts through strong linkages with effective development implementers and enablers, including national governments. There are strong indications that development implementers and enablers are now, more than ever before, committed to scaling up ANH interventions. There also seems to be much enthusiasm, expressed at both the CRP4 partnership meeting in developing this proposal and the recent IFPRI 2020 Conference in New Delhi, that CGIAR research is considered important to strengthen agriculture's contribution to improving nutrition and health and providing research evidence to guide interventions, policies, and practice.

For CRP4 to be successful in contributing to these impact pathways, its research must add value to some specific and neglected areas of evidence. The first addresses how agricultural interventions can reach the malnourished and ill. This will require research that informs programs and policies that work for the poor. Clearly, gender and social science research will be critical components of this. The second addresses how interventions can enhance *food and nutrition security* by increasing the poor's access to and demand for nutritious foods. A major neglected research area that this CRP will tackle is the demand and the practices of poor consumers with respect to nutritious and safe foods. The program will also begin to address priority issues around the *environmental sustainability* of agriculture linked to better nutrition and health. There will be two initial priorities. The first will be to improve our understanding of the diversity of foods that can support nutritious diets; the second will be to look at the health risks linked to rapid and uncontrolled intensification of agricultural production system and food systems.

At the IFPRI 2020 Conference, there was an overwhelming consensus that high-quality research is missing on the impacts of multisectoral interventions and programs. Thus, a strong data and evidence research focus is planned for the first three years of the program. Results will be critical to catalyze and support the strong current momentum for national governments and international agencies around ANH initiatives. CRP4 will work toward catalyzing impacts at different scales, according to the level of partnership. At regional and international levels, impacts are potentially far-reaching. Potentially large-scale impacts, to be further refined in initial ex-ante impact assessments, can be achieved through global partnerships of several kinds. Some examples include

- The generation of research outputs to inform and support major international development initiatives in nutrition. These include the previously mentioned SUN movement, planned to operate in 36 countries and cover 2.8 billion people (356 million undernourished children); REACH, focusing on a minimum of 10 African countries and aiming to include a large agriculture for improved nutrition component; and a number of national government programs.

- Supporting integrated ANH programming implemented by government agencies and nongovernmental organizations (NGOs). This would build on the previous experience of CGIAR centers working with some large international NGOs, such as Helen Keller International and Concern Worldwide.
- The provision of evidence and good practice for food safety linked to WHO's FERG, in partnership with institutions in select African and Asian countries.
- Collaboration with international zoonotic and emerging disease control initiatives, programs, and networks (such as One Health and Ecohealth initiatives) through the World Organization for Animal Health (OIE), FAO, and WHO.
- Collaboration with international NGOs and intergovernmental development agencies on complex multisectoral decisionmaking in policy, regulations, and investments.
- Leveraging major CGIAR agricultural research investments within the new CRP portfolio. Those most likely to go to scale are (1) supporting value-chain work in other CRPs to enhance their impacts on improving nutrition and health; and (2) providing information for the scaling-up of biofortified staple crops in value chains and ANH programs.

The examples listed show that CRP4 will focus its efforts on generating regional and international public goods (IPGs) consisting of knowledge, technologies, and evidence for decisionmaking and investment. These public goods will be developed with and relevant to actors working at national and local levels, with a focus on those goods with the widest application. This IPG strategy will also involve working closely with international initiatives as vehicles for applying CRP4 outputs and outcomes for both nutrition and health as widely as possible. Using the consultative planning process described for year 1 (see Sections 5 and 6), CRP4 will further co-develop specific activities leading to priority outputs and outcomes with partners around these IPG principles and examples.

In the impact planning for CRP4, a critical element for achieving longer-term and more sustainable impacts is through the contribution to *capacity strengthening*. The CGIAR, working with its research partners, has a comparative advantage in supporting developing-country agriculture research organizations and researchers, with long experience of working collaboratively in programs to strengthen the capacity of both development enablers and implementers. A capacity-strengthening consortium is being developed to include universities and research institutions from developed and developing countries linked to CRP4.

5. PARTNERSHIPS

5.1 Principles and Practices

Agricultural research can improve the lives of the poor only by working with—and through—implementing partners, to help shape research strategies and to translate opportunities into impacts. Effective partnerships and new partnership practices will therefore be essential for achieving CRP4's ambitious research outputs and development outcomes and impacts. A partnership strategy will be developed initially, with support from IFPRI's Partnership Coordinator, to create the best conditions for carrying out the research and making full use of the subsequent findings. The partnership strategy will include a roadmap, a plan of action, and a partnership monitoring and tracking system. One of the first steps in implementing the strategy will be to do a stakeholder mapping and a landscape analysis of public health, agriculture, and nutrition research and development actors, and to identify opportunities for partnerships. This will be done both at the international level and at the level of the program focus countries.

A key strategic concept in developing the partnership strategy is value addition. The lead role in defining, designing, and implementing local policies and programs must be taken by the relevant decisionmaking organizations and their stakeholders at all levels, including research organizations; the role of CRP4 (and the CGIAR) is to add value to the efforts of these stakeholders. The concept of value addition allows CRP4 to focus on its mandate as provider of international public goods, while ensuring local relevance in implementation.

The CGIAR centers involved in this program have considerable experience in partnerships across the types of development processes involved in CRP4 (support to policy and decisionmakers, development implementers, and value-chain actors). In addition, all have experience in specific domains of ANH linkages, through previous and ongoing research and research-development partnerships as well as, collectively, through the CGIAR Agriculture and Health Research Platform. (See <http://programs.ifpri.org/ahrp/ahrp.asp> for further information.)

This impressive body of experience will be critical in fulfilling the partnership requirements of this program, which are much broader and bolder than previous endeavors. CGIAR centers have considerable depth of knowledge of partnerships: see Horton, Prain, and Thiele (2009) for a recent review of partnership literature, and ILRI's 2006 Partnership Strategy for partnership practices. At the partners' meeting held in July 2010, as part of the process of developing this proposal, tremendous enthusiasm was expressed for partnering with CRP4, as well as solid agreement on its broad framework and components. (The proposal planning documentation is available at <https://sites.google.com/a/cgxchange.org/mp4/>.) This enthusiasm reflects the growing interest and investment in the critical linkages between agriculture, nutrition, and health, and it is evident in many initiatives described in this proposal (including the IFPRI 2020 Conference noted earlier).

We identify four broad categories of partners: (1) enablers (policy and decisionmakers); (2) development implementers; (3) value-chain actors (and representatives); and (4) research partners. The unique complexity of CRP4, which requires working across sectors, calls for a range of partnership types and depths. Partnerships will be dynamic, ranging from joint fundraising and planning to implementation, including communication and dissemination of outputs. They will entail shared financial and human resources. Some will be extensive and profound; others may be limited to common research interests and the sharing of knowledge and information. Partnership relationships can also change over time, as initial research outputs move to outcomes.

In managing partnerships, CRP4 will focus on and monitor a number of principles and practices:

- Mutual accountability for achieving strategic goals, outcomes, and impacts
- Shared goals to create international public goods that will contribute to the achievement of the vision of the CGIAR, with an emphasis on improving human health and nutrition

- Mutual respect, with open and transparent discussions between partners
- Emphasis on identifying and meeting the needs of partners for evidence, innovation, and other research outputs
- Clear guidelines and practices for joint communication, publication, and sharing of credit, based on comparative advantage and consensus
- Priority support for developing country institutions and partners in building capacity and skills

5.2 Nature and Types of Partnerships

CRP4 will work with four broad categories of partners: enablers, development implementers, value-chain actors, and research partners. Each category is described below, along with examples of prospective partners.

1. **Enablers.** These partners include policy- and decisionmakers as well as investors at different levels.
 - *Intergovernmental organizations* engaged in policy and regulations related to nutrition and health, such as WHO, the World Food Programme (WFP), FAO, and OIE (World Organization for Animal Health).

Intergovernmental agencies have increased their coordination in relevant areas: nutrition, through the Subcommittee on Nutrition (SCN), the SUN movement, and the REACH initiative; food safety, through Codex alimentarius and SPS technical standards for WTO; and zoonoses and emerging diseases, around the One Health initiative. CGIAR centers have engaged with these organizations individually, around major programmatic areas, as well as collectively through the Agriculture and Health Research Platform.

- *Continental, regional, and subregional organizations* in the ANH sectors that support decisionmaking related to policy, regulations, and investment.

Recent years have seen a strengthening of capacity in these organizations, with greater harmonization of actions and political commitment, offering new opportunities for engagement. For example, major progress can be seen in the development and implementation of CAADP, at several levels: AU/NEPAD; regional economic communities (RECs); FARA and subregional organizations (SROs); and national governments.

CRP4 has several mechanisms to engage with CAADP's pillars of action to strengthen policy, decisionmaking, and capacity development. This will build on the strong and well-established role of IFPRI with AU/NEPAD in this area, as well as on the important role played by the regional centers for strategic analysis and knowledge support (RESAKSS) associated with three hubs (hosted by ILRI, IITA, and the International Crops Research Institute for the Semi-Arid Tropics [ICRISAT]/the International Water Management Institute [IWMI]). Several regional and national actors in public health will also be engaged, such as the West African Health Organization (WAHO) and the Public Health Foundation of India (PHFI).

- *International and regional development banks and other major bilateral investors* support the regional and national enablers: the World Bank, the African Development Bank, the Asian Development Bank, and the Inter-American Development Bank are significant investors in research and development in this area.
- *National governments* will be partnered for cross-sectoral policymaking, strategic planning, and capacity development, either directly or mediated through regional processes, as appropriate.

- *Civil society organizations and various public and private organizations* will be supported with knowledge and evidence relevant to key areas of policy and advocacy.
2. **Development (or program) implementers.** Several of the participating CGIAR centers in CRP4 have extensive experience in working closely with relevant government departments and nongovernmental organizations that will play a critical role in the impact pathway for CRP4. The ambition is to expand, enhance, and deepen these partnerships.
- *Government ministries* engaged in agriculture for improved nutrition and health programs (such as the Ministries of Agriculture and Health in Uganda, and other countries) who have important cross-sectoral ANH activities; and government ministries engaged in broader development programming focused on poor and marginal areas (such as Kenya's Ministry of Northern Development).
 - *United Nations and other global initiatives* that bring networks of organizations together to achieve a common goal. Examples include the global initiatives that promote multisectoral approaches to reduce poverty, food insecurity, undernutrition, and poor health; and those that support country-owned processes such as the closely-linked SUN movement, the REACH initiative, the Global Horticulture Initiative, and the One Health initiative.
 - *NGOs, civil society organizations, and farmers groups* engaged in agriculture and rural development programs to improve ANH outcomes, such as Catholic Relief Services (CRS), Helen Keller International (HKI), Concern Worldwide, Save the Children, and World Vision (WV), at both the international and local partner level. CRP4 will support evidence-based programming, including research to enhance program design, targeting, monitoring, evaluation, and scaling-up. For relatively small marginal investments, the program can help generate and disseminate knowledge and learning and improve impacts in a critical development domain, potentially leveraging billions of dollars of outside investment.
3. **Value-chain actors and their representatives:** CRP4 will work with researchers and value-chain actors and partners to *add value* to their work by focusing on the quality and safety of foods in value chains.
- *Private-sector companies and public-private initiatives* working to enhance health and nutrition through agriculture. Only a few initiatives in this area have focused on nutrition value chains and biomedical research partners. Major entry points for expansion will be along value chains for staple foods for both nutrition and health outcomes, working principally around food safety, in collaboration with other CRPs. We will expand our relationships with public-private partnerships, engaging with GAIN in the area of agriculture and nutrition and with the Global Alliance for Livestock Veterinary Medicines (GALVmed) on zoonoses.
 - *Associations and groups* provide a conduit for working with producers, value-chain intermediaries, and consumers. We will work with special interest groups (for example, consumers' associations) as well as state and other entities bringing together stakeholders (such as national dairy boards).
4. **Research partners.** CRP4 will expand beyond existing *agriculture-nutrition* and *agriculture-health* partnerships to develop new research partnerships that work across all three areas. CRP4 will build on existing partnerships and develop new ones with several types of research partners:
- *Advanced research institutes and academic institutions (universities)* will be key partners. Many of these are already well-established collaborators with CGIAR

centers around nutrition and health issues, including (for agriculture and nutrition issues) the United States Department of Agriculture (USDA), Cornell University, the University of California at Davis, and other universities; and (for agriculture and health issues) the Agricultural Research Development (CIRAD), the International Centre of Insect Physiology and Ecology (icipe), and the Universities of London, Basel (Swiss Tropical and Public Health Institute), Edinburgh, Cornell, Guelph, and others. The new Leverhulme Center for Integrated Research on Agriculture and Health (LCIRAH), coordinated by the London International Development Centre (LIDC), will be a key CRP4 research partner, especially as it is currently in the process of creating a University Network on Agriculture, Nutrition, and Health for Development.

- ***Developing-country research institutes and universities*** will be an important element of the CRP research partnerships. Current partnerships in this area will be expanded, particularly relating to zoonoses, food safety, and ecohealth, with universities in eastern and southern Africa and South and Southeast Asia. In India, key partners in research on agriculture and nutrition issues include the Tata Institute of Social Sciences, the Institute of Dalit Studies, and the Sitaram Bhartia Institute of Science and Research. Another type of partnership opportunity is offered by regional initiatives, such as the Southern Africa Center for Infectious Disease (SACIDS)—a virtual center, serving eastern and southern Africa.

5.3 Partnership Engagement and Development Process

During the consultative process for developing this proposal, partners provided comments online, and many attended a partners' workshop, resulting in two important foundational accomplishments. First, partners contributed to, and took ownership of, the research program development process, including the design of the overall conceptual framework, priority setting, and selection and definition of the key areas of research. Second, CGIAR centers and partners developed and shared an inventory of current interests, activities, and capacities to be considered for inclusion in the program, as captured in the workshop documentation (<https://sites.google.com/a/cgexchange.org/mp4/>). The partnership development process resulted in strong support and agreement on the overall framework and research plan for CRP4.

While not part of the CRP4 planning, the IFPRI 2020 Conference on ANH also provided a forum for key stakeholders working at the interface between agriculture, nutrition, and health to share perspectives and build commitment and consensus on the way forward.

CRP4 will build on these partnerships by developing a partnership strategy for various stages of the impact pathway, as well as a detailed implementation and monitoring plan for the overall program and its components and subcomponents. Social network analysis tools will be used to describe and evaluate the science and implementation networks emerging from CRP4. The program will consider and choose from a variety of potential strategic partnership mechanisms, such as *knowledge and information platforms* and *communities of practice*, and will explore how to engage existing platforms of international organizations (such as WHO and FAO, or ReSAKSS, in which several participating centers are already active)—and possibly expand their scope. CRP4 may also develop new platforms to support partners in agriculture and rural development who serve as champions in developing evidence and advocacy related to cross-sectoral ANH interventions. Critical in this will be supporting coalitions of developing-country organizations.

We find great enthusiasm as well as extensive opportunities to enhance partnerships in this area. We are committed to a partnership process that incorporates strategic thinking, systematic processes with partners, new behaviors and resources, and implementation of best partnership performance practices—the essential ingredients of a successful joint effort. The roles that CRP4 takes up with partners will be guided by the strategic directions in this proposal and further developed into specific activities with partners in the first years of the program. Changes in partnership roles will be guided by the CRP4 focus

on agricultural solutions, and research on international public goods to support development, and comparative advantage. As in any partnership arrangement, CRP4 teams will also be responsive to the demands of clients and partners as they fit with its overall focus.

In Year 1, a major activity will be to develop a partnership strategy, which will engage a specific plan for engaging and working with the key groups of partners identified above. This activity will include defining overall partnership principles, research priorities, and the roles of different partners. The strategy will then be used to proceed with joint work planning with agreed roles, responsibilities, and resource allocations among partners.

Within the overall partnership strategy, clear guidelines will be provided for:

1. internal CGIAR partnerships and the value that CRP4 can add to value-chains research and programs in other CRPs,
2. key partnerships in value chains, assessed with public and private value-chain actors, for both nutritional quality and food safety,
3. partnerships on aflatoxins with continental, regional, and national programs, other CRPs, agribusiness, and public health agencies,
4. strengthening of research–development partnerships for development programs in which agriculture, nutrition, and health issues are critical to livelihoods,
5. research networks with developing-country researchers, supported by key developing-country institutional research leaders, to identify and develop key metrics and evidence, and
6. partnerships with existing strategic analysis and knowledge support systems in Africa (SAKSS) linked to AU-NEPAD and the Public Health Foundation of India (PHFI) to develop mechanisms for using research knowledge and evidence to support policymakers and investors.

The partnership approach can be illustrated in more detail with partnerships for value chains to enhance nutritional quality and food safety. The initial focus will be to work with programs (particularly CRP3.7) that support value-chain development for complementary foods (in this case milk, meat, and fish). The partnering value chain will develop the overall framework for looking at the value chain. It will also help convene the key public and private participants and identify the role of key participants, the capacities they need, and key research and development priorities. CRP4 will identify points along the value chain at which nutrition or food safety can be enhanced, identify the roles of key actors in those nutrition and health improvements, provide specific information on consumers, and support the key actors through knowledge, tools, and evidence. An important task of CRP4 will be to define areas in which other national and international enablers can support the value-chains actors beyond CRP4. Initial experiences with value chains for complementary foods will be extended to fruits and vegetables and legumes and then to biofortified foods coming from the Harvest Plus varietal development pipeline.

Appendix 4 provides an example of partnerships for policy support using the example of CAADP. Building on current CGIAR experience with providing research evidence to support policymaking and priority setting, CRP4 will add specific nutrition and health inputs into the overall agriculture policy process and support links between the agriculture and public health sectors.

Combining partnerships with capacity building is central to CRP4's support for developing-country institutions. Appendixes 13 and 14 provide further information on specifics of capacity development that relate to proposed research outputs, outcomes, and impact areas.

6. MAIN ACTIVITIES PROPOSED TO GENERATE OUTPUTS, OUTCOMES, AND IMPACTS

This section describes the four components and their subcomponents (See Table 2) of CRP4's research program.

Table 2. CRP4 components and subcomponents

Component	Subcomponent
1. Value chains for enhanced nutrition and health	
2. Biofortification	<ol style="list-style-type: none"> 1. HarvestPlus 2. Agrosalud
3. Prevention and control of agriculture-associated diseases	<ol style="list-style-type: none"> 1. Improving food safety 2. Zoonotic diseases and diseases emerging from animals 3. Other health risks in agroecosystems
4. Agriculture, nutrition and health – Integrated programs and harmonized policies	<ol style="list-style-type: none"> 1. Integrated programs 2. Harmonized policies

A critical challenge at the proposal development stage is to define specific research activities with milestones and partner roles. Clearly, it is impossible to achieve the level of specificity needed to start implementation without extensive discussions with partners regarding their role, the nature of the different partnerships needed to carry out the research, and information on funding flows, as well as a careful joint research prioritization exercise. For this reason, CRP4 will spend a significant amount of time designing its implementation plan in year 1, relying on extensive consultation with current and potential partners, starting with other CRP teams. At this stage, we have identified a set of countries where activities pertaining to the four components of CRP4 are either ongoing or will start during the first year of implementation (see Table 3). We expect the program to expand rapidly during the first year, as team members start engaging fully with partners and other CRPs. Below we present an overview of the most promising partnerships and areas of research to be pursued in the short term and of the sequencing of partnership engagement and research prioritization for the different CRP4 components. More information is provided in the following sections, which describe each component in more detail.

For research within the value-chain impact pathway, research will initially focus on new activities on value chains for animal source foods and on enhancing and scaling up research on orange-fleshed sweet potatoes (OFSP) in collaboration with HarvestPlus. In both cases, the focus is on enhancing the nutrition and health of mothers and children younger than age two. CRP4 will thus collaborate with a subset of the target value chains in CRP3.7 (fish value chain in Uganda in the first year), nomadic dairy value chain in Senegal (jointly with CRP2), and OFSP in Mozambique and Uganda with HarvestPlus and its partners. During its first year of implementation, CRP4 will explore potential collaboration with other CRP3.7 target value chains such as small ruminant meat in Ethiopia and Mali, pig meat in Uganda and Vietnam, and milk in Tanzania and north-east India. Activities will focus on developing and applying dietary surveys, market surveys, studies of consumer knowledge and perceptions, nutrition assessment tools, and food safety assessments along these target value chains in years 1 and 2. In addition to these target value chains, CRP4 will emphasize activities for the control of mycotoxins in value chains. The role of CRP4 will be to develop an overall risk framework for mitigating aflatoxin in key value chains (such as maize and groundnuts with CRPs 3.2 and 3.5) and to develop risk assessment methods and mitigation options to be applied in larger initiatives such as the Program for Aflatoxin Control in Africa (PACA). The relative roles of approaches and technologies need to be harmonized in year 1 with the leaders and key scientists of CRP3.2 and 3.5.

Beyond year 2, value-chain activities in CRP4 will expand to include other nutrient-rich foods such as fruits and vegetables (with AVRDC), legumes (with CRP3.5), and new biofortified crops as they become available (with HarvestPlus in Component 2). CRP4 will document the tools and approaches developed; widely disseminate results through peer-reviewed journals, research and policy briefs, and workshops and seminars; and train stakeholders, to ensure that these tools and approaches are used to replicate, scale up, and motivate future investment in value chains for nutrition and food safety.

For research within the program impact pathway, nutritional assessment methods and, when applicable, strategies for assessing and controlling agriculture-associated diseases will be applied within the broader development program planning and implementation activities led by partners. Initial activities in CRP4 will focus on beginning new work with CRP1.3 on aquatic systems and livelihoods in Bangladesh and Zambia, expanding ongoing partnerships with Helen Keller International from Burkina Faso to Nepal, and strengthening partnerships with Concern Worldwide in Zambia. In year 1, we will actively explore opportunities to engage with other development initiatives (for example, following up on initial discussions with REACH). Priorities for specific agriculture-associated diseases will be established with existing food safety and zoonotic disease platforms in Africa (SACIDS, Afrique One) and Asia (PHFI in India and a variety of programs in Southeast Asia). Through discussions with partners, we expect to develop a clear strategy for how CRP4 can support development partners for both nutrition and health programs by the end of year 2. In many cases, CRP4 activities will be able to take advantage of already established or developing partnership platforms.

Research activities within the policy impact pathway will start in year 1 by exploring complementarities and potential partnerships with new policy research programs in the areas of agriculture and nutrition and knowledge management in nutrition. The two new DfID-funded projects—Transform Nutrition (TN), a six-partner consortium led by IFPRI, and Leveraging Agriculture for Nutrition in South Asia (LANSA), a six-partner consortium led by the Swaminathan Research Foundation and including IFPRI as a key partner—provide an excellent opportunity for collaboration with CRP4. Both projects aim at improving nutrition in Sub-Saharan Africa (TN) and South Asia (both projects) by scaling up direct nutrition interventions and leveraging other sectors, especially agriculture. In India, a similar opportunity is offered by the new IFPRI-led Partnerships and Opportunities for Strengthening and Harmonizing Actions on Nutrition (POSHAN) supported by BMGF (see Box 10, Section 6.4.4 for a short description of these projects).

Given the novelty of cross-sectoral policymaking, research under this component will be developed over a longer period of time. In Africa, CRP4 will develop a clearer action plan in collaboration with AU-NEPAD by the end of year 2 and will link to country-level implementation plans for regional economic communities. These activities will build on the existing capacity of the Strategic Analysis and Knowledge Support (SAKSS) programs linking the CGIAR centers and the CAADP initiative. In South Asia, CRP4 will rely on existing agricultural policy efforts linked to the PHFI. A strong element of improved policymaking and investment decisions is the evidence base for decisionmaking. During the first year, CRP4 will develop a strong research network of academic partners in universities and research institutes in its target regions of Africa and Asia and in developed countries. The goal will be to have in place a useful set of metrics, tools, and approaches to support the policy impact pathway by the end of year 3.

Table 3. CRP4 focus countries in first year of program implementation

	Component 1	Component 2		Component 3			Component 4	
	Value chains	HarvestPlus	AgroSalud	Food safety	Zoonoses	Other risks in agro-ecosystems	Programs	Policies
Africa								
Kenya								
- Enteric pathogens				X				
- Emerging disease (RVF) (4 projects)					X	X		
- Zoonoses in western Kenya					X			
- Mycotoxins (several projects)				X				
Uganda								
- Fish value chain (with CRP3.7)	X			X				
- OFSP value chain and dissemination (with H+)	X	X					X	
- Food safety in smallholder pig chain				X	X			
Mozambique								
- OFSP value chain and dissemination (with H+)	X	X					X	
Zambia								
- ANH programs with Concern							X	
- Provitamin A in maize		X						
- Possible ANH work with CRP1.3 on fish and livelihoods							X	
Senegal								
- Nomadic dairy value chain (with CRP2)	X			X				
Burkina Faso								
- ANH programs with HKI							X	
DRC								
- Provitamin A cassava and iron beans		X						
Rwanda								
- Iron beans		X						
Nigeria								
- Provitamin A cassava		X						
Multiple countries (Africa)								
- Participatory epidemiology (2 projects)					X			
- Harmonization of milk hygiene regulations in East Africa				X				X

(continued)

Table 3. (continued)

	Component 1	Component 2		Component 3			Component 4	
	Value chains	HarvestPlus	AgroSalud	Food safety	Zoonoses	Other risks in agro-ecosystems	Programs	Policies
Asia								
Bangladesh								
- Fish systems, fish ponds, programs and livelihood (with CRPI.3)							X	
- Zinc in rice		X						
- Agriculture, food security, and nutrition policies (with Feed the Future IFPRI-led program) and DfID-funded LANSAs and Transform Nutrition (TN)								X
India								
- Zinc in rice		X						
- Agriculture and nutrition policy research with LANSAs and TN								X
- Milk safety in Assam				X				
Pakistan								
- Zinc in wheat		X						
- Agriculture and nutrition policy research with LANSAs								X
Nepal								
- ANH with HKI and Save the Children							X	
- Agriculture and nutrition policy research with TN								X
Vietnam								
- Food safety in smallholder pig value chains				X				
Multiple countries (Southeast Asia)								
- Ecohealth (6 countries): Cambodia, China, Indonesia, Laos, Thailand, Vietnam				X	X	X		
Latin America								
Brazil								
- High-iron and high-zinc beans and rice			X					
Colombia								
- High-iron and high-zinc beans and rice			X					

Additional details of activities, outputs, and outcomes are in Table 4 (Component 1), Tables 7–10 (Component 2), Tables 12, 14, and 16 (Component 3), and Tables 18 and 20 (Component 4).

To meet the overall milestones that will be further specified with partners in detailed discussions in year 1, capacity development across the range of research activities and partnership development activities will be critical. Research activities linked with broader organization activities, milestones for partnerships, and key capacities to be developed are listed in Table 21.

6.1 Component 1: Value Chains for Enhanced Nutrition

6.1.1 Rationale, Objective, and Research Questions

Rationale

The challenge of addressing food security is not simply a matter of ensuring that all people have enough food—or energy (calories)—to live a healthy life. A much more daunting problem is to ensure that poor people have access to *nutritious*⁴ and high-quality diets. Typically, poor households subsist on monotonous staple-based diets; they lack access to nutritious foods, such as fruits, vegetables, animal source foods (fish, meat, eggs, and dairy products), or wild foods of high nutrient content. Lack of diversity in the diet is strongly associated with inadequate intake and risks of deficiencies of essential micronutrients (Ruel 2003; Leakey 1999; Arimond et al. 2010). The resulting deficiencies have far-reaching health and nutrition consequences, both in the short and the long term. Economic constraints, lack of knowledge and information, and related lack of demand for nutritious foods are critical factors that limit poor populations' access to such foods.

Food production is just one factor in the consumption and availability of nutrients. Food is stored, distributed, processed, retailed, prepared, and consumed in a range of ways that affect the access, acceptability, and nutritional quality of foods for the consumer. Producing for consumption in the home or for local markets remains important in many places; but today, the more market-oriented nature of agricultural policies means that more farmers are net-food buyers and are thus affected by commercial markets.

Value-chain concepts and approaches have been widely used in international development (and in the CGIAR) with the objective of enhancing the livelihoods of food producers. Although they often address food safety issues, value-chain analyses rarely incorporate nutritional and other health considerations (Hawkes and Ruel 2011). The food supply chain is most often discussed from the perspective of value-chain actors—the *supply* side. Little emphasis is placed on how informed consumers can play a role in influencing the value chains, and how changes in the *demand* for specific foods can influence the processes and outputs of value chains. There is also little emphasis on how actors along the value chain can be better informed on how to enhance nutritional value and safety of foods as they move along the value chain.

This component will build on work on value chains carried out by the CGIAR and other partners on nutritious (usually high-value) foods.

- It will develop new approaches and tools to analyze the value chain, using a “nutrition lens” combined with a consumer focus.
- It will implement research to identify leverage points to enhance the nutritional value of select nutrient-rich foods.

⁴ Nutritious (or “nutrient-rich”) foods are defined as foods high in essential nutrients, including animal source foods (fish, meat, eggs, and dairy products), fruits and vegetables, biofortified staples, fortified foods, and traditional local crops sourced from biodiverse systems (including neglected and underutilized species and wild foods). Specialized processed and/or fortified foods for populations with special needs (acutely malnourished children, people living with HIV/AIDS, infants) are also included in nutrient-rich (or nutritious) foods. Medicinal plants, although not classified as foods, represent an additional potential set of commodities that may be explored in this component, in partnership with CRP6.

- It will develop tools to assess and correct information asymmetries regarding nutrition among different value-chain actors, including consumers.

Component 1 will focus on increasing the demand for nutritious foods among poor rural and peri-urban, marginal households, and on identifying leverage points along the value chain where innovative nutrition interventions can be incorporated to stimulate both the supply and the demand for nutritious foods.

Boxes 2–5 present case studies that illustrate some emerging work incorporating nutrition considerations and interventions into value chains (Hawkes and Ruel 2011). They show that value-chain concepts and approaches offer considerable potential for enhancing efforts to improve nutrition, and they provide a framework for identifying and implementing opportunities to leverage agriculture for improved nutrition.

The first case study (Box 2) describes an ongoing program aimed at strengthening the *bean* value chain in Uganda, to foster both nutrition and income gains among small-scale farming households. We note that 77 percent of farmers involved in the production, harvesting, and marketing of beans in the study area are women, and that women also play a central role in decisions regarding food preparation and distribution as well as child feeding and care. The program thus has great potential to improve the food security and nutrition of household members, and especially of young children.

In the second case study (Box 3), a value-chain approach was used to create a market and stimulate the demand for—and consumption of—a new type of sweet potato: *a biofortified, vitamin A-*

rich orange-fleshed sweet potato (OFSP) in Uganda and Mozambique. This study is an excellent example of a value chain for a newly introduced nutritious product that includes specific nutrition goals: to increase not only production of OFSP but also its consumption, as well as the vitamin A intake and status of poor households, with a focus on women and young children. The rigorous evaluation carried out in both countries shows that the approach was highly successful in enhancing production, market opportunities among small-farm producers, and consumption of OFSP, resulting in greater vitamin A intake among particularly vulnerable groups—mothers, infants, and young children.

In the third case study (Box 4), demand and supply for *traditional green leafy vegetables* were raised in tandem, by working with producers within existing local production and consumption systems. The project aimed (1) to promote nutritious, traditional foods to increase demand; and (2) to open markets

to respond to this demand, potentially enhancing producers' income. Women continue to be the main actors in African leafy green vegetable production and marketing—a positive aspect that can be leveraged to enhance the economic empowerment of women.

The last case study (Box 5) addresses some of the constraints to preserving the nutritional, safety, and monetary value of *fishery products* in Bangladesh. Fish value-chain analysis allowed the identification of alternative processing techniques that can help preserve nutritional value, prevent losses along the value chain, ensure the safety of the products, and stimulate demand. Small fish, eaten whole, are a particularly rich source of essential micronutrients and can be used to enrich maternal and child diets at a low cost.

Objectives

The overall objective of this component is to leverage the value chain for select nutrient-rich (high value) foods to increase the demand for, access to, and consumption of affordable nutritious foods among poor rural and peri-urban marginal households, with a particular focus on benefiting vulnerable women, infants, and young children.

The specific objectives are listed below and illustrated in Figure 3.

Specific Objectives

1. Characterize the dietary patterns of vulnerable and marginal populations and communities; identify the available nutrient-rich foods that could be made more accessible to these communities through value chains.
2. Understand information gaps and constraints to the consumption of nutrient-rich foods (economic, social, and cultural).
3. Develop, test, and evaluate new tools to increase awareness, access to information, and knowledge among consumers to stimulate demand for nutritious foods.
4. Identify nutrition entry points (where nutrients are gained) and exit points (where nutrients are lost), at different points along the value chain; test new models to enhance or protect the nutritional value of foods (including fortification) during postharvest handling, processing and preserving, transportation, distribution, storage, and food preparation.
5. Evaluate the impact and cost-effectiveness of the approaches developed and tested in objectives 3 and 4 in enhancing demand for, and access to, these targeted nutrient-rich foods among rural and peri-urban poor populations.

Figure 3 shows a simplified value chain. On the right are shown some of its key actors; on the left are the list of objectives and the related broad categories of activities to be undertaken under this component. The figure shows that the starting point in this approach is the consumer rather than the producer (as in typical value-chain work); the ultimate goal is to stimulate demand and increase access for the poor to nutritious food, instead of the usual focus on enhancing production and producer income.

Figure 3. Research strategy for enhancing nutrition along the value chain



Research Questions

Objective 1. Characterize dietary patterns and identify available nutrient-rich foods.

- What are the dietary patterns of consumption and use, in target populations, of traditional local foods, animal source foods, fruits and vegetables, biofortified staple foods, and processed (including micronutrient-fortified) foods?
- How do these patterns differ across different groups of consumers, as defined by gender, education, household composition, income level, culture, geographic location, access to markets, and levels of food self-sufficiency?
- What are the changes in dietary patterns and nutritional quality of foods produced and consumed associated with different patterns of agriculture intensification along value chains?
- What is the nutritional value of these nutrient-rich foods (both nutrient content and functional properties)? How is their nutritional value affected by postharvest handling, processing, storage, and food preparation?
- Can diversified agricultural production be scaled for commercial use while maintaining biodiversity and ecosystems, and improving human nutrition and health? What does agricultural biodiversity imply for peri-urban value chains, and what do trends in peri-urban markets imply for potential success of agricultural biodiversity?
- How adequate is the supply (quantity, quality, and seasonality) of nutrient-rich foods at informal and formal markets?
- What is the cost of these nutrient-rich foods in these settings? What contributions do they make (or could they make) in the diet of the poor? Which nutrient gaps do they (or could they) fill, especially for vulnerable women and young children?

- What is the potential of biodiverse systems in providing rich and varied sources of nutrients for foods? How does this contribute to household consumption and diet quality or income generation? (Examples of such systems include root and tuber crop diversity in the Andes, sweet potato in Papua New Guinea, leafy green vegetables in Kenya, and minor millets in India.)

Objective 2. Understand information gaps and constraints to consumption.

- What are the main constraints to consumption and use, in target populations, of traditional local foods, animal source foods, fruits and vegetables, biofortified staple foods, and processed foods—including cultural, economic, availability, and information constraints? What are the constraints to better use of local knowledge of biodiverse systems to improve the nutrition of households?
- What are the opportunities and barriers to increasing demand for and consumption of these nutrient-rich foods among the poor? What is the role of women in decisionmaking regarding food purchases and intrahousehold distribution?
- What is the current level of nutritional knowledge and awareness of consumers and actors along the value chain regarding nutrition, during phases of food processing, handling, and preparation? What sources of information do they trust the most for information regarding healthy diets and nutrition? How is information diffused and acquired? What is the role of social networks in knowledge diffusion?
- What is the willingness of poor rural and peri-urban consumers to pay for foods that are rich in nutrients? How can their willingness to pay be increased (for example, through education, information dissemination, and media)?
- How do the previous four questions vary across different groups of consumers, as defined by gender, education, household composition, income level, culture, geographic location, access to markets, and level of food self-sufficiency?

Objective 3. Develop, test, and evaluate new tools to increase knowledge and awareness regarding nutrition among key value-chain actors.

- What are the most efficient and effective approaches, methods, tools, and media outlets to disseminate information and raise public awareness about nutrient-rich foods? How can the value chains be leveraged to inform value-chain actors, including consumers?
- How can women participate more actively in various processes along the value chain and play a greater role in producing high-quality nutrient-rich (and commercial) products, as well as in shaping the demand for such foods?
- What is the nutritional impact of commercial producers' participation in rural markets for the poor?

Objective 4. Identify nutrition entry and exit points, and test new models to enhance nutrition along the value chain.

- For nutrient-rich foods, what are the potential points of entry to enrich, replace, or preserve nutrients along the value chain?
- What exit points along the value chain should be mitigated to avoid nutrient losses?
- What is the added cost of making nutrient-rich foods more nutritious along the value chain?

- How can local value chains be used to produce specialized products for populations with special needs (such as undernourished or pregnant mothers, persons living with HIV/AIDS, and infants)? Strategies might include ready-to-use therapeutic foods, fortified blended foods, biofortified crops, and improved complementary foods.
- What nutritious products could be developed and promoted from available local foods and underutilized crops? What scale would be appropriate and cost-effective for local production from biodiverse systems of affordable, high-quality, specialized foods for these vulnerable population groups?
- How can women farmers be linked in as producers and processors of nutrient-rich foods, or as ingredient suppliers to commercial manufacturers of specialized foods?
- How are different entry and exit points likely to change with intensification of production and increasing length and complexity of value chains?

Objective 5. Evaluate the impact and cost-effectiveness of the approaches developed under Objectives 3 and 4.

- What is the impact of the approaches developed in Objectives 3 and 4 on availability, access, and consumption of nutrient-rich foods among the target populations? How does the impact differ across groups of consumers, as defined by gender, education, household composition, income level, culture, geographical location, access to markets, and level of food self-sufficiency?
- What is the impact on particularly vulnerable subpopulation groups, such as the poorest of the poor and women and young children within poor households?
- What is the cost-effectiveness of the different approaches developed?
- What are the lessons learned for other value chains in other contexts, and at a greater scale?
- How are the trade-offs addressed between economic gains for producers and other actors along the value chain for nutrient-rich foods and the higher cost for consumers? Are consumers willing to pay for additional nutritional value of foods? Which consumers? What happens to the poorest of the poor?

Researchable Hypothesis

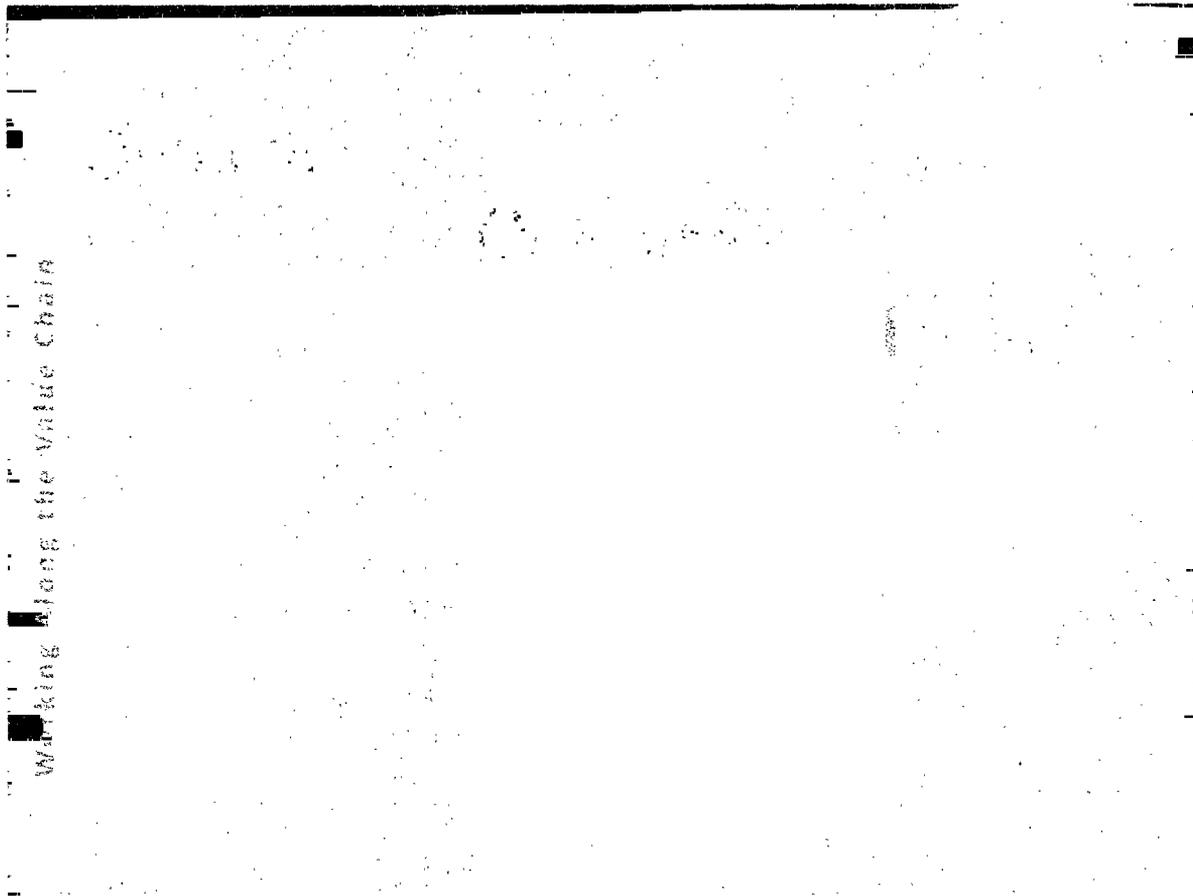
This component will test the main hypothesis that value chains are a feasible, effective, and cost-effective approach to improve the supply of and demand for select nutritious foods among poor populations and nutritionally vulnerable individuals such as women and young children. Several excellent tools and methods exist to analyze value chains for poverty reduction or to increase small farmers' access to markets, but none have been developed yet to test the feasibility of incorporating nutrition goals and interventions into value-chain development. CRP4 will take up the challenge and develop and test these tools in order to answer the key development question of whether or not leveraging value chains is a cost-effective way of improving nutrition outcomes among the poor.

6.1.2 Impact Pathway

This component will have the desired impact if it contributes to increasing the demand for—and access to—a larger variety of affordable nutritious foods, among vulnerable and marginalized households in rural and peri-urban areas. This will result from (1) enhanced nutritional knowledge and awareness created among value-chain actors, including consumers, and (2) the greater selection of affordable nutrient-rich foods available through informal and formal markets. The pathway to achieving these impacts will be mediated through the following three outcomes (as shown in Figure 4):

1. Tools developed to enhance consumer knowledge, awareness, and willingness to pay for nutritious foods are used broadly to create demand for such foods among the poor.
2. Models developed and tested to enhance nutrition along the value chain are adapted and used for other commodities, as well as for replication and scale-up in other contexts.
3. Nutritional considerations, analysis, and interventions are increasingly incorporated in value-chain research and development.

Figure 4. Impact pathway of Component 1



Commodities with intrinsic nutritional value that are typically out of reach for poor consumers (and that tend to be sold for income rather than consumed by producer households) will be prioritized for value-chain analysis and improvement. Research outputs from work on these selected food commodities will fall into three categories:

1. detailed information on diets, consumption patterns, and access constraints for the poor to nutritious foods
2. new tools and approaches to measure and increase consumer awareness, knowledge, and willingness to pay for nutritious foods
3. new cost-effective models to improve the nutritional value of these foods through the value chain

The research in this component will be closely linked with food safety research in Component 3 to provide outputs to enhance nutritional quality and food safety along the value chain. This will require significant engagement with three key stakeholder groups involved in value-chain work.

1. The CGIAR and other research institutions working on highly nutritious food value chains. For example, close linkages are planned with CRP3.7 for meat, dairy, and fish along with their partners, and with CRP2 and partners for the promotion of nutrient-rich food production.
2. Development actors involved in social protection programs or in integrated ANH programs promoting healthy diets and increased demand for nutritious foods.
3. The private-sector food-chain actors, which are increasingly engaged in the production, processing, distribution, and marketing of specialized foods and nutrient-rich foods.

Private-sector initiatives include programs focusing on the distribution and demand creation for specialized foods and locally produced fortified products targeted to vulnerable groups such as pregnant or lactating women, young children, or other individuals with special needs. A key actor will be pharmaceutical companies involved in nutrition product development and in fortifying foods with essential micronutrients, such as DSM, Nutriset, and others. The Global Alliance for Improved Nutrition (GAIN), which facilitates private-sector investment in adding nutritional value to foods along the value chain, will be an important partner and enabler for this component. Other key actors include the United Nations (UN) REACH initiative, WFP and its development assistance programs, and governments and nongovernmental organizations implementing social protection and targeted nutrition programs, to name a few.

6.1.3 Activities, Outputs, and Outcomes

The proposed activities, with related outputs and outcomes are presented in Table 5, listed by objective. These activities, outputs and outcomes are still broadly defined, but specificity will be achieved when they are applied to the target value chains selected for research under CRP4. A tentative plan for target value chains, countries and key partners for CRP4's work on value chains for nutrition is presented in Table 4 by year of implementation. This plan will be revised during the first year of implementation, after extensive discussions with a broad range of CRP4 partners are held, including with teams from other CRPs. As noted above, CRP4's approach in value chain will be to build on the work carried out by the CGIAR and its partners on value chains for nutrient-rich food and to incorporate nutrition in existing work, rather than developing a new value chain research portfolio. An example of the approach that CRP4 will use to complement other CRP work on value chain is presented in Appendix 5 for tilapia and catfish in Uganda in collaboration with CRP3.7. The example illustrates the types of activities, outputs and outcomes that CRP4 will add to CRP3.7's work and how this joint work will add value to the work of both CRPs and enhance their impacts on the nutrition and health of poor populations. Appendix 6 presents another example of potential research that may be undertaken in collaboration with Bioversity on value chains for nutritious local and traditional foods and neglected and underutilized species.

Table 4. Preliminary list of value chains and countries that will be included in the CRP4 value chain for nutrition research in the first five years of the program

Country	Value chain	Main partner	Links with other CRP4 components
Year 1			
Uganda	Fish	CRP3.7	- Food safety
Uganda	OFSP	HarvestPlus	- Biofortification
			- Integrated ANH programs
Mozambique	OFSP	HarvestPlus	- Biofortification
			- Integrated ANH programs
Senegal	Dairy (nomadic populations)	CRP2	- Food safety
Year 2 (countries still to be determined; options are listed)			
Mali	Small ruminant meat	CRP3.7	- Food safety
Ethiopia			- Integrated ANH programs
Uganda	Pig meat	CRP3.7	- Food safety
Vietnam			- Integrated ANH programs
Tanzania	Milk	CRP3.7	- Food safety
India			- Integrated ANH programs
Year 3-5 (countries and specific commodities to be determined)			
Tbd	Fruits	CRP6	- Integrated ANH programs
	Vegetables	World Vegetable Center	
Tbd	Legumes	CRP3.5	- Integrated ANH programs
Tbd	New biofortified crops	HarvestPlus	- Biofortification
			- Integrated ANH programs

Notes: Abbreviations: ANH = agriculture, nutrition and health; OFSP = orange fleshed sweet potatoes; Tbd = to be determined.

6.1.4 Priority Setting and Sequencing of Activities

The first activity in this component will be to finalize the selection of suitable value chains and contexts to initiate research on integrating nutrition considerations and interventions into value-chain research and development. Initial discussions with partners led to the selection of the four value chains listed in Table 4 for year 1, but additional discussions are needed to make a final selection of value chains to initiate work in years 2 and beyond. The team will therefore organize a meeting of relevant CGIAR centers, CRPs, and other partners who are working on value chains for nutrient-rich foods such as animal source foods, legumes and fruit and vegetables. Examples of experts and partners for this workshop include those working on CRP3.7 on meat, dairy, and fish and on CRP3.5 on grain legumes; experts working on biodiversity (including staff from Bioversity and partners); staff and partners from the World Agroforestry Centre (ICRAF) and the World Vegetable Center working on fruits and vegetables; staff working on biofortification (Component 2 of this CRP); and development partners such as REACH, GAIN, and private companies interested in working on value chains for enhanced nutrition. The outcome of this initial workshop will be the confirmation of plans regarding the selected value chains for year 1; the selection of four to five additional value chains for start-up research in years 2 and 3; and plans for sequencing these value chains in the course of the first 3 years of the program. Follow-up workshops with each value chain team will be conducted to draw a detailed work plan.

The criteria for value-chain selection will include a series of factors, including (but not limited to) the potential to effectively reach the poor and improve their access to nutritious foods, the likelihood of success in working with value-chain actors on incorporating nutrition interventions, and the goal of working in a diversity of environments, contexts, countries, and populations, including populations exposed to different stages of economic development, market access, and agroecological zones.

Once value chains and contexts are selected, work will be phased in, in roughly the order in which the activities are listed in Table 5. Research under Objectives 1 and 2 will be launched in Years 1-2; this will include a series of assessments using quantitative multilevel surveys, qualitative enquiry, social network censuses, nutritional analysis of foods (where relevant), and non-survey methods to assess consumers' acceptance and valuation of nutrient-rich foods. This rich information will be used, starting in Year 3, to address Objectives 3–5. Tool and method development and impact evaluation (starting with baseline in Year 3) will be implemented gradually in different contexts in Years 3–5 and will take perhaps three-to-five years to complete, depending on the scope and rigor of the evaluation methods selected. We therefore envision, at a minimum, a ten-year process to complete a full set of case studies and to generate the planned research outputs and outcomes.

6.1.5 Methods

A variety of quantitative and qualitative methods will be used to address the five objectives of this component. Table 6 provides an overview of methods and indicators that will be used for each objective. Note that all analyses will generate gender-disaggregated data, where relevant.

Table 5. Activities, outputs, outcomes, and impacts of Component 1, by objective

Activities	Outputs	Outcomes and Impacts
Objective 1. Characterize the dietary patterns of vulnerable and marginal populations and identify the available nutrient-rich foods that could be made more accessible to these communities through nutrition-sensitive value chains		
Years 1-2: for 5-8 value chains/contexts		
<ul style="list-style-type: none"> • Dietary surveys and qualitative research to characterize dietary patterns of target populations • Lab analyses of nutrient content of food (including lesser known, traditional and local foods) and losses during processing (if value chain with Bioversity is included in year 2) • Market surveys on availability and cost of target foods (and other nutrient-rich foods) within markets; and on market access of target populations 	<ul style="list-style-type: none"> • Data on food consumption patterns, use of target and other nutrient-rich foods, determinants of use, and nutrient gaps among target population • Database on nutritional value of lesser known and local foods (if work on biodiversity is included) • Data on dynamics of food purchases, own-production, purchase and sales of nutrient-rich foods, cost, market access among the poor 	<p>Methods, data and evidence used to design programs/initiatives to increase access to nutritious foods by the poor</p> <p>Impact: programs to increase access to nutritious foods are better designed</p>
<p><i>(Senegal (dairy) and Uganda (fish) in year 1; 4-5 other commodities/contexts in year 2, including possibility of work on biodiversity; information on OFSP in Mozambique and Uganda already available)</i></p>	<p><i>Evidence regarding availability, access, use, processing (and nutrient content) of foods; and identification of nutrient gaps in targeted populations and individuals (in Senegal, Uganda, Mozambique, and 4-5 other countries/contexts)</i></p>	
	<p><i>Methods and tools to generate this evidence tested and well-documented</i></p>	
Objective 2. Understand information gaps and constraints to consumption of nutritious foods (e.g., economic, social, cultural constraints)		
Years 1-2: for 5-8 value chains/contexts		
<ul style="list-style-type: none"> • Qualitative and quantitative research on consumer knowledge, attitudes, awareness, preferred source of information • Non survey methods to assess consumers' acceptance, valuation and willingness to pay for nutrient-rich foods • Quantitative and qualitative research to assess food preparation and storage methods, knowledge, awareness, attitudes, sources of information, and to identify knowledge gaps 	<ul style="list-style-type: none"> • Data on poor consumers' knowledge and awareness about nutritious foods; and preferred sources of – and channels for information on nutrition and health • Tools to assess, and data on poor consumers' willingness to pay for nutritious foods and preferences (types, format) of these foods • Data on food preparation and storage methods and knowledge gaps identified in this area 	<p>Information used to design effective behavior change and communication (BCC) strategies to improve demand for nutritious foods and to tailor supply of attractive, culturally acceptable and convenient nutritious foods for targeted populations</p> <p>Impact: BCC strategies to stimulate demand for nutritious foods among targeted poor populations are better designed and more effective</p>
<p><i>(Senegal (dairy) and Uganda (fish) in year 1; 4-5 other commodities/contexts in year 2; information on OFSP in Mozambique and Uganda already available)</i></p>	<p><i>Improved understanding of consumers' knowledge, awareness, preferred sources of information, and willingness to pay for nutritious foods (in Senegal, Uganda, Mozambique, and 4-5 other contexts)</i></p>	

(continued)

Table 5. Activities, outputs, outcomes, and impacts of Component 1, by objective (continued)

Activities	Outputs	Outcomes and Impacts
Objective 3. Develop, test, and evaluate new tools to increase awareness, access to information, and knowledge among consumers to stimulate demand for nutrient-rich foods		
<i>Implementation starting in Years 3–5; completion in Years 6–10 for 5-8 value chains/contexts</i>		
<ul style="list-style-type: none"> • Research in collaboration with program implementers to test and evaluate tools and materials to increase consumer knowledge and awareness of, and stimulate demand for nutritious foods 	<ul style="list-style-type: none"> • Tools to increase poor consumers’ awareness and promote consumption of nutritious foods developed, tested and evaluated nutrition interventions identified and prioritized for research under this component • Portfolio of information, education and communication materials developed and available 	<p>Tools and materials widely used by private sector, NGOs, governments, and consumers to increase consumer awareness and stimulate demand for nutritious foods by the poor</p>
<p><i>(Senegal (dairy), Uganda (fish) in year 1; 4-5 other commodities contexts in year 2; information on OFSP in Mozambique and Uganda already available)</i></p>		
Objective 4. Identify nutrition entry points (where nutrients are gained) and exit points (where nutrients are lost) along the value chain, and test new models to enhance or protect the nutritional value of foods during postharvest handling, processing and preserving, transportation, distribution, preparation, and storage		
<i>Implementation starting in Years 3–5; completion in Years 6–10 for 5-8 value chains</i>		
<ul style="list-style-type: none"> • Value chain analysis to assess points of entry/exit where nutrient content can be enhanced/protected in select value chains • Testing and evaluation of tools and technologies to prevent losses, increase nutrient content of nutritious foods along the value chain 	<ul style="list-style-type: none"> • Top performing technologies identified and tested to enhance nutrition along the value chain for target nutritious foods <p><i>Evidence generated from 5-8 value chains of the feasibility of leveraging value chains to improve access to nutritious foods by the poor</i></p>	<ul style="list-style-type: none"> • Tools and technologies developed to enhance nutrition along the value chain are used by a variety of value chain actors • Evidence of the <i>feasibility</i> of enhancing nutrition along the value chain motivates use of methods, tools and technologies by different stakeholders for new value chains <p>Impact: Value chains provide a greater variety of nutritious foods that are more accessible to the poor</p>
<p><i>(Senegal (dairy), Uganda (fish); and 4-5 other commodities/contexts)</i></p>		
Objective 5. Evaluate the impact and cost-effectiveness of the approaches developed under Objectives 3 and 4		
<i>Implementation starting in Years 3–5; completion in Years 6–10 for 5-8 value chains</i>		
<ul style="list-style-type: none"> • Design and carry out impact and cost-effectiveness evaluation of approaches developed in 3 and 4 	<ul style="list-style-type: none"> • Evidence generated from at least 5 contexts of impact and cost-effectiveness of leveraging value chains to improve access to nutritious foods (supply) by the poor and to stimulate demand for such foods through successful BCC (demand) 	<ul style="list-style-type: none"> • Evidence generated regarding the impact and cost-effectiveness of enhancing nutrition through value chains in at least 5 contexts leads to greater investments in value chains and BCC to improve nutrition, and use of tools and methods developed by large number of stakeholders
<p><i>(Senegal (dairy), Uganda (fish) ; a selection of 3 or so other commodities/contexts for impact and cost-effectiveness evaluation)</i></p>		
<p>Impact: Poor have greater access to – and consume a larger variety of nutritious foods</p>		

Table 6. Methods and indicators for Component 1

Methods	Examples of indicators
Objective 1. Characterization of dietary patterns and nutrient composition of foods	
<p>Quantitative, representative household surveys to collect basic information on (1) household demographics, socioeconomic conditions, consumption/expenditure, agricultural production, access to services and markets, and food security; (2) detailed information on food consumption and acquisition; and (3) gender-disaggregated dietary intake data, using detailed 24-hour recall methods, food frequency questionnaires, dietary diversity assessments, and anthropometric measurements, as well as biomarkers (for micronutrient status) where appropriate.</p>	<ul style="list-style-type: none"> - Total expenditure; food expenditure; budget shares for different nutritious and other foods - Household food security indicators (household hunger scale, household food insecurity access scale, coping strategies) - Quantified food production (types and amounts of different foods produced; percent consumed; percent sold, and so forth) - Intake of energy, protein, fat, and select micronutrients by vulnerable individuals (such as women and young children); nutrient gaps (at household and individual level) - Anthropometric measurements (weight-for-age Z-scores, height-for-age Z-scores, weight-for-height Z-scores; stunting, wasting, underweight), focusing on women and young children - Biomarkers of micronutrient status, where relevant (such as serum retinol for vitamin A, haemoglobin for anemia, serum zinc for zinc status), focusing on women and young children - Reported illness symptoms in past two weeks (focus on child) - Community characteristics (number of schools, health facilities, water source, agriculture, and the like) - Food supply; food prices, market processes; mapping of foods available in markets - Data on calories, protein, fat, and micronutrient content of traditional foods of importance in the population, which are not included in food composition tables - Species numbers, abundances, densities - Shannon diversity and evenness indices - Number of uses per species and species per use category
<p>Quantitative community surveys to collect information on community characteristics and availability of services</p>	
<p>Market surveys to collect data on the availability and cost of nutrient-rich foods</p>	
<p>Laboratory methods to estimate the macro- and micronutrient content of selected traditional local foods</p>	
<p>Agriculture, ecosystem, and biodiversity tools to characterize the food diversity of agriculture landscapes and ethno-botanical characteristics of potential food sources</p>	
<p>Linear programming to (1) identify nutrient gaps and (2) select diets (based on local foods available in markets) that satisfy a set of nutritional constraints</p>	

(continued)

Table 6. Methods and indicators for Component 1 (continued)

Methods	Examples of indicators
Objective 2. Understand information gaps and constraints to consumption of nutrient-rich foods	
<p><i>Quantitative:</i> surveys in Objective 1 will also collect relevant data on knowledge, practices, and attitudes in relation to nutrition/nutritious foods; perceived constraints to use of nutritious foods; preparation and storage of nutritious foods; sources, uses, and preferences regarding knowledge acquisition and information gathering (using social network census approaches).</p>	<ul style="list-style-type: none"> - Knowledge score (based on knowledge test) - Practices scales (for different dimensions of practices) - Lists (and quantification) of constraints identified - Lists (and quantification) of social networks, sources and providers of information, and so forth
<p><i>Qualitative:</i> to be selected from a variety of potential approaches, depending on context and specific questions addressed. Examples of approaches include focused ethnographic studies; focus group discussions; in-depth structured, semi-structured, and unstructured interviews; observations; shadowing.</p>	<ul style="list-style-type: none"> - In-depth information on knowledge, attitudes, and practices regarding nutritious food use, intake, preparation, and storage. Information on constraints to intake of nutritious foods (such as sociological-, cultural-, economic-, and gender-related) and on preferred sources of information relating to issues around food use.
<p>Topics same as for quantitative surveys</p>	
<p><i>Survey methods</i> to assess consumers' acceptance and willingness to pay for nutrient-rich foods based on different levels of information. Methods include hypothetical nonmarket stated preference methods (SPMs) encompassing both contingent valuation and choice experiments (see Alfnes et al. 2006); real nonmarket valuation methods, such as Vickery and Becker-Degroote and Marschack experimental auctions (Train and Wilson 2011; Plot and Zeiler 2005; Horowitz and McConnell 2002; Shogren et al. 2001); and real market randomized experiment methods to understand the effects of information about nutritious attributes of food, including their effect on the WTP (Masters and Sanogo 2002; Biroi, Roy, and Torero 2010).</p>	<ul style="list-style-type: none"> - Measures of expected willingness to pay as compared to existing market prices. This will be developed across the income distribution to control for low ability to pay (ATP). - Measurement of the nutritious attributes more valued by consumers. - Measures of the effects of better information about the nutritional attributes of food.
Objective 3. Develop, test, and evaluate tools to increase consumer awareness, access to information, and knowledge about nutrient-rich foods	
<p><i>Formative research</i> will be used to develop new education/behavior change approaches. Data collected under Objectives 1 and 2 will also be used to design education interventions.</p>	<ul style="list-style-type: none"> - Impact will be evaluated on the same indicators as above: knowledge and practices test scores; changes in constraints; changes in use of information; changes in use of nutrient-rich food.
<p><i>Rigorous evaluation methods</i> will be used to compare and evaluate approaches; see component 4 for description of evaluation methods, including impact, process and cost evaluation. Qualitative data collection will be used to assess constraints to adoption and use of recommended practices, and to interpret results of evaluation.</p>	

(continued)

Table 6. Methods and indicators for Component 1 (continued)

Methods	Examples of indicators
Objective 4: Identify nutrition entry and exit points and test new models to enhance or protect the nutritional value of foods along the value chain	
<p><i>Value-chain analysis:</i> This activity will first define the value chain for analysis by identifying key commodities that could be sensitive to increases in nutritional content. Once the key commodities are identified, a mapping of the specific value chains will be done with key stakeholders, and field instruments will be developed to identify key exit and entry points of nutritional content across the value chain. The detailed analysis of the value chain will include measuring its performance and evaluating the benefits and costs associated with nutrition upgrading options. Then we will identify opportunities and mechanisms for small farmers to benefit, based on the WTP studies of consumers; we will pilot possible interventions and assess their impact, in terms of costs and benefits to producers and consumers of the upgrading options implemented.</p> <p><i>Laboratory evaluation methods</i> will be used to quantify the losses/increases in nutrient content along the value chain, to enable comparisons and evaluation among different models/interventions.</p>	<ul style="list-style-type: none"> - Key commodities to be targeted to improve nutrition at key entry and exit points. - Cost-benefit analysis by commodity of potential interventions to enhance nutrition at specific entry points and to prevent losses at exit points along the value chain. - Best practices identified in improving the nutritional content of value chains.
Objective 5. Evaluate the effectiveness and cost-effectiveness of the approaches developed in Objectives 3–4	
<p>Rigorous evaluation methods will be used based on sound program impact theory, using process evaluation and cost-effectiveness assessments (see Component 4 for details on methods).</p>	<ul style="list-style-type: none"> - Impact indicators: household consumption and individual intake of targeted nutritious foods; contribution of these foods to changes in micronutrient intake and micronutrient status, and possibly to child growth and morbidity symptoms (depending on the micronutrient)

6.1.6 Partnerships

In addition to CG centers and the World Vegetable Center (an international agriculture research center focusing on vegetables), the list of potential partners for this component includes a wide variety of stakeholders, including NARES, NGOs (such as CRS, Concern Worldwide, and Helen Keller International), intergovernmental organizations (UN agencies and programs such as FAO, WHO, and REACH), government institutions, foundations, and academic institutions. Beyond these partners, many regional and locally specific partnerships and stakeholders have been identified under individual research activity descriptions.

A strong collaboration with the private sector will be pursued under this research component for testing sustainability of methods and tools along case study value chains. Public-private partnerships will be fostered in collaboration with GAIN. Strategic alliances will be pursued with existing agricultural investment projects, such as those supported by the International Fund for Agricultural Development (IFAD) (like the Orissa Tribal Empowerment and Livelihood Program), by GAIN, and by the food and retail industries (Table 7).

Table 7. Examples of partnerships for Component 1

Enablers	Development implementers	Value-chain actors	Research partners	CGIAR centers
IFAD	NGOs :	- Private sector	- World Vegetable Center	Bioversity
FAO	- CRS	(e.g., Land O'Lakes)	- LCIRAH	CIAT
WHO	- Concern Worldwide	- GAIN	- NARES	CIMMYT
REACH	- HKI			CIP
Gain				ICARDA
Government institutions in countries of emphasis				ICRAF
				ICRISAT
				IITA
				ILRI
				World Fish

6.2 Component 2: Biofortification

6.2.1 Rationale, Objectives, and Research Questions

Rationale

A primary underlying cause of malnutrition is poor diet quality, characterized by high intake of food staples and low consumption of foods rich in vitamins and minerals, leading to widespread micronutrient malnutrition among people who cannot afford to buy (or manage to produce) more nutritious foods. By developing staple crop varieties whose edible portions are richer in bioavailable nutrients (through a process called biofortification), agricultural research can provide farmers with crop varieties that can readily improve nutrition for millions of people (Nestel et al. 2006).

CRP4 will encompass two programs designed to do just that: HarvestPlus, and AgroSalud. Since 2003, the Consultative Group on International Research (the CGIAR) has supported HarvestPlus, the CGIAR Challenge program on biofortification. HarvestPlus has produced promising varieties of seven nutrient-rich staple crops, poised to be released within the next three years. HarvestPlus is now performing nutritional testing on these crops in target areas in Africa and Asia, to ensure they deliver bioavailable nutrients. AgroSalud is undertaking biofortification work for the Latin American region. In addition, AgroSalud proposes to explore the possible impact of the production and consumption of several biofortified crops in the food basket that represents the typical staple crop diet in Latin America.

HarvestPlus and AgroSalud are independent programs with their own well-established goals, visions, governance, management, and funding base. Nevertheless, the two programs work closely and share research methods, protocols, germplasm, scientists, and communication capabilities. Published

nutrition studies under both programs have added to the growing body of evidence that biofortification can reduce micronutrient malnutrition in a cost-effective way. In particular, biofortified beans (developed at CIAT) and biofortified maize (developed at the International Maize and Wheat Improvement Center [CIMMYT]) contribute to variety development globally.

Component 2 proposes to channel investments into these two geographically distinct but related subcomponents:

- *Subcomponent 1:* HarvestPlus (www.harvestplus.org)
- *Subcomponent 2:* Biofortified Food Basket for Latin America and the Caribbean (www.AgroSalud.org)

Objectives

The objective of Component 2 is to develop and test nutrient-dense staple crops through biofortification and to make these novel crops available to the poor and undernourished.

Research Questions

For biofortification to be successful, four broad questions must be addressed:

1. Can plant breeding and modern agricultural biotechnology techniques increase the nutrient density of food staples to target levels that can potentially have a measurable and significant impact on human nutritional status?
2. When consumed under controlled conditions, will these extra nutrients be bioavailable and absorbed at sufficient levels to improve the nutrient status in target populations?
3. Will farmers adopt the biofortified varieties?
4. Will consumers purchase/eat the biofortified varieties?

Researchable Hypothesis

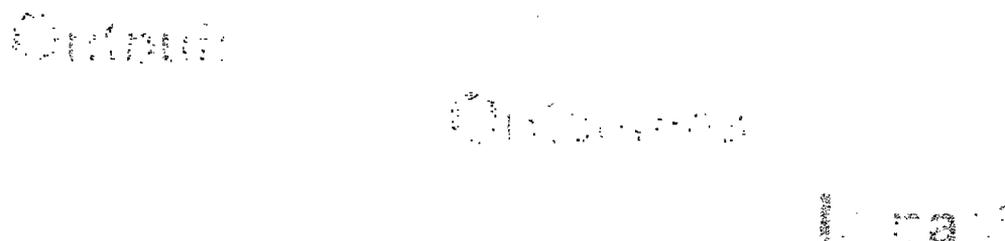
New and ongoing work in biofortification is testing the hypotheses that breeding nutrient-dense staple crops through biofortification is feasible without affecting yield and other positive crop characteristics, that farmers will adopt, that consumers will consume, and that the nutritional status of targeted populations will improve. Although the proof of concept of the impact of orange-fleshed sweet potato on vitamin A status has been demonstrated in a few countries, much remains to be done to test these hypotheses for other target nutrients, for other crops, and in other countries. The science behind this research is well developed, but different nutrients, crops, and environments will bring new challenges that this CRP will address in partnership with the different commodity CRPs (CRP3 suite).

6.2.2 Impact Pathway, Outputs, and Outcomes

Figure 5 shows the impact pathway for biofortification. Outputs and outcomes revolve around (1) the release of biofortified crop varieties, (2) their use by the farm households, and (3) subsequent distribution through the marketing system. Details are provided for individual crops under development under each subcomponent.

Agricultural research scientists (at CGIAR centers and National Agricultural Research Systems [NARS]) develop high-yielding, high-nutrient lines that are tested in target countries for agronomic performance. If they test well, the next step is for nutritionists (from both developed country and target country institutions) to test that the varieties can improve micronutrient status under controlled conditions through efficacy trials. Finally, dissemination of biofortified varieties is organized through partnerships with agriculture- and health-oriented NGOs, government extension agencies, and communications experts.

Figure 5. Impact pathway of Component 2



6.2.3 Subcomponent 2.1: HarvestPlus

Approved in 2003, HarvestPlus was one of the first Challenge Programs supported by the CGIAR. Since its inception it has been heralded as a successful institutional innovation, invigorating both interdisciplinary research and cross-sectoral investment in the CGIAR. HarvestPlus is co-convened by two of the CGIAR centers: the International Center for Tropical Agriculture (CIAT), with headquarters in Cali, Colombia, and the International Food Policy Research Institute (IFPRI), with headquarters in Washington, DC. As a Challenge Program, HarvestPlus is designed and managed as a time-bound, independently-governed program of high-impact research that targets the CGIAR goals in relation to complex issues of overwhelming global and/or regional significance, and requires partnerships among a wide range of institutions in order to deliver its products.

Rationale, Objectives, and Research Questions

Rationale

Since 2003 HarvestPlus has built an alliance of over 200 scientists in 40 countries who breed nutrient-dense crops and test these crops for nutritional efficacy and effectiveness. In its next phase, HarvestPlus will focus its efforts on designing and building effective partnerships to disseminate these new nutritious crops in nutritionally challenged regions of Africa and Asia. In this way, HarvestPlus seeks to harness the full potential of agricultural, nutrition, and marketing sciences to develop and disseminate more nutritious

staple foods in order to directly address the persistent problem of micronutrient malnutrition, especially for the poor.

Objectives

The goal of HarvestPlus is to improve the health of poor people by breeding staple food crops that are rich in micronutrients, a process referred to as “biofortification.” HarvestPlus focuses on three micronutrients that are widely recognized by the World Health Organization (WHO) as limiting in diets of the poor: iron, zinc, and vitamin A. While spillover benefits are expected to extend beyond national borders, seven focus country crop products make up the HarvestPlus portfolio (see Appendix 7):

- Zinc rice for Bangladesh and India
- Zinc wheat for India and Pakistan
- Provitamin A maize for Zambia
- Provitamin A cassava for Nigeria and Democratic Republic of Congo
- Iron pearl millet for India
- Iron-rich beans for Rwanda and DR Congo
- Provitamin A sweet potato for Uganda and Mozambique

Research Questions

Who are the hungry, what do they eat, and will biofortification have an impact?

For biofortification to be most effective, HarvestPlus crops must be tailored to the needs and local context of the undernourished. HarvestPlus researchers must determine who the hungry are, where they live, and what they are consuming. They must estimate existing consumption patterns as well as potential contributions from biofortified products to determine which crop/nutrient combination would generate the most impact for which populations. These initial questions have been largely answered during the first five years of the program; for these and other research findings, visit www.harvestplus.org.

Can HarvestPlus breed nutrients into staple crops without negatively affecting yield?

The ultimate end users of HarvestPlus crops are farmers as well as consumers. As rural-based nutrition interventions, the new crops must first and foremost be attractive to farmers, with yields equal to or greater than current varieties. Intensive plant breeding has been devoted to ensuring acceptable yield and other positive characteristics of biofortified varieties. For each crop cycle, breeders work to incrementally increase the level of nutrient in the edible portion of the staple crop, aiming for a level that nutritionists have determined to have a measurable nutritional impact. HarvestPlus employs the latest agricultural research technology—developed within the CGIAR, in international institutions and universities around the globe, and at national agricultural research systems—to screen germplasm, breed crops, and test and disseminate the new nutritious staple crops.

Will these crops improve nutritional status?

Improving the nutritional quality of food is a complicated endeavor. People eat food, not nutrients; and the complexities surrounding the absorption and bioavailability of nutrients from foods still represent, to some extent, an uncharted science. HarvestPlus nutritionists are applying the latest understanding of nutrient inhibiting and promoting compounds that exist in foods and in humans, to maximize the bioavailability of the micronutrients added via biofortification—and advancing the body of knowledge in this area is one of several public goods emerging from the program. Two other critical areas of program research are testing the efficacy of HarvestPlus crops in a *controlled setting*, and testing their effectiveness in improving nutritional status in a *community setting*. Finally, the nutritional quality of foods often gets compromised as food is stored and prepared. HarvestPlus nutritionists are testing the

retention of the nutrients under local conditions and have discovered, among other things, that nutrient retention is, in fact, a heritable characteristic. This has therefore become an additional breeding objective for HarvestPlus plant breeders.

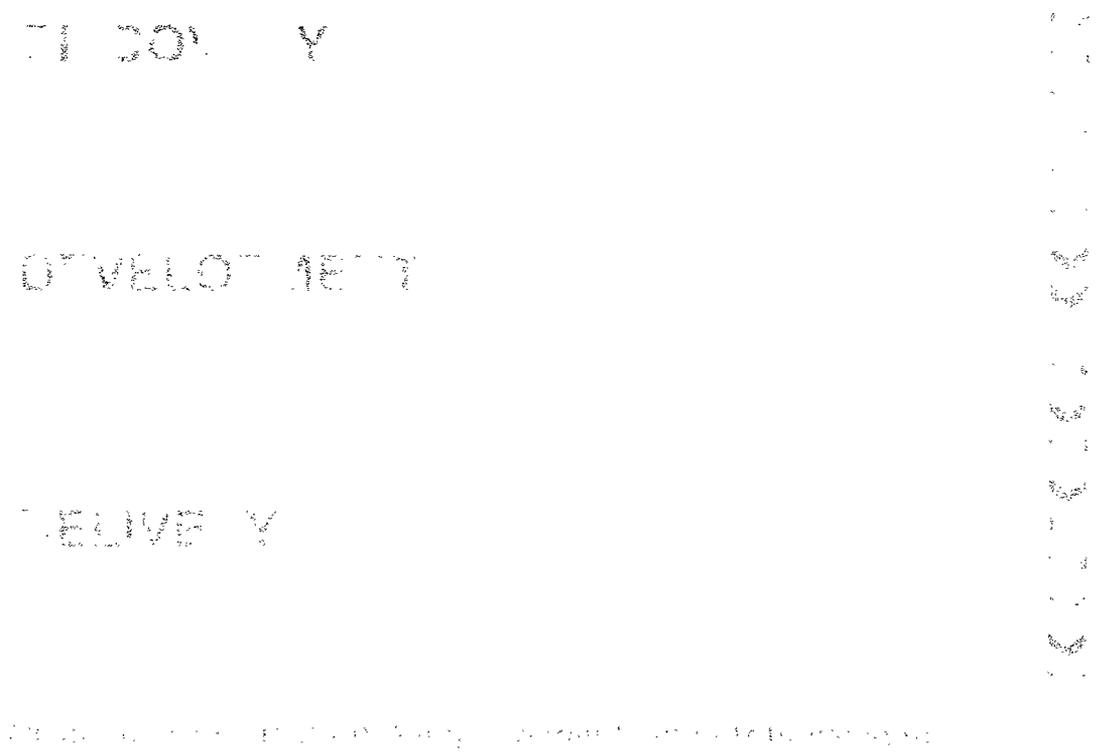
What are the determinants of farmer adoption of biofortified varieties in different settings? What will be the incentives and disincentives for consumers to purchase/eat the biofortified varieties?

There are two main strategies for introducing a new product. The *push* strategy is supply-driven. It focuses on the supply of seed and relies on breeding high nutrients into agronomically superior and high-profit varieties. The *pull* strategy focuses on the demand for biofortified crops or processed products. Well-designed consumer communication and mass media campaigns will play a major role in generating consumer demand.

Impact Pathways

The impact pathways for biofortification are described in Section 6.2.2 above. Figure 6 presents the specific research steps involved.

Figure 6. Steps in research process for biofortification



The research process involves three phases: discovery, development, and delivery.

Discovery

Appropriate target populations for biofortification are determined through analysis of cropping patterns, consumption trends, and prevalence of malnutrition. This intersection, in turn, determines the selection of

focus crops and the areas where biofortified varieties should be directed (Arsenault et al. 2010; Zapata-Caldas et al. 2009). Nutritionists work with agricultural scientists to establish nutritional breeding targets based on several factors: the food intake of populations in need; nutrient losses during cooking, storage, and processing; bioavailability of nutrients, related to the presence or absence of complementary compounds; and the probability/difficulty of breeding for specific nutrients (Hotz and McClafferty 2007). Once targets are set, the global germplasm banks of the CGIAR institutes, as well as the germplasm banks held in trust by national partners, provide a reservoir of staple-crop germplasm to be screened for nutrient genetic diversity (Pfeiffer and McClafferty 2007), available to be drawn on for breeding programs (Beebe, Gonzalez, and Rengifo 2000).

Development

To date, the largest research endeavors under biofortification have focused on crop development, including testing for nutritional bioavailability, efficacy, and effectiveness. Crop development includes all breeding activities to produce varieties with the desired farmer and consumer characteristics—improved nutrient content, ideal consumer quality features, and farmer-preferred agronomic performance (Pfeiffer and McClafferty 2007). Along with breeding, nutrition studies are of paramount importance to establish that the nutrients added through biofortification will in fact be absorbed by the human body, through extensive and complex research into bioavailability, efficacy, and effectiveness.

Delivery

Varietal release regulations differ by country. Registering new varieties of crops requires proof (1) that the variety is new and distinguishable, and (2) that it adds value. After registration and release comes the least understood/most challenging aspect of biofortification: ensuring farmer and consumer acceptance of nutrient-rich staple crops. Sustainable extension and seed production systems are the foundation of a delivery process that will help *push* the products into market—but well-designed marketing and demand-creation techniques must also be employed to generate *pull* by consumers. Attention to consumer acceptance is particularly important when the additional nutrient is visible—as with provitamin A; consumer behavior change must then be part of the delivery strategy. Finally, biofortified products must be disseminated in an enabling public policy environment. Advocacy campaigns for biofortification can help create space for this new nutrition intervention, in both the agriculture and public health sectors.

Activities, Outputs, and Outcomes

Table 8 presents a summary of broad activities, outputs, and outcomes for HarvestPlus. The emerging HarvestPlus delivery program is ambitious. The first pilot launches will concentrate on delivering provitamin A maize in Zambia, iron-rich bean in Rwanda, provitamin A-rich cassava in Nigeria, and iron-rich pearl millet in India. During its first delivery campaign, HarvestPlus aims to reach 100,000 farmers with these pilot crops by 2013. Lessons learned from this initial delivery exercise will be applied to continued expansion in those areas as well as rollout of other crops in other target regions. HarvestPlus will disseminate crops through strategic partnerships with the private sector, civil society, and governmental organizations.

Table 9 provides some detail relating to research on specific crops and the delivery of key biofortified varieties, through 2015. Beyond 2015, the strategy envisions three broad areas of activity: to establish breeding for minerals and vitamins as a core activity at CGIAR centers and NARS; to scale up delivery in additional non-target countries; and to carry out follow-up surveys to measure impact.

Table 8. Overview of HarvestPlus activities, outputs, and outcomes

Activities	Outputs	Outcomes
Continued crop improvement, including evaluation of Genotype X Environment Interactions on nutrient density of edible portions	Improved lines of seven biofortified parents introduced into the product pathway	New nutritious crops are made available to NARES and implementing partners in Africa and Asia.
Nutrient retention and bioavailability studies	Nutritious crops that will overcome losses during storage, processing, and cooking	HarvestPlus crops are available that deliver nutritional benefits to the consumers.
Nutritional efficacy studies on human subjects	Published evidence that micronutrients in HarvestPlus crops are bioavailable and that the crops are efficacious in improving micronutrient status (for targeted micronutrients) in humans	HarvestPlus crops will be nutritionally efficacious and are assured to have a positive impact on human nutritional status.
Release and delivery of HarvestPlus crops.	Biofortified crops rich in bioavailable nutrients are available on the market and/or available to poor farmers via the public seed distribution system.	Farmers and consumers have access to new varieties of nutrient-dense maize, cassava, bean, and sweet potato—and consume them regularly.

Table 9. Some crop-specific HarvestPlus activities, outputs, and outcomes

Activities	Outputs	Outcomes
<p>Provitamin A Sweet Potato (Uganda) Pilot studies completed in 2010</p>		<p>Orange sweet potato dissemination scaled-up based on lessons learned from initial pilot studies.</p>
<p>Iron beans (DR Congo, Rwanda)</p> <ul style="list-style-type: none"> • CIAT—continue to develop varieties higher in iron with best agronomic properties; send multiple finished lines each year to Rwanda and DRC for GxE testing • Rwanda and DRC NARS—test varieties for breeding for high-iron lines; select most promising varieties for submission for varietal release; complete efficacy trial in 2012 	<p>First releases expected in 2012 in Rwanda, later in DRC; second wave, even higher in iron, available for dissemination</p> <p>Published evidence that high-iron beans are efficacious in improving iron status in humans</p>	<p>First trial packets of bean seeds distributed in 2012 by collaborating NGOs and government agencies.</p> <p>Government Health Ministry supports efforts to disseminate high iron beans.</p>
<p>Iron Pearl Millet (India)</p> <ul style="list-style-type: none"> • ICRIASAT—continue to develop varieties higher in iron with best agronomic properties; share germplasm with private seed companies in India for development of high iron hybrids; provide finished OPV lines for national testing • India NARS—breeding for high-iron lines, select most promising varieties for submission for varietal release; complete bioavailability and efficacy trials in 2012 	<p>First release of an OPV expected in 2012; high-iron hybrids distributed as truthfully labeled by private companies in 2014</p> <p>Published evidence that iron in high-iron pearl millet is bioavailable and that high-iron pearl millet is efficacious in improving iron status in humans</p>	<p>First packets of OPVs sold in 2012 by private seed companies.</p> <p>High-iron pearl millets used in public food distribution programs.</p>
<p>Provitamin A Maize (Zambia)</p> <ul style="list-style-type: none"> • CIMMYT and IITA—continue to develop varieties higher in provitamin A with best agronomic properties; send multiple finished lines each year to Zambia for GxE testing • Zambia NARS—test varieties for adaptability to growing environments, breeding for high-provitamin A lines; select most promising varieties for submission for varietal release; complete efficacy trial in 2012 in Zambia 	<p>First releases expected in 2012 in Zambia, later second waves</p> <p>Published evidence that provitamin A maize is efficacious in improving vitamin A status in humans</p>	<p>First trial packets of maize seeds distributed in Zambia by collaborating NGOs and private seed companies in 2012.</p> <p>Government Health Ministry supports efforts to disseminate high-provitamin A maize.</p>

(continued)

Table 9. Some crop-specific HarvestPlus activities, outputs, and outcomes (continued)

Activities	Outputs	Outcomes
Provitamin A Cassava (DR Congo, Nigeria)		
<ul style="list-style-type: none"> IITA and CIAT—continue to develop varieties higher in provitamin A with best agronomic properties; send multiple finished lines each year to Nigeria and DRC for GxE testing Nigeria and DRC NARS—test varieties for adaptability to growing environments, breeding for high-provitamin A lines; select most promising varieties for submission for varietal release; complete efficacy trial in 2013 in Kenya; collaboration with INSTAPA 	<p>First releases expected in 2011 in Nigeria, later in DRC, and later second waves</p> <p>Published evidence that provitamin A cassava is efficacious in improving vitamin A status in humans</p>	<p>First trial stems distributed by collaborating NGOs in 2012 in Nigeria.</p> <p>Government Health Ministry supports efforts to disseminate high-provitamin A cassava.</p>
Zinc rice (Bangladesh, India)		
<ul style="list-style-type: none"> IRRI—continue to develop varieties higher in zinc with best agronomic properties; send multiple finished lines each year to Bangladesh and India for GxE testing Bangladesh and India NARS—test varieties for adaptability to growing environments, breeding for high-zinc lines; select most promising varieties for submission for varietal release; complete efficacy trial in 2012 in Bangladesh 	<p>First releases expected in 2012 in Bangladesh, later in India, plus second waves</p> <p>Published evidence that high-zinc rice is efficacious in improving zinc status in humans</p>	<p>First seeds distributed in 2013 in Bangladesh by collaborating NGOs and government extension agencies</p> <p>Government Health Ministry supports efforts to disseminate high-zinc rice.</p>
Zinc wheat (India, Pakistan)		
<ul style="list-style-type: none"> CIMMYT—continue to develop varieties higher in zinc with best agronomic properties; send multiple finished lines each year to India and Pakistan for GxE testing India and Pakistan NARS—test varieties for adaptability to growing environments, breeding for high-zinc lines; select most promising varieties for submission for varietal release; complete efficacy trial in 2012 in India 	<p>First releases expected in 2013 in India, later in Pakistan, plus second waves</p> <p>Published evidence that high-zinc wheat is efficacious in improving zinc status in humans</p>	<p>First seeds distributed in 2013 in India by collaborating NGOs and government extension agencies</p>

Research Methods

Biofortification strategy ideally follows clear stages of discovery, development, and delivery. However, as products advance down the impact pathway, further research findings may necessitate revisiting previous stages to assure the highest quality nutrient-rich product. Methods used at the ten distinct stages of the research process are as follows.

1. Identify target populations and set nutritional breeding targets

Cropping and food consumption patterns, the incidence of micronutrient malnutrition, and ex-ante benefit-cost analysis are applied to determine where biofortified varieties should be targeted. Breeding targets are set for specific micronutrients and crops.

2. Validate nutrition and micronutrient deficiency data

Nutritionists carry out surveys to assess the levels of food staple consumption and nutrient intakes, by age and gender group. They also measure the effects of processing, storage, and cooking methods for nutrient retention in biofortified crops and identify retention-friendly practices used by target populations. They also study to what extent the nutrients bred into crops are absorbed by the body (bioavailability) as well as the prevalence of micronutrient deficiencies. These studies guide plant breeders in confirming or refining their breeding targets.

The analysis of retention of minerals and vitamins after storage, processing, and cooking involve the use of the following methods:

For minerals (from most accurate to least accurate):

Inductively Coupled Plasma (ICP)

X-ray Fluorescence (XRF)

Atomic Absorption Spectrophotometer (AAS)

Near-infrared spectroscopy (NIRS)

For provitamin A (from most accurate to least accurate):

High-performance liquid chromatography (HPLC)

Thin layer chromatography (TLC)

Near-infrared spectroscopy (NIRS)

3. Screening and applied biotechnology

The global germplasm banks of the CGIAR institutes and other partners provide a reservoir of staple crops germplasm to be screened and drawn on by HarvestPlus. Plant breeders identify the genes that are important in the synthesis of vitamin A and translocation of minerals. They develop procedures to implement marker-assisted selection to “flag” the desired traits for breeding higher levels of micronutrients. Upstream transgenic research is also conducted in the case of nutrient targets that are challenging to reach through conventional breeding.

4. Crop improvement

Crop improvement includes all breeding and product development activities to produce new micronutrient-rich crop varieties that perform well in farmers’ fields and meet farmers’ expectations, while also providing better nutrition.

5. Test genotype x environment interactions

How genotypes interact with different environments can greatly influence genotypic performance across different crop growing scenarios. HarvestPlus researchers evaluate crops in target countries to ensure high and stable expression of the micronutrient content in different environments where the crops may be grown. Scientists also look at farming practices that can improve crop nutrient content by enhancing the uptake of nutrients in the edible portion of the crop.

6. Test nutritional efficacy

Nutrition teams develop appropriate indicators of micronutrient status; they conduct controlled feeding trials to evaluate whether vitamins and minerals from biofortified foods are bioavailable and whether biofortified foods improve the nutritional status of target populations. To evaluate bioavailability, minerals and vitamins in the biofortified foods are labeled using stable isotopes and fed to subjects over a fixed number of days. Blood is drawn and absorption of the minerals is evaluated. The evaluation of the nutritional efficacy of biofortified crops in improving nutritional status is done using randomized controlled trials with treatment (fed biofortified crops) and control group (fed non-biofortified crops) in a tightly controlled environment to assess impact across individuals. The relevant biomarkers, for iron, zinc, or vitamin A status, are used to measure efficacy and impact.

7. Identify factors driving farmer adoption and consumer acceptance

Researchers study the factors that affect whether farmers and consumers will adopt biofortified crops or products. Crop varietal maps are developed for this purpose and to provide baseline data for assessing impact at a later stage. This applies particularly to vitamin A-rich foods that tend to be orange in color, and thus unfamiliar-looking to consumers.

8. Release biofortified crops in target countries

Varieties are identified for selection and submission to registration trials in countries of first release. Following this, procedures are followed to ensure their successful formal release. Proof that the variety is new, distinguishable, and value adding must be assembled in order to register new crop varieties. CGIAR centers work with NARS to gather the relevant information for registration and formal release of biofortified crops in target regions.

9. Facilitate dissemination, promotion, and consumer acceptance of crops

Delivery managers ensure that seed production, dissemination, and training and extension systems are in place to promote these new crops. Advocates are identified who can pave the way for crops to be accepted by consumers and adopted by farmers. Branding and other marketing strategies are created to increase demand for biofortified crops and foods by consumers.

10. Measure impact and changes in nutritional status of target population

Baseline and follow-up surveys are conducted to measure the number of farming households that have adopted biofortified crops, as well as any improvements in nutritional status. This will help determine the ultimate impact of biofortified crops on public health.

Partnerships

Several CGIAR centers have been and will continue to be key in HarvestPlus crop development. In 2010 those CGIAR institutes included CIMMYT, CIAT, the International Institute of Tropical Agriculture (IITA), IFPRI, Bioversity, CIP, IRRI, the International Center for Agricultural Research in the Dry Areas (ICARDA), and ICRISAT. Target country NARS partners are also partners for conducting adaptive research and gene by environment (GXE) analysis, as the crops are transferred from the CGIAR laboratories to the field. HarvestPlus also partners with a number of public health research institutes on the nutrition research, including, among others, Cornell University, University of California–Davis, ETHZ Switzerland, Wageningen Agricultural University, Makerere University, Micronutrient Initiative, and USDA. Impact analysis is conducted by external consultants as well as by CGIAR impact specialists within the centers. Advocacy trainings employ international consultants and work with institutions in the HarvestPlus target countries.

6.2.4 Subcomponent 2.2: AgroSalud—Biofortified Food Basket for Latin America and the Caribbean (www.AgroSalud.org)

AgroSalud has long experience with bringing enhanced nutritional crops to the Latin American-Caribbean region. In the past five years, AgroSalud partners have implemented successful commercial releases throughout the region: 21 maize cultivars with higher tryptophan and lysine levels in Bolivia, Colombia, El Salvador, Guatemala, Haiti, Honduras, Mexico, Nicaragua, and Panama; 8 rice cultivars with higher iron in Bolivia, Cuba, and Panama; 5 bean cultivars with higher iron in Bolivia, Brazil, Cuba, and Guatemala; and 8 sweet potato cultivars with more beta-carotene in Brazil, Cuba, Dominican Republic, Haiti, and Peru (AgroSalud 2011). An additional ten nutritionally enhanced cultivars are in the pipeline, to be released in seven countries in 2010–2011.

Rationale, Objectives, and Research Questions

Rationale

According to the World Health Organization (2004), the leading nutrition-related causes of disability in Latin America and the Caribbean (LAC) are childhood and maternal underweight, iron-deficiency anemia, zinc deficiency, and vitamin A deficiency. An estimated 66 million children and women in LAC are anemic (WHO 2008a); and 8.9 million children and pregnant women are vitamin A deficient (WHO 2009). Often, individuals suffer from multiple nutritional insults simultaneously (Albalak et al. 2000). The economic cost of these nutritional deficits in LAC in 2009 was estimated to exceed \$20 billion, based on the average GDP for LAC countries (World Bank 2009): 46 percent is attributable to underweight, 32 percent to iron deficiency, 12 percent to vitamin A deficiency, and 10 percent to zinc deficiency (Salomón Pérez, CIAT, personal communication). In sum, there are severe problems of food and nutrition insecurity in Latin America and the Caribbean.

The impact of a single crop biofortified with a single nutrient has been demonstrated in three cases: amino acid biofortified maize (Gunaratna et al. 2009); iron biofortified rice (Haas et al. 2005); and beta-carotene biofortified sweet potato (van Jaarsveld et al. 2005; Low et al. 2007). These biofortified crops have improved the nutritional status of people who consumed them.

LAC provides an ideal setting to test the impact of *multiple* crops biofortified with *multiple* nutrients. First, the region suffers from multiple nutrient deficiencies and consequences, including zinc deficiency, anemia, and stunting (IZiNCG 2004; WHO 2004). Second, the combinations of foods targeted for biofortification make up the traditional combined diet, such as maize and beans or rice and beans (FAO 2009b). Third, advances have already been made in breeding and releasing biofortified crops in the region, through the AgroSalud project, as noted above.

Objectives

1. Improve food and nutrition security among the rural and urban poor in six countries (Brazil, Colombia, Guatemala, Haiti, Honduras, and Nicaragua), through the release and dissemination of biofortified germplasm and the promotion of newly and previously released nutritionally enhanced cultivars in those countries. The combinations that will be promoted are specifically related to the nutrition problems in each country and to the foods commonly consumed: higher iron and zinc rice and beans to address iron and zinc deficiencies in Brazil; higher iron rice and beans to address iron deficiency along with higher zinc rice, beans, and maize, as well as high tryptophan and lysine maize to address zinc deficiency and stunting in Colombia, Guatemala, Haiti, Honduras, and Nicaragua; and higher provitamin A cassava and sweet potato to address vitamin A deficiency in Haiti.
2. Improve food and nutrition security among the urban poor through biofortified food products produced and sold locally in two countries, to be selected from the following: Brazil, Colombia, Cuba, Haiti, Nicaragua, and Panama.

3. Strengthen ongoing breeding efforts to (1) increase yield, disease resistance, and nutritional quality as compared to crops currently available; and (2) offer improved biofortified breeding populations for use by NARS in their breeding programs.
4. Evaluate the agronomic, economic, and nutritional impact of biofortified crops and food products when consumed in combination.
5. Strengthen capacity of institutions in the target countries with regard to breeding, seed dissemination, product development, market evaluation, and impact assessment.

Research Questions

The key research question for this subcomponent is: What is the impact (agronomic, socioeconomic, and nutritional) of farmers producing biofortified crops and consumers eating biofortified food products in combination (for example, rice and beans together)? Integrated planning and implementation between the impact evaluators and the specialists (in the areas of breeding, seeds, food-product development, and market chains) will ensure that timely and relevant impact studies are completed.

Impact Pathways

The AgroSalud subcomponent follows the same impact pathways as those described in Section 6.2.2 for biofortification.

Activities, Outputs and Outcomes

Table 10 presents a summary of broad activities for AgroSalud. Table 11 provides some detail related to research on breeding and nutrition and the delivery of key biofortified varieties for target crops.

Research Methods

Research methods for AgroSalud are broadly similar to methods used in HarvestPlus, as elaborated in Section 6.2.4, “Subcomponent 2.2: AgroSalud—Biofortified Food Basket for Latin America and the Caribbean.” To develop biofortified crops, conventional plant breeding methods will be employed by the CGIAR centers, as follows: International Center for Tropical Agriculture (CIAT) for beans, cassava, and rice; CIMMYT for maize; and the International Potato Center (CIP) for sweet potato (AgroSalud 2011). Validation and farmer trials will be completed by NARS in each country, with technical support from the CGIAR centers. Geographic information systems tools will be used to update on-line atlases with nutrition, crop production, and socioeconomic status, showing potential sites for biofortification interventions (Zapata-Caldas et al. 2009). Farmers will be trained in tested methods of nonconventional seed production to develop quality and timely seed (AgroSalud 2011). Seed dissemination will be carried out by partners such as NARS, NGOs, Ministries of Agriculture, and UN agencies through their food and nutrition security programs. Food-processing specialists from the Brazilian Agricultural Research Cooperation (EMBRAPA) and CLAYUCA will work closely with industry partners, to determine what, if any changes, are necessary to protocols in order to substitute biofortified crops for non-biofortified crops in product formulations. Urban distribution channels for biofortified crops and for processed foods developed with biofortified crops will be assessed and enhanced distribution channels tested. Ex-ante and post-hoc evaluations (agronomic, socioeconomic, and nutritional) will be completed to determine the impact of simultaneous consumption of two or more crops biofortified with the same nutrient (for example, beans, maize, and rice biofortified with zinc) (AgroSalud 2011). Finally, tailored communication models will be enhanced, developed, and employed to generate demand for biofortified crops and food products by different consumer populations.

Table 10. Overview of AgroSalud activities, outputs, and outcomes

Activities	Outputs	Outcomes
In partnership with CRP3: develop cultivars and complete validation and farmer evaluation trials.	Nutritionally and agronomically improved rice, beans, cassava, maize, and sweet potato cultivars developed and tested.	Iron-, zinc-, provitamin A- and amino acid-biofortified cultivars are made available to reduce food and nutrition insecurity in LAC.
Put existing biofortification atlases online, with an interactive feature.	Online analysis tool available to target biofortification activities in countries.	Informed geographic targeting of biofortification activities.
Support partners in seed production and dissemination and commercial release of crops.	Seed multiplied, disseminated, and commercially released in countries.	Strengthened seed production and dissemination systems.
Work with stakeholders to establish food-processing technologies and protocols.	Commercially prepared biofortified food products developed.	Urban consumers have access to biofortified food products.
Assess distribution channels in urban markets; pilot and evaluate enhanced distribution channels.	Biofortified crops and commercially prepared biofortified food products distributed in urban centers.	Access to and consumption of biofortified cultivars and food products by urban consumers.
Complete several impact studies for 2+ combinations of different crops and food products.	Quantitative evaluations of the socioeconomic and nutritional impacts of 2+ combinations of biofortified crops and food products.	Information generated on the benefits and costs to farmers and consumers of biofortification.
Develop and disseminate communication modules for different audiences.	Diverse communication modules produced and disseminated through different media.	Demand for biofortified crops and food products by informed farmers, consumers, extensionists, health professionals, and decisionmakers.

Table 11. Some crop-specific AgroSalud activities, outputs, and outcomes

Activities	Outputs	Outcomes
Higher-iron and –zinc beans (Brazil, Colombia, Guatemala, Haiti, Honduras, Nicaragua)		
<ul style="list-style-type: none"> • CIAT to lead bean breeding work; country NARS to conduct adaption pre-release trials • By 2012, complete simulation analyses in all countries to estimate dietary impact of crops. • By 2013, complete an efficacy trial of higher-iron beans and rice in one country. • Government, NGOs, and private-sector partners to multiply and disseminate seeds 	<p>Release of biofortified varieties: 2012 Brazil, Colombia; 2013 Guatemala; 2014 Haiti, Honduras, Nicaragua</p>	<p>In 2013, food-industry partners to deliver food products with biofortified beans as an ingredient in at least one country</p>
Higher-iron and –zinc rice (Brazil, Colombia, Guatemala, Haiti, Honduras, Nicaragua)		
<ul style="list-style-type: none"> • CIAT to lead rice breeding work • Country NARS to conduct adaption pre-release trials • By 2012, complete simulation analyses in all countries to estimate dietary impact of crops • By 2014, complete an efficacy trial of higher-zinc maize, beans, and rice in one country • Government, NGOs, and private-sector partners to multiply and disseminate seeds 	<p>Release of biofortified varieties: 2013 Brazil, Colombia; 2014 Guatemala; 2015 Haiti, Honduras, Nicaragua</p>	<p>In 2014, food-industry partners to deliver food products with biofortified rice as an ingredient in at least one country</p>
Higher-zinc maize (Colombia, Guatemala, Haiti, Honduras, Nicaragua)		
<ul style="list-style-type: none"> • CIMMYT to lead maize breeding work; country NARS to conduct adaption pre-release trials • By 2012, complete simulation analyses in all countries to estimate dietary impact of crops • Government, NGOs, and private-sector partners to multiply and disseminate seeds 	<p>Release of biofortified varieties: 2014 Guatemala, Honduras, Nicaragua; 2015 Colombia, Haiti</p>	<p>In 2015, food-industry partners to deliver food products with biofortified maize as an ingredient in at least one country</p>

(continued)

Table 11. Some crop-specific AgroSalud activities, outputs, and outcomes (continued)

Activities	Outputs	Outcomes
Higher-tryptophan and –lysine maize (Colombia, Guatemala, Haiti, Honduras, Nicaragua)		
<ul style="list-style-type: none"> • CIMMYT to lead maize breeding work; country NARS to conduct adaption pre-release trials • By 2013, complete an efficacy trial of higher-tryptophan/lysine maize and higher-zinc maize, beans, or rice in one country • Government, NGOs, and private-sector partners to multiply and disseminate seeds 	Release of biofortified varieties: 2012 Guatemala, Honduras, Nicaragua; 2013 Colombia, Haiti	In 2012, food-industry partners to deliver food products with biofortified maize as an ingredient in at least one country
Provitamin A-rich cassava (Haiti)		
<ul style="list-style-type: none"> • CIAT to lead cassava breeding work; country NARS to conduct adaption pre-release trials • By 2012, complete simulation analyses in all countries to estimate dietary impact of crops • By 2014, complete an efficacy trial of higher-provitamin A cassava and sweet potato in Haiti • Government, NGOs, and private-sector partners to multiply and disseminate seeds 	Release of biofortified varieties in 2013	
Provitamin A-rich sweet potato (Haiti)		
<ul style="list-style-type: none"> • CIP to lead sweet potato breeding work; country NARS to conduct adaption pre-release trials • By 2012, complete simulation analyses in all countries to estimate dietary impact of crops • Government, NGOs, and private-sector partners to multiply and disseminate seeds 	Release of biofortified varieties in 2013	

Partnerships

Three CGIAR centers will lead the highlighted activities (CIAT, CIMMYT, and CIP), along with CLAYUCA (a public-private consortium operating out of CIAT) and EMBRAPA (the Brazilian NARS). Breeding activities will be completed by CIAT for rice, beans, and cassava; by CIMMYT for maize; and by CIP for sweet potato. Seed activities will be led by CIAT, which will also lead the market research, geographic targeting, and impact assessment. CLAYUCA and EMBRAPA will lead the food-production activities.

The AgroSalud project had significant success in bringing together partners from diverse sectors, including Ministries of Agriculture (research and extension units), Ministries of Health, universities, the private sector, local municipal governments, and NGOs, among others. At a regional level, partners included HarvestPlus and UN agencies. The same partnership model is proposed for this subcomponent, with subcontracts negotiated with country partners to complete specific activities, and jointly funded activities organized with regional partners. Annual partner meetings will be held to review achievements and plan activities for the coming year.

6.3 Component 3: Prevention and Control of Agriculture-Associated Diseases

6.3.1 Rationale

Addressing the scourge of agriculture-associated disease (AAD): Rationale and scope.

AAD sickens and kills millions of poor people.

In poor countries, diseases associated with agriculture (Box 6) have important health impacts. Food that nourishes can also sicken and kill. Zoonoses (diseases transmissible between animals and man) and diseases recently emerged from animals make up 25 percent of the infectious disease burden⁵ in least developed countries (Gilbert et al. 2010). Other urgent problems include fungal toxins (mycotoxins) in staple crops and animal source foods; plant toxins; use of wastewater for agriculture; misuse of agricultural chemicals and antibiotics; and health impacts of agricultural alteration of ecosystems (such as irrigation practices that promote malaria).

AAD has multiple burdens that are not fully understood.

As well as adverse health impacts, the direct economic, social, and environmental costs of AAD are of major importance, as suggested by economic assessments of individual problems. For example, beyond their health impacts, mycotoxins lead to trade losses of up to \$1.2 billion a year; and the SARS epidemic cost \$50–100 billion through economy-wide effects (Aguirre and Gomez 2009).⁶ Indirect effects are also important: impaired human health lowers labor productivity and human capital accumulation (as through schooling and training)—worsening livelihood outcomes in both the short and the long run. Disease and malnutrition burdens are closely related: for example, research has identified nutritional risk factors for diarrhea, the negative impacts of diarrhea on nutritional status, and the importance of dietary therapy during and after enteric infection (Brown 2003). Diseases also interact in complex ways: for example, aflatoxin exposure and hepatitis infection are major risk factors for liver cancer. For these reasons, the question of how agriculture might be better managed to reduce risk is a complex one; our limited ability to assess and attribute the multiple burdens of AAD constitutes a major impediment to rational resource allocation (Roth et al. 2003). This presents an important opportunity for CGIAR research to contribute to human health research and development.

⁵ Disease burden is measured in DALYs (Disability Adjusted Life Years), defined as years of life lost due to death and disability.

⁶ All dollar figures are US\$.

AAD risks can change with agricultural intensification. While much of the burden of AADs falls on poor and marginal populations, different risks emerge with intensification of agricultural production (for example, viral infections associated with intensifying pig production) and longer and more complex value chains (for example, SARS). Component 3 will focus on specific agricultural entry points for changing AAD risk and link to the larger health community by looking at scenarios for predicting and preventing infectious disease risks in the future (<http://www.genomicsnetwork.ac.uk/innogen/research/innogenresearchprojectsa-z/projecttitle,2516,en.html>).

Successful assessment and management of AAD requires inputs from agriculture research. The One Health (and Ecohealth⁷) thinking—now prominent in the health community—recognizes agriculture-based interventions as a key component of multidisciplinary⁸ approaches for managing many AAD, for several reasons. Food-borne disease requires management throughout the field-to-fork risk pathway; controlling zoonoses, in most cases, requires eliminating disease from the animal reservoir; and agriculture practices that put farmworkers at risk obviously require farm-level intervention. Many important diseases, such as HIV and the influenza pandemic of 2009, emerged from animals and research into disease emergence from agroecosystems could contribute to averting future disease threats.

Component 3 will generate evidence and develop and test the methods, tools, and approaches that partners need to better support disease management, including prevention of diseases, where agriculture-based actions are important. The resulting benefits are potentially large: for example, an ex ante assessment by IWMI in Ghana found that an integrated package of risk-based measures could avert up to 90 percent of the estimated 12,000 DALYs that result from wastewater irrigation, at a cost of less than \$100 per averted DALY (including expenditures to promote and ensure uptake).

Agricultural research must include socioeconomic, gender, and ecological understanding. From farm to fork, food is a gendered commodity: women and men have different roles in production, processing, and retailing that expose them to different health risks and offer them different benefits (Kimani et al. 2007). Gender roles are also an important determinant of exposure to zoonotic disease, health-seeking behavior and ultimately health burden. Understanding the gender and social determinants of AAD is a prerequisite to developing more appropriate solutions. Similarly, understanding economic incentives, ecological relations, and policy determinants must inform epidemiological assessments and interventions for AAD.

What agricultural research can contribute to improved human health.

CGIAR centers have traditionally focused on accentuating the positives rather than eliminating the negatives of agriculture. This component offers an opportunity to direct existing research coalitions to new problems. It can also bring the CGIAR understanding of farming systems to the health community with potentially far-reaching benefits, as shown by a case study from Kenya. Driven by a combination of vested interests and genuine, although ill-founded, public health concern, a regulation required all milk to be pasteurized. CGIAR research showed that this imposed costs on milk traders and consumers—\$33 million annually—without creating health benefits, as consumers boil milk before consumption (Kaitibie et al. 2008). A coalition formed by ILRI was able to generate evidence and support advocacy for a new approach that is pro-poor and delivers superior food safety outcomes (Leksmono et al. 2006). Similarly, IFPRI's recent research in Kenya and in Mali has found high levels of aflatoxin contamination in maize and groundnuts, respectively. Awareness of aflatoxins is low among small-scale producers, while testing of produce in local markets is almost nonexistent. Further research is underway to identify cost-effective

⁷ One Health has been defined as the collaborative effort of multiple disciplines to attain optimal health for people, animals, and our environment. Ecohealth is defined as systemic, participatory approaches to understanding and promoting health and well-being in the context of social and ecological interactions. They have much in common and are increasingly aligned; both emphasize multidisciplinary and the importance of agriculture and ecosystem-based interventions (Waltner-Toews 2009).

⁸ Multidisciplinary is used here in the broad sense of involving several areas of research, policy, and practice.

and locally appropriate interventions and regulatory frameworks that inform both producers and consumers, and incentivize farmers to invest in producing crops safe for home consumption as well as local markets.

The CGIAR has a solid track record in important areas of AAD (see Table 12). The program will initially build on these areas of expertise (especially food safety and zoonoses), by broadening health partnerships and increasing the relevance of research to the health community. Other important areas of AAD will be developed in the medium to long term. One Health/Ecohealth will provide both a framework and a bridge with the health community, crucial to the research-into-use pathway.

Research subcomponents, priority diseases, and sequencing

Development of a research agenda was guided by three principles: (1) the impact of the problem on human health and livelihoods; (2) the relevance of agriculture research to assessing and managing the problem; and (3) the track record, current engagement, and anticipated opportunities of CGIAR centers in addressing the problem (as set out in Table 11). On this basis we identify two initial-priority subcomponents, food safety and zoonoses, to be addressed immediately and with substantial investments. We combine, as a third subcomponent, some other health risks of agroecosystems that are either emerging areas for exploration or areas, which although important, have lower levels of CGIAR involvement (< \$250,000 per annum); work in these areas will be exploratory or at smaller scale (medium priority). As further evidence emerges, some of these areas may become more important in the research agenda. Within the three subcomponents, we target for initial engagement a selective list of risks to human health, based on high potential for getting traction immediately and results within a five-year time frame.

These components have subcomponents whose priority is given in Table 12:

- Food safety: fungal toxins (mycotoxins), biological hazards, plant toxins, chemical hazards
- Zoonoses: neglected zoonoses; emerging diseases
- Other health risks of agroecosystems: water-associated disease; occupational hazards; drug and chemical resistance; ecosystem services; climate change and disease; shaping agroecosystems for health outcomes

6.3.2 Subcomponents of Component 3

Subcomponent 1: Improving food safety (Initial priority)

Food-borne diseases (FBD) have enormous impacts on health and livelihoods and are of great concern to consumers, producers, and policymakers. Risk analysis (assessing, managing, and communicating risk) brings a set of common concepts and tools to addressing FBD of different origins (plant, livestock, fish) and in different value chains, presenting an opportunity for creating synergy between centers. Science-based measures to reduce exposure along the food chain are urgently required and must go hand-in-hand with appropriate policies, institutions, and incentives for adoption. The WHO Reference Group, assessing the burden and attribution of important FBD, provides an entry point for bringing CGIAR research on prevalence, impact, and management of FBD to the arena of global governance of food safety.

Under this subcomponent, we identify three food safety health risks that can have significant implications for health, nutrition, and livelihoods in developing countries, and that are generally agreed to require agriculture or value-chain inputs for effective management.

1. Initial priority: *Mycotoxins* are fungal toxins that contaminate staple foods, feeds, and animal source foods in most of the humid tropics; they cause acute poisoning as well as chronic disease.
2. Initial priority: *Biological hazards* cause the great majority of food-borne disease and appear to be increasing in recent years; many are zoonotic (transmissible between man and animals) and many are also transmitted through water.

3. Medium priority: *Plant toxins* are natural substances in plants that can harm health; these include anti-nutritional factors in some legumes and cyanogenic glycosides in cassava. *Chemical hazards* from pesticide residues also harm human health and affect trade in agricultural products.

Table 12. Initial priority research areas and relevant CGIAR experience

Category	Priorities	Impact	Role of agricultural research	CG track record and opportunities	Priority risks	Level of engagement
Food safety	Mycotoxins	Medium health impacts– not in GBD Costs millions of dollars at national level	Agricultural research key to mycotoxin management	<ul style="list-style-type: none"> • Extensive work on pre- and postharvest technologies to manage risk, including biocontrol (IITA, ICRISAT, CIMMYT); breeding for reduced toxin content/resistance to fungal infection (IITA, ICRISAT, CIMMYT); risk mapping and assessment; cost-effectiveness of mitigation strategies (ICRISAT, IITA, IFPRI, ILRI); behavioral analysis to identify incentives for farmers to adopt aflatoxin mitigation measures (IFPRI). Opportunities to partner with ongoing initiatives, including PACA and EMBRAPA. 	Aflatoxins in staple crops and other food	Important area with substantial ongoing work: high initial priority
	Biological hazards	Very high health impacts–major contributor to diarrheal illness in GBD Costs billions of dollars at national level	Ag research key to management of food safety on farm and along value chain; other research important for household and medical management	<ul style="list-style-type: none"> • Risk assessment and management for milk and meat hazards along the value chain (ILRI, IFPRI); assessment and management of hazards in wastewater (IWMI, IFPRI, ILRI); pro-poor risk management through policy and organizational change (ILRI, IFPRI); certification and collective action to address food safety and consumer willingness to pay for safe food (ILRI, IFPRI). Opportunities to link with CRP3.7, CRP5, and WHO FERG group 	Animal source foods in five value chains in CRP3.7 Wastewater (CRP5)	
	Plant toxins Chemical hazards	Health impacts less extensive (chemical hazards much less important than biological). Costs not fully assessed.	Ag research key to reducing plant toxins and chemical hazards on farm	<ul style="list-style-type: none"> Risk-management through plant breeding (ICARDA, IITA) Pesticides and other chemical hazards in food 	Cassava, legumes	Focused area with some ongoing work: medium priority

(continued)

Table 12. Initial priority research areas and relevant CGIAR experience (continued)

Category	Priorities	Impact*	Role of agricultural research	CG track record and opportunities	Priority risks	Level of engagement
Zoonoses & EID	Neglected zoonoses	High—combined GBD and costs probably comparable with malaria or HIV	Agricultural research key for management of disease in animal reservoirs	Risk assessment, technology development for classical zoonoses including <i>Taenia solium</i> (ILRI). Opportunities to link with WHO	<i>Taenia solium</i>	Important area with significant ongoing work: high initial priority
	Emerging disease	High potential—HIV in GBD, costs millions of dollars per pandemic	Agricultural research role in understanding emergence and managing at source	• Risk and economic assessment for avian flu and risk management (ILRI, IFPRI); assessment and technology development for Rift Valley Fever (RVF). Opportunities to link with climate change	RVF	
Health risks in agroecosystems	Water-associated disease	High—water-associated disease	Agricultural research one of many research inputs into water-associated disease; important role in drug resistance and ecosystem-related disease	• Systemwide program on malaria but overall less extensive in this area: agroecosystem-based vector control in lowland settings (IITA)	Scoping work Vector control	Emerging area with some ongoing work: medium priority
	Occupational disease			Integrated pest management to improve pesticide use (CIP, crop centers)	Pesticide toxicity	
	Resistance			Assessment and management of drug resistance (ILRI); malaria vectors (IITA)	Resistance to agrochemicals	
	Ecosystem services and change			Ecosystem services for health (ILRI); health in the context of climate change (IFPRI, ILRI).		

Notes: Bolded areas indicate priority research areas. For many AADs, the impact in terms of Global Burden of Disease (GBD) or economic losses has not been assessed; ratings reflect our current knowledge.

Subcomponent 2: Zoonotic diseases and diseases emerging from animals (Initial priority)

The whole world bears the burden of diseases that originate in animals (such as HIV/AIDs and swine flu). The crucible for emergence of these diseases—and thus the opportunity for improving prevention and early detection—is often located in agroecosystems in poor countries that are either intensifying or degrading. Richer countries are motivated by self-interest to deal with the problems of emerging disease and pandemics at their source, as the examples of bird flu and hemorrhagic fevers demonstrate, often leveraging donor concern for pro-poor impacts. However, the risks and benefits from emerging disease control may be very different for rich and poor countries, as the anti-poor effects of bird flu control in some places has demonstrated (Roland-Holst, Epprecht, and Otte 2008). CGIAR research can help correct this imbalance of impacts.

Alongside emerging disease is the problem of established zoonoses that are controlled elsewhere but that persist at high levels among the poorest and most neglected populations. These neglected zoonoses include the pig tapeworm (*Taenia solium*), zoonotic tuberculosis, and brucellosis. The CGIAR has a key role in bringing to the global arena its understanding of disease impacts on the poor.

The successful control of zoonoses, whether tuberculosis in Ireland, rabies in continental Europe, or brucellosis in Canada, has always relied on interventions at animal level. For zoonoses of livestock, this means intervention along the farm-to-fork production pathway. The lesson from these experiences was largely forgotten until the wake-up call of bird flu. It is now generally accepted that control of zoonoses is best managed by multisectoral initiatives grounded in epidemiological studies, with an in-depth understanding of the variables that influence disease emergence and transmission (Schelling et al. 2007). Effective interventions must be grounded in the local context as well as in knowledge of disease transmission pathways; participatory methods have proved a powerful tool for engaging stakeholders and fostering positive change.

Subcomponent 3: Other health risks in agroecosystems (Medium priority)

In addition to food-borne disease and zoonoses, agriculture in ecosystems poses a number of risks to human health.

Irrigation and dam construction expose millions to the vectors of malaria and other diseases. The reduction of health risks from exposure to *water-associated disease vectors* has to be carefully balanced with supporting the livelihoods of farmers. Improved and innovative agricultural and water management practices can help reduce crop contamination, farmer exposure, vector breeding, and vector resistance. Rural populations can be protected while reducing costs for the public health sector.

Occupational health in agriculture and among the world's poor remains an area where more research is needed to understand the current situation and best practices, as well as variations in liability and insurance policies. CGIAR research on integrated pest management provides an entry point.

Other issues at the intersection of human, animal, and environmental health include emerging resistance to chemicals used in agriculture, the effect of climate on diseases associated with agriculture, ecosystem-related health services, and shaping agriculture to attain health goals.

Other health risks of agriculture are becoming increasingly important, and new areas are emerging where the CG has a comparative advantage based on systems understanding and biotechnology research. Given the need for an initial focus on a few lead areas, engagement in this research area will be initially exploratory and could expand in the medium term.

6.3.3 Objective and Research Questions

Objective

The objective of this component is to enhance environmental sustainability, reduce poverty, increase food security, and contribute to the health of poor communities by assessing, preventing, and mitigating agriculture-associated health risks, through research for improved food and water safety, animal-based zoonoses control, and managing agroecosystems for better health. Much focus will be on poor and

marginal communities, but given the rapid changes in developing countries, Component 3 will also look at changing AAD risk and mitigation associated with intensification of agricultural production and longer and more complex food chains. This work will fit into the broader global challenge of infectious disease foresight studies (<http://www.bis.gov.uk/foresight/our-work/projects/published-projects/infectious-diseases/reports-and-publications>).

Research Themes

The research questions address the technical issues of prioritization, innovation, technology development, and impact assessment, as well as methodological issues, using an approach that emphasizes understanding and evaluating novel partnerships and approaches. Questions will initially focus on the two initial priority subcomponents (1 and 2) focusing on food safety and zoonoses, as identified in Table 11 and linked to the impact pathways in Figure 7.

- *Prioritization and systems understanding.* What are the critical AAD for the poor? Which AAD require or can benefit from international agricultural research? What is the social and policy context for developing One Health/multidisciplinary approaches that can assess and manage the CG-priority AAD? What is the evidence for impact? What is the specific impact on women, the poor, and other vulnerable groups?
- *Risk and socioeconomic assessment.* What are the health impacts of the diseases in the two priority subcomponents on the poor (absolute and relative to other problems)? What is the evidence that these AAD create other economic, livelihood, equity, and ecological burdens (multiple burdens)? How do sociocultural factors differentially expose men and women to risk?
- *Innovation and risk-based management.* What technological, organizational, and social innovations can improve the detection and assessment of the multiple burdens of CG-priority AAD? How can these be developed, tested, and adapted to improve eventual uptake? What new science-based diagnostics, technologies, breeds, biological control, animal vaccines, methodologies, and other innovations can improve the management of CG priority AAD (without reducing production and productivity)? How can these be developed, tested, and pre-adapted to improve eventual uptake? How can women, often the primary managers of family health and nutrition, have more access to innovations? What are the factors preventing poor producers and consumers, male and female, from adopting risk mitigation and innovations? What type of informational, behavioral, or institutional mechanisms would promote adoption of better management strategies?⁹

Researchable Hypothesis

This research component will test the key hypothesis that international agricultural research can develop agriculture-based interventions that contribute to the prevention and control of AADs in ways that are acceptable, cost-effective, scalable, and sustainable. There is widespread recognition that human health depends on animal and environmental health and that management of complex health problems with an agricultural interface (such as food safety and emerging disease) requires inputs from multiple sectors and stakeholders. This CRP will evaluate how and what agriculture research can contribute.

Impact Pathway, Outputs, and Outcomes

We will assess the gender-disaggregated risks of AADs, particularly among the poorest producers and consumers; find and develop, jointly with the stakeholders, solutions and innovations to reduce these risks; understand and support appropriate institutions and incentives that will make these sustainable;

⁹ Throughout this component we stress that innovation includes not only new technologies but also new institutions, configurations, partnerships, policies, mind-sets, attitudes, behaviors, and practices; and that combinations of these are usually required to bring about pro-poor improvements in health.

assess the impact of interventions; and develop communications, advocacy, and influence strategies that will enable their uptake and use.

Outputs

Prioritization and systems understanding:

- Maps and rankings of AADs that identify important risks where CGIAR research can make a difference.
- Contribution to metrics and assessments of the multiple burdens of high-priority agriculture-associated risks.

Risk and socioeconomic assessment:

- New surveillance and diagnostic tools that allow for a better understanding of priority diseases.
- Assessments of health risks and economic, social, and ecological impacts of priority diseases, disaggregated by gender.

Innovation and risk-based management:

- Development of novel technologies, methods, and strategies; evaluation of these as well as existing risk-management options in terms of disease burden reduction, cost, feasibility, gender and equity, and policy implications.
- Evaluations and impact assessments presented in conferences and documented in peer-reviewed publications.
- Widespread adoption fostered through development programs and value chains.

Cross-cutting:

- Advocacy meetings, briefs, website, and reports disseminating research findings.

Outcomes

These research outputs will be developed in collaboration with, and to meet the demands of, the two major categories of research users: public and civil society programs, charged with improving health and livelihoods; and the value-chain actors, faced with increasing demands for managing disease risks (see partnership discussion). This engagement provides a mechanism for linking research to use by including in the design discussions those who rely on evidence and research outputs to attain their own organizational goals. Outcomes will thus be at two levels:

1. Research outcomes—changing mind-sets and practice in development programs and value chains, through direct engagement and joint development of research outputs
2. Development outcomes—changing mind-sets and practice among the poor dependent on agriculture, achieved through development programs and value chains

The research outputs will contribute to the following specific outcomes:

- Improved understanding of the gender-disaggregated risks and livelihood impacts of AADs by farmers and key stakeholders
- Increased understanding of the poverty, social, gender, and behavioral determinants of adoption of risk-mitigating measures among key stakeholders
- Change in awareness, assessment, and management of the risks of AAD attributable (partially or wholly) to CGIAR research

- Wide use of new technologies for better assessing, diagnosing, preventing, and managing AAD, attributable to CGIAR research
- New One Health/multidisciplinary partnerships that multiply and scale up the results of CGIAR research, leading to better assessment and management of AAD

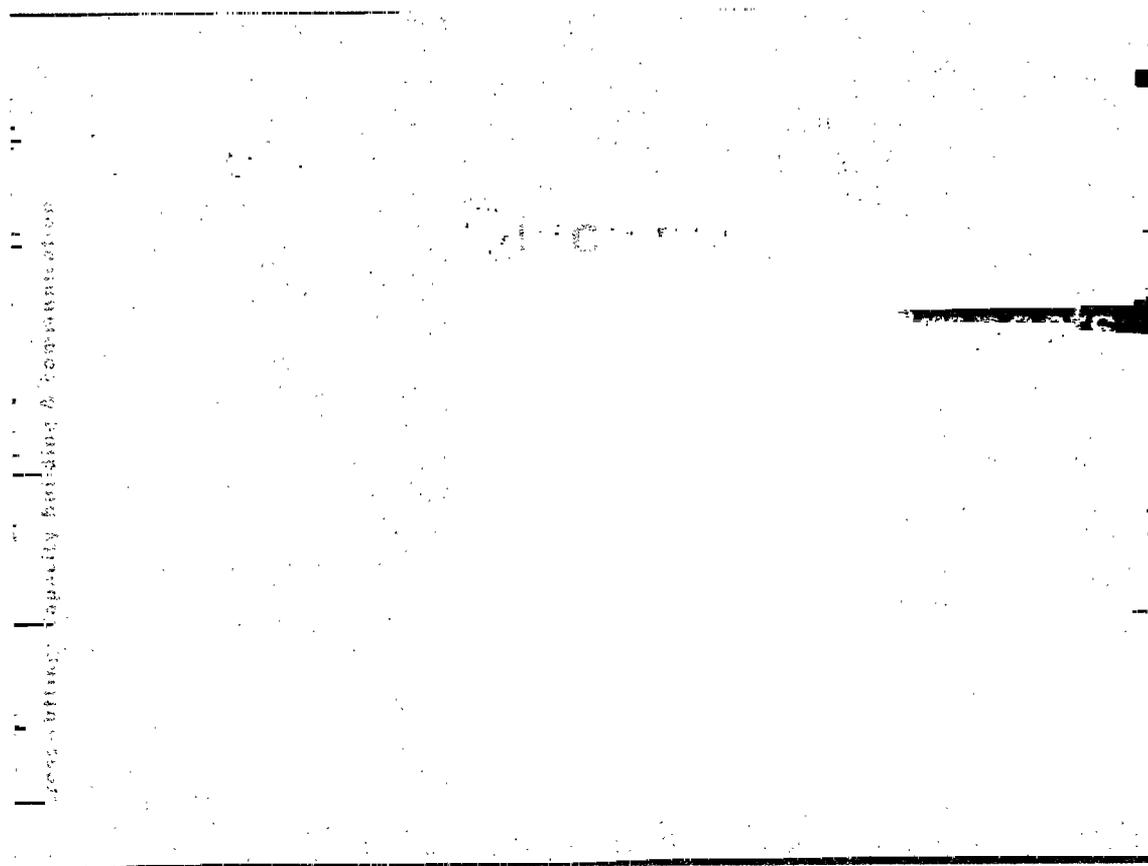
As shown in Table 12, we distinguish between two initial priority subcomponents (1 and 2), where work is ongoing and substantive and major impacts are anticipated within 3 years, and a third component covering emerging or important areas where the CG has less current investment.

Figure 7 shows the impact pathway for all subcomponents. There are three main strands of activities, summarized as prioritization, assessment, and management of risk; cross-cutting activities are capacity-building and risk communication. *Prioritization* involves understanding the system context and comparative risk assessment (risk ranking) to identify which risks to tackle first. This is linked to *assessment* of risk and identification of risk factors and control points. That in turn informs the development of cost-effective risk management methods with partners, including assessing their potential impact and promoting uptake. Appendix 8 presents a summary of existing and planned activities on the prevention and control of AAD.

In practice, these strands will be sequenced iteratively and not linearly. For some hazards, risk assessment and management activities are ongoing; the question of their relative importance and prioritization would be dealt with as part of the development of metrics, prioritization, and decision support.

This research will be conducted in partnership with the anticipated users of research—that is, development programs and value chains—and will respond to their needs and concerns. In turn, they will bring to the research design an awareness of changes in knowledge, attitudes, and behavior of the poor who are afflicted by AAD or involved in its transmission. The ultimate impact is a useful and substantial reduction in the multiple burdens associated with AAD, a reduction that can be attributed to CGIAR research inputs.

Figure 7. From research to impact: Multiple pathways in a risk management context



6.3.4 Principles

The research will embody three underlying principles:

1. multidisciplinary—involving different disciplines, policymakers, and communities
2. participation—including communities and decisionmakers in research design, implementation, and evaluation
3. gender equity and social and economic fairness

Multiple disciplines bring multiple perspectives to understanding the epidemiology, prevention, and management of AAD, addressing the ecological, economic, social, and political subsystems that influence health (Lebel 2003).

Cooperation and Collaboration

Collaborative, comprehensive research strategies are a hallmark of the CGIAR approach (see Box 7). For food safety impacts (Subcomponent 1), critical actors will vary with the stage of value-chain development. CGIAR Centers already have experience and links with multiple actors along the food chain and in the enabling environment—for example, national research organizations, public- and private-sector service providers, civil societies, NGOs, and policymakers. For many poor people, informal markets are developing, and the main actors involved are farmers' organizations and civil society. For them, the policy context is often disabling, and engagement with policymakers will be key to achieving shifts to more equitable and effective policy and regulation. As markets formalize, private-sector companies become more important. For AAD relating to animals (subcomponent 2), public health and

veterinary services are important actors. In all cases, actors will be engaged directly in each target system or country. In other areas (Subcomponent 3), partners for engagement will be intergovernmental agencies such as WHO, FAO, and OIE and their specific programs for food safety and disease control. The research will include technology, policy, and institutional work needed to achieve outcomes. In addition, cross-cutting policy and methodology research required for better cross-sectoral engagement and decisionmaking will be implemented in Component 4.

Partners are key to our impact pathway, and we envisage a two-pronged partnership strategy consisting of strong collaborative relations with a small number of strategic partners (two to five), complemented by an outreach strategy of two-way communication with a broader range of relevant partners. For some activities, strong and long-standing partnerships already exist; for others, explorations and discussions will be held in the first six months to better understand and identify strategic and relevant partners. Key partners already identified include WHO FERG, WHO TDR, LIDC, Swiss Tropical and Public Health Institute (STPH), CSRS, and EMBRAPA. Mapping the partnership landscape will be an important initial activity.

6.3.5 Methods

The keystone of this component is agriculture research, bringing innovation to improve management of AAD and developing and testing technological, organizational, and social innovations. Epidemiology, with its focus on health in populations, has for long been the foundation on which public health decisions are developed, implemented, and evaluated (IOM 1988). Risk analysis is the gold-standard approach for addressing food safety as well as diseases of trade; it contributes to the conceptual framework of the impact pathway and will be a major research approach. Risk-based analytic approaches will need further development to better integrate considerations of participation and equity and to be a practical application for all levels of value-chain actors (Grace et al. 2008). Behavioral analysis will help identify information approaches and market access incentives for farmers to adopt mitigation measures. Addressing the complex problems of AAD from farm to fork will therefore require contributions from many disciplines, including economics, sociology, gender studies, and ecology. Similarly, the development, testing, and dissemination of risk assessment and management tools and strategies will require the contributions of biology, genetics, molecular epidemiology, bioinformatics, food technology, communications, extension, and other specialties. The interface of human health and agriculture is a meeting ground for many disciplines and approaches, as illustrated in Box 8 and in each subcomponent.

6.3.6 Subcomponent 1: Improving Food Safety (initial priority)

Food-borne disease is one of the most important health problems in developing countries. Under this subcomponent we address three critical areas of agriculture-associated health risks.

1. Mycotoxins are fungal toxins that contaminate staple foods, animal feeds, and animal source foods in most of the humid tropics.

2. Biological hazards (including micro-organisms and parasites) cause the great majority of food-borne disease and appear to be increasing in recent years. Most arise from contamination of foods (mainly livestock or fish source) with human pathogens or from food-borne zoonoses.
3. Plant toxins are natural substances in plants that can harm health; these include anti-nutritional factors in some legumes and cyanogenic glycosides in cassava. Chemical hazards from pesticide residues can also harm human health and affect trade in agricultural products.

Rationale, Objectives, and Research Questions

Mycotoxins: Rationale

Mycotoxins are produced as secondary metabolites by several pathogenic and food spoilage fungi. They affect almost one-quarter of global food and feed (Dohlman 2004). They are found in a wide range of foods, including certain cereals, legumes, root crops, spices, tree nuts, and dry fruits; if animals eat contaminated feed, they may also be present in animal source foods. The highest-risk crops are maize, groundnuts, and cottonseed. Aflatoxins are one of the most potent natural toxins, and the most potent carcinogens known today among mycotoxins (IARC 1993). Other mycotoxins, including fumonisins, are also widespread in tropical areas. Most are less well researched and their impacts less well understood than aflatoxins.

Mycotoxin contamination affects the long-term health of humans and animals. Chronic effects include growth retardation (Gong et al. 2004), immune suppression (Jiang et al. 2005), reproductive problems (Shuaib et al. 2010), and cancer. Consumption of high doses can result in acute illness and death: in 2004, more than 125 people died in Kenya. Mycotoxins also negatively affect nutritional status by interfering with protein-energy metabolism and by affecting the synthesis of vitamins A and D as well as zinc and selenium (Williams et al. 2004). However, more research is required to understand the interactions between vitamin A/iron/zinc deficiency, diarrhea, and mycotoxin exposure—conditions that frequently co-exist in children who lack access to adequate good food. Such an understanding will help in accurately mapping and measuring the mycotoxin health burden. Another important area for multidisciplinary research is the link between aflatoxins and stunting (Box 8).

Mycotoxin contamination also affects the agricultural economy through loss of produce, lost access to markets, and management costs (Shane 1994). Mycotoxins are also toxic to livestock, lowering production and productivity. Commercial food and feed sectors, large institutional buyers such as the

World Food Programme, and national food reserve agencies therefore all require mycotoxin-safe maize, which often means the exclusion of small farmers from this market.

Contribution of CGIAR. A number of strategies are currently being developed and evaluated to address the problem. These include pre- and postharvest measures as well as dietary strategies:

- Development of mycotoxin-tolerant cultivars (especially maize and groundnut) (Gardner et al. 1987; Brown et al. 1999; Holbrook et al. 2008; Menkir et al. 2008; Waliyar et al. 2003)
- Competitive exclusion technology for biological control (Cotty, Probst, and Jaime-Garcia 2008; Atehnkeng et al. 2008)
- Dissemination of appropriate pre- and postharvest technologies that reduce the risk of food/feed contamination (Hell et al. 2008; Waliyar et al. 2008a), including low-cost, effective storage interventions
- Various food processing practices (Fandohan, Hell, and Marasas 2008)
- Development of simple diagnostic tools, including bio-markers, to raise an exposure alarm and indicate severity of contamination (Waliyar et al. 2008b)

A combination of some of these cost-effective strategies can reduce mycotoxin burden in vulnerable populations. Earlier work by IITA and partners identified local maize processing practices that can reduce mycotoxin exposure (Cardwell and Henry 2004). Integration of public health (Strosnider et al. 2006) and agricultural strategies (Menkir et al. 2008) is a promising strategy to reduce mycotoxin exposure in developing countries.

Priority research area. Priority will be given to aflatoxins in staple crops grown by poor farmers in Sub-Saharan Africa for household consumption, sale, and other uses. The key research challenge is to determine how cost-effective, pro-poor, and appropriate risk management can be scaled out for wide-reaching impacts.

Biological hazards: Rationale

Food-borne disease is one of the most important health problems in developing countries, responsible for 4 billion annual episodes of gastrointestinal disease (UNEP 2010). As much as 70 percent of deaths among children under five are linked to biologically contaminated food and water (Unnevehr and Hirschorn 2000). In 2 to 3 percent of cases, severe and disabling long-term effects result, including joint disease, kidney failure, or cardiac, retinal, or neurological disorder (Lindsay 1997). These often permanent effects, although little noticed by policymakers, may well represent an even greater health and economic burden than the acute disease. Parasitic food-borne zoonoses (such as cysticercosis and echinococcosis), largely absent from rich countries, cause important losses in poor countries—in the range of millions of DALYs and billions of dollars in medical costs, lost productivity, and losses to the livestock sector (Maudlin, Eisler, and Welburn 2009).

In countries where detailed attribution data exists, the burden of food-borne disease is mostly due to pathogens (Thorns 2000), most of which are zoonotic in origin (Schlundt et al. 2004). Animal source food poses the greatest risk to human health (Adak et al. 2005; Lynch et al. 2006). In developing countries, much less is known about every aspect: causes of diarrhea, prevalence of food-borne diseases, high-risk foods, risk factors (including behavioral), or the cost and other impacts of illness (Kaferstein 2003).

As with other AAD, biological hazards in food can impose additional burdens on the agriculture and livestock sector and even the ecosystem itself. The economic impact in poor countries is largely unknown, but evidence from developed countries shows that costs can be very high. A US study estimates the total economic impact of food-borne illness at \$152 billion annually (Scharff 2010), while work from ILRI indicates that beef-borne disease alone costs Nigeria more than \$1 billion per year (Okike, Grace, and Hussni 2010). Food safety policies and regulation can also carry a high cost, in

excluding small-scale value-chain actors or shifting them to informal markets with higher risks and fewer gains (Kang'ethe, Grace, and Randolph 2007).

Innovative risk-reduction approaches are needed. The use of polluted irrigation water, for example, supports the livelihoods of between 20 and 50 million farmers and feeds up to one billion consumers—while creating a risk of disease when crops are eaten raw. In such instances, risk reduction and livelihood support have to be carefully balanced. Water pollutants can also impair the health of livestock and that of the consumers of animal products, within a complex system that includes links between water-borne and food-borne diseases.

Contribution of CGIAR. A number of approaches and strategies are being used to assess and manage biological hazards:

- Assessment of risk posed by biological hazards in food, combining a number of methods ranging from participatory epidemiology to stochastic modelling (Grace et al. 2007) as well as research into the association between gender and food safety
- Surveys, contingent valuation, and behavioral observation to assess willingness to pay for food safety: studies across seven countries demonstrate a 5 to 15 percent premium for safety-assured products (Jabbar, Baker, and Fadiga 2010)
- Training and certification of informal-sector milk traders, and evaluation of the resultant risk-reduction and economic benefits (Kaitibie et al. 2008)
- Nontreatment interventions to reduce the risks of farming, trading, and consuming wastewater-irrigated vegetables
- Understanding the benefits of informal-sector food to livelihoods, and the effects of food safety policy both on consumer safety and on the livelihoods of those in informal food production

Priority research area. The initial research focus will be animal source foods in seven of the eight high-potential smallholder value chains targeted by CRP3.7 (fish and pigs in Uganda, milk in Tanzania and India, pigs in Vietnam, sheep and goats in Ethiopia and Mali). The key research challenge will be to improve food safety while maintaining smallholder market access.

Plant toxins and chemical hazards: Rationale

Some common food crops are associated with plant toxins and anti-nutritional factors. Cassava contains cyanide; grass pea harbors β -ODAP (β -N-oxalyl-L- α , β -diaminopropionic acid); faba bean contains tannin, vicine, and convicine; yams have alkaloids; and most of the food legume crops contain phytate and raffinose family oligosaccharides. These plant toxins and anti-nutritional factors reduce the nutritive value of food crops, and if taken in large quantity over a long period, cause serious health problems in humans and animals, while also lowering the bioavailability of dietary minerals and micronutrients (such as iron and zinc). Tens of thousands of people are affected by konzo and lathyrism, two toxico-nutritional neuro-degenerative diseases that persist exclusively among the poorest and most marginalized communities (Tshala-Katumbay and Spencer 2007). Similarly, overconsumption of grass pea in an unbalanced diet for a period of three to four months causes lathyrism in up to 6 percent of the population within its production zone (Spencer 1995). Favism is a medical condition caused by deficiency of the erythrocyte-located glucose-6-phosphate dehydrogenase (G6PD) that predisposes individuals to anemia as a result of consuming faba beans. The condition is most common in people who live around the Mediterranean, and it generally affects men more often than women. Similarly, presence of phytic acid in food legumes reduces the bioavailability of iron and zinc (Spear and Fehr 2007).

These crops are grown over significant areas: cassava, 18.7 m.ha.; grass pea, 1.50 m.ha.; faba bean, 2.67 m.ha. In most areas, they are irreplaceable by other crops. Cassava and grass pea are adapted to adverse agroclimatic conditions such as drought and waterlogging, and to the nutrient-deficient soils

which are frequent, widespread, and persistent in South Asia (SA) and Sub-Saharan Africa (SSA) (Kumar et al. 2010).

Chemical hazards from pesticides and from other agricultural inputs can also contaminate food, harming human health and affecting trade in agricultural produce.

Contribution of CGIAR: Over the past 25 years, in collaboration with NARS partners, CGIAR centers have developed safer grass pea and faba bean (ICARDA) as well as cassava (IITA).

- Centers are developing strategies that reflect particular challenges in SA and SSA, where the production of these crops is often dominated by marginal farmers, with women comprising much of the workforce.
- Pesticide-related health problems continues to be part of CIP's newly created program on complex systems.

Priority research area: The initial priority for plant toxin research will be the development and evaluation of low-toxin or toxin-free varieties of grass pea, cassava, and faba beans; multiplication of quality seeds, demonstration of improved agronomic practices; and training on food processing methods for poor farmers in South Asia and Sub-Saharan Africa. Work on chemical residues in food will be addressed through the integrated pest management research, which seeks to reduce the use of pesticides in order to meet objectives of improving occupational health and food safety, decreasing input costs, protecting the environment, and slowing the development of resistance.

Objectives

The objective of this component is to contribute to the assessment, prevention, and mitigation of the multiple burdens of food-borne disease in developing countries, through demand-driven, pro-poor research into agriculture, livestock, and agroecosystem research that builds on CGIAR's wealth of experience and expertise.

Research Themes

Throughout the three subcomponents, the same set of research questions will support learning and transformation, to contribute to the overall impact pathway:

- *Prioritization and systems understanding:* Which hazards are of greatest concern for the poor in developing countries (in terms of health, loss of income, and livelihoods)? What is the relative prevalence risk? How can agriculture research and One Health/multidisciplinary approaches add value to risk reduction? How can they address the issues of gender, equity, participation, and ecosystem impacts? What partnerships, coalitions, and engagement are needed to influence actors in development and those in markets to better support risk management?
- *Risk and socioeconomic assessment:* What is the epidemiology of transmission, exposure, and vulnerability? What are the social, gender, and environmental determinants of risk and disease impact? What are the impacts on agroecosystems? What are the risk pathways between hazard origin and human victim? What are the risk factors and control points for reducing each risk along the food chain from farm to fork? And how does this vary by ecological zone or size of producer? Finally, how can interventions at farm level and along the value chain protect consumers?
- *Innovation and risk-based management:* What has been learned about these hazards, and what are the key gaps? How is risk currently managed, and what surveillance is in place? Are there cost-effective methods to reduce the risk (to health, income, and livelihoods) without reducing productivity for small- and medium-scale producers? What new science-based diagnostics, technologies, breeds, biological control, animal vaccines, methodologies, and other innovations can improve the mitigation, surveillance, and management of risk? How

can these innovations and technologies be developed, tested, evaluated (for both economic and social benefits), scaled-up, and disseminated? How can policy alternatives and implications be effectively conveyed to decisionmakers?

Impact Pathway of the Subcomponent

The overall impact pathway follows the approach diagrammed in Figure 7: major activities include prioritization and system understanding; risk and socioeconomic assessment; and innovation and risk-based management. At the same time, the focus on three specific health risks under this subcomponent allows for a more targeted approach. For each health risk, research results will shape technological and other innovations as well as information for dissemination. These innovations will be systematically assessed, and the results will be fed back into the development of increasingly appropriate solutions in an iterative manner. This feedback approach allows for more permanent and sustainable solutions, as well as increased adaptive capacity for longer-term development.

The outcomes of the research will be methods, approaches, innovations, and models tested and available to scale out to other communities. The adoption of these approaches in the targeted communities and beyond will reduce the risks to human health from mycotoxins, biological hazards, and plant toxins, while safeguarding or enhancing agricultural production and productivity. This will contribute to the ultimate impacts of improved health, nutritional status, and rural livelihoods.

Improving Food Safety: Activities, Outputs, and Outcomes

Table 13 provides detail of the activities, outputs, and outcomes for this subcomponent. Refer to the key provided to identify the specific research area for each activity, output, and outcome. Appendix 9 gives an expanded version of this table at a higher level of detail.

Table 13. Activities, outputs, and outcomes for Subcomponent 1: Food safety—High initial priorities (by research theme)

	Activities	Outputs	Outcomes
Prioritization	<ul style="list-style-type: none"> Assess mycotoxin contamination in key crops across agroecological zones <i>Maize (Kenya) and groundnut (Nigeria) survey (IFPRI, CIMMYT, ICRISAT)</i> <i>Maize survey across Tanzania and Kenya and dairy feed survey in high risk areas of Kenya (ILRI)</i> Conduct comparative risk assessment to prioritize food-borne disease <i>Food-borne disease in smallholder pig system in Uganda and Vietnam (ILRI)</i> <p>Conduct survey of food-borne hazards along value chains Assess the multiple burdens of food-borne disease</p>	<ul style="list-style-type: none"> Risk maps for mycotoxins in key crops <i>Risk maps for groundnut (Nigeria) and maize (Kenya)</i> <i>Risk maps for dairy feed chain in Kenya</i> Value-chain maps for groundnut, maize, and sorghum <p>Dependent on activities not currently funded Metrics and assessments of multiple burdens of food-borne disease over producers and consumers Risk-targeting decision support tools</p>	<ul style="list-style-type: none"> Risk maps for different food-borne disease used for risk targeting by government Resource allocation better reflects risk and costs of food-borne disease Assessment of the impacts over producers and consumers
Risk & socioeconomic assessment	<ul style="list-style-type: none"> Assess mycotoxins in soil, crops, and livestock <i>Analyze the impact of aflatoxin contamination on the livelihoods and health of people in Kenya (maize) and Mali (groundnuts) (IFPRI, CIMMYT, ICRISAT)</i> <i>Establish mycotoxin diagnostic platform in Kenya (ILRI)</i> Improve understanding of human health impacts of mycotoxins <i>Develop and test biomarker studies (IFPRI)</i> <i>Study relation stunting and mycotoxins in Kenya (IFPRI, ILRI)</i> <i>Monitoring blood aflatoxin loads in Malawi (ICRISAT)</i> Conduct integrated health risk and economic assessments for food-borne disease <i>Smallholder pork in Vietnam and mycotoxins in dairy feed (ILRI)</i> Identify critical control points for food safety along value chains <i>Poultry slaughterhouses in Vietnam and Thailand (ILRI)</i> <p>Develop and test new detection methods for food-borne hazards Assess retention of toxins during processing Develop and test surveillance models for food-borne hazards and diseases</p>	<ul style="list-style-type: none"> Evidence for policy influence Mycotoxin detection improved Estimate of mycotoxin exposure in selected human populations Elucidation of link between mycotoxins and malnutrition in children <p>Dependent on activities not currently funded Novel rapid tests developed, tested, and shared Surveillance systems and prediction models Evidence to influence policy and practice</p>	<ul style="list-style-type: none"> Evidence from integrated health and economic assessments influences policy and practice Prediction models used by government agencies and national and international organizations New cost-effective detection tools used routinely by actors along the value chain, including exporters Better surveillance and reporting of food-borne disease

(continued)

Table 13. Activities, outputs, and outcomes for Subcomponent 1: Food safety—High initial priorities (by research theme) (continued)

	Activities	Outputs	Outcomes
Innovation and risk-based management	<ul style="list-style-type: none"> • Biological control for mycotoxins: develop, test, and enable commercialization • <i>Development and commercialization of biological control of aflatoxins in 5 African countries (IITA) and Zambia (IITA and ICRISAT)</i> • Develop and test control innovations for mycotoxin management • <i>Identify cost-effective control measures to reduce exposure to aflatoxins (IFPRI, CIMMYT, ICRISAT)</i> • <i>Postharvest technology in Malawi and Tanzania (ICRISAT)</i> • Market-based innovations for food safety in informal markets • <i>Assess impact of training and certification of informal milk in India (ILRI)</i> • <i>Food safety as an opportunity for value addition in East Africa (ILRI)</i> <p>Evaluate, test, and disseminate low toxin strains of legumes and other Research institutional arrangements to improve adoption and cost-effectiveness Health, social, economic, and other impacts assessment</p>	<ul style="list-style-type: none"> • <i>Biocontrol trialed in new countries</i> • <i>Bio-pesticide registration workshop with specific focus on the use of native beneficials for aflatoxin control</i> <p>Dependent on activities not currently funded Simple, rapid technologies for food-borne hazard detection at field level and along value chains Alternative uses of contaminated products identified and promoted New country- or region-specific strains for biocontrol identified</p>	<ul style="list-style-type: none"> • Evidence shown to influence policy in a pro-poor direction • Widespread adoption of improved management in the target regions • Increased income from safer agricultural produce • New strains of atoxigenic fungus promoted and commercialized • 10% farmers in selected areas adopt recommended mycotoxin management • Mycotoxin reduced by 70% and exposure by 80% in selected areas
Capacity and skills	<ul style="list-style-type: none"> • Build capacity of NARS and graduate students • <i>PhD students (3) in Kenya (ILRI)</i> • Develop and test risk-communication strategies • Targeted dissemination to decision-makers, private sector, NGOs, research community, donors and others • Community-based capacity building 	<ul style="list-style-type: none"> • Policy advocacy platform • Publication of peer reviewed research articles, datasets, and learning materials 	<ul style="list-style-type: none"> • Shift in mind-sets toward pro-poor and risk-based food safety policy and practice • Behavioral changes of value-chain actors in high-risk areas • Enhanced access for the poor to safe food

Methods for Food Safety

Box 9 summarizes the various methodological approaches that will be drawn upon in implementing this component.

Scientific research into new and innovative technologies and diagnostic tools builds on the strengths of the CG and partner NARs. A further crucial component of this CRP will be the up-scaling and adoption of these innovations by farmers and other actors along the value chain. This aspect will require other partnerships, with public, private, and nongovernmental service and information providers, as well as innovative research through iterative processes to adapt existing technologies so they are socially and politically as well as technically feasible and cost-effective.

Partnerships for Food Safety

Mycotoxins

ICRISAT, CIMMYT, IITA, ILRI, and IFPRI are the main centers involved in mycotoxin research. Established partners include advanced research institutes (ARIs), universities, EMBRAPA, and NARS.

The component will facilitate linkages and synergies among partners to work together. The Bill and Melinda Gates Foundation has initiated a Partnership for Aflatoxin Control in Africa (PACA), bringing together many institutions, donors, and other stakeholders to reduce the aflatoxin burden in Africa. The partnership includes key regional actors in Africa, including COMESA and the AU, and is being promoted within the CAADP framework as a key issue in food security. The CG centers involved in aflatoxin-related research are playing a key role in shaping and informing this partnership and the priorities for research and action, together with African policymakers and research centers.

Biological hazards

ILRI, IWMI, and IFPRI are the three centers most active in this area. WHO, FAO, and OIE all have mandates for food safety. WHO currently has a Reference Group working on attribution and burden of FBD and are seeking collaborators (FERG) as well as a strong water, health, and sanitation program to which IWMI is closely linked. The World Bank has done some initial, largely qualitative work with the University of Guelph on cost of compliance to meet increased private standards. ARIs in Europe and America are involved in ongoing projects.

Plant toxins and chemical hazards

Over the past 25 years, in collaboration with NARS partners, ICARDA and IITA have developed safer grass pea and faba bean (ICARDA) as well as cassava (IITA). Partners are NARS in target countries and ARIs in Belgium, the United States, Spain, and China. Among development partners, NGOs, private sectors, and national seed agencies in South Asia and Sub-Saharan Africa will be involved for transferring technologies. For policy and knowledge partners, WHO, FAO, and IFAD will be partnered for awareness, risk assessment, and communication. The CGIAR Centers, in particular IITA, ICRISAT, CIP and IRRI, have worked over many years with NARS, other International Associations of Research of Cancer (IARCs), and the private sector on alternative technologies to harmful pesticides to reduce risks of residues on agricultural produce and occupational hazards. To better coordinate their work, in 1996, the CGIAR Centers have established the Systemwide Program on Integrated Pest Management.

Examples of partnership arrangements for this component are presented in Table 14.

Table 14. Examples of partnership arrangements for food safety

Research theme	Enablers	Development implementers	Value chain	Research	CGIAR
Mycotoxins	WHO	PACA	Seed producers	EMBRAPA	ICRISAT
	Codex alimentarius	MoA	Food and feed industry	NARS	CIMMYT
	DFID	MoH		ARIs	IITA
	Food regulators				IFPRI
Biological hazards	WHO	WB	Food industry	NARS	ILRI
	FAO	MoA	SSAFE	ARIs	IWMI
	OIE	MoL		Developing country universities	IFPRI
	Codex alimentarius	MoH			
	WB				
Plant toxins	EU				
	Food regulators				
	WHO	MoA	Food industry	NARS	ICARDA
	FAO	MoH	Seed industry	ARIs	IITA
Chemical hazards	IFAD				
	Food regulators				
	WHO	MoA	Pesticide industry	NARS	IITA
	FAO	MoH			ICRISAT
	Codex alimentarius				CIP
	Food regulators				IRRI

6.3.7 Subcomponent 2: Zoonotic and Emerging Diseases (initial priority)

Rationale, Objectives, and Research Questions

Zoonoses are an important cause of sickness and death in poor countries

Improving the health of the poor requires reducing the threat and burden of zoonoses (Perry and Grace 2009), since in least-developed countries, zoonoses (and diseases recently emerged from animals) account for 25 percent of the Disability Adjusted Life Years (DALYs)—much greater than the combined burden of malnutrition and food associated-toxins (WHO 2008b). Around 60 percent of all human diseases are zoonotic (Taylor, Latham, and Woolhouse 2001). Zoonoses are responsible for most of the burden of food-borne disease (Schlundt et al. 2004), and the majority (75 percent) of emerging diseases have jumped species from animal hosts. Of the 35 leading communicable causes of death, 15 are either zoonoses or have a zoonotic component (Ecker et al. 2005).

Dollars as well as DALYS: The multiple burdens of zoonotic disease

By definition, DALYs only measure the disutility to the individual of being ill. They do not capture medical costs of illness to the individual or society (including cost of medication and provision of healthcare infrastructure). Indirect costs include loss of production and productivity as the result of illness, as well as costs of averting hazards (for example, mosquito nets).

Zoonoses have resulted in significant economic impacts. A study by Roth et al. (2003) shows that, reviewing both private and public costs of human illness and costs borne by the livestock sector, only 10 percent of the benefits of control accrued to the public sector. Diseases emerging from animals, while probably costing less than endemic zoonoses, often have more discrete effects: the severe acute respiratory syndrome (SARS) cost an estimated \$50 billion, while a probable influenza pandemic could cost \$2 trillion (World Bank 2008).

Agriculture-based interventions are essential for the control of zoonoses

The successful control of zoonoses, whether tuberculosis in Ireland, rabies in continental Europe, or brucellosis in Canada, has always relied on interventions at the animal level—as well as, for zoonoses of livestock, intervention along the farm-to-fork production pathway. The lesson from these experiences was sometimes forgotten, until bird flu came as a wake-up call. Control of zoonoses is best managed by multisectoral initiatives grounded in epidemiological studies that identify the variables that influence disease emergence and transmission (Schelling et al. 2007). Effective interventions need to be contextually adapted to local conditions, on the basis of knowledge of disease transmission pathways.

Objectives

The objective is to contribute to the assessment, surveillance, control, and prevention of the multiple burdens of zoonoses, both actual and potential, through demand-driven, pro-poor research into agriculture, livestock, and agroecosystem research that builds on CGIAR experience and expertise.

Research questions and approaches

- A. What are the priority zoonotic and emerging diseases that constrain pro-poor development?
 - What is the prevalence and burden of zoonotic and emerging disease?
 - What are the risk factors and control points?
 - What are the options for control? What are the likely risk-risk trade-offs, costs and benefits, and cost-effectiveness of control?
- B. How to better predict, plan for, and prevent diseases emerging from agroecosystems?

- How can surveillance, response, prevention, and preparedness systems be more effective, integrated, and sustainable?
 - Which response strategies can improve adoption of control strategies?
- C. How can agriculture-based interventions contribute to control of neglected zoonoses?
- How to build and test multisectoral, integrated zoonoses control packages?
 - How to develop new technologies to meet current gaps in disease control?
 - How to promote uptake, adoption, and transforming knowledge into use?

Impact Pathway of Subcomponent 2

The impact pathway assumes that research will co-generate evidence, methods, and tools in collaboration with partners, who in turn will use the research outputs to improve policies, programs, and services for pro-poor management of zoonotic and emerging diseases. The major strands of activity follow the pattern previously set out (Figure 7). Major activities are prioritization (burden assessment and investment opportunities around neglected Zoonoses); assessment (pathogen detection platforms and surveillance); management (disease control tools, methods, delivery); and capacity-strengthening and policy engagement, as cross-cutting processes. The outcomes delivered will contribute to (1) better detection and surveillance of diseases, (2) better prevention and control of zoonoses through integrated and multisectoral approaches, and (3) more resilient ecosystems that reduce the risk of disease transmission and emergence. This will contribute to the ultimate impacts of better health, nutritional status, rural livelihoods, and ecosystem sustainability.

Activities, Outputs, and Outcomes

Table 15. Activities, outputs, and outcomes of Subcomponent 2 (by research theme)

	Activities	Outputs	Outcomes
Prioritization and systems understanding	<ul style="list-style-type: none"> Review and rank multiple burdens and control options for zoonoses <ul style="list-style-type: none"> <i>Mapping, examining, and anticipating future risks of vector-borne diseases in eastern Africa (ILRI)</i> <i>Integrated health and socioeconomic assessment of the multiple burdens of zoonoses in Kenya, Ghana, Sierra Leone, Zambia, and Zimbabwe (ILRI)</i> <i>Integrated health and economic assessment for control options for Rift Valley Fever in Kenya (ILRI)</i> <i>Identification of disease in systems and bio-banking samples in Kenya and Uganda (ILRI)</i> Work with international organizations to complement and ground truth ongoing studies 	<ul style="list-style-type: none"> Identification and prioritization of zoonoses in systems Contributions to methodologies for better assessment of the multiple burdens of zoonoses and intervention opportunities Detailed assessment of multiple burdens of one or two known priority diseases (RVF) 	<ul style="list-style-type: none"> Greater awareness of health partners of the importance of zoonoses and need for agriculture-based interventions Funding opportunities developed to support intervention opportunities
Risk and socioeconomic assessment	<ul style="list-style-type: none"> Understand epidemiology and risk of neglected zoonoses and emerging disease <ul style="list-style-type: none"> <i>Assess risk factors for zoonoses transmission in western Kenya (ILRI)</i> Understand drivers and crucibles of disease emergence <ul style="list-style-type: none"> <i>Develop agent-based models to deliver quantitative estimates of RVF epidemiological dynamics (ILRI)</i> <i>Measuring and mapping drivers of emergence of zoonotic disease in Kenya (ILRI)</i> Develop pathogen detection platforms and diagnostic tests <ul style="list-style-type: none"> <i>Establish a genomics platform for high-throughput screening of samples from a wide varieties of hosts and vectors for the detection of known and new pathogens in Kenya (ILRI)</i> <i>Develop and test rapid tests for cysticercosis (ILRI)</i> 	<ul style="list-style-type: none"> Surveillance and control options based on improved understanding of disease epidemiology and risk factors Diagnostics that take into account variants in circulation available 	<ul style="list-style-type: none"> Tools and guidelines used by national and regional partners Shift in mind-sets and policies toward ecohealth/one health approaches
Innovation and risk management	<ul style="list-style-type: none"> Understand the role and effectiveness of current institutions and organizations to monitor and control zoonosis and emerging diseases <ul style="list-style-type: none"> <i>Mapping, examining, and anticipating future risks of vector-borne diseases in eastern Africa (ILRI)</i> <i>Capacity strengthening and evidence generation for participatory epidemiology for better control of diseases in Africa (ILRI)</i> Co-develop and test integrated zoonosis control for one or more priority diseases <ul style="list-style-type: none"> <i>Integrated control of zoonoses and emerging infectious disease in 6 countries in SE Asia (ILRI)</i> <i>Develop policy tool box for emerging zoonoses in east, west, and southern Africa (ILRI)</i> 	<ul style="list-style-type: none"> Understanding of the institutional barriers and bridges to better control of zoonoses and emerging disease Evidence, tools, and methods for integrated zoonosis control tried by development partners 	<ul style="list-style-type: none"> Institutional change in programs for zoonoses prevention and control Tools and guidelines being used by national and regional partners Shift in mind-sets and policies toward ecohealth/onehealth solutions

Key: italics funded activities for 2012-2015 with place and lead center specified.

Methods

An over-arching approach is One Health, a collaborative and multidisciplinary approach, that recognizes the interdependence of human animal and ecosystem health. The research approach will integrate

- epidemiology (risk analysis; risk factor studies; prevalence and incidence surveys; impact assessment; diseases modeling; participatory approaches)
- biotechnology (genomic and metagenomics; bioinformatics; development drugs, vaccines and diagnostics; transgenic; population genetics; manipulation of microbial genomes)
- economics (cost benefit and effectiveness analysis; value chain; behavioral economics)
- sociology (gender and social determinants of health; health-seeking behavior; innovation systems; uptake and adoption)
- environment (ecosystem health; one health/ecohealth; wildlife/livestock interface; natural resource management)

Priority research area: The initial priorities will be Rift Valley Fever as an exemplar of emerging infectious disease and cysticercosis as an exemplar of neglected zoonoses.

Partnerships for Zoonotic and Emerging Diseases

Zoonotic diseases is a complex area, and many actors and multiple partnerships will be needed around research, development, and policy enablement. Key research partners include CIRA, universities with veterinary, public health, and biomedical research (STPH, IGS, London-Royal Veterinary College [London-RVC], London School of Hygiene and Tropical Medicine [LSHTM], Oxford, Guelph, and others), International Ecohealth Society and Alliance for Ecosystem Health; and national agricultural research, public health, and bio-medical research institutes and universities. Development partners include international NGOs (the International Union for Conservation of Nature [IUCN], the World Wildlife Fund [WWF], and Oxfam); private-sector companies; public-private partnerships (FIND, GALVmed); national NGOs; and the private sector. Knowledge and policy partners include FAO, WHO (FERG), OIE, the United Nations Children's Fund (UNICEF), regional organizations (such as the African Union Interafrican Bureau for Animal Resources [AU-IBAR], the Economic Community of West African States [ECOWAS], and WAHO); PROMED¹⁰; the Joint United Nations Program on HIV/AIDS (UNAIDS); national governments.

Table 16 presents some examples of partnerships for zoonotic and emerging diseases.

Table 16. Examples of partnerships for zoonotic and emerging diseases

Enablers	Development implementers	Value chain	Research	CGIAR
WHO	IAH	FIND	NARS	ICRISAT
OIE	Ecohealth Alliance	GALVmed	STPH	CIMMYT
FAO	ICUN		IGS	IITA
AU-IBAR	WWF		RVC	IFPRI
ECOWAS	OXFAM		LSHTM	ILRI
			Oxford University	
			Guelph University	

¹⁰ PROMED is a global electronic reporting system for outbreaks of emerging infectious diseases and toxins.

6.3.8 Subcomponent 3: Other Health Risks in Agroecosystems

Rationale, Objectives, and Research Questions

Other important issues arise at the intersection of health and agriculture that are not high initial priorities: they are not currently a major focus of research investment (in terms of budget and personnel), and some are emerging issues that are newly being explored. Nevertheless, CGIAR Centers have ongoing research in these areas and have potential to expand, as further evidence and resources become available. Five such potentially significant areas are identified:

1. Water-associated diseases
2. Occupational health
3. Resistance to pesticides, antibiotics, and other agricultural chemicals
4. Agroecosystem provision of health services
5. Links of aflatoxins and stunting

In the development of this subcomponent and subcomponent 2, we will focus on health effects of broader agroecosystem change and the delivery of ecosystem services. We will develop collaborations with other CRPs (particularly CRP5 and 6) and other partners interested in the health risks of agro-ecosystem management and change.

Water-associated diseases

Contamination of irrigation water with domestic or industrial wastewater can introduce pathogens or chemicals that may affect farmers and enter the food chain. This important problem is considered along with Subcomponent 1 on Food Safety (Drechsel et al. 2010). A second major risk is water-related diseases: malaria kills 1.1 million people annually; others include schistosomiasis and emerging diseases such as cryptosporidiosis, giardiasis, and buruli ulcer (Erlanger et al. 2005; Keiser et al. 2005a; Steinmann et al. 2006; WHO 2007). These diseases may be fostered by poorly designed or managed irrigation and water storage systems (Boelee and Madsen 2006; Diuk-Wasser et al. 2006).

Occupational health

People in developing countries bear more than 80 percent of the global burden of occupational disease and injury, and the agricultural sector is one of the most hazardous (ILO 2000). Further, according to the International Labor Organization (ILO), the agricultural sector is one of the most hazardous to health worldwide (see also Loureiro 2009). Occupational hazards in agriculture range from simple conditions like heat exhaustion to complex diseases like respiratory disease, zoonotic disease, and poisoning from agrochemicals. It is estimated that 2 to 5 million people suffer acute poisonings related to pesticides annually, of whom 40,000 die every year; and there are 170,000 recorded fatal injuries in agriculture annually (Cole 2006). In spite of such striking numbers, occupational health in general, and in agriculture in particular, remains neglected in most developing countries because of competing social, economic, and political challenges (Nuwayhid 2004).

Resistance to Pesticides, Antibiotics, and Other Agricultural Chemicals

Excessive use of pesticides can also lead to resistance in medically important insects, such as mosquitos. Malaria in particular, can no longer be handled only through existing means, as mosquitoes have become resistant to agricultural insecticides (Diabate et al. 2002), while the parasite itself is increasingly resistant to antimalarial drugs. Hence the health sector has sought collaboration with professionals in the areas of water management and plant disease control (Townson et al. 2005). There is vast experience of relevant agricultural interventions that can help mitigate negative health impacts (Keiser et al. 2005b; McCartney et al. 2007).

Using antibiotics (especially growth-promoters) in farmed animals has been shown to generate resistance to antimicrobials of human importance that can spread to humans, with the potential to cause

major harm. Resistance to other veterinary drugs, including insecticides, acaricides, and trypanocides, also has potential to affect human health.

Agroecosystem health provision and shaping agriculture for better health outcomes

Health risks are created by many activities whose primary aim is food production and that alter natural ecosystems. The most problematic practices involve wildlife, water management, land use, and animal husbandry:

- fragmentation of wildlife habitat, unsustainable harvesting of wildlife, and sale of wildlife in wet markets
- changes in the distribution and availability of surface waters, as through dam construction, irrigation, and stream diversion
- agricultural land-use changes, including proliferation of both livestock and crops and greater use of monocultures; uncontrolled urbanization and urban incursion into agricultural areas
- keeping animals in densely habited areas
- climate variability and change
- movement of people and animals, causing introduction of pathogens and pests

Objectives

The objective of this subcomponent is to assess emerging health risks related to agriculture that are currently less prominent or less studied, and to conduct and develop research to identify their multiple impacts and mitigate the multiple associated burdens, as appropriate.

Research Questions

The research questions include

- How does agriculture influence the epidemiology of known and emerging diseases? What is the risk contribution of agricultural management relative to other risk factors for the same disease? Where are interventions most cost-effective?
- Which disease-reducing management interventions are effective, cost-efficient (also in reducing public health expenditure), and most suitable for labor-intensive mixed farming systems and intensifying agricultural systems?

Impact Pathway of Subcomponent 3

Research in this subcomponent will focus on the agriculture-associated diseases for which innovative partnerships and approaches can have the highest impact. These will build on and expand long-standing collaborations (for example, the agricultural health platform and history of IWMI as a WHO Collaborative Center). These powerful partnerships have an advantage over individual organizations, both in applying innovative risk assessments and in contributing to Health Impact Assessments by developing practical recommendations for mitigation. Likewise, the partnerships draw on social marketing approaches to increase the adoption of risk-mitigation measures.

The research outcomes of more efficient programs, reduced exposure to water-associated disease, and healthier environments will lead to improved health not only for farming communities but also for rural and urban consumers affected by agriculture associated disease. Improved health in turn will contribute to improved livelihoods and more sustainable ecosystems.

Activities, Outputs, and Outcomes

As this subcomponent is designed as scoping work directed toward the longer term, the activities, outputs, and outcomes shown in Table 17 are merely indicative; they will be developed over time, as the program rolls out.

Methods

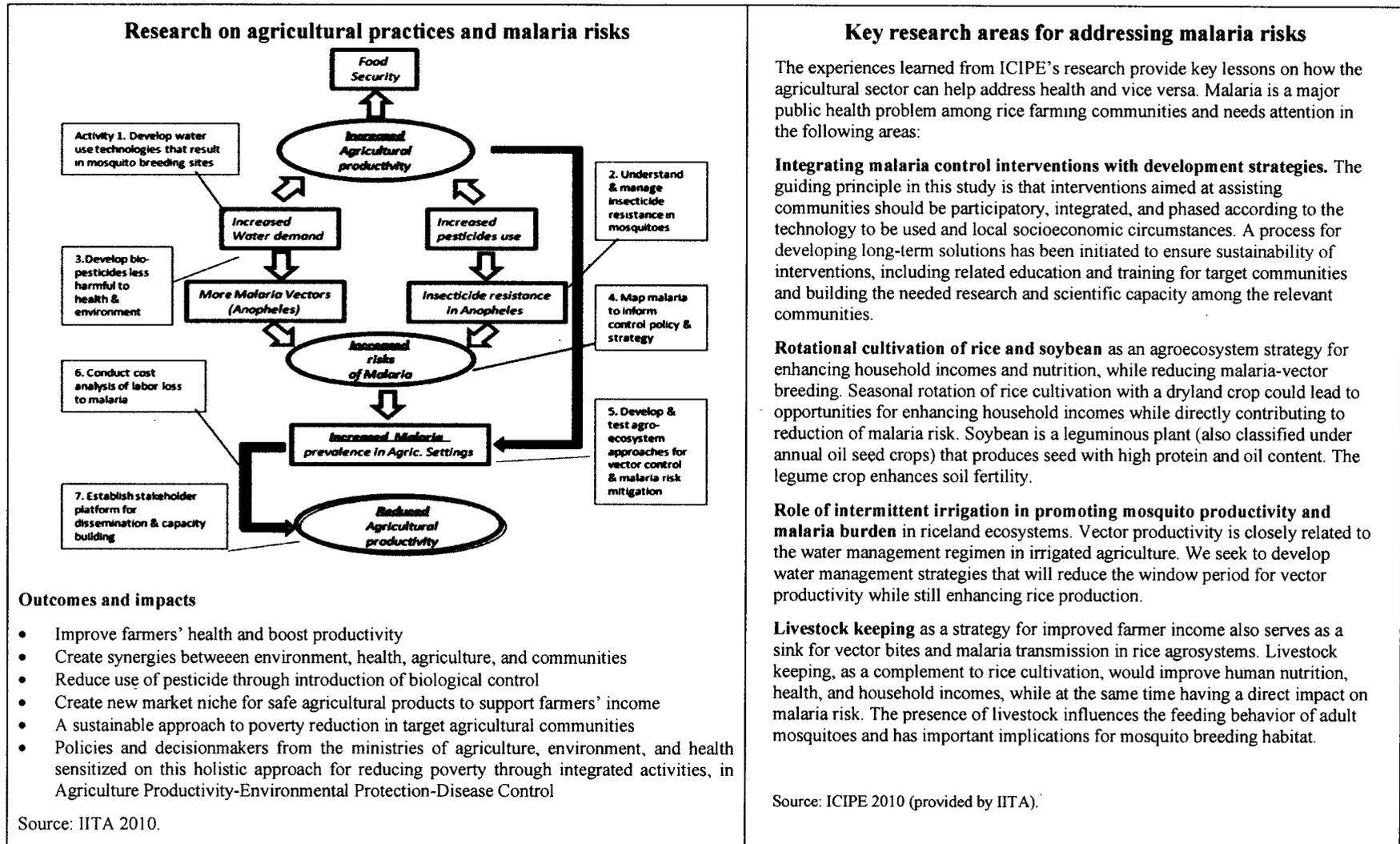
For water-associated disease and occupational health, there will be a strong emphasis on interdisciplinary and participatory Health Impact Assessments, complementary to existing epidemiological and biological methods. Participatory assessments are critical in developing practical recommendations for mitigation; in addition, innovative risk assessments such as Quantitative Microbial Risk Assessment (QMRA) and Quantitative Comparative Risk Assessment (QCRA) will be applied. Understanding drug resistance requires broad inputs from molecular epidemiology, modeling, ecology, and economics. Understanding agroecosystems will draw on ecosystem health and related disciplines.

Table 17. Activities, outputs, and outcomes for Subcomponent 3 (by research theme)^a

	ACTIVITIES	OUTPUT	OUTCOME
Prioritization	<ul style="list-style-type: none"> • Determine relative contribution of agriculture to disease burden associated with health risks of concern (compared to other environmental factors) • Improve risk prioritization and management by national partners 	<ul style="list-style-type: none"> • Quantified relative risk posed by agriculture 	<ul style="list-style-type: none"> • Increased knowledge of the role of agriculture factors in selected diseases • Better understanding of the role of CG research in health issues related to agriculture • Better targeted health strategies
Risk and socioeconomic assessment	<ul style="list-style-type: none"> • Risk analysis for better managing diseases related to agroecosystems, including promoting appropriate levels of protection based on the multiple burdens of disease 	<ul style="list-style-type: none"> • Methodology and results shared with implementors for development of guidelines • Improved sectoral productivity analysis that integrates health burdens with health benefits of agricultural disease management 	<ul style="list-style-type: none"> • After 3 years: Risks and benefits assessment of agricultural water management interventions evaluated under CRP5 • Risk assessments for specified health risks with agricultural drivers, carried out and used by decisionmakers and implementers
Innovation and risk management	<ul style="list-style-type: none"> • Development and scientific evaluation of agricultural water management options that reduce risks of agriculture-related diseases and enhance health benefits of agriculture 	<ul style="list-style-type: none"> • Recommendations for cost-effective interventions to reduce health risks • Targeted uptake strategy to guide dissemination, initiated at project inception 	<ul style="list-style-type: none"> • Scientifically evaluated options for increasing human health through better management of agricultural health risks • Better collaboration between the public health and agricultural sectors; improved integrated disease control

^a Specific activities, outcomes, and impacts related to malaria are shown in Figure 8.

Figure 8. Research on agricultural practices and malaria risks



Partnerships in Other Health Risks in Agroecosystems

Alongside the CGIAR, there are a number of agricultural research institutes that are crucial for success, including icipe, CIRAD, the Institut de Recherche pour le Développement (IRD), LSHTM, LSTM, STPH, the International Technology Group (ITG), FAO, WHO, TDR, and UNICEF, as well as universities and NARS. In addition, several networks are relevant to research, dissemination, and up-scaling to the public health sector, as well as for capacity building. Indeed, for much of this research, the CG may be contributing relatively small inputs drawn from their specific areas of expertise to broader-based programs. We envisage linking to icipe, the Integrated Partnership for Malaria in Africa (IPMA), Tropical Diseases Research to Foster Innovation & Knowledge Application (TropIKA) (WHO), Malaria World, Access Initiative, IDRC, and others.

6.4 Component 4: Integrated Agriculture, Nutrition, and Health Programs and Policies

This Consortium Research Program (CRP4) is rooted in the belief that integration of efforts in the fields of agriculture, nutrition, and health—from planning through implementation—can result in cost-effective achievement of nutrition and health objectives. Component 4 is focused on maximizing delivery and impact, by fully integrating the efforts of individual sectors and by carefully fostering supportive policy and institutional environments.

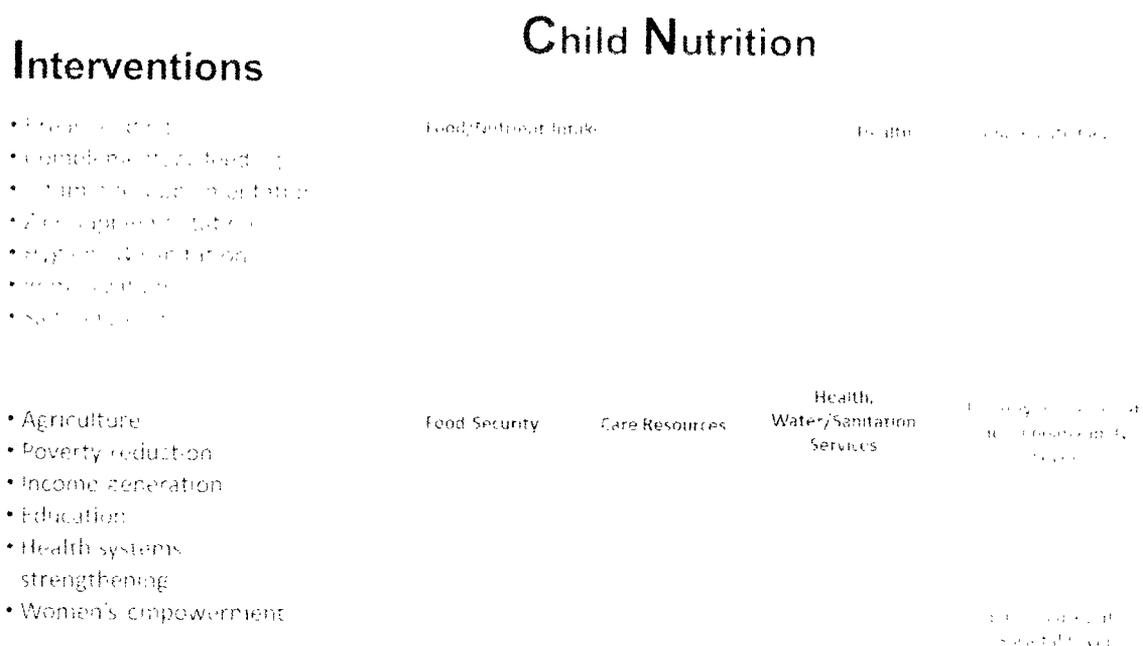
Integrated ANH programming and harmonized policymaking are viewed here as mutually reinforcing. On the one hand, integrated agriculture-nutrition-health program innovations can provide the evidence to incentivize and support the development of ANH-relevant policies and institutional arrangements. On the other hand, an “enabling” policy and institutional environment supports the necessary development and scale-up of effective ANH programs. Component 4 comprises these two interlinked domains: Subcomponent 4.1 focuses on programs, while Subcomponent 4.2 focuses on policies.

6.4.1 Rationale, Objectives, and Research Questions

Rationale

Many agricultural development programs fail to include specific interventions to assure nutrition, food safety, or health (Ruel 2001; World Bank 2007; Berti, Krasevec, and Fitzgerald 2004); often, programs operate under the assumption that improving agriculture productivity and income will automatically benefit nutrition and health (Diao 2007; Negin et al. 2009). Figure 9 shows that although agriculture can improve access to food and income, it contributes to only one of the three main pillars for improving child nutrition and health—that is, food security. The other two pillars involve providing adequate resources for childcare and increasing access to health services and a healthy environment (UNICEF 1990). Thus, agriculture development programs must incorporate specific interventions that address the multiple needs of poor populations—for food, care, and health and other basic services. Among the new generation of agriculture programs, some have explicitly integrated nutrition and health goals, but few have been rigorously evaluated and carefully documented—especially with respect to operational issues, impact, and cost-effectiveness (Ruel 2001; Leroy et al. 2008; World Bank 2007). Even fewer have incorporated food safety as a component in their programs. Similarly, the community-based agriculture programs designed to improve human nutrition and health have rarely been scaled up successfully; an exception is Helen Keller International’s homestead food production program in Bangladesh (Iannotti, Cunningham, and Ruel 2009). There is thus little empirical evidence regarding *what works* in an integrated ANH program, or how and under what circumstances such programs can generate the greatest benefits for the poor (Garrett 2008; World Bank 2007; Fanzo and Pronyk 2010).

Figure 9. Conceptual framework of the determinants of child nutrition and health



Source: Adapted from UNICEF 1990.

Note: The nutrition interventions in the green box (top left of figure) are those recommended in the Nutrition Lancet Series (Bhutta et al. 2008).

Objectives

The overall objective of Component 4 is to exploit and enhance the synergies between agriculture, nutrition, and health (ANH) through operational and policy research that permits (1) more effective integrated community-level programming, and (2) the cultivation and strengthening of an enabling policy and institutional environment to support relevant action.

Subcomponent 4.1: Integrated Programs. This subcomponent will build on existing programs and concepts to design new approaches and models to integrate ANH, by engaging CGIAR Centers working in collaboration with development implementers.

- It will undertake research to understand and address the complexities of implementing such integrated programs in environments with vastly different diets, cultures, traditions, livelihoods, agroecosystems, vulnerabilities, exposures, and degrees of marginalization.
- It will use state-of-the-art research methods and tools to develop, test, monitor, evaluate, document, and scale up integrated ANH programs.
- It will generate a critical body of evidence on these programs' nutrition and health benefits and cost-effectiveness—evidence that is urgently needed to stimulate investment to improve

the nutrition and health of millions of poor, marginalized, and vulnerable households and individuals.

Subcomponent 4.2: Harmonized Policies. This subcomponent seeks to cultivate and sustain an “enabling environment”—an essential precondition for broad and sustainable success in addressing the underlying causes of malnutrition and agriculture-associated diseases. Such an environment requires a political and ideological framework, as well as supporting institutional arrangements and ANH-relevant policy frameworks and processes, that can foster decisionmaking that effectively harnesses the potential synergies among the agriculture, nutrition, and health sectors. (Figure 9 illustrates the central supporting function of the political and institutional framework.)

- This subcomponent will help scientists identify the researchable challenges where integration offers realistic benefits.
- It will develop information, processes, and decision support tools to help policymakers choose among feasible alternatives, based on effectiveness and efficiency considerations.

We recognize that not all ANH challenges require integrated solutions across sectors; in many cases, sector-specific actions may be most appropriate. Careful attention will ensure that policy research adds value to ongoing sectoral and cross-sectoral activities, while avoiding duplication of effort.

Research Questions

Examples of research questions that will be addressed by this component include the following:

- What design and implementation features make programs most successful in achieving their agriculture, nutrition, and health goals?
- What are the best approaches and targeting mechanisms to ensure that women are key participants and beneficiaries of such programs?
- What are the best tools to rigorously evaluate complex, multisectoral ANH programs and to generate the impact evidence needed for advocacy and to stimulate investments?
- How can an evidence base be created and sustained to support better investments in integrated planning across agriculture, nutrition, and health?
- What are the best practices in engaging policy and decisionmakers for cross-sectoral decisionmaking?
- What capacity is needed for cross-sectoral policy research and decisionmaking, and how can it be strengthened?

Researchable Hypothesis

This research component will test the key hypothesis that effectively integrating agriculture, health, and nutrition in joint program and policy planning, development, and implementation leads to larger impacts on nutrition and health outcomes than operating in silos, as is typically done. Although there seems to be a general consensus that the time has come for the three sectors to work together, as expressed at the IFPRI 2020 Conference in New Delhi, there is hardly any hard evidence that joint action does indeed lead to faster and larger impacts on health, nutrition, and other development outcomes. This CRP will test this hypothesis, specifically in relation to the integration of agriculture, health, and nutrition at the program level, using rigorous experimental evaluation designs with randomization and appropriate comparison groups as feasible. Research at the policy level will use cutting-edge qualitative research methods and tools, given that experimentation is unlikely to be feasible at that level.

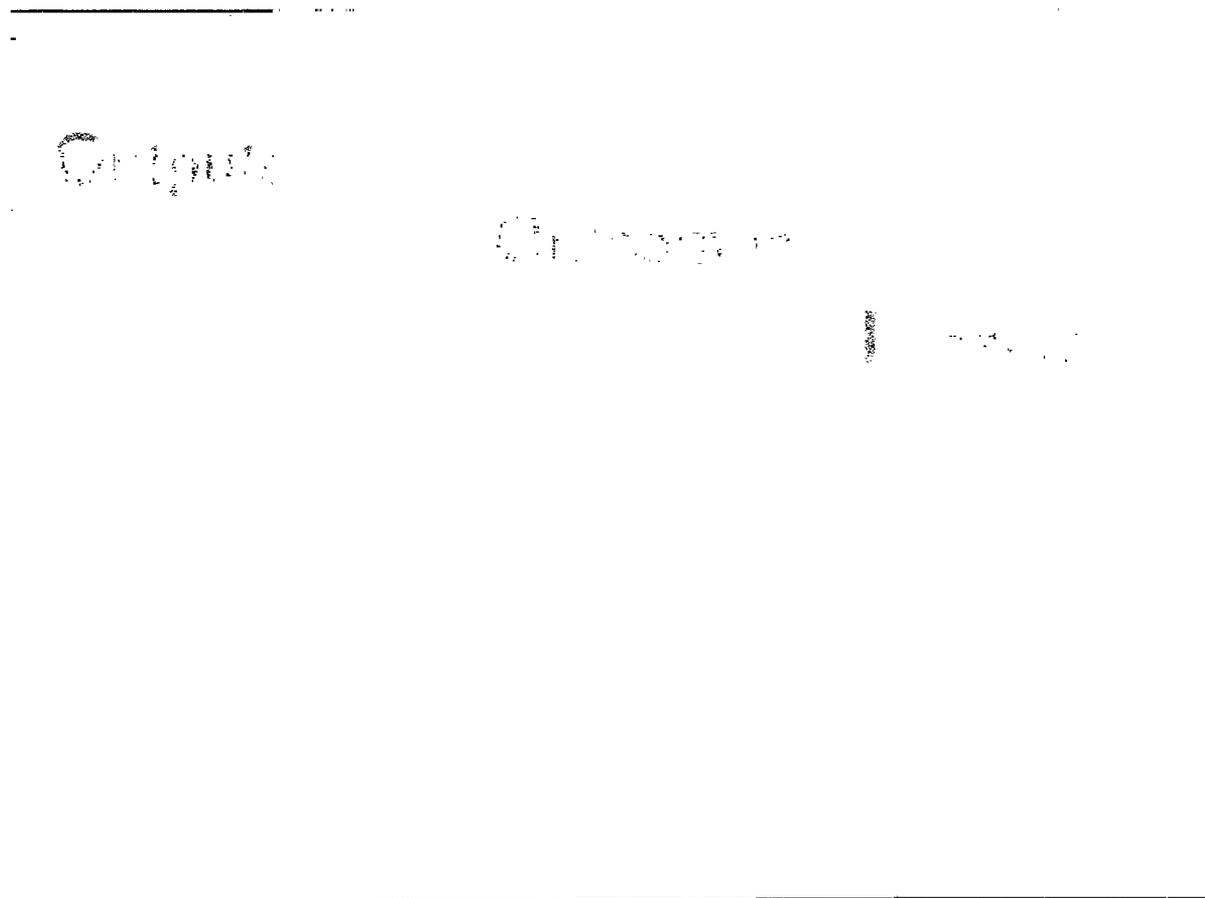
6.4.2 Impact Pathway, Outputs, and Outcomes

Of the three CRP4 impact pathways, Component 4 focuses on the last two, the pathway for programs and the pathway for policies. Figure 10 illustrates the role of research in supporting the program and policy domains and the broad outputs, outcomes and impacts expected. There are important synergies to be gained in linking agriculture-nutrition-health development program implementation (on the left) and strengthening the enabling environment (on the right).

Component 4 seeks to strengthen such links and synergies, highlighting the importance of operational and policy research for maximizing the contribution of agriculture to nutrition and health outcomes and impacts. Methods and tools developed to design effective ANH will be used by decisionmakers in both governmental and nongovernmental development agencies, as will the evidence generated on the programs' success and cost-effectiveness. Outcomes and outputs generated by the *program subcomponent* (4.1) can pave the way for success in the *policy subcomponent* (4.2), and vice versa. Policy frameworks and processes can be made more favorable for ANH by demonstrating the potential benefits of effective ANH programs. In turn, the necessary program experimentation and innovation can be supported and incentivized by enabling policy environments.

Component 4, taken as a whole, will harness both the synergy of integrated programming and the potential for sustained policy commitment, to best realize the benefits of agriculture, nutrition, and health.

Figure 10. Impact pathways of Component 4



6.4.3 Subcomponent 4.1: Integrated Programs

Rationale, Objectives, and Research Questions

Rationale

This subcomponent aims to maximize the nutrition and health benefits of agriculture while minimizing the risks of agriculture-associated diseases (AADs), through applied research to improve the design, implementation, and evaluation of community-based integrated ANH programs. It has five specific objectives, each related to specific research questions.

Objective 1

Develop tools and indicators to design, implement, and evaluate agriculture programs that incorporate specific nutrition and health goals and interventions at the community level.

Research Questions

- What tools and methodologies can be developed to incorporate nutrition and health into community-based agricultural programs?
- What are the best tools and methods to rigorously evaluate the implementation, impact, and cost-effectiveness of multisectoral programs such as integrated ANH programs? What process, impact, and cost-effectiveness indicators should be used?
- Are there simple, valid tools that can be adapted for rapid assessment, monitoring, or impact evaluation on key indicators?

Objective 2

Rigorously evaluate the implementation, impact, and cost-effectiveness of integrated ANH programs in different communities, regions, and agroecological systems, using experimental or quasi-experimental methods for complex social programs.

Research Questions

- Do existing or new integrated ANH programs have an impact on nutrition and health outcomes? If so, how is this impact achieved and at what cost?
- Under what circumstances are impacts greatest? Which types of communities, households, and individuals benefit most? Where are the benefits greatest (in terms of region and agroecosystem)?
- Which packages of interventions achieve greatest benefits, and under which circumstances? What is the value added of specific interventions (such as behavior change communication)? What is the most effective intensity of exposure to interventions (for example, agriculture extension), in different contexts? Overall, what level of nutrition and health impact can be achieved through different modalities of integrated ANH programs?

Objective 3

Generate evidence and document and disseminate lessons and best practices from research conducted under the previous objective.

Research Questions

- How can implementation monitoring and evaluation results be used for advocacy?

- How should the learning be synthesized to inform practice and policy, in order to accelerate progress in improving nutrition and health globally? (Links to Subcomponent 4.2 on Policy.)

Objective 4

Explore and document mechanisms to successfully replicate, adapt, and scale up successful integrated ANH programs, and to ensure their sustainability.

Research Questions

- How can integrated ANH programs be adapted to different contexts and populations in different agroecological zones, and/or scaled up to increase coverage?
- What are the constraints and bottlenecks to replication, adaptation, and scaling-up?
- What capacities and skills need to be developed at the community level and in government (district, provincial, and central level), with what approaches?
- What institutional mechanisms need to be defined and implemented to support integrated programs at the community level?

Objective 5

Develop local capacity to design, implement, evaluate, and successfully scale up integrated ANH programs.

This objective links to Objective 4. It seeks to work with other development partners to accomplish two broad aims: (1) to better identify, measure, and monitor capacity constraints, weaknesses, and needs, relevant to scaling up ANH programming; and (2) to develop approaches, tools, and methods for strengthening essential capacities for this purpose.

Impact Pathways

The applied research carried out by CGIAR centers and its partners to support better ANH programs will closely mirror the planning, implementing, and evaluation cycle of partnering program implementers (governments, nongovernmental organizations, and other partners). This applied research—drawing from outputs in other CRP4 components as well as other CRPs—will contribute to three broad types of outputs (Figure 10):

1. Methods and tools to design, implement, and evaluate integrated ANH programs; the capacity to use these tools and to implement cost-effective ANH programs at local, regional, national, and international levels
2. Cost-effective program models that integrate agriculture, nutrition, and health and can be successfully scaled-up
3. A strong body of knowledge documenting the contribution of ANH programs to improved nutrition and health outcomes, to be used for advocacy and to guide policy and investments

The first set of outputs will be generated in Years 1-3; the second set in Years 1-5. The third set will start emerging subsequently, after tools have been developed and applied and after the first round of case studies have been concluded and fully documented (Year 5 and beyond).

It is expected that these outputs will be widely used by program implementers, development practitioners, and governments to scale up ANH programs and to integrate agriculture, nutrition, and health in national policies. The solid evidence generated by the research will stimulate greater investments by donors and implementers in successful integrated ANH programs and policies. These investments in turn will benefit the poor, helping to accelerate progress in improving the nutrition and health of vulnerable populations and individuals and reducing the risk of agriculture-associated diseases.

Activities, Outputs, and Outcomes

Research under this subcomponent will be undertaken in close collaboration with implementing partners with whom CRP4 will work to design and carry out the action-oriented research linked to program implementation and operations. Some of the work led by IFPRI in this area is on-going and will be continued until it is completed in years 2012-2014. Opportunities for new case studies will be explored during the first year of implementation of CRP4. Table 18 provides a summary of on-going research and plans to explore new case studies and settings in the first year of implementation. Since research on ANH programs usually involves a 4-5 year time-frame, the first five years will focus on finalizing on-going case studies in Uganda, Mozambique, Zambia and Burkina Faso, and starting 2-3 additional ones, possibly in Nepal, Bangladesh and Zambia (see Table 18).

Table 19 presents the activities, outputs, and outcomes for this subcomponent, with specific objectives and broad time frames.

Priority setting, selection of case studies and sequencing of activities

Priorities will be determined jointly with several partners, including CGIAR partners and program implementers such as local and international nongovernmental organizations, governments, and UN agencies. As noted above, research will initially be undertaken on a subset of five to six programs in the first phase of CRP4 development (Years 1–5). Lessons generated from this round of research will then guide the development of a new wave of programs (in Years 5-10) that use innovative approaches to more solidly integrate agriculture, nutrition, and health. The second phase of applied research will also have a stronger focus on addressing agriculture-associated disease risks at the community level—an area of increasing need, where experience on effective implementation is still limited.

The approach used for the selection of case studies will involve a rigorous process, beginning with an open call for nominations, and a selection be based on a comprehensive set of criteria:

- demonstrated interest and commitment to designing and implementing multisectoral ANH programs
- innovation in program model and willingness to face new implementation challenges
- potential of program model to have an impact on poor and vulnerable households and individuals
- commitment to research partnership
- willingness to adapt implementation to the needs of research, as feasible (for example, by implementing different packages of interventions to build comparison groups; investing time and human resources in research partnership and in developing a joint research agenda; and showing interest in learning and in building staff capacity).

Finally, the case studies will be selected to represent a broad set of nutrition and health issues and programming models, as well as diversity in geographic focus and agroecological systems

Table 18. Preliminary list of ANH programs, countries, and partners that will be included in CRP4 in the first 5 years

Country	Program	Main partner/donor
On-going		
Uganda Mozambique	OFSP (reaching end users)	HarvestPlus and NGO-implementing partners (e.g., Helen Keller, World Vision) <i>Donor: Multiple</i>
Zambia	RAIN (Realigning Agriculture to Integrate Nutrition)	Concern Worldwide <i>Donor: Irish Aid</i>
Burkina Faso	Enhanced Homestead Food Production Program	Helen Keller <i>Donor: USDA</i>
To be explored in Year 1		
Zambia Bangladesh	Fish systems, livelihoods, and nutrition programs	CRP1.3 and partners
Nepal	Strengthening ANH programs in Nepal	Save the Children, US, Helen Keller, and others <i>Donor: USAID</i>

Notes: ANH = agriculture, nutrition, and health; OFSP = orange-fleshed sweet potatoes.

Table 19. Activities, outputs, and outcomes for the Integrated Programs subcomponent

Activities	Outputs	Outcomes
<p>Objective 4.1.1. Develop tools and indicators to design, implement, and evaluate agriculture programs that incorporate specific nutrition and health goals and interventions at the community level (Years 1–3)</p>		
<p>Develop and test essential tools for program design: formative research, situation analysis, nutrient gap analysis (using linear programming), inventory of resources and services (and constraints to their use), program theory, and impact pathway development.</p>	<p>A set of tools and methods for informing the design and targeting of integrated ANH programs developed and available.</p>	<p>Better designed and targeted integrated ANH program models, monitoring and evaluation tools (including tools to measure quality of program implementation, impact and cost-effectiveness) and indicators are used by partners (NGOs, governments, international organizations, researchers)</p>
<p>Develop and test methods to document program implementation, quality of service delivery, and impact pathways for complex, multisectoral ANH programs.</p>	<p>A set of tools, methods, and indicators to assess implementation of ANH programs; to identify and test solutions to implementation problems; and to identify and measure program impact pathways.</p>	
<p>Design and test a set of tools using program theory and experimental and quasi-experimental impact evaluation approaches, to document ANH program impact and cost-effectiveness.</p>	<p>State-of-the-art tools and methods developed to evaluate the impact and cost-effectiveness of multisectoral programs such as integrated ANH programs.</p>	
<p>Develop and validate a set of indicators (including gender-disaggregated indicators) to measure the impact of ANH programs on a range of outcomes (such as agricultural production, income, food security, diet quality and diversity, health symptoms, nutritional status, and women’s empowerment).</p>	<p>A set of simple, valid indicators (disaggregated by gender as appropriate) to measure the impact of ANH programs on key ANH outcomes.</p>	
<p>Develop simple tools that can be used by program implementers for rapid assessments, monitoring, or simple impact evaluation of ANH programs on key outcomes.</p>	<p>A set of simple tools for use by program implementers to conduct rapid assessments, monitoring, or simple impact evaluation of ANH programs on key indicators.</p>	<p>ANH program implementers use the set of simple tools developed to assess implementation and impact of their programs on key indicators.</p>
<p>Objective 4.1.2. Rigorously evaluate the implementation, impact, and cost-effectiveness of integrated ANH programs using experimental or quasi-experimental methods for complex social programs (Years 1–5)</p>		
<p>Use tools developed in Objective 1 to carry out rigorous operational, impact, and cost-effectiveness assessments of existing, strengthened, or new models of integrated ANH programs—implemented in a variety of agroecological zones and targeting marginal populations with different vulnerabilities.</p>	<p>Research findings on impact and cost-effectiveness of integrated ANH programs implemented in different agroecological zones and targeted to marginal populations with different vulnerabilities.</p>	<ul style="list-style-type: none"> – Body of evidence on the contribution of integrated ANH programs to improved outcomes in different contexts – Information on cost-effectiveness of different program models in different environments – Lessons learned in implementing programs in various populations and agroecological zones

(continued)

Table 19. Activities, outputs, and outcomes for the Integrated Programs subcomponent (continued)

Activities	Outputs	Outcomes
Objective 4.1.3. Generate evidence and document and disseminate lessons learned and best practices for designing (or strengthening) and successfully implementing cost-effective, integrated agriculture programs that incorporate specific nutrition and health goals and interventions at the community level (Years 5–10)		
Document and synthesize evidence generated in Objective 2; publish and disseminate findings to various audiences—academic, program implementers, and policymakers.	Evidence disseminated to relevant stakeholders, showing the impact and cost-effectiveness of integrated ANH programs on agriculture, nutrition, and health outcomes.	Increased knowledge and commitment to sustained investments in a new generation of integrated ANH programs.
Use evidence for advocacy among different stakeholders.	Advocacy done among relevant stakeholders.	
Objective 4.1.4. Explore and document mechanisms to successfully replicate, adapt, or scale up successful programs and ensure their sustainability (Years 5–10)		
Carry out research to understand, document, and address capacity and institutional constraints to replication, scaling-up, and sustainability of integrated ANH programs.	Information on constraints to replication, scaling-up, and sustainability of integrated ANH programs and on ways to address these constraints.	Better understanding of constraints to replication, scaling-up, and sustainability of ANH programs and of ways to address these constraints.
Participate in government policy dialogue and global initiatives to scale-up ANH programs and integrate ANH in policy.	Increased presence of policies and active ANH integrated programs.	National policies and global initiatives have the tools and momentum to implement integrated agriculture, nutrition, and health programs.
Objective 4.1.5. Develop local capacity to design, implement, evaluate, and successfully scale up integrated ANH programs (Years 1–10)		
Train program implementers in the use of simple tools developed for assessments, monitoring, and simple impact evaluation of ANH programs and for scaling-up.	Program implementers trained in the use of tools to assess and scale up ANH programs.	Capacity developed at program level to use tools to assess and scale up ANH programs.

Research Methods

This subcomponent has two main goals: to generate the hard evidence needed regarding the health and nutrition impacts and cost-effectiveness of integrated ANH programs; and to derive lessons learned on how to design, implement, evaluate and scale up such programs. Research in this subcomponent will focus on developing and using tools to strengthen program design, implementation, and evaluation, and on documenting and disseminating the learning to facilitate replication and scale-up of successful program models.

For these purposes, the research team will use state-of-the-art monitoring and evaluation methods, based on program theory and on well-defined program impact pathways. The team will use mixed methods drawing from quantitative as well as qualitative research tools, involve multidisciplinary teams, engage local and implementation partners, and include simple tools and feedback loops to ensure that real time information is available and used by decisionmakers at all levels.

Table 20 provides examples of methods that will be used for the program-relevant research, to be implemented in a selected set of countries and sites (case studies). The research will also develop a set of indicators for process, impact, and cost-effectiveness that will be used across case studies to allow valid comparisons and possible meta-analyses of research findings. An information management and learning system will be developed to link the different case studies and to generate learning across sites. (For more information on site and case study selection, see Section 5 on Partnerships.)

Partnerships

CGIAR centers will work collaboratively with research partners and development implementers to carry out the applied program-relevant research of this component. All research and capacity-strengthening activities will be conducted jointly with partners, taking advantage of the strong international and local networks of CGIAR centers. Bioversity, CIP, ICRAF, IFPRI, ILRI, and World Fish have already invested in this type of research and will be actively involved in this component. Other centers may also contribute, as they develop new program activities at the intersection of agriculture, nutrition, and health. The set of example case studies presented in Appendix 10 shows the large number of existing partnerships between CGIAR centers and implementing partners.

The research program will also partner with academic institutions in training and capacity strengthening. Examples of academic institutions that have indicated their interest and commitment are the University of Pretoria in South Africa, Columbia University (with the Millennium Villages project), Cornell University (especially around work on agriculture and nutrition in partnership with the Tata Foundation), the Leverhulme Center for Integrative Research on Agriculture and Health (LCIRAH) and the emerging University Network on Agriculture, Nutrition and Health for Development it is coordinating, the University of California at Davis, and the Public Health Foundation of India. The program will also partner with FAO on the development of tools and methods, and for capacity strengthening on the ground. Partnerships with the private sector (such as Land O'Lakes) will provide technical support for the development of tools and approaches, and facilitate engagement with networks of farmers, cooperatives, and processors. In Africa, partnerships with the African Union and with NEPAD/CAADP processes will be established to work on joint programs and to strengthen nutrition and health in CAADP pillar 3.

Table 20. Indicative research methods for the Integrated Programs subcomponent

Goal of research	Research methods
Design effective programs	<ul style="list-style-type: none"> • Formative research to define program/intervention needs • Baseline surveys to characterize population and agricultural systems • Knowledge, attitudes, and practices surveys • Community and market surveys • Dietary surveys to identify food/nutrient gaps and food safety concerns • Social network census to identify how information is acquired and disseminated
Evaluate impact	<ol style="list-style-type: none"> 1. <i>Evaluation designs</i> <ul style="list-style-type: none"> • Experimental designs with randomization and treatment and control (or other types of comparison) groups, including pre-post intervention data collection, wherever feasible • Quasi-experimental designs where experimental design is not feasible. Examples include stepped wedge approaches (staged implementation), dose response (comparing different intensities of interventions), matching methods (regression discontinuity, propensity score matching, or matching individual or cluster by design) 2. <i>Data collection</i> <ul style="list-style-type: none"> • Large surveys (cross-sectional, before/after, or longitudinal follow-up) • Qualitative research to document <i>how</i> and <i>why</i> impact did or did not occur • Community surveys, market surveys
Document impact pathways, quality of implementation	<ul style="list-style-type: none"> • Design an impact pathway framework, collaborating with program implementers. • Collect data on program implementation at different steps along the program impact pathway, to identify implementation failure and bottlenecks that may affect program quality, utilization, and impact. • Use operations research methods, combining methods to assess aspects such as fidelity of implementation, quality of service delivery, uptake and coverage, and perceptions of service providers and clients. • Synthesize information and feed it back in timely fashion to program implementers, to enable action to strengthen program implementation, quality of service delivery, and/or utilization.
Measure cost	<ul style="list-style-type: none"> • Adapt existing costing methodologies such as activity-based costing ingredients (ABC-I approach); collect needed cost data in an ongoing manner.
Measure cost-effectiveness	<ul style="list-style-type: none"> • Use cost and impact information to derive cost-effectiveness. Examples of effectiveness indicators include: for nutrition, anthropometric measurements (underweight, stunting, and wasting) and select micronutrient status indicators (such as vitamin A, iron, and zinc); and for health, cases of illness prevented.
Replicate and scale up	<ul style="list-style-type: none"> • Data collected (especially on impact pathways and implementation) will be used to generate lessons learned for replication and scale-up. • Research will also be conducted to understand and document capacity and institutional constraints for scaling up successful programs.

Summary of CGIAR engagement with integrated ANH programs

Appendix 10 presents examples of case studies that could be good potential candidates for the applied ANH research of this component, focusing on those in which CGIAR centers have been involved (see Table A9.1 for a summary of the key characteristics of the studies). All the case studies are community-based and agriculture-focused and they address at least one other sector, such as health, nutrition, environment, animal health, markets, hygiene, or water and sanitation. All the programs have health and/or nutrition goals, and most have a strong gender component: targeting women as program beneficiaries, focusing on improving women's income and control over income, and/or addressing the obstacles women face in achieving good health and nutrition for themselves and their families.

The implementers of the case studies listed in Appendix 10 were asked to identify how a partnership with CRP4 could help fill existing and foreseen gaps in research and programming or in documenting evidence. This information is captured in Appendix 10 and Table A10.1. Generally, program implementers characterized CRP4's comparative advantage as related to carrying out applied,

program-relevant, and rigorous research to help strengthen program design, implementation, and effectiveness in several areas: monitoring and evaluation; policy formulation and communication; program design, implementation, and scaling up; and documentation and dissemination of lessons learned. In addition, CRP4, through its close partnerships with several other CRPs, can bring in expertise and innovation in agriculture, from biofortified crops to value-chain research to gender-sensitive technologies, among others. The CGIAR is uniquely positioned to contribute to the strengthening of integrated agriculture, nutrition, and health development programs and brings a wealth of multidisciplinary expertise that can help scale up successful and cost-effective programs and improve the lives of millions of poor farmers, including women.

A critical criterion for engaging with a given program will be a commitment to work on the integration of *all three sectors*—agriculture, nutrition, and health—rather than only two of the sectors. Past programs have usually focused more narrowly, on either agriculture and nutrition *or* agriculture and health.

6.4.4 Subcomponent 4.2: Harmonized Policies

Rationale, Objectives, and Research Questions

Success in strengthening policy environments will depend on persuading leaders to demand a more integrated approach in each of the three sectors. As each sector identifies areas where important objectives can be achieved cost-effectively through cross-sectoral collaboration, these opportunities will need to be championed in appropriate policymaking fora with evidence-based arguments.

There are three specific objectives within this subcomponent.

Objective 1. *Provide a continuously updated and relevant **evidence base**, from an agricultural and cross-sectoral perspective, that adds value to ongoing initiatives by supporting better investments in integrated planning across agriculture, nutrition, and health.*

Transdisciplinary research will explore what areas of information, knowledge, and evidence are needed to support more effective decisionmaking (see Appendix 11 for examples.) An enhanced information and knowledge base will not only support research planning and design and development decisionmaking, but will also be an invaluable resource within CRP4 for prioritization, monitoring, and evaluation as well as impact assessment.

Research questions

- Given existing evidence on the effectiveness of integrated ANH collaboration at the subsectoral level (from Subcomponent 4.1), what additional evidence is required to persuade leaders in the three sectors to embrace integrated planning and programming?
- What is the evidence on the impact of economic growth, and specifically agriculture-led economic growth, on nutrition and health outcomes? How can agricultural growth strategies, policies in areas such as food storage and trade, and public private interactions become more nutrition- and health-sensitive?
- How can agriculture and food policies be more strongly linked to other underlying determinants of nutrition such as women's status, social protection programs, and sanitation to exploit synergies and achieve greater impacts on nutrition and health? How can agricultural interventions be designed to improve diet quality and infection rates directly?
- How can social protection, agriculture, and women's empowerment interventions and policies be designed to have a greater impact on diet quality, health, and nutrition?
- How can an *enabling environment* be promoted and existing and enhanced political and economic resources be used most effectively to improve nutrition and health through agriculture?

- What are the specific challenges in cross-sectoral development for marginal and vulnerable peoples? How can emergency and aid programs be transformed into effective longer-term efforts for integrated and sustainable agriculture, nutrition, and health improvements?
- What specific emerging ANH policy and decisionmaking issues, relating to dynamically changing agrifood systems, can be better addressed with strategic foresight and research?
- How can nutrition and health objectives be incorporated within a multi-criteria approach to agricultural investment planning?
- How can ideas, data and information, analysis, and recommendations be brought together to improve policy- and decisionmaking? How can this be done in a way that enhances the demand for more evidence-based decisionmaking?
- How can existing data be made more relevant for decisionmaking? At the national level, ministries collect information at different scales and time frames, and they process it in ways that may not be useful to other ministries. What steps can be taken to make the data serve cross-sectoral needs and to make it available for real-time decisionmaking?
- What capacity is currently lacking in the agriculture, nutrition, and health sectors to enable work that is more trans-disciplinary and collaborative? How can this institutional and individual capacity best be strengthened?
- What models and studies can be recommended to partners looking for agricultural contributions to resolving nutrition and health problems?

Objective 2. *Assess and document good practices in engaging policymakers and decisionmakers for cross-sectoral decisionmaking.*

These good practices will take into account the hierarchy of decisionmaking, from the local to the global. This objective will address the need to bridge the three main sectors as well as other important sectors—for example, those dealing with gender and capacity development, as well as planning, investment, and finance.

Research Questions

- What global trends in agriculture, nutrition, and health frame the problems that partners face at national and local levels? How can CRP4 bring these effectively to the table?
- What type of governance and institutional arrangements shape actual or potential links among the ANH sectors, and where are the opportunities and entry points for strengthening integration? How can this be supported by capacity building?
- How does one effectively bring an integrated message to ongoing policy and planning processes?
- What boundary-spanning organizations or individuals can bring agriculture, nutrition, and health together to engage policymakers and implementers? What are examples of good practices or cross-sectoral institutional arrangements?
- What are the particular information and analysis needs of policymakers, funding sources, stakeholders, and the general public—and how can these needs be met?
- Programs that cut across ministerial or agency boundaries present a number of special public finance issues. What public finance issues need to be resolved so that cross-sectoral collaboration is made attractive to decisionmakers in separate ministries? How are costs of integrated programs to be allocated among participants? What role do user fees, earmarked taxes, and targeting of beneficiaries play in the decisionmaking process?

These and similar questions will be particularly relevant for such larger policy and decisionmaking support efforts as the Comprehensive African Agricultural Development Program (CAADP) (see Appendix 4). This information will also be critical to planning and implementing the partnership, communications, and advocacy elements of this program.

Objective 3. Assess and strengthen *capacity* for cross-sectoral policy research and decisionmaking

This capacity development objective seeks, first, to work with partners to assess the capacity of relevant stakeholders to carry out policy research and advisory functions, and, second, to develop and implement resulting capacity-strengthening recommendations. Key outcomes include quantifiable targets relating to

- training by discipline
- level of training recognized in human resources development plans
- investment as share of budget and staff qualification ratios

Impact Pathways

The theory of impact underpinning this component assumes there is potential or actual demand for research to support policy and investment decisionmaking in areas where agriculture, nutrition, and health intersect. This demand can be satisfied by different combinations of three types of research outputs: ideas; data and information; and evidence-based recommendations. At times of crisis, *ideas* reach people faster and travel farther than data and information. Ideas are thus important to catalyze new actions, to bring people together around an innovation, and to suggest a course of action when complete information is not available. Subsequently, *data and information* may serve to reinforce decisions taken, to provide a basis for adaptive or corrective action, or to engage partners with independent analytical capacity. Finally, transparent analysis of credible information will provide the basis for *recommendations* that can inform broader actions in an objective way. The needs of decisionmakers will therefore determine the form of information, the sequence in which it is used, and how it is used.

Subcomponent 4.2 seeks to achieve better cross-sectoral policy and decisionmaking; well-functioning knowledge and information systems; and improved capacity for cross-sectoral collaboration in the three sectors. It will achieve impact along three broad avenues:

1. through the generation of knowledge, evidence of impact, and improved communication to appropriate users
2. through the assembly of information, data, and tools to support decisionmaking
3. through an improved understanding of the institutional arrangements and processes that promote collaboration

Intermediate users of the outputs of CRP4 will be researchers, implementers of development programs in government and NGOs, and policymakers and decisionmakers in the cross-sectoral space between agriculture, nutrition, and health. The other three components of CRP4 will work closely with a range of partners on value chains, scientific research, integrated community-level programming, and control of agriculturally associated diseases. Component 4, in its synthesis and communication role, will help partners gain access to the knowledge generated and raise it to the policy level.

Activities, Outputs, and Outcomes

In the following discussion, we present the pathway from activities to outcome for three objectives, over two time periods: an initial start-up period (Years 1–3); and a medium-term period (Years 4–10).

Timing and sequencing of activities

In the first three years of the CRP, this component will have a relatively small role, although this role will be central to the evolving coherence and direction of the program. In the medium term (4–10 years), we

assume there will be increased demand for evidence-based advice, as well as more sophisticated tools for providing it. This component will work across the other three CRP4 components in real time to document current best practices and to reinforce the effectiveness of their efforts through increasing sophistication of the tools. By the tenth year, this component, together with the three others, will have refined the tools and approaches needed for formal problem identification, for prioritizing among alternative investment choices, and for monitoring and evaluation. By Year 10, agriculture for improved nutrition and health investment will be based on benchmarked data; will be better leveraged on disease problems and better targeted to the most affected; and will support more productive agricultural programs, as measured both by income and by combined income-nutrition-and-health metrics. Table 21 shows an indicative set of activities, outputs, outcomes, and impacts highlighting the expected time period for different activities.

Gaps in existing knowledge

An important early step will be to identify the available information and knowledge on the broader “agriculture for health and nutrition system,” as well as the information gaps that can potentially be addressed by this program. Several networks, communities, and institutions engaged in activities to improve health and nutrition are in fact integrated with agriculture at the local level. Some have relevant information, and they welcome collaboration with the program because rigorous evaluation of their information will help improve their own programs while offering analysis across a wide scale of operation. The other four components of the program will work closely with a range of partners focusing on key parts of the national system, i.e., value chains, scientific research, integrated programs at the community level, and control of agriculturally associated diseases. Component 5, in its synthesis and communication role, will help partners gain access to this knowledge and raise it to the policy level.

When it comes to national-level data on ANH expenditures, the emphasis will be on adding value to currently available information and helping national partners link information across sectors. However, cross-sectoral information on financing of interventions will be difficult to obtain. In addition, there are major differences among the ANH sectors in the way interventions are financed—through user fees, ministerial budgets, and cross-sector subsidization. They may differ as well in their primary objectives and basis for assessment, as in the distinction between animal and human health.

Although there are many international and regional reporting systems for disease and malnutrition, they have critical gaps in information about certain neglected areas. They also lack information about the prioritization of efforts, benefits, and risks of specific interventions in relation to livelihoods, agricultural productivity, and trade-offs in health and nutritional outcomes.

A final gap in knowledge lies in understanding the decisionmaking process, including the inevitable use of incomplete data for making critical decisions in emergencies and under time constraints. A compelling idea may catalyze initial action, and subsequent data collection may then lead to better ways to manage the problem. In agricultural research, for example, the agricultural research intensity ratio (as a target for investment in research) began as a notional target drawn from the industrial sector. Several decades of measurement and analysis have resulted in detailed analytical content with clear insights for public finance. Similarly, targets for public expenditure, such as CAADP’s investment target of 10 percent of budgets, are galvanizing action and analysis. Refinement of targets and clearer understanding of the structure of public finances will follow, as a necessary part of improved planning.

Public health leaders and epidemiologists must often make judgment calls about when and how to intervene, weighing the costs of postponing a decision to await better information versus the costs of possibly making a wrong decision through early intervention. For example, in the control of Rift Valley fever—an important zoonose that occurs sporadically—decisionmakers would benefit from a phased decisionmaking approach: breaking down the decisionmaking process into smaller steps can help decisionmakers have more confidence in expensive mass vaccination and quarantine decisions, by refining the uncertainties and expected costs and benefits in a sequential manner as more surveillance information becomes available.

Table 21. Activities, outputs, and outcomes of the subcomponent on Harmonized Policy

Activities	Outputs	Outcomes
Objective 4.2.1. Develop/enhance information tools and systems, and provide continuously updated evidence base		
Create operational information system: networking among sectors, information sharing, joint development. <i>(Years 1-3)</i>	<ol style="list-style-type: none"> 1. Community of practice (CoP) is established of agriculture-nutrition-health specialists in information systems. 2. Data sources are mapped. 3. Systems are reviewed by partners. 	<ol style="list-style-type: none"> 1. Inventory of data sources categorized by scale, metrics, quality, and potential for merging with other data. 2. Owners of data participate in CoP with view to sharing data.
Refine information for planning and monitoring; increase depth of analysis. <i>(Years 4-10)</i>	<ol style="list-style-type: none"> 1. Progress in agriculture for improved nutrition and health system is monitored. 2. Indicators for health and nutrition are developed. 3. Trends in funding and quality of human resources are tracked. 	<ol style="list-style-type: none"> 1. Funding and staffing targets are benchmarked. 2. National progress is compared with similar neighbors at macro level.
Adapt or develop fit-for-purpose tools for planning, monitoring, and evaluating activities. <i>(Years 1-3)</i>	<ol style="list-style-type: none"> 1. Limitations of existing metrics are reviewed. 2. New metrics are developed to relate agriculture, nutrition, and health. 	<ol style="list-style-type: none"> 1. New tools are piloted by planners and component leaders. 2. Staff of national partners are trained in use of tools.
Evaluate activities in integrated agriculture for improved nutrition and health. <i>(Years 4-10)</i>	<ol style="list-style-type: none"> 1. Metrics are adapted to benchmarks. 2. Benchmarks are established for measuring component research. 	Use of formal tools for planning and evaluation becomes the established norm.
Objective 4.2.2. Assess policy processes and governance environment, and document good practices in engaging policy and decisionmakers for cross-sectoral decisionmaking		
Conceptualize the cross-sectoral “system” at the interface of agriculture, nutrition, and health. <i>(Years 1-3)</i>	The goals, components, resources, and management of the “agriculture for improved nutrition and health” system are elaborated and promoted in policy fora.	<ol style="list-style-type: none"> 1. Consensus is achieved on need for integrated planning. 2. Decisions are made to take action. 3. Boundary-spanning mechanisms are put in place.
Assess institutional and governance arrangements and systems; identify and engage policymaking structures in agriculture, nutrition, and health (cf. CAADP process). <i>(Years 1-3)</i>	<ol style="list-style-type: none"> 1. CRP4 component services and outputs are recognized as useful to policymaking bodies. 2. Integrated approach is understood by technical and advisory leaders. 3. Immediate and low-cost steps to greater integration are identified. 4. Action is taken. 5. Directions for long-term improvement are charted. 	<ol style="list-style-type: none"> 1. Policy hierarchies view CRP4 as a valued source of knowledge and advice. 2. Support for pilot integrated action is obtained (on a limited domain). 3. Mechanisms and resources for cross-sectoral problem identification and program planning are approved by policymakers in all three sectors.
<i>(Years 4-10)</i>	<ol style="list-style-type: none"> 1. Analysis of institutional impediments to cross-sector work leads to solutions being identified. 2. Boundary-spanning activities and actors are identified. 3. Policy options and investment alternatives are based on transparent and rigorous evidence. 	<ol style="list-style-type: none"> 1. Understanding of immediate and low-cost steps to greater integration lead to guidelines for long-term improvement. 2. Policy recommendations by national advisors become cognizant of true opportunity costs of actions.

(continued)

Table 21. Activities, outputs, and outcomes of the subcomponent on Harmonized Policy (continued)

ACTIVITIES	OUTPUTS	OUTCOMES
Objective 4.2.3. Assess and strengthen capacity for cross-sectoral policy research and decisionmaking		
Jointly with partners, assess the capacity to carry out policy research and advisory functions. <i>(Years 1-3)</i>	1. Comparable cross-country data are generated to serve as benchmarks for monitoring. 2 Training needs at national level are identified.	Quantifiable targets for training by discipline and level of training are recognized in human resources development plan.
Implement capacity-strengthening recommendations. <i>(Years 4-10)</i>	Investment plans for capacity strengthening are implemented based on estimates in Years 1–3.	Quantifiable targets for investment are monitored as share of budget, staff qualification ratios, and retention of staff.

Research Methods

This subcomponent seeks to cultivate and strengthen enabling policy and institutional environments for more effective integrated ANH programs and interventions. A range of established and state-of-the-art methods will be used to analyze current policy environments, institutional arrangements, and capacity, and to evaluate changes over the course of CRP4 as well as the impact of research on these changes. Novel research methodologies are also likely to be developed in the course of this CRP.

An assessment of the current state of policy and institutions will provide a baseline level of information to assess changes. Common indicators will be developed for tracking change over time, and various methods will be used to document change. Evaluation of impact will be based solidly on established theories of change, as recommended in the recent guidance for assessing the impact of research, advocacy, and communication on policy and practice (Shiffman 2007; Shiffman and Smith 2007; Clark 2002). Stakeholder, network, and influence mapping (including the NetMap¹¹ method) will assess and monitor awareness of and commitment to integrated ANH policymaking. Country case studies will identify current good practices as well as barriers to best practice in different contexts. Tools and principles developed for capacity assessment will be used to audit institutional capacity in policymaking and integration (Gillespie 2001; Pelletier et al. 2011).

It is notoriously difficult to attribute specific policy impacts to specific research inputs. Where this is not possible (and to complement any impact studies), research will assess contribution and influence. Several methods will be used to generate learning about how evidence reaches, and influences, different stakeholders and to document research uptake; those methods include uptake logs, citation analysis in policy-relevant documents, RAPID Outcome Assessments (ROA), and episode studies, aiming to understand forces, events, and decisions relevant to policy change (Jones 2011). As well as documenting actual policy changes, research will keep track of the process of change, including documentation of formal and informal policy processes and actors.

Partnerships

Partnerships in this policy research program will include the following:

- Collaboration with FAO, WHO, and OIE to provide data and information on patterns of disease occurrence and risk associated with changes in agrifood systems, to inform surveillance and alert systems.
- Direct engagement with national governments and policymakers at all levels in countries of focus.
- Partnerships with new large policy research programs involving IFPRI such as Transform Nutrition (TN), Leveraging Agriculture for Nutrition in South Asia (LANSA), and POSHAN, which focus on multisectoral approaches to improving nutrition at scale (see brief description in Box 10), and with the SUN initiative in target countries. The DfID-funded LANSA is a policy research consortium of six partner institutions led by the Swaminathan Research Foundation and including IFPRI, LCIRAH, the Institute of Development Studies (IDS) UK, BRAC International in Bangladesh, and the Collective for Social Science Research in Pakistan, which will work on policy research on agriculture for improved nutrition in Afghanistan, Bangladesh, India, and Pakistan. The TN consortium, led by IFPRI, will also carry out policy research to leverage agriculture and other sectors and scale up direct nutrition

¹¹ Net-Map is a participatory interview method that combines social network analysis, stakeholder mapping, and power mapping. Net-Map helps people understand, visualize, discuss, and improve situations in which many different actors influence outcomes. By creating Influence Network Maps, individuals and groups can clarify their own view of a situation, foster discussion, and develop a strategic approach to their networking activities. It can also help outsiders understand and monitor complex multi-stakeholder situations. More specifically, Net-Map helps players to determine: what actors are involved in a given network; how they are linked; how influential they are; and what their goals are (see http://netmap.files.wordpress.com/2008/04/netmap_brochure.pdf for more information).

interventions to accelerate progress in reducing child malnutrition. This consortium includes IDS UK, Save the Children UK, the Public Health Foundation of India, the International Center for Diarrheal Diseases Control in Bangladesh, and the University of Nairobi in Kenya and will work in Bangladesh, India, Ethiopia, and Kenya.

- Collaboration on metrics and evidence for cross-sectoral decisionmaking with universities and research institutes, such as the University of London LICRAH program and their emerging network of academic institutions working on agriculture, nutrition, and health. Other partnerships will be established through linkages with new policy research consortia such as LANSA and TN.
- Support for joint planning and program implementation of public and private human health services, veterinary services, and agricultural services to control zoonoses and improve food safety regulations and practices.

Many of the key skills to support policy and decisionmaking, to establish knowledge and information systems, and to evaluate and improve institutional capacities and arrangements are well established in the CGIAR (for example, the Consortium on Spatial Information, Priority Setting, and Institutional Learning and Change). In this component, the CGIAR Centers involved will also build on their individual experience in coordinating policy and decisionmaking processes. For example, Component 4 will benefit greatly from the experience of ReSAKSS (Regional Strategic Analysis and Knowledge Support System), a program that provides timely analysis to policymakers in Africa, coordinated by IFPRI, with regional nodes hosted by ILRI, IWMI, and IITA. IFPRI's involvement with the University of Minnesota, in Harvest Choice, also provides a link to rigorous research evaluation and priority setting.

7. GENDER RESEARCH STRATEGY

Throughout much of the world, women are the guardians of household food security and nutrition (see Box 11). At the same time, cultural factors can put women and girls at particular risk of undernutrition, micronutrient malnutrition, and poor health. Good ANH programming must therefore account for gender issues at all stages of the project cycle, from participatory assessment and analysis through surveillance, implementation of interventions, monitoring, and evaluation.

The central gender-related questions in this program are two: How can decisionmakers reach and involve millions of women with integrated ANH interventions that provide health and nutrition benefits to them and their families? And how can women be protected from the potential risks associated with agriculture, given their greater health and nutrition vulnerability, especially during the reproductive period? Several gaps in knowledge exist with respect to these key research questions.

- To what extent are women and girls unable to meet their nutrition and health needs over the life cycle, and what are the most promising approaches and best practices to meet these varying needs? How can agriculture play a bigger role in protecting women's and girls' nutrition and health status?
- What is women's exposure to agriculture-related disease and occupational health hazards, at different stages of the production to consumption cycle? What interventions can be designed to reduce this?
- What are the best approaches to engage women in integrated ANH programs? How to ensure that they benefit through gaining greater access to resources, and protecting their own health and nutrition and that of their children?
- How can behavioral change communication be used to intervene in intrahousehold food allocation patterns that disfavor women and girls? What are the best delivery platforms for such interventions—agricultural programs, social protection programs, reaching girls in schools, or other approaches?

To address these questions, CRP4 will focus on the following broad areas.

1. **Gender analysis of needs and differential exposure to risks:** Men, women, girls, and boys have different nutritional needs and different risks of undernutrition and disease. Tools for risk analysis, surveillance, and household and community nutritional assessments need to be gendered to capture these differences. Based on the results, gendered interventions will be integrated in each of the components.
2. **Women's participation in and benefits from ANH programs:** Women are key mediators of household nutrition, and their participation in integrated ANH programs will be crucial. While the health and nutrition sectors have often integrated gender concerns, the agriculture sector has not been as successful, despite evidence that agriculture interventions that address gender issues are better able to achieve nutritional objectives (Berti, Krusevec, and Fitzgerald 2004). This is a key element of Component 4.
3. **Increasing access to assets and empowering women:** In most countries, women play key roles in food and nutrition security both as agricultural laborers—sometimes sole breadwinners—and as household caregivers. To play these key roles effectively, however, women need access to and control over assets and other means of production. Evidence suggests that ANH programs could enhance their outcomes by investing in increasing women's assets and decisionmaking power. All components of CRP4 will work with CRP2, CRP3, and CRP5 to identify and test approaches to reduce the asset gap between women and men and to empower women to protect the food, nutrition, and health security of their family members.
4. **Intrahousehold food allocation and consumption:** Intrahousehold consumption patterns of foods—especially those considered high-value “prestige food”—often favor men in many developing countries. These prestige foods are also usually the nutrient-rich foods that young children and women need the most for growth and reproduction, and are the foods targeted by CRP4. Through behavior change communication, CRP4 will increase awareness of how production and productivity choices affect nutrition and equity issues. Through linkages with CRP3, research will be conducted on the variable dynamics of intrahousehold food allocation, as well as on interventions to increase the consumption of nutrient-rich foods especially by women, children, and other vulnerable groups (such as people living with HIV/AIDS).
5. **Technology development and delivery systems:** Involvement of both men and women in technology development is crucial to the uptake of such technologies. Women are very often constrained in access to services and inputs, such as improved seeds for nutritionally enhanced crops. Approaches such as participatory plant breeding and community seed systems and business enterprises can improve their level of access. Women also play a critical role in postharvest handling and processing of food, an important focus of CRP4. They will therefore be incorporated as one of the key actors in the work on value chain for enhanced nutrition (Component 1).
6. **Capacity building and policy interventions:** Women need to be involved in dialogue on policies that affect agriculture, nutrition, and health. However, most organizations involving local women are weak and unable to influence policy. Capacity building and organizational development can go a long way in ensuring that these organizations play a role in influencing relevant policies.

As well as forming an over-arching theme in CRP4, gender will also be mainstreamed into work on each of the components of CRP research in the following ways.

Component 1: Value Chains for Enhanced Nutrition and Health

Value chains are inherently gendered, reflecting several broad factors: the different roles that men and women play across the spectrum of value-chain activities; the preferences of men and women for different value chains; and different levels of engagement of men and women in specific value-chain components and activities. This component has a strong focus on women, relating to the opportunities for income generation for women along the value chains as well as their critical roles in the production and marketing of nutritious foods. Some key areas of focus include:

- Understanding and influencing (where needed) intrahousehold decisionmaking processes on the production, marketing, and consumption of nutrient-rich foods in the context of the value chains.
- Identifying the roles, constraints, and opportunities of men, women, and other defined groups as potential agents of change to improve nutrition along the value chain, especially as related to improving women's access to better processing technologies, capacity building, or organizational capacity.
- Developing innovative tools, methods, and approaches (including social marketing tools) for increasing access to information and promoting behavior change in men and women; evaluating the effectiveness of these approaches on both genders.
- Developing a model for strengthening women's capacity for improved decisionmaking on production, marketing, and consumption of nutrient-rich commodities.

Component 2: Biofortification for Improved Nutrition and Health

The design and implementation of this component (and both subcomponents) were shaped to take account of unequal access to resources and the different responsibilities of women and men in earning income and raising families, as well as their different biological requirements for nutrients. Some specific examples from HarvestPlus (Subcomponent 2.1) illustrate gender considerations.

- Micronutrient requirements are higher for women than men, reflecting their different reproduction requirements. HarvestPlus selects its target combinations of crop, nutrient, and country to yield maximum potential savings of disability-adjusted life years (DALYs), based on estimates of the current micronutrient status of women (and preschool children) and the estimated nutrient adequacy of their diets.
- Target nutrient-density levels, set for breeders to incorporate into high-yielding, high-profit varieties, are by design based on the nutrient requirements for women of reproductive age; bioavailability and efficacy assessments are done in this same group (as well as in preschool children).
- Marketing and messaging related to HarvestPlus crops and their nutritional value is designed to convey information specifically to primary caregivers (almost always women, normally mothers). Extension programs and messaging related to HarvestPlus crops also take into account women's and men's contrasting perspectives and roles in farm production.
- Assessment of HarvestPlus programs examines the specific roles of women and men in several areas: adoption of biofortified crops, food purchases, food preparation, and intrahousehold distribution of food.

With respect to a biofortified food basket for Latin America (Subcomponent 2.2), gender is integrated in the following ways:

- Women are among the intended beneficiaries.

- At least one-third of targeted farmers will be women in projects to disseminate biofortified seeds to farmers, in partnership with government programs and NGOs.
- When working with the private sector to develop food products, at least one product per country will be preferentially consumed by women (per industry's market research); this will also necessitate involving women in product development.
- Nutrition impact studies will focus on women (and children).

Component 3: Prevention and Control of Agriculture-Associated Diseases

Exposure to agriculture-related hazards differs by gender. For example:

- Women doing laundry in canals may be more at risk from schistosomiasis, while young men are at more risk from neuro-lathyrism.
- Women are responsible for feeding households and thus play a crucial role in managing food-borne disease. Special attention will be given to empower women to use risk-reducing technologies.
- Women are frequently the caretakers for sick family members and animals, resulting in greater exposure to disease and higher burdens, but also giving them a key role in disease management and prevention.

Gender considerations will therefore be integrated in all the components of this research. Data on exposure and risk factors will be collected separately for various gender and age groups, with a view to

- a. identifying the differential exposure of men, women, boys, and girls to risks;
- b. enhancing the involvement of both men and women in the surveillance and management of risks; and
- c. developing interventions to reduce AAD targeted specifically to women or other vulnerable groups.

Component 4: Integrated Agriculture, Nutrition, and Health Programs and Policies

As the over-arching component of the project, Component 4 will pay particular attention to the program's gender-related impacts

- by developing and using a set of gender-disaggregated indicators to assess the impact of ANH programs, and
- by documenting and disseminating the impact of ANH programs on women's social, health, and nutritional status.

Subcomponent 4.2 (Policy) will ensure that gender-disaggregated data are used in an integrated way to highlight nutrition and health issues facing women and children. Within the relevant cross-sectoral processes, ministries responsible for gender will be engaged. Finally, part of the process monitoring of CRP4 will be mainstreaming gender within cross-sectoral planning and implementation.

8. INNOVATION

CRP4 is an important new departure for the CGIAR. CGIAR Centers have had specific programs in various areas of agriculture-nutrition and agriculture-health, and a number of Centers have collaborated in an agriculture and health research platform together with external health and nutrition partners. CRP4 represents a much larger and more systematic approach by the CGIAR to engage with the human nutrition and health communities to meet a new and explicit system-level goal of expanding agriculture's contribution to improving nutrition and health.

Bringing together agriculture, nutrition, and health is not a new idea. In what ways will CRP4 be innovative?

8.1 New Understanding and Global Commitment

There is a growing appreciation globally that something different needs to be done to address the massive malnutrition and disease burdens in developing countries. It is also recognized that joint efforts of the ANH sectors will be critical to designing solutions and achieving impacts. At the IFPRI 2020 Conference in February 2011, "Leveraging Agriculture for Improving Nutrition and Health," this sentiment was summarized by Prime Minister Manmohan Singh of India:

"Leveraging agriculture for improving nutrition and health . . . is particularly important in developing countries, where agriculture is also the mainstay of a very large number of people."

This strategic view is increasingly shared at operational levels as well. Implementers of development programs understand that food-based solutions offer important opportunities to improving nutrition, and that agricultural food safety and zoonotic disease control initiatives are an essential part of public health efforts to reducing infectious disease burdens. CRP4 will come into operation at a time when there is tremendous interest, understanding, and commitment to better linking agriculture, nutrition, and health.

8.2 New Ways of Working: New Types and Stronger Partnerships

CRP4 will foster new partnerships to ensure that agriculture, nutrition, and health are integrated and delivered—at the community level, in large development programs, and in policymaking. A major area of this research program (Component 4) focuses on creating, and responding to, demand from program implementers and community organizations for better evidence, knowledge, and technologies and methods for learning and adapting. It will also respond to the demands from policymakers and investors for better evidence on priorities, knowledge gaps, and good practices.

Within its new strategic results framework, the CGIAR has committed to making agriculture research accountable for improving human health and nutrition—and CRP4 is its main mechanism for achieving this strategic goal. A key design element of CRP4, enabling translation of research into development outcomes and impacts, is its firm grounding in well-defined, practical delivery pathways: value chains, development programs, and policymaking.

The vision of the CGIAR, in developing CRP4, allows for the development of a larger coordinated research program that can serve as a platform for bringing together the critical mass of multidisciplinary research expertise needed to tackle priority ANH challenges. The unique nature of the CGIAR, as a multilateral and independent research organization, makes it a natural convenor and an interesting partner for nutrition and health research as well as development organizations. The CGIAR already has very positive commitments from its ANH partners to work together, expressed in partners' meetings as well as in the IFPRI 2020 conference. These commitments will be further specified and

operationalized in the first year of CRP4, around key research topics linked to the large development initiatives that are highlighted in other sections of this proposal.

8.3 Innovative Research to Meet Emerging Challenges

Dramatic increases in population and urbanization are changing the relationships between agriculture and food, especially in the developing world. In this changing social landscape, there is little understanding of how improving knowledge and information might influence consumer behavior for better nutrition and health options, or how this opportunity might relate to changing agricultural production and supply. This area of international agricultural research is seriously under-invested.

The dynamic changes in agriculture in the developing world have included dramatic intensification of agricultural practices as well as ecosystem change, resulting in big changes in disease pathogen distribution and transmission dynamics, both in natural systems and along food chains.

CRP4 will have the ability to convene research on these and other emerging social and biological issues. It will work with partners to design mechanisms for enhancing nutrition along the agricultural value chain and to apply new molecular biology tools informed by population biology and social research, to improve our understanding of how agricultural intensification can be more sustainably managed.

New Tools and Approaches to Build the Evidence Base

Research is needed to provide standardized ways of measuring, providing, and communicating evidence that can guide good practices for joint ANH actions. Policymakers, investors, and development implementers receive an array of information from different sectors—on return to investments, on cost-benefit and cost-effectiveness figures, and on health and nutrition outcomes measured using various indicators, such as DALYs, disease burden, or number of food-insecure or undernourished people. For these different prioritization and performance indicators to usefully guide policy and practice across sectors, shared tools, indicators, and vocabulary will be critical. While some efforts have begun on useful cross-sectoral metrics and assessment methods, much faster progress can be made when sufficient funding becomes available to assemble a critical mass of expertise, as through CRP4.

9. INTERACTIONS WITH OTHER CRPS

CRP4 is the primary CGIAR program for delivering the system-level objective of improving nutrition and health. This CRP is intended to link with and influence several other programs in the CGIAR research portfolio to enhance the contribution of agricultural research for improving nutrition and health. There are accordingly numerous potential interactions between CRP4 and other CRPs. Appendix 12 lists some of the main areas of complementarity and possible joint research between CRP4 and other CRPs and provides some indication of potential funding contributions for joint activities. The major interactions are described below, for each of CRP4's three impact pathways. Areas for further clarification on interfaces with other CRPs are highlighted.

9.1 Value-chain Impact Pathway

In most cases, CRP4's value-chain research will be pursued within the value-chain work in the other CRPs such as CRP1.3, CRP2, and the commodity CRPs under thematic area 3. CRP4 will interact with agricultural commodity research in the key area of strategic plant breeding for improved nutrition and health traits—for example, micronutrient-rich biofortified staple crops, and crops with reduced levels of harmful toxins—building on the successful work of the past several years in mainstreaming nutrition and health objectives into plant breeding programs. Under CRP4, this work will be expanded to look at nutritional quality and food safety throughout food-value chains beyond production, through postharvest, processing, storage, and beyond. CRP4 will rely on CRP2 for value-chain analysis, to identify opportunities along the value chain for improving nutritional quality and food safety. CRP4 will also work closely with the agricultural commodity CRPs in thematic area 3, to improve nutritional quality and food safety along value chains. Nutritional quality can be enhanced either through improving the nutritional quality of staple crops (CRP3.1/2/3/4/6) or through making accessible foods of higher nutritional value, such as animal source foods (CRP3.7 and 1.3 [fish]), legumes (CRP3.5), and fruits (CRP6). The latter will be the main focus of CRP4 (see discussion at the beginning of Section 6 on the general approach to joint value-chain activities to enhance nutrition and health between CRPs). Food safety research will require joint actions, primarily related to animal source foods (with CRP3.7) and mycotoxins, principally in maize and groundnuts. An important task in year 1 will be to coordinate with crop CRPs on the division of labor and comparative advantage of contributions from the different CRPs for work along value chains. It will also be important to consider the relative roles of crop CRPs in developing technologies and how CRP4 can support these activities to enhance their nutritional benefits and food safety improvements.

CRP4 will contribute not only nutritional and food safety analyses, but also consumer-level studies on diet preference, risk, and other behaviors. This increased consumer focus will be critical as food production by and for the poor evolves from primarily subsistence and local informal markets to more formal markets and supply to poor urban consumers.

9.2 Development Program Impact Pathway

The integrated ANH programs in Component 4 will draw on the research findings from other CRPs relating to agricultural intervention, technologies, and innovation. In particular, there will likely be important links between CRP4 and research undertaken in the CRPs under thematic area 1 (drylands, humid tropics, and aquatic and coastal systems). These interactions will involve nutrition and health inputs from CRP4 and inputs from thematic area 1 CRPs on agricultural biodiversity, livelihoods, fish and aquatic systems, and agricultural program options in different agroecological and regional settings.

The elements of CRP4 linked to public health and nutrition programs will also have strong links to other CRPs. For example, CRP4's science-based evidence and technologies can inform food safety and veterinary public health programs, especially CRP3.7 (relating to production technologies, food-processing techniques, diagnostics, and vaccines). Other potential health links could include links with crop commodity CRPs on integrated pest management, with CRP5 on water-associated diseases, with

CRP6 on indigenous technical knowledge for health, and with CRP7 on changing patterns of disease risks associated with climate change and the effects of climate on food production opportunities and the nutrient content of crops. Links related to other agricultural intensification issues could be further developed under the subcomponent of health risks in agroecosystems (though they are not an initial priority).

9.3 Policy Impact Pathway

CRP4 will have strong links with all major components of CRP2—policies, institutions, and markets. CRP4 will use many common analytical frameworks and research methodologies as well as sharing monitoring and evaluation methods with CRP2. Shared research approaches will extend to cross-cutting issues such as social protection policies, risk management, and gender policies. There will also be strong links to CRP3.7 around risk management and public health metrics and policies.

10. CROSS-CUTTING ISSUES

10.1 Capacity Strengthening

Capacity strengthening is a crucial element for CRP4's longer-term and more sustainable impacts, essential for program scale-up and sustainability. The CGIAR and its research partners have long experience in supporting developing-country research organizations and researchers, through collaboration in programs and enhancing the capacity of development implementers and enablers.

Implementing CRP4 will require adequate capacity for translating research methods and outputs into adopted technologies and institutional and policy changes. Just as important, it will mean developing *cross-disciplinary capacity* at various levels, including government and development agencies as well as educational and research institutions. At present, the higher education systems in most CRP4 countries lack any training in multidisciplinary expertise: programs designed for the development professional have a single disciplinary focus with no opportunities for cross-disciplinary learning. As a result, government professionals — with a wealth of experience in their own fields — have very limited capacity to reach out to other disciplines, due in part to a lack of tools to address joint objectives. Similarly, researchers working on promising innovations lack the training or the incentive to work across disciplines or sectors.

Renewed interest in the integration of agriculture and food systems with health and nutrition outcomes presents an opportunity to develop a truly multidisciplinary capacity and outlook. Research teams working on CRP4 will undertake, as a preliminary step, comprehensive assessments of capacity gaps and needs in targeted countries and institutions, to develop an appropriate capacity development strategy.

Capacity strengthening will be carried out at four levels: individual, group, organizational, and policy. Given the international public goods focus of CRP4, active efforts will be taken to develop a network with key training institutes globally that are committed to working at the intersection of agriculture, nutrition, and health. An initial group coordinated by the Leverhulme Center for Integrated Research on Agriculture and Health (LCIRAH) of the London International Development Centre (LIDC) has already formed from discussions at the CRP4 partners meeting in Addis Ababa in 2010; the group of academic institutions from both the North and the South recently created a University Network on Agriculture, Nutrition, and Health for Development, in which CRP4 is participating. The network will be further strengthened during the first year of implementation of CRP4.

Individual Level: Individuals involved in all program areas will be targeted for individual skill-building with a multidisciplinary perspective. Capacity strengthening approaches will include one-on-one collaboration, hands-on experience to learn new research and analytical methods, mentoring collaboration with researchers, graduate student supervision, postdoctoral and visiting-scientist placements, on-the-job training, and short courses. Approaches will be adapted as needed, based on the assessment exercise. This interdisciplinary experience will better prepare these individuals to take on scientific and leadership roles in advancing integrated ANH programs.

Group Level: CRP4 will encourage enhanced networking among its direct and indirect partners. Networks of scientists, policy analysts, educators, program designers, and evaluators will develop to share and exchange innovations and experiences. Networking will be facilitated by the use of modern information technology, including social media, and by active efforts to encourage engagement at all levels. For example, an educational network can bring together universities in the North and South to exchange course content incorporating research and methods generated by CRP4.

Organizational Level: Six types of organizations will be included in capacity strengthening efforts.

1. *Research organizations* need capacity support particularly in the areas of research planning and management, institutional development, resource mobilization, and scientific writing. A networking approach will enable more isolated institutions to pool resources, including

personnel. Systematic mentoring will be complemented by well-targeted training of senior managers and scientists.

2. *Teaching and training organizations* provide the mechanism for recruitment and formation of new scientists, technicians, practitioners, and managers. These organizations include technical schools, universities, and training centers, as well as education networks in agriculture and natural resources management. CRP4 will engage with a range of training organizations—technical schools, universities, and training centers, as well as education networks in agriculture and natural resources management—to facilitate incorporating new knowledge generated by CRP4 into training and education curricula, and to develop relevant learning resources. Student researchers will be involved in various components as part of thesis research, with supervision and mentorship by the researchers.
3. *Organizations designing policies and programs* provide the essential bridge to widespread adoption and scaling-up. In these organizations, capacity will be needed for (1) developing national strategies and programs capable of implementation and funding, and (2) program monitoring and evaluation. These organizations will also provide a forum to bring together professionals from various disciplines, to contribute to policy and program solutions in an integrated and multidisciplinary manner.
4. *Organizations implementing intervention programs* have a crucial role to play. Local government organizations, civil society organizations (CSOs), international and local nongovernmental organizations (NGOs), community-based organizations (CBOs), and a range of private organizations will all be key in designing and implementing intervention programs that emanate from CRP4. CRP4 will work with such bridging organizations to strengthen their capacity to design, manage, use, and evaluate research outputs, through extensive brainstorming sessions, special short courses, participatory workshops, and other special training events.
5. *CGIAR Centers* themselves will gain important capacity to integrate nutrition and health considerations into their research programs, incorporating health and nutrition goals and interventions where appropriate. Capacity development will be mainly through joint research, as well as advocacy based on evidence generated by this CRP. CRP4 will also support creation of a learning platform to strengthen capacity for research across the five components of CRP4 (and across other CGIAR CRP programs doing research on nutrition and health-related activities), by sharing knowledge and information, analytical assessment tools, methods, participatory research strategies, specialized expertise, best practices, and feedback. The platform will include tools including indicators for needs assessment, monitoring, and evaluation. Based on needs assessment, the learning platform will sponsor online training courses or e-learning materials on methods and multi-stakeholder processes.
6. *Other international and regional organizations*, such as UN agencies, will also benefit from capacity development through individual and institutional partnerships, engaging in joint research planning and analysis as well as publication of research findings and targeted dissemination of research outputs.

Policy Level: CRP4 will support capacity creation in policy research programs at the regional and subregional levels, with the lead CGIAR Centers providing methodological and analytical support to universities, policy institutes, and national and international policymakers and government officials. In Africa, for example, CRP4 will support processes such as NEPAD/CAADP, ASARECA's Policy Analysis and Advocacy Program, and the Food Agriculture and National Resources Policy Analysis Network (FANRPAN), drawing on IFPRI and ILRI leadership in the Regional Strategic Analysis and Knowledge Support Systems (ReSAKSS). Similar policy analysis networks will be implemented in the South Asia region.

Appendix 13 presents an impact pathway for the capacity strengthening activities of CRP4, as implemented at different levels.

Special attention will be paid in all research and capacity strengthening activities to create opportunities for women and members of marginalized groups. Pilot sites will be selected that represent different agroecological and socioeconomic conditions. Local and international graduate students will be engaged in research questions designed to create models that can serve as international public goods. Special briefings and trainings will be organized for policymakers, especially on issues related to promoting cross-sectoral support, financing, policies, and institutional developments.

At the national level, leadership and managerial skills will be required to manage cross-sectoral collaboration. National food security and nutrition taskforces will be engaged in a series of policy dialogues to identify capacity gaps and to encourage incorporating the results of research into national policies and strategies.

Appendix 14 presents a description of capacity strengthening activities for each component of CRP4.

10.2 Communications and Advocacy

10.2.1 Rationale

The CGIAR Research Program on Agriculture for Nutrition and Health places priority importance on establishing a strong communications function from the beginning of the program. Cross-sectoral collaboration requires nurturing; an effective communication strategy will help (1) establish the focus of the program for both external and internal audiences; (2) provide a unifying voice for the program; and (3) reinforce mainstreamed messages relating to such factors as partnership behavior, gender inclusion, and integrated planning around shared goals.

Upon approval of the program, the management team will create a task force drawing on expertise from center and partner organizations, to develop a communications strategy for the start-up and development phase of the CRP.

10.2.2 Start-Up Phase: Elements of the Communications Strategy

During the first years of the program, the communications goal will be to unify participants, Consortium members, and donors around the goals of the program—improved health and nutrition through integrated planning with agricultural research and development. The messages may be targeted in different ways to different audiences to make them more accessible. The basic message will accomplish the following: (1) establish a common vocabulary for expressing the program's objectives and expected impacts; (2) demonstrate how the integrated program builds on the strengths of the lead organizations and partners but stands on its own, as a focused program with responsibilities and the resources to fulfill them; and (3) establish a basis of core principles for managing cross-sectoral collaboration. This third function can include formal statements of partnership principles (see Section 10.1) and even reference materials on desirable behaviors.

The program will also create a web portal providing an accessible and searchable archive of the documentation, statements of principle, and decisions establishing the program. The evidence base underlying the creation of the CRP will reinforce the value of an integrated approach. It will also provide potential partners with the resources they need to explore new collaboration. In addition, cross-sectoral and multi-institutional collaboration involves negotiations, and occasionally conflict resolution. The ability to go back to first principles and to the record of initial discussions will facilitate the development of mutual trust. Finally, the principles and practices for managing a multi-stakeholder program—drawing on the experience of international organizations and NGOs—will be posted for continuous reference.

The program website will also be a port of entry for potential collaborators and a reference for potential donors exploring the match between their objectives and those of the program.

The strategy for the start-up period will identify the targets and the venues for presenting such messages. Component 4 (Section 6.4) underlines the importance of ideas, data, and information, as communication tools that can be used in different fora to reach different targets. Appendix 4 on implementation partnerships describes the impact pathway for policy that uses tailored messages for the following purposes: (1) presentations in regional and national policy fora (for example, CAADP and subregional organizations); (2) getting agriculture on the agenda of national strategic planning exercises in health and nutrition (and vice versa); (3) getting integrated health, nutrition, and agriculture into National Poverty Reduction Strategies and into National Agricultural Research Fora, where they exist.

10.2.3 Development Phase: Communication Strategy for Public Awareness and Reaching End Users

The Communications Strategy will move quickly from establishing the program to consolidating support for its activities, recruiting new collaborators, and ensuring use of its knowledge. Public goods are freely accessible to all, but serious efforts are required to get them into the right hands.

Targeting research outputs to particular users is a professional skill. This CRP will enhance the productivity of its scientists and partners by having specialized communications professionals work with scientists from project design onward to ensure the transfer of knowledge to users. Researchers will be helped to identify target groups for research outputs and to plan the particular format of outputs to meet their needs.

The Program Management Team will benefit from having a formal Communication and Advocacy Strategy that balances the need for scientific rigor and credibility with the need for a stream of public awareness materials that highlight the potential impact for advocacy purposes. The Communications Strategy will (1) formalize policies to ensure high standards of professional quality in CRP outputs, through peer review and editorial assistance; (2) assist researchers to maintain the value of their intellectual property while maximizing shared use and credit by partners; and (3) identify the policy and advocacy channels to be cultivated, in close association with researchers and partner organizations.

10.2.4 General Lessons: Communications in Cross-Sectoral Collaboration

From the beginning, the communication focus will be on the integration of agriculture, nutrition, and health as the special characteristic of this program. Success will depend on the recognition by decisionmakers in each sector that there are real and tangible economic gains from integration of effort. Fiscal and budgetary arrangements can be specifically designed to make collaboration attractive to cross-sectoral partners. A synthesis of the economic evidence showing the benefits of integrated programming, highlighting experience from other cross-sectoral activities, will be an important element in maintaining the collaborative commitment, and a stream of new evidence will help to reinforce it.

11. MANAGEMENT ARRANGEMENT FOR IMPLEMENTATION

11.1 Governance and Management Arrangements

The governance and management arrangements for CRP4 follow the guidelines set out in the CGIAR Strategic Results Framework. The Lead Center is IFPRI, which will have overall fiduciary and operational responsibility for the implementation of CRP4. To enhance synergies across the ANH components of the program, the Consortium Board has requested that ILRI, which currently manages two-thirds of the health-related research in the CGIAR, play a strong support role. ILRI will provide the Chair of the Planning and Management Committee for the first two years of CRP4; will be specifically consulted on the recruitment and performance evaluation of the Program Director; and will lead the implementation of Component 3 on agriculture-associated diseases.

The Board of Trustees and Director General of IFPRI are accountable for the overall execution of CRP4 and for the effective engagement of the different partners. IFPRI will be responsible for the overall reporting relative to its Program Implementation Agreement with the Consortium Board, and accordingly shall require program participants to operate this CRP in accordance with the PIA and flow-down provisions which will be reflected in contracts between IFPRI and the partner entity. Responsibilities will then cascade to participating CGIAR Centers and partners. ILRI, in executing its responsibilities in the implementation of Component 3, will consult closely with IFPRI and the CRP4 Director.

The overall management structure of CRP4 is outlined in Figure 11.

Figure 11. CRP4 Management structure

Consortium Board

CRP4 Lead Center (IFPRI)

Planning & Management Committee

- Chair: ILRI
- IFPRI
- CGIAR
- ILRI

Program Research Team

The main elements of this management structure include:

Planning and Management Committee (PMC): The PMC will oversee the planning, management, implementation, and monitoring and evaluation of the CRP. It will review and approve the program work plans, milestones, and budgets. The PMC will discuss and approve the strategic directions of the program and new funding initiatives, and will advise on the development, implementation, monitoring, and evaluation of the program, including strategic linkages and partnerships. The PMC will be convened by the Program Director, supported by the Program Management Unit (as secretariat, see description below), and chaired by one of the Center representatives. In the first two years of the program, an ILRI representative appointed by the ILRI Director General will serve as Chair of the PMC, as requested by the Consortium Board. It is expected that a rotating system for chairmanship will be established by the PMC once it is formed. PMC members will include three representatives from key CGIAR Centers and implementing partners, and the four research component leaders. It is anticipated that the PMC will have face-to-face meetings twice per year and more frequent meetings and decisions will be made by consensus. As needed, the Director General of IFPRI, supported by the PMC Chair and Program Director, will consult with the Director Generals of participating CGIAR Centers to resolve any contentious matters.

Independent Advisory Committee: A six-person Independent Advisory Committee will be formed to support the development of collaborative, efficient, and effective science and management. It will consist of three scientists to cover the range of science and disciplines in the program, two representatives of development partners (development implementers, policy/investment stakeholders), and one member of the HarvestPlus Program Advisory Committee. This panel will be complemented by additional ad-hoc advisors for specific or emerging issues, as needed. The Independent Advisory Committee will provide advice to the Management Committee and the IFPRI Director General on research program performance, research priorities and focus, and management and partnership issues. Nominations will be actively canvassed from participating centers and partners by the Management Committee to ensure broad acceptance. The slate of candidates will be proposed to the IFPRI Director General for confirmation by the IFPRI Board. The Independent Advisory Committee will have one face-to-face meeting annually at the time of one of the PMC meetings and will be consulted for advice at other times by the Program Director. The PMC, through IFPRI and the Program Director, will be required to formally respond to the Independent Advisory Committee recommendations.

Given the importance of partnership engagement in this CRP, it is proposed to hold a partner and stakeholder meeting biennially, in association with the GCARD meeting. It will be an open forum, and sponsored participation by key partners may be budgeted into component activities.

Program Management will be led by the Program Director supported by a Program Management Unit. The key management positions envisaged are as follows:

Program Director. CRP4 will be managed by the Program Director, who will be appointed by IFPRI in consultation with ILRI and will report to IFPRI's Director General. The Program Director will be responsible for ensuring the implementation and delivery of all aspects of the CRP, according to the obligations of the Performance Implementing Agreement. Responsibilities include leadership of the CRP, including communicating and modelling a shared vision of the CRP among participating centers and partners; ensuring integration across agriculture, nutrition, and health; coordinating work plans, budgets, reporting, monitoring, and evaluation; setting priorities for funding and for broader communications and resource mobilization; representing the CRP externally and supervising the program management unit.

Program Management Unit. This unit will consist of a small number of staff who will support the implementing Centers and partners in the implementation of the CRP. The program management unit positions include the following:

- *Research Coordinator* – This position will focus on supporting research strategy across the CRP and the development of high quality standardized methods and metrics for data and evidence. The research coordinator will provide intellectual leadership in research.
- *Program Manager* – This position will provide management and monitoring and evaluation support to program research teams in implementing the CRP. This will include support on cross-cutting issues such as gender and capacity building, development of proposals and agreements, and monitoring and evaluation (M&E) and reporting requirements.
- *Senior Administrative Assistant* – This position will offer administrative support to the Program Director and the Management Unit staff in planning, budgeting, and reporting.
- *Research Assistant* – This position will provide some basic research assistance to the Program Director and the PMC.

Program Research Team: A small program research team will be formed, comprised of the Program Director and the four *Research Leaders* from each of the research components. This team will operate informally but will meet regularly (virtually) as well as face-to-face twice a year, in the context of the PMC meetings. Their role will be to coordinate research and to ensure intra- and inter-CRP coherence, focus, collaboration, and effective partnerships. The cost of these activities will be embedded in the research components.

11.2 Program Implementation

Once this proposal is approved by the Fund Council, an Operational Plan will be developed by the PMC, with assistance from the Program Management Unit. The components of the Operational Plan will be agreed with the Consortium Office and will include specific elements outlined elsewhere (such as the M&E plan). An essential component of the Operational Plan will be financial planning to support the priority research and development areas, and how funding can be raised through the Fund Council or other investors. Financial planning will be discussed with partners in order to stimulate joint proposal development and appropriate sharing of resources in key priority areas.

Implementation of components and subcomponents will be the responsibility of research leaders. Research leaders will be selected from CGIAR centers or partners that have a significant resource stake. Funds from the Fund Council through the Consortium Board will be managed as subcontracts to the institutions involved.

How CRP4 evolves will follow the strategic directions outlined in this proposal as well as being responsive to partner and client demands within those directions. CRP4 will focus on bringing agricultural solutions to agriculture, nutrition, and health challenges as a provider of research outputs and outcomes in support of development enablers and implementers. We will make decisions about expanding or moving into new research areas and stopping research by balancing strategic plans and responsiveness to clients and partners. The Independent Advisory Group and other advice will be used by the Planning and Management Committee and the Lead Center to review and challenge decisions on program evolution.

12. TIME FRAME AND MILESTONES

CRP4 will be fully operationalized with the signing of the Program Implementation Agreement between the Consortium and IFPRI as the Lead Center of CRP4 (as per the CGIAR Strategy and Results Framework).

The main initial task will be to develop a five-year operations plan with the active participation of CRP4 centers and partners. This will include further elaboration of the impact pathways, outcomes and impacts, partnership and human resource arrangements, and detailed plans of work and budget for different components and subcomponents.

The overall thrust of CRP4 is relatively new for the CGIAR. It combines some ongoing research with other well-established areas of research (such as biofortification of staple crops, nutritional assessment of programs, and zoonoses research), as well as some smaller-scale activities that can benefit from greater coordination and resources (such as food safety), along with some areas of innovation that still need to be developed (such as quality and safety of foods along value chains, evidence and metrics for priority setting, and assessment of cross-sectoral ANH interventions).

Some important milestones for the first five years are listed in Table 22. As a relatively new joint-research area for the CGIAR and its agricultural partners, and with new collaborations being established with partners in health and nutrition, the first three years will require considerable efforts on partnership development and detailed discussions with partners. Important early efforts in communication and partnership are planned in order to build on the notable enthusiasm generated at the proposal development stage and in related CGIAR center initiatives. This enthusiasm will need to be translated quickly into tangible research results to guide priorities, partnerships, and investments.

How can CRP4 quickly and effectively establish clearer priorities within its partnerships and translate these to actions with defined timelines and milestones? In the short term, we will use three different approaches for doing this. The first is to engage in specific discussions on research priorities with key partners, starting with teams working on other CRPs. We will discuss with CRP3.7, for instance, the inclusion of nutrition and health activities in their seven focus value chains and select the most suitable ones for joint work in the short term and medium term (some of these discussions have already started). Second, within the program impact pathway, CRP4 will engage in discussions with other CRPs such as CRP1.3 in Bangladesh and Zambia, as well as with existing program implementation partners such as Helen Keller International in Burkina Faso and Nepal and Concern Worldwide in Zambia. The third basic strategy will be to quickly engage key partners in developing and testing basic metrics and evidence as well as principles and practices for joint research linked to the value chain, program, and policy implementation pathways. This three-pronged strategy will allow us to engage more effectively with key cross-sectoral policy platforms (AU-NEPAD and PHFI-Ag research) in Africa and India as well as new regional policy research programs involving IFPRI and other CRP4 partners such as LANSAs in four countries of South Asia and Transform Nutrition in two countries in Africa and two in South Asia (see Box 10).

CRP4 will also pay attention to better aligning existing research and funded projects to serve the jointly developed priority activities and to plan and mobilize resources jointly for identified gaps. Underlying this effort will be the need to jointly develop a shared vision of the program that takes into account the research and development needs of the nutrition and health communities as well as those of the CGIAR agricultural research partners.

Table 22. Time frame and milestones

Milestone	Year 1	Year 2	Year 3	Year 4	Year 5
Initial institutional arrangements for CRP operations among partners agreed and contracted	X				
Management Committee established and meets	X	X	X	X	X
Staff appointed	X				
Center and Partner meetings	X	X	X	X	X
Science Advisory Panel established and meets	X	X	X	X	X
Detailed work-planning for components and subcomponents	X	X			
M&E plan developed	X				
Partnership Strategy developed	X				
Communication and resource mobilization strategies and planning for components and subcomponents	X	X			
Value-chain partnerships for nutrition and food safety established	X	X	X	—————→	
Biofortification (ongoing milestones plus exploration of new regions)	—————→				
Data and evidence for prioritization and assessment of nutrition and health interventions	X	X	X	X	X
Identification and establishment of program partnership case studies	X	—————→			
Cross-sectoral metrics development and testing	X	X	X	X	X
Priority setting and strategy refresh				X	

13. OPPORTUNITIES AND RISKS

The opportunities presented by this program are enormous, flowing from a groundswell of demand for integrated ANH research—as seen by the tremendous level of participation and interest in the IFPRI 2020 Conference in New Delhi. The networks and collaborations proposed (and that, in some cases, are already functioning) provide opportunities for enhancing dissemination and uptake of research outputs, presenting a real opportunity to influence both debate and practice in this area. The program also provides the opportunity to leverage additional funding and commitments from donors and stakeholders, expanding this area of research into a potentially world-changing force.

With such an ambitious program, there come challenges and risks. The unavoidable challenges need to be tackled to achieve innovative impacts; the serious risks need to be mitigated or avoided, as potentially detrimental to the work—and not all of those risks can be anticipated. *Challenges* flow from the inherent difficulties in bringing different research disciplines together, exacerbated by the current tendency to work in isolated sectors. Differences in assessment tools, guidelines, and methodologies will certainly complicate implementation, coordination, evaluation, and interpretation of findings. Another critical challenge is the current lack of capacity and expertise in implementing cross-sectoral work on the ground, and this is addressed in CRP4 through a well-defined capacity-strengthening strategy.

CRPs are an important innovation of the new CGIAR, learning how to make them work and succeed at achieving their goals will require significant investments. This challenge is multiplied when moving beyond technology research to the types of integrative, partnership-intensive, and cross-sectoral research proposed in CRP4. This type of research will demand new and effective governance and management approaches. Key program risks and how they will be mitigated are outlined in Table 23.

Table 23 focuses largely on internal risks (CGIAR and CRP partnership) that will need to be effectively managed. External risks stemming from political, social, and other contextual changes will also need to be monitored and assessed. Partnerships will be critical in evaluating and mitigating most external risks. The assessment, monitoring, and management of risks will be the responsibility of all partners. Open communication about potential risks (and responses) will be encouraged but also built into monitoring, evaluation, and management systems. Within each component of the program, specific research opportunities and risks have been assessed (as discussed below); these will be further elaborated in management and implementation plans.

Table 23. Key risks and their mitigation measures

Risk	Mitigation measures
Partnership risks	
CRP teams (both within CRP4 and with other CRPs) do not collaborate but compete in areas of research in which joint actions are needed.	Establish a clear overall framework for key research areas and agree on comparative advantages, needs for individual and joint efforts and roles and responsibilities of different partners. Provide mentoring and support to teams for team building and management skills. Establish transparent principles and processes for decisionmaking.
Failure to effectively engage key national and local actors	Clearly articulate roles and responsibilities of national and local partners, highlighting their importance along impact pathways. Give priority to capacity development and provide funding for their contributions, where appropriate.
Failure to establish partnerships between key partners across the agriculture, nutrition and health sectors.	CRP4 will generate needed evidence regarding the contributions that agriculture can make to nutrition and health outcomes. This evidence will be used for advocacy and to identify and support champions of cross-sectoral research and development. CRP4 will help strengthen the track record of key CGIAR Centers in agriculture–nutrition and health partnerships.
Leadership risks	
Lead Center, CRP4 Director and leadership team fail to develop, implement and resource a compelling vision and program for CRP4.	Recruit and empower a strong Director and leadership team. Develop and support a strong Planning and Management Committee that engages key Centers and partners. IFPRI provides strong support to the program and fosters collaborative behavior. Independent Advisory Committee effectively challenges and advises IFPRI and CRP4 management team, who then can take necessary measures to improve performance.
Lack of support by Consortium and Fund Council for CRP4 agenda	Provide compelling evidence and communicate importance of agriculture in nutrition and health outcomes and impacts. Support champions at all levels who can articulate the importance of the linkages between agriculture, nutrition and health issues.
Operational Risks	
Failure to effectively coordinate and manage CRP4 partnership.	Lead Center and Director, Planning and Management Committee and Independent Advisory Committee regularly monitor and evaluate management effectiveness and adjust investments, people and procedures.
CRP4 agenda too scattered to be effective	Effective monitoring and evaluation process provides good information for decision making and to identify what is working and what is not. Independent Advisory Committee challenges Planning and Management Committee on program focus linked to outcome and impact performance.
Administrative Risks	
Agreements between program partners and reporting requirements are too complex and burdensome.	Lead Center and CRP4 management develop clear and simple agreements in consultation with Consortium and Center leadership; and invest in effective and efficient monitoring and evaluation systems that perform and avoid duplication.
Support and administrative services are too costly and not effective.	Evaluate services provided by Lead or other key Centers for program support to enhance efficiency and effectiveness. Avoid duplication of services and rely on and enhance existing capacity.
Funding Risks	
Overall funding for program is insufficient to achieve goals, milestones, outputs and impacts	CRP4 management analyzes reasons for insufficient funding (e.g. problem with donors: lack of interest, changing donor priorities; or problems with program (either perceived from donor or real): poor performance, lack of relevance, poor leadership, inefficiencies in use of funds, etc.); depending on source of problem, specific measures are implemented to address them and to communicate with donor to ensure that misperceptions are corrected as needed and that the relevance and importance of the work for achieving the CGIAR goals is understood.
Allocation of funds across components is unbalanced and prevents achievement of goals across the CRP. Or funds to work and coordinate research across components is insufficient.	All four program components are necessary to achieve the overall goals of the program. CRP4 leadership and Planning and Management Committee will proactively engage with donors to explain the relevance and complementarity of all four components of the program for the achievement of its goals and of the system-level outcomes of improving the health and nutrition of the poor through agriculture.

13.1 Component 1: Value Chains for Enhanced Nutrition and Health

The diversity of partners assembled for this component represents a highly strategic opportunity for interdisciplinary synergy and cross-sectoral ANH cooperation, and coordination of joint research activities and partners will require careful attention. Close cooperation will be established with existing international agricultural frameworks (such as GFAR, NEPAD, and ECOWAS/WAHO), as a way to minimize such risks and to reinforce self-sustaining collaborative approaches.

13.2 Component 2: Biofortification

Biofortified crops are increasingly recognized as important new tools that will complement existing nutrition interventions. Bringing a public health lens to the marketing of an agricultural commodity presents unique opportunities for advocacy and for the diffusion of an agricultural innovation to serve public health. Quick wins are possible in this area, in the form of readily visible results, even while making a sustainable contribution to reducing malnutrition over large populations. Committed donors are now investing at unprecedented levels toward food security.

This very welcome infusion of global interest merely reinforces the continuing substantial and unwavering commitment of key donors to biofortification. Sustainable partnerships have been developed (largely by CGIAR) across sectors and continents, with substantial research results. With the current interest in linking agriculture to nutrition and public health, the time has arrived to build a strong platform for developing and delivering nutritious staple crops that are relied on daily by the most nutritionally vulnerable populations around the world.

The biofortification strategy is nevertheless not without risks and limitations. Anticipated risks include the following:

- Limitations on nutrient bioavailability, along with the presence of naturally existing inhibitors, may reduce the absorption of minerals (in particular) and thus their impact on human health.
- Absent or weak commercial seed industries in target countries may fail to produce and market biofortified seed and food products in sufficient amounts to ensure access by the poor and undernourished.
- Behavior change communications approaches may fail to educate the population regarding the nutritional benefits of biofortified crops (especially if they are more expensive and/or have distinguishable traits), reducing willingness to pay and incentive to consume.
- Lack of political will, whether internal or external to the CGIAR, may mean failure to prioritize nutrient content as a breeding objective.
- Climatic extremes or other natural phenomena may interrupt or delay some activities or affect the results (for example, the nutrient density of crops).

13.3 Component 3: Prevention and Control of Agriculture-Associated Diseases

New and transformational thinking is emerging in the field of health for development, as major players increasingly recognize the need for multidisciplinary, multisectoral, integrated, and participatory approaches. This component adopts a One Health/Ecohealth/multidisciplinary approach to address the complex questions around food-borne, zoonotic, and other agricultural health problems. By bringing to bear a socioeconomic and ecological understanding of the existing constraints to adopting technological solutions, the component will identify opportunities for interventions that can realistically be evaluated, implemented, and adapted contextually by partners. Nevertheless, while One Health multidisciplinary approaches are conceptually attractive, they have proven difficult to operationalize, and there is a risk that sectoral inertia may be difficult to overcome. CRP4 will develop tools to create and maintain incentives for multisectoral approaches.

13.4 Component 4: Integrated Agriculture, Nutrition, and Health Programs and Policies

CGIAR centers have well-established capacity and experience to work collectively with implementing partners, providing a unique opportunity for research on implementation and delivery. Quick results are possible by working with established programs with expertise in integrating AHN (summarized in Appendix 10). Several of these programs offer solid implementation on the ground, as well as strong capacity and engagement of numerous partners; the CGIAR can play an important role in strengthening the design and evaluation of such programs and in generating and documenting learning for replication, adaptation, and scaling-up. These opportunities also feed into the policy level, generating significant learning about approaches to improving health and nutrition outcomes through coordination with agriculture.

Linking research to implementation will require extensive investment in communication, dialogue, information sharing, internal education, and advocacy. Developing generalizable findings across agroecological zones will be complicated by the wide diversity of the target populations, with large variations in food production, diets, cultures, degree of marginalization, and type and magnitude of vulnerabilities. The main risk in this area is the possibility of insufficient funding: a failure to integrate CRP4's findings and lessons for cross-sectoral collaboration would perpetuate the existing divisions between program areas—leaving promising results partially developed and limited to their own subsectors.

14. MONITORING AND EVALUATION SYSTEM PROPOSED

With support from the Program Management Unit, the CRP4 Director and the Management Team will have the primary responsibility for designing the overall M&E framework of the CRP. They will also coordinate and support the monitoring of progress by the research teams under each component and subcomponent. The M&E framework will be used by all CRP4 research teams and cover the needs of all CRP4 partners to report on program activities and outputs, track progress, and take corrective action as needed, and to assess program influence on outcomes and impact. Monitoring and evaluation indicators for tracking and assessing achievements will be constructed according to the SMART framework—*specific, measurable, achievable, relevant, and time-bound*—allowing for clear, results-based management of the CRP.

The overall CRP M&E system must fit within the overall Consortium M&E principles and procedures, currently under development. All M&E will be kept as simple and pragmatic as possible. Two main objectives will underpin the M&E strategy. The first objective is to have a *systematic process* to monitor performance in achieving milestones and outputs, both for the program overall and for each participating institution and program component/subcomponent. The second objective will be to provide *indicators and lessons* that can be used to support institutional and programmatic learning about what makes research effective in achieving program outcomes and impacts. Approaches will be tailored to the three key CRP4 impact pathways (value chains, programs, and policies). For all three pathways, the M&E framework will be developed and implemented through close collaboration between the program management unit and the research teams. Subcomponent 4.2 on Harmonized Policies will undertake specific research to develop tools, methods, and indicators to assess, track, and document changes in policy and institutional capacity for cross-sectoral ANH outcomes and impacts. These research outputs will be incorporated and used for monitoring and evaluating CRP4.

In Year 1, a workshop of key partners and stakeholders will be convened to develop a detailed M&E plan. This plan will be grounded in the overall impact pathway strategy and linked to the partnership strategy, which will also be developed during the inception phase of the project. The overall M&E plan will focus on monitoring and tracking key activities, outputs, and outcomes, as well as partnership quality and performance in achieving outcomes and impacts. It will also focus on analyzing how new knowledge and evidence, planned in early stages of the program, will inform subsequent priority setting, program design, and institutional arrangements.

While the details of the M&E plan can be developed only within the overall Consortium's M&E framework and must be linked to the development of detailed work plans with partners, some key M&E principles and practices can be foreseen for each of the three impact pathways. Given the long road from research for development activities to outcomes and impacts, CRP4 will pay attention to assessing impact pathways and intermediate outputs and outcomes. Below are some key considerations that the CRP4 partnership will consider in developing its detailed M&E strategy and plans.

The advantage of the value-chain impact pathway is that a value-chain framework provides a practical approach to identifying the key actors necessary for the value chain to perform. For CRP4, overall value-chain performance will be monitored and evaluated largely through other partners (for example, CRP3.7 for meat, milk, and fish), but CRP4 will have responsibility for value-chain performance relative to nutritional quality and food safety. Understanding how to improve nutritional quality and food safety requires understanding the behavior of consumers and other value-chain actors, thinking through specific improvements at different steps of the value chain (breeding for more nutritious foods, postharvest management, fortification of foods during processing and marketing by the private sector), and assessing the capacity and performance of key actors responsible for these actions. In collaboration with CRP2 and the commodity CRPs, CRP4 will evaluate nutrition and health within an overall value-chain context with specific tools such as outcome mapping to assess how key actors are changing. Also critical from the CRP4 perspective will be analysis of gender outcomes and impacts through specific hypotheses developed. Value-chain impacts on poverty will also be a key M&E issue. In

the year 1 M&E planning meetings, specific attention will be paid to the participation of and benefits for poor people, because there is a danger that women and poor people can be disadvantaged by value-chain development and concentration of power and decisionmaking.

For the program impact pathway, M&E principles and practices are relatively well developed for program performance. CRP4 M&E efforts will rely on building on good M&E practices from development implementers. In addition to this base level of M&E for programs, CRP4 will look at pathways for change that involve the role of and benefits to women and children and indicators for nutrition and health within the broader livelihood analysis usually employed. In year 1, CRP4 will specifically engage with CRP1.3 and a subset of development implementers in ensuring that nutrition and health theories of change and outcomes and impacts are explicitly included to improve current good M&E practices. Given the importance of local capacity in programs, specific indicators of changes in institutional capacity linked to outcomes and impacts will be included.

M&E for the policy impact pathway will be complex. CRP4 will rely largely on methods and tools from CRP2. These joint activities are critical given that specific outcomes and impacts for nutrition and health must be considered in the much broader context of agriculture, health, and social development policy and investment. Specific M&E outcomes and impacts to be considered will include assessment of institutional performance and arrangements for cross-sectoral decisionmaking. They will also include the types of information, knowledge, and evidence needed for decisionmaking in a cross-sectoral context and for communicating the benefits of nutrition and health improvements, particularly for the poor within overall development and economic growth strategies. Some additional considerations that will be brought into the detailed M&E planning and in establishing an overall CRP M&E system are found below.

14.1 Performance Monitoring

A monitoring and evaluation plan will be developed under each component and subcomponent. The plans will provide a framework to track both the process of implementation and the attainment of interim targets. They will include milestones for activities, outputs (such as publications, datasets, training materials, and training activities), communication, dissemination, and networking (to ensure appropriate uptake of project outcomes). Appendix 3 presents CRP4's preliminary performance indicators matrix, by program component. The more detailed plan that will be designed during the first year of implementation will include specific milestones and specify corrective actions to be taken if milestones are missed. As well as tracking in real time CRP4 functioning to allow for flexible and adaptive management, these milestones will provide the basis for retrospective evaluations of the use of project outputs and their influence in subsequent years. Using the process-monitoring milestones, regular process evaluations of program content and scope will be established for CRP4, including tracking quality of implementation and partnership performance; these evaluations will show to what extent the program has been implemented as planned and will identify strategic lessons for future management. Given the importance of partnerships for the success of CRP4, social network analysis tools will be used to describe and evaluate the science and development networks that emerge from the work of CRP4. The new CRP4 website, due to come online in time for the start of CRP operations, will provide a repository for all CRP4 outputs and allow researchers and CRP4 partners to track output milestones.

14.2 Ensuring Uptake: Translating Outputs into Outcomes

High-quality research outputs are not enough by themselves to achieve impact. They must be taken up and used. The pathways to impact, however, are often long and complex; it is much easier to assess the contribution of researchers to *outcomes*—how the intended clients of research have improved their performance using research outputs. The outcome strategy of CRP4 will be guided by three key objectives that define the relevance of research findings to decisionmaking: *salience*—findings are relevant to the problems at hand; *credibility*—findings are authoritative and believable; and *legitimacy*—findings are perceived as fair (Cash et al. 2002). Cash et al. also highlight the importance of boundary-

spanning organizations that can link the providers and users of the information. While outcomes will be beyond the control of the researchers, good program design can increase the likelihood that outputs are translated into outcomes:

- Increase salience by working with prospective research clients (such as governments and NGOs) to identify the most relevant questions and problems to address.
- Increase legitimacy by working with appropriate partners.
- Increase awareness and credibility of the findings, and the likelihood the results will be applied, by publicizing the project activities and research results in a variety of fora and trusted media.

Researchers should also ensure that findings are published in a form and an outlet that is accessible to the intended users. For example, if other researchers are the intended users, publications in a prestigious scientific journal may be effective, but if government policymakers are the intended users, policy briefs translated into appropriate languages are more important. CRP4 research projects will therefore pay particular attention to publishing research results in outlets that will reach their intended audience, either directly or through boundary-spanning organizations. While publication in high-impact peer-reviewed journals will be prioritized, weight will also be given to other forms of publication and outreach offering impact.

Each component of CRP4 will participate in monitoring uptake in its own area.

- Within each component, and in consultation with the CRP4 scientific advisory committee, key performance indicators will be identified for gauging the quality and quantity of outputs and outcomes.
- Components will be required to report not only on what was produced, but on measures of uptake by different stakeholders; process approaches and indicators, such as outcome mapping (Smutylo 2005) and participatory impact pathway assessment (Douthwaite et al. 2008), can document whether these strategies are increasing the likelihood of project outcomes.
- CRP4 will also use stakeholder feedback and surveys of knowledge, attitudes, and practices (KAP) to provide indicators of outcomes and influence. Novel techniques such as NetMap will be used to map the influence and uptake of outputs as well as stakeholder satisfaction with deliverables.
- Uptake logs and citation analysis in policy-relevant documents will document the influence of research findings; episode studies will assist in understanding the forces, events, and decisions relevant to policy changes (see Subcomponent 4.2: Harmonized Policies).
- Qualitative analysis can be backed up by quantitative analysis of product usage statistics, such as downloads and citations of publications, downloads and uses of databases and films (including uses in student theses or training courses), and follow-up evaluations of training courses or materials.
- For a specific set of priority outcomes, a formal assessment will be conducted using a standardized tool, such as the outcome reporting tool developed for the previous CGIAR performance indicators.

14.3 Impact Assessment

The aims of impact assessment are (1) to *enhance the success* of CRP4 in achieving its stated goals, and (2) to *generate learning*, by measuring the potential and actual effects of the project on the intended beneficiaries, using tangible intermediate and final impact indicators. Both ex-ante and ex-post impact assessment methods will be used, as shown in Table 24. Moving from outcomes to impacts requires

triangulation among quantitative and qualitative methods to identify how research has influenced performance along the three principle impact pathways—value chains, programs, and policies—and how those changes have, in turn, affected the nutrition and health of target populations.

The detailed M&E plan developed in Year 1 will include a plan for impact assessments to be conducted over a five-year period. In the initial three years of the program, important efforts will be focused on collecting information and evidence to guide priority setting. Some ex-ante impact assessments will be conducted in Year 3 based on this information, as part of a priority setting refresh. Two to three ex-post impact evaluations will be undertaken each year beginning in Year 2. Initial ex-post evaluations will be built on ongoing work by the CRP4 participating centers and will focus on understanding the size, nature, and determinants of impacts. In Year 5 and beyond, ex-post impact assessments of the program are envisioned, later to include policy and value-chain work initiated within the CRP.

The ex-post assessment of impacts in CRP4 will be designed according to the impact pathway. For value-chain impact pathways, value-chain analysis frameworks will be used, including a mix of quantitative measures (such as income, quantity and nutrient content of products, level of nutrition and food safety awareness and knowledge among key value-chain stakeholders, accessibility of nutrient-rich and safe foods for the poor) and qualitative measures (participatory impact indicators, as well as value-chain stakeholder coordination). For program impact pathways, ex-post studies will be planned with implementing partners in conjunction with the program case studies selected in Subcomponent 4.1 (programs). Assessment relating to policy impact pathways will rely on the methods and tools designed and used under Subcomponent 4.2 (Harmonized Policies). Policy changes will be documented as well as policy *processes* and changes affecting key stakeholders. Three types of methods will be used.

1. *Impact narratives* can document cases where research has led to policy changes and impact on the ground. These will be reported by project teams and independently verified through interviews with key stakeholders to document the mechanisms through which research contributed to changes.
2. *Ex-post impact assessments* can document the impact of a particular change in policy, institutions, or markets on the ultimate objectives of improved nutrition and health. These studies play an important role in documenting the value of policy-oriented research, as well as in examining *how the implementation of* a policy affects the ultimate impact.
3. *External reviews of the body* of completed research work will assess its effect, as well as provide lessons for other research on how to achieve impact. Within an agreed time frame, regular external reviews of the entire CRP4 will be commissioned by the Independent Evaluation Arrangement *of the CGIAR on behalf of* the Fund Council. These independent *evaluations will* provide an external perspective on research relevance and performance, and will serve as an important input into the periodic revision of the CRP.

Table 24. M&E Plan: Elements, timing, and scope

	2012	2013	2014	2015
Priority assessment Including some use of ex-ante impact assessment (IA) from data and evidence in first 3 years	CRP			CRP
Performance monitoring – milestones (management and program) at CRP level; outputs from components/subcomponents and partner activities (self reporting)	CRP/C/SC	CRP/C/SC	CRP/C/SC	CRP/C/SC
Annual Program Review (Science Advisory Panel) Review would cover science focus and quality across all components and subcomponents as well as management issues	CRP	CRP	CRP	CRP
Outcome assessment – evaluated using a standard outcome tool (for example, outcome reporting in previous CGIAR performance indicator systems)	C/SC	C/SC	C/SC	C/SC
Partnership assessment – combining indicators for partnership arrangements (based on outcome mapping . (Smutylo 2005) and participatory impact pathway analysis (Douthwaite et al. 2008) as well as partnership surveys.		CRP/C/SC		CRP/C/SC
Ex-post Impact assessment – a five-year plan for impact assessments will be developed in Year 1, with 2-3 ex-post impact assessments conducted annually from Year 2.		C/SC	C/SC	C/SC
External reviews (program and management)				CRP

Notes: CRP – overall CGIAR Research Program level. C/SC – focus is at the component level, including within subcomponents where relevant.

15. BUDGET

As described above, the impact potential for improving human nutrition and health through agricultural interventions is enormous. CRP4 proposes a major scale-up of CGIAR efforts to this end. As a relatively new area of CGIAR emphasis, it should be expected to grow relatively quickly once the key partnerships and research programs are developed. Currently the major driver for funding allocations across the program is the availability of restricted grants, which constitute 71 percent of the budget. As the program evolves, Components 1, 3, and 4 will grow faster than Component 2 and will gradually contribute a greater proportion of funding. The rate at which that happens will depend on the ability of the Fund Council to provide the Consortium with resources for the overall CRP4 program and for targeted resource mobilization for research areas identified as strategy gaps.

The indicative scale of CRP4 is reflected in the budget below, which projects \$59M in activity for 2011, rising to \$69M in 2013. This projection captures costs associated with collaboration among 10 CG centers, the HarvestPlus Challenge Program, and a host of global partners. Personnel and partnership costs represent 24 percent and 44 percent, respectively, of the total 2011 budget. A Budget is a best estimate of the financial resources required to meet program objectives. In the original proposal, 2011 was considered to be Year 1. We acknowledge that with the passing of almost a year since the proposal was submitted, it is more likely that the first year of the CRP will begin in January 2012 and therefore the Year 1 Budget is likely to show an increase of 3% or 4%. The Financial Officers of the Lead Center and other participating Centers are currently working together and with the Consortium to adjust budgets on a line item basis for 2012. This approach was agreed upon at a meeting of all the CGIAR Center Finance representatives held in Washington on September 16, 2011.

The overall program represents 6–7 percent of current CGIAR expenditures. Of this, approximately 70 percent is for nutrition and 30 percent is for health.

One of the pillars of the CGIAR reform process is to provide greater assurance of longer-term and sustainable funding. Donors contributing to the new Trust Fund are encouraged to contribute to Windows 1 and 2 to maximize coordination and harmonization. While donors are strongly encouraged to channel their resources through the fund, bilateral funding continues. In cases where such funding is provided, it should be consistent with the agreed Strategy and Results Framework. The accompanying financial projections assume that current bilateral funding will gradually be replaced by grants through the Fund. Thus in 2011, \$17M, or 29 percent of total funding, is assumed to be from the Fund. In 2013 CGIAR Fund income is projected at \$44M, or 63 percent of total funding. Component 2, Biofortification, includes the HarvestPlus Challenge Program.

Budget figures are stated at conservative levels and do not include upside or overly optimistic estimates. First-year budgets are based largely on financial data from each center's Medium-Term Plan (MTP) on a full cost recovery basis and are comparable to 110 percent of actual expenditures for 2009. This is, in fact, a modest base, given the increased interest in health and nutrition in the past two years from stakeholders and donors as the scale of the issues is recognized. Years following the base year show a modest cost increase of 8 percent in 2012 and 9 percent in 2013. Given the demand from stakeholders and donors for these research topics, the budget illustrates a clear and achievable transition to a CRP financing structure that supports a rapid deployment of CRP4 during 2011.

The accompanying tables provide a breakdown of costs on an overall program (Table 1) basis and also by the five main components (Tables 2–4):

1. Nutrition-Sensitive Value Chains
2. Biofortification
3. Control of Agriculture-Associated Diseases
4. Programs and Policy
5. CRP Management

15.1 Budgets for 2011

For all CRPs, 2011 is a year of transition, and at the time of submission we are in the second quarter. Therefore, figures for 2011 include allocations made by participating Centers in their respective Board approved Budgets for CRP4.

The proportions of funding by individual centers from CGIAR Consortium Funds and bilateral sources varies significantly as shown in Table 5. In the case of the HarvestPlus Challenge Program almost all of the funding is from bilateral sources and there is an allocation of \$5.6M from the Consortium Windows, which includes previous funding from the World Bank to the Challenge Program.

Only four centers have budgets in excess of \$3M in 2011 for CRP4 (Bioversity \$3.5M; CIAT \$4.0M; IFPRI \$12.7M; and ILRI \$9.7M).

15.1.1 Budget Analysis

As reflected in the table below, CRP4 partnership cost as a percentage of total operating costs is 47 percent compared to 16 percent for the CGIAR as a whole. The Biofortification component comprises 51 percent of the total CRP4 budget over the three-year period and 78 percent of total partnership cost. HarvestPlus represents 69 percent of the Biofortification component budget, and its culture of extensive collaboration is woven into CRP4's research activities, as evidenced by the sizable budget for partnerships. CRP4's research agenda is highly participatory—engaging a wide mix of partners, harnessing the expertise of CG centers, universities, local and international NGOs, and private companies. The cost ratio of partner activity is significantly higher than personnel costs. This is indicative of the commitment to an integrated, inclusive research solution that is aligned with the SRF objective of strategic partnerships.

Other than office space (captured under operating expenses) to accommodate research staff, policy research requires a relatively modest level of investment in property and equipment. Research outputs are facilitated by information and knowledge management systems, thus computers and information technology and services are the primary components of capital investments supporting policy research. Table B1 illustrates the low capital investments for IFPRI and CRP4 compared to the CGIAR, which includes centers that conduct research requiring significant investment in infrastructure, laboratories, and vehicles.

Table B1: Budget categories for comparison

Description	CRP4	Biofortification	CGIAR
		(percent)	
Personnel costs	29	16	42
Partnership/Collaborators	47	70	16
Operating expenses (including training & workshops)	19	11	30
Travel	4	3	7
Capital and other equipment for project	1	0	4
Total	100	100	100

15.1.2 Indirect Costs Institutional Overhead

The overall Institutional Overhead Budget of \$22.9M over the three-year Budget is 13.6 percent of total Direct Costs. This is an aggregation of the costs for each of the participating Centers calculated in accordance with approved CGIAR Financial Guidelines. The rate includes 4 percent for pass-through funds, the rate that has been used by CGIAR system-wide initiatives and Challenge Programs.

15.2 Sources of Funding

For year one, assumed to be 2011, a total of \$58.8M funding is budgeted of which \$42M is from bilateral sources; \$25M is from bilateral sources for the Biofortification component, mainly from CIDA and the Gates Foundation. In Years 2 and 3, assumed to be 2012 and 2013, there is an assumption that donors supporting the Biofortification work will begin to shift their funding to the Consortium Windows 2 and 3.

The “rate of shift” is not possible to predict with any degree of accuracy. IFPRI, as designated Lead Center for the CRP, has assembled the costs necessary to do the work, but cannot be expected to predict with great accuracy the delineation of funding sources between Consortium Windows and bilateral funding sources.

15.3 The Budget Cycle

Once the overall CRP has been approved, the Budget proposals for 2012 have to be further refined to ensure that the full cost recovery principles embodied in CGIAR Financial Guideline Number 5 are effectively made operational. As Lead Center, IFPRI has operated project-based full-cost absorption principles for many years. The partner Centers are committed to following these principles and identifying the appropriate cost drivers.

Detailed Budgets for 2012 will be prepared and evaluated by the Planning and Management Committee in October 2011 to ensure that the CRP and the individual participating Centers achieve Budget harmony for 2012.

Tables B3–B5 show the total costs by component by years 2011 to 2013, which in aggregate are as shown below. The Biofortification line includes management costs of approximately \$1.2M per year, which would raise the total CRP Management line to \$7.5M in total for three years or 4% of total costs.

	\$M	%
1 Nutrition Sensitive Value Chains	20	10%
2 Biofortification	97	51%
3 Control of Agriculture-Associated Diseases	40	21%
4 Programs and Policy	30	16%
Total Direct Research	187	98%
CRP Management	4	2%
	191	100%

Table B2: Breakdown of costs on an overall program basis

Project Cost 000's

Cost group	Description	2011 Amount (US\$)	2012 Amount (US\$)	2013 Amount (US\$)	Project Cost Amount (US\$)
	Personnel Cost	14,218	16,557	18,063	
	Travel	1,944	2,146	2,365	
	Operating expenses	8,748	9,620	10,571	
	Training / Workshop	1,074	1,230	1,299	
	Partners / Collaborator / Consultancy Contracts	25,527	25,703	28,047	
	Capital and other equipment for project	347	336	356	
	Contingency	112	123	136	
	Total	51,971	55,715	60,836	60,836
	Institutional Overhead (as a % of Direct project cost)	6,829	7,694	8,355	22,879
	Total Project Cost	58,800	63,409	69,191	191,400

Project Funding

Funding	Description	2011 Amount (US\$)	2012 Amount (US\$)	2013 Amount (US\$)	Project Cost Funding (US\$)
	CGIAR Fund	17,176	32,849	43,606	
	Current Restricted Donor Projects	41,201	30,225	25,328	
	Other Income	423	336	257	
	Total Funding	58,800	63,409	69,191	191,400

15.4 Costs by Component and Year

The following Tables (B3–B5) provide a breakdown of costs by component for each year.

Table B3: Breakdown of costs for five main components for 2011

Project Cost 000's		2011					Total
Cost group	Description	Component 1 Nutrition-sensitive value chains	Component 2 Biofortification	Component 3 Control of agriculture-associated diseases	Component 4 Programs and Policy	CRP Management	
	Personnel Cost	1,833	4,183	4,004	3,450	748	51,971
	Travel	317	646	473	417	91	
	Operating expenses	965	2,365	3,420	1,853	144	
	Training / Workshop	210	411	124	254	75	
	Partners / Collaborator / Consultancy Contracts	1,094	20,520	1,881	1,933	100	
	Capital and other equipment for project	110	114	60	62	-	
	Contingency	30	33	34	15	-	
	Total	4,559	28,271	9,997	7,985	1,158	
	Institutional Overhead (as a % of Direct project cost)	858	2,447	1,937	1,415	171	6,829
	Total Project Cost	5,417	30,718	11,935	9,400	1,330	58,800

Project Funding

Funding	Component 1	Component 2	Component 3	Component 4	CRP Management	Total
CGIAR Fund	2,427	5,651	4,181	3,587	1,330	58,800
Current Restricted Donor Projects	2,860	24,951	7,656	5,735	-	
Other Income	131	117	98	77	-	
Total Funding	5,417	30,718	11,935	9,400	1,330	

Table B4: Breakdown of costs for five main components for 2012

Project Cost 000's		2012					Total
Cost group	Description	Component 1 Nutrition-sensitive value chains	Component 2 Biofortification	Component 3 Control of agriculture-associated diseases	Component 4 Programs and Policy	CRP Management	
	Personnel Cost	2,433	4,753	4,869	3,723	778	55,715
	Travel	375	741	506	433	91	
	Operating expenses	1,230	2,795	3,535	1,916	144	
	Training / Workshop	285	441	165	264	75	
	Partners / Collaborator / Consultancy Contracts	1,460	19,820	2,296	2,027	100	
	Capital and other equipment for project	110	107	60	59	-	
	Contingency	34	36	37	17	-	
	Total	5,927	28,693	11,468	8,439	1,188	
	Institutional Overhead (as a % of Direct project cost)	1,095	2,723	2,197	1,504	176	7,694
	Total Project Cost	7,021	31,415	13,665	9,943	1,364	63,409

Project Funding

Funding	Component 1	Component 2	Component 3	Component 4	CRP Management	Total
CGIAR Fund	3,021	17,220	5,619	5,624	1,364	63,409
Current Restricted Donor Projects	3,904	14,086	7,975	4,259	-	
Other Income	96	108	72	60	-	
Total Funding	7,021	31,415	13,665	9,943	1,364	

Table B5: Breakdown of costs for five main components for 2013

Project Cost	000's	2013					Total
		Component 1	Component 2	Component 3	Component 4	CRP Management	
Cost group	Description	Nutrition-sensitive value chains	Biofortification	Control of agriculture-associated diseases	Programs and Policy	CRP Management	
	Personnel Cost	2,695	5,478	5,137	3,943	809	
	Travel	424	858	538	455	91	
	Operating expenses	1,337	3,326	3,723	2,015	169	
	Training / Workshop	297	476	177	272	75	
	Partners / Collaborator / Consultancy Contracts	1,590	21,831	2,408	2,119	100	
	Capital and other equipment for project	116	116	63	61	-	
	Contingency	37	40	41	19	-	
	Total	6,496	32,125	12,086	8,884	1,244	60,836
	Institutional Overhead (as a % of Direct project cost)	1,202	3,059	2,318	1,592	185	8,354
	Total Project Cost	7,698	35,184	14,404	10,476	1,429	69,190

Project Funding

Funding						
CGIAR Fund	4,193	23,932	7,061	6,991	1,429	
Current Restricted Donor Projects	3,441	11,152	7,295	3,440	-	
Other Income	65	101	47	44	-	
Total Funding	7,698	35,184	14,404	10,475	1,429	69,190

Table B6 indicates the anticipated breakdown of funding for 2011 between the CGIAR Fund and bilateral sources.

Table B6: Allocation of CRP4 Budget among participating Centers and funding sources (\$000)

Center	CGIAR Fund	Other	Total	CGIAR Fund %
BIOVERSITY	2,282	25	2,307	99%
CIAT	3,994	0	3,994	100%
CIP	433	559	992	44%
HARVESTPLUS	20,493	0	20,493	100%
ICARDA	906	0	906	100%
ICRAF	546	182	728	75%
ICRISAT	363	726	1,089	33%
IFPRI	945	3,758	4,703	20%
IITA	528	1,084	1,612	33%
ILRI	7,722	1,930	9,652	80%
WORLD FISH	320	80	400	80%

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Appendix

APPENDIX 1. GLOSSARY OF TERMS AT THE AGRICULTURE, NUTRITION, AND HEALTH INTERFACE

Acute malnutrition (wasting): Low weight-for-height, defined as more than 2 standard deviations below the mean of the sex-specific reference data. *Severe* acute malnutrition is defined as weight-for-height more than 3 standard deviations below the mean.

Agricultural intensification: The cultivation of land using higher levels of inputs, such as labor, chemicals, or technologies, to obtain the maximum output.

Agriculture-associated disease: Any disease related to agricultural production or agrifood value chains can be considered agriculture associated. Such diseases may be associated with agricultural inputs, primary agricultural production, postharvest processing and handling along marketing chains, or even final preparation by the consumer. The category also includes diseases influenced by ecosystem change (for example, large dams) for food production and those associated with incursion of agroecosystems into self-regulating systems that do not involve much human interference or manipulation (for example, harvesting wildlife) called natural ecosystems.

Agroecosystem: (agricultural ecosystem): An agroecosystem is an ecological system modified by people to produce plants, animals, fiber, or other agricultural products.

Anemia: The condition of having a concentration of hemoglobin in the blood below a specified cutoff point because of a lack of iron or other factors. WHO defines anemia as a hemoglobin concentration <110g/l at sea level for children under five years of age and pregnant women.

Animal source foods: Any food item that comes from an animal source such as meat, fish, milk and dairy products, and eggs. This category includes foods derived from fish, other aquatic animals, and wildlife.

Bioavailability: The degree to which the amount of an ingested nutrient is absorbed and available to the body.

Biofortification: A method of increasing the nutritional value of food crops as they are grown, either through conventional selective breeding methods or through genetic engineering.

Biological control: The control of a pest by the introduction of a natural enemy or predator.

Biomarker: A naturally occurring molecule, gene, or characteristic by which a particular pathological or physiological process or disease can be identified.

Body mass index (BMI): A measure of a person's weight in relation to their height, calculated by the weight (in kilos) divided by the square of height (in meters). The desirable range is BMI 20–25; <18.5 is considered underweight, >25 is overweight, and >30 is obese.

Burden of disease: This is the impact of a given health problem. The impact on human health may be measured in terms of morbidity, mortality, or disability-adjusted life years (DALYs), which capture both years lived with illness (morbidity) and death (mortality). Human and animal diseases also have direct and indirect economic costs and impacts as well as impacts with nonmarket values (such as biodiversity loss).

Calories: Short for kilocalories, a unit of food energy. One calorie represents the amount of energy required to raise the temperature of a liter of water by one degree centigrade at sea level. The common usage of the word calorie is understood to refer to a kilocalorie and therefore actually represents 1,000 true calories of energy.

Chronic malnutrition (stunting): Low height-for-age, defined as more than 2 standard deviations below the mean of the sex-specific reference data. Stunting is the cumulative effect of long-term deficits in food intake, poor caring practices, and illness and is largely irreversible after two years of age.

Complementary feeding practices: A set of 10 recommended practices that mothers and/or caregivers should implement from 6 to 24 months, the weaning period, at which point breastmilk and/or breastmilk substitutes alone are no longer sufficient to meet the nutritional needs of growing infants.

Complementary food: Any food, whether manufactured or locally prepared, suitable as a complement to breastmilk or to infant formula, when either becomes insufficient to satisfy the nutritional requirements of the infant around the time of weaning.

Cost-benefit analysis: An economic assessment that sums all the costs and all the benefits associated with an option using a common metric (usually money).

Cost-effectiveness analysis: This is the most commonly used method for performing economic analyses in healthcare, because of the difficulty of assigning monetary values to health benefits. It sums all the costs in a common monetary value and all the benefits in physical units.

Competitive exclusion technology: Forcing an undesirable species from a habitat by the introduction of a more efficient species that outcompetes it and does not have the undesirable effects. It is used in aflatoxin control and for control of gastrointestinal pathogens in livestock.

Dietary diversity: The number of unique foods or food groups consumed over a given period of time. Dietary diversity is one of several dimensions of *dietary quality*. It can be measured at the individual or the household level.

Dietary quality: There is no universal definition of dietary quality. Traditionally, however, dietary quality has been conceptualized as a measure of nutrient adequacy. Nutrient adequacy, in turn, refers to a diet that meets requirements for energy and all essential nutrients. More recently, the concern regarding *overnutrition* and *excess intake* of certain nutrients and foods has led to a global shift in the definition of dietary quality to include both concepts of nutrient deficiency and overnutrition. This shift has led to the incorporation of concepts of diversity, proportionality (for example, balanced diets), and moderation (for example, avoiding excess intake of certain nutrients/foods) in measures of dietary quality.

Disease control: A reduction in the incidence, prevalence, morbidity, or mortality of an infectious disease to a locally acceptable level. This is distinguished from disease elimination (a reduction to zero of the incidence of disease or infection in a defined geographical area) and disease eradication (permanent reduction to zero of the worldwide incidence of infection).

Ecohealth: Systemic, participatory approaches to understanding and promoting health and well-being in the context of social and ecological interactions. It is increasingly aligned with One Health.

Emerging disease: A disease that has been newly identified, has newly increased in incidence, geography, or host range, or is newly evolved. Emerging infectious diseases are emerging diseases caused by infectious agents.

Endemic disease: The constant presence of a disease or infectious agent within a given geographic area or population; it may also refer to the usual prevalence of a given disease within such area or population.

Energy: Expressed in joules (J), but often used interchangeably with *calories*.

Epidemic: The occurrence of more cases of disease than expected in a given area or among a specific group of people or animals over a particular period of time.

Epidemiology: The study of health in populations (human, animal, or plant) and the application of this study to control health problems (see also definition of *Health*).

Evidence-based: A term originally applied to clinical medical practice and extended to public health, policy, management, and other fields. It implies the systematic search, appraisal, and use of the most current and valid research findings for decisionmaking.

Exclusive breastfeeding (EBF): The feeding of an infant only with breastmilk from his or her mother or a wet nurse, or expressed breast milk, and *no other* liquids or solids except vitamins, mineral supplements, or medicines in drop or syrup form.

Foodborne illness/disease: Disease, usually either infectious or toxic in nature, caused by agents that enter the body through the ingestion of food.

Food security: Food security exists when all people, at all times, have *physical and economic access to* sufficient, safe, and nutritional food *to meet their dietary needs and food preferences* for an active and healthy life. Food security is often disaggregated into the three pillars of food availability, food access, and food utilization/consumption, along with stability of these over time. Food *insecurity* exists when these conditions are not met.

Hazard: In food safety terminology, a hazard is anything that can cause illness or injury. Food hazards are categorized as microbiological (for example, bacteria, parasites), chemical (for example, antibiotic residues, toxins), or physical (for example, glass shards).

Health: Commonly used to express freedom from illness, injury, or pain, health is defined by the World Health Organization (WHO) as “a state of complete physical, mental, and social well-being and not merely the absence of disease or infirmity.”

Hunger: A prolonged involuntary lack of food, normally due to lack of economic resources with which to access food. There are different manifestations and consequences of hunger, which include undernourishment, undernutrition, wasting, and in extreme cases, death.

Incidence: The number of people or animals in a given population who newly develop a disease or health-related condition within a given period of time. (See also *Prevalence*).

Informal markets: Informal markets in agriculture may refer to (1) markets where many actors are not licensed and do not pay tax (for example, street foods, backyard poultry); (2) markets where traditional processing, products, and retail practices predominate (for example, wet markets, milk hawkers); or (3) markets that escape effective health and safety regulation (most domestic food markets in developing countries).

Integrated disease control: This term may be applied to human, animal, or plant disease. It usually implies the combination of multiple strategies to control disease. Sometimes it refers to merging of different sectors or disciplines to control disease.

Infant and young child feeding (IYCF) practices: A set of specific recommendations regarding optimal infant and young child feeding practices to ensure the best infant and young child growth and nutritional status, covering best practices in breastfeeding and complementary feeding.

Iron deficiency: The most common nutritional deficiency in the world, insufficient iron in the body, normally due to inadequate consumption of bioavailable iron. Iron deficiency, which can lead to anemia, can also result from high blood losses or from an increase in iron requirements due to infection, pregnancy, rapid growth, dietary habits, or any combination of these.

Iron deficiency anemia (IDA): The condition in which the body does not have enough healthy red blood cells because of a deficiency of iron. Women and young children are the most vulnerable to IDA, which has a range of harmful health implications.

Malnutrition: A broad term for poor nutritional status caused by deficiency or excess of calories or nutrients (undernutrition or overnutrition).

Micronutrient(s): Vitamins and minerals needed by the body in small amounts to perform myriad physiological functions. Although the amounts needed are small, the consequences of their absence are severe. Iodine, vitamin A, iron, and zinc are the most important in public health terms; deficiency of these micronutrients represents a major threat to the health and development of populations worldwide, particularly children and pregnant women in low-income countries.

Micronutrient deficiency(ies): A consequence of the lack of access and/or consumption of micronutrient-rich foods such as fruits, vegetables, animal products, and fortified foods. Micronutrient deficiencies increase the risk of morbidity and mortality. More than 2 billion people in the world are estimated to be deficient in the key vitamins and minerals iodine, vitamin A, iron, and zinc.

Mycotoxins: Naturally occurring chemicals (toxins) produced by molds that contaminate staple foods, feeds, and animal source foods in most of the humid tropics. They cause acute poisoning as well as contributing to chronic diseases in people and animals (including fish). There are many types of mycotoxins: aflatoxins, ochratoxins, and fusarium toxins are considered of worldwide importance.

Neglected disease: Diseases that are prevalent among impoverished and marginalized populations in the developing world and for which health research and interventions are inadequate to needs. There is no standard listing, but most include sleeping sickness and cysticercosis, along with other zoonoses.

Nutrient density: A ratio derived by dividing a food's contribution to nutrient needs by its contribution to energy (calorie) needs. When the contribution to nutrient needs exceeds the energy contribution, the food is considered to have a favorable nutrient density.

Nutrition security: Sustained access to the basic elements of good nutrition: a balanced and adequate diet, clean water, adequate health care and environment, and the knowledge needed to care for and ensure a healthy and active life for all household members.

Nutritious: Nutritious (or "nutrient-rich") foods are defined in this proposal as foods high in essential nutrients, including animal source foods (fish, meat, eggs, and dairy products), fruits and vegetables, biofortified staples, fortified foods, and traditional local crops sourced from biodiverse systems (including

neglected and underutilized species and wild foods). Specialized processed and/or fortified foods for populations with special needs (acutely malnourished children, people living with HIV/AIDS, infants) are also included in nutrient-rich (or nutritious) foods.

Obesity: A condition characterized by excess body fat, typically defined as a body mass index (BMI, equal to weight (kg)/height (m)²) of 30 or more.

One Health: This is the collaborative effort of multiple disciplines to attain optimal health for people, animals, and the environment.

Overnutrition: A state in which nutritional intake of either nutrients or calories exceeds nutritional need. Overnutrition can also relate to the coexistence of excessive intake of certain nutrients (for example, energy, certain types of fat, and carbohydrates) and deficits in some micronutrients (such as iron). Overnutrition manifests itself as overweight (BMI>25) and obesity (BMI>30) and as vitamin or mineral overloads. In children, overnutrition is defined as weight for height >85th percentile (85th to 95th percentile is overweight, and over 95th percentile is obese).

Pandemic: A disease occurring over a wide geographic area and affecting an exceptionally high proportion of the population.

Participatory methods: Research and/or development approaches that emphasize local knowledge and action. The application of participatory methods to epidemiology has been termed participatory epidemiology; similar neologisms are participatory disease surveillance and participatory risk assessment.

Pathogen detection platform: A system or technology that allows the detection of disease-causing agents.

Prevalence: The number (or proportion) of people or animals in a given population who have a disease or health-related condition at a given point of time. (See also *Incidence*).

Quantitative comparative risk assessment: The process of comparing and ranking various types of risks through providing a numerical estimate of each risk in order to identify priorities and influence resource allocations.

Quantitative microbial risk assessment: Risk assessment that provides numerical expressions of risk associated with a microbial hazard and indication of the attendant uncertainties.

Risk: This is defined differently in different disciplines. In epidemiology, risk is the probability of developing an outcome in a specified period of time among subjects receiving a treatment or exposed to a risk factor. Financial risk is the chance that an investment's return will be higher or lower than expected. In development literature, risk is potential for loss or injury. In food safety risk analysis, risk is the probability that a substance or situation will produce harm to human health under specified conditions and is a combination of the likelihood of an event occurring and the magnitude of harm produced if it does.

Risk analysis: This is a structured approach for dealing with risk with three essential elements: risk assessment (systematic evaluation of hazards and their possible effects); risk management (evaluating and selecting responses to risk); and risk communication (exchange of information, opinions, and concerns about risk among stakeholders).

Risk assessment: see *Risk analysis*.

Risk factor: A characteristic or aspect that is statistically associated with an increased occurrence of disease or other health-related event or condition. The association may not be causal.

Randomized controlled trial (RCT): A study in which subjects (people or animals) are allocated at random (by chance alone) to receive one of several interventions. Well-designed and implemented RCTs are considered the most effective way of evaluating an intervention. Developed in medical research, this approach is increasingly applied to other fields.

Severe acute malnutrition (SAM): See *Acute malnutrition (wasting)*.

Stunting (chronic malnutrition): See *Chronic malnutrition (stunting)*.

Surveillance: Systematic and ongoing collection of information on disease or other health-related events that can then be analyzed to guide disease prevention and control.

Undernourished (or undernourishment): A person whose estimated or usual food consumption, expressed in terms of dietary energy (kcal), is below the energy requirement norm. The prevalence of undernourishment in a specified population is sometimes used as a measure of food deprivation or hunger.

Undernutrition: Poor nutritional status due to deficiencies in calories and/or nutrients. Manifestations of undernutrition include abnormally low anthropometric measures such as stunting (short stature), wasting (low weight-for-height), or underweight (low weight), clinical manifestations of undernutrition (such as kwashiorkor or marasmus), or low levels of essential vitamins or minerals measured either through clinical signs or biomarkers. Low or insufficient intakes of nutrients or micronutrient inadequacy of the diet are also sometimes used to predict or define undernutrition.

Underweight: Low weight-for-age, defined as more than 2 standard deviations below the mean of the sex-specific reference data.

Value chain: A series of activities and actors within an industry or sector, from producing a primary product through processing to taking it to market and consumption, normally accompanied by an increase in value as the product moves along the value chain. The value-chain framework is a tool for strategic planning and for development.

Vitamin A deficiency (VAD): A condition resulting from low intake (or absorption) of vitamin A that results in depletion of stores and increased susceptibility to infections. It is a leading cause of childhood blindness and of nightblindness in adults and children.

Wasting (acute malnutrition): See *Acute malnutrition (wasting)*.

Wet market: A term mainly used in Asia referring to a markets that sells readily perishable foods such as meat, fish, and fruits and vegetables. It often sells live animals including poultry, fish, and wildlife.

Zoonosis: Any infectious disease that can be naturally transmitted from animals to humans, including diseases transmitted via insect vectors. The term “reverse zoonosis” refers to transmission from humans to animals.

Z-score: The deviation of an individual’s data point from the median value of a reference population, divided by the standard deviation of the reference.

APPENDIX 2. COUNTRIES WITH CHILD STUNTING PREVALENCE ≥ 20% AND NATIONAL COMMITMENTS TO GLOBAL NUTRITION INITIATIVES

Country ^a	Stunting (%) ^a	Stunting (millions) ^a	SUN ^b	REACH ^c	FtF ^d
Africa					
Angola	50.8	1,511			
Burkina Faso	43.1	1,060	X		
Burundi	63.1	837			
Cameroon	35.4	868			
Côte d'Ivoire	31.1	863			
Democratic Rep of the Congo	44.4	4,977			
Ethiopia	57.4	7,498	X		X
Ghana	35.6	1,104	X	X	X
Kenya	35.8	2,054			X
Madagascar	55.5	1,724			
Malawi	54.6	1,278	X		X
Mali	42.7	1,111	X	X	X
Mozambique	47.0	1,547	X	X	X
Niger	54.2	1,545	X		
Nigeria	43.0	9,571			
South Africa	30.9	1,616			
Sudan	47.6	2,483			
Tanzania	48.3	2,920	X	X	X
Uganda	44.8	2,675	X	X	X
Zambia	52.5	1,056	X		X
Latin America / Caribbean					
Guatemala	59.9	1,210	X		X
Peru	31.3	938	X		
Middle East / North Africa					
Egypt	20.3	1,813			
Iraq	28.3	1,223			
Turkey	20.5	1,479			
Yemen	59.3	2,175			
South Asia					
Afghanistan	53.6	2,967			
Bangladesh	50.5	8,787	X	X	X
India	51.0	61,206			
Nepal	57.1	2,078	X	X	X
Pakistan	41.5	8,763			
Southeast Asia					
Cambodia	49.1	901			X
Indonesia	45.3	9,772			
Myanmar	40.6	1,891			
Philippines	37.8	3,730			
Viet Nam	42.4	3,375			

Sources and notes:

^a Black et al. 2008. These 36 countries together account for 90 percent of all stunted children in the world.

^b http://www.unscn.org/en/scaling_up_nutrition_sun/. Scaling-Up Nutrition (SUN) is a movement that supports country efforts to reduce undernutrition, as a response to the continuing high levels of undernutrition in our world and the uneven progress towards the Millennium Development Goal to halve poverty and hunger by the year 2015. The SUN movement stimulates leaders to focus on nutrition and commit to effective national policies; it increases the effectiveness of existing programs by encouraging their alignment with these policies; and it supports the participation of a wide range of stakeholders in supporting policy implementation that leads to broad ownership and a shared responsibility for results. Since the movement was launched in September 2010, a total of 20 Governments from across the world have committed to scaling up nutrition. These countries are: Bangladesh, Benin Burkina Faso, Ethiopia, The Gambia, Ghana, Guatemala, LAO PDR, Malawi, Mali, Mauritania, Mozambique, Nepal, Niger, Peru, Senegal, Tanzania, Uganda, Zambia, Zimbabwe.

^c <http://www.reach-partnership.org/aboutreach/whatdoesreachdeliver>. REACH is a global partnership committed to meeting the nutrition needs of the world's most vulnerable children and women through evidenced based analysis and innovative, country-led programming to link child undernutrition, food security, health, and care in a sustainable package that builds government institutional capacity, strengthens policy planning skills and prioritizes scarce resources. REACH is currently being implemented in Sierra Leone, Ghana, Mali, Bangladesh, Nepal, Mozambique, Rwanda, Tanzania, Uganda, Lao PDR and Mauritania.

(continued)

^d <http://www.feedthefuture.gov/countries.html> . Feed the Future is a United States Government initiative that supports country driven approaches to address the root causes of hunger and poverty, working alongside development partners to support country-owned processes through which countries develop and implement food security investment plans that reflect their needs, priorities, and development strategies.

Feed the Future focus countries are:

Ethiopia
Mali
Uganda
Honduras

Ghana
Mozambique
Zambia
Guatemala

Liberia
Rwanda
Bangladesh
Nicaragua

Kenya
Senegal
Cambodia

Malawi
Tanzania
Nepal

APPENDIX 3. CRP4 CGIAR PERFORMANCE INDICATORS MATRIX

Date: October 2011
CRP No: 4
CRP Name: Agriculture for Improved Nutrition and Health
Lead Center: IFPRI
Participating CGIAR Centers: ILRI, Bioversity, CIAT, CIMMYT, CIP, ICARDA, ICRAF, ICRISAT, IITA, IWMI, World Fish
Number of Years: 5
CRP Start Date: January 1, 2012

1. Research Outputs	INDICATORS AND METRICS
Component 1: Value Chains for Enhanced Nutrition	
Data and evidence published on food consumption patterns, availability, access, use and processing of nutritious foods; information on dynamics of food purchases, own-production, sales of nutrient-rich foods, market access and overall nutrient gaps (in Senegal, Uganda, Mozambique, and 4-5 other countries/contexts)	Number of countries where work has started/is ongoing Number of publications (peer-reviewed journals, briefs, other communication material) released and disseminated Number of datasets documented and made available online <i>(Measured yearly through performance monitoring)</i>
Database on nutritional value of lesser known and local foods (if work on biodiversity is included)	Database produced, released, disseminated and made available on website <i>(Measured yearly through performance monitoring)</i>
Methods and tools to generate this type of evidence tested and well-documented	Methods and tools documented in report/publication, available on website <i>(Measured yearly through performance monitoring)</i>
Data and evidence published regarding poor consumers' knowledge, awareness, and knowledge gaps related to diverse diets and nutritious foods; preferred sources of—and channels for—information on nutrition and health; and food preparation and storage methods (in Senegal, Uganda, Mozambique, and 4-5 other contexts/countries)	Number of countries where work has started/is ongoing Number of publications (peer-reviewed journals, briefs, other communication material) released and disseminated Number of datasets documented and made available online <i>(Measured yearly through performance monitoring)</i>
Tools to assess, and data on poor consumers' willingness to pay for nutritious foods and preferences (types, format) of these foods	Number of countries where work has started/is ongoing Methods and tools documented in report/publication, available on website Number of publications (peer-reviewed journals, briefs, other communication material) released and disseminated Number of datasets documented and made available online <i>(Measured yearly through performance monitoring)</i>
Tools to increase poor consumers' awareness and promote consumption of nutritious foods developed, tested, and evaluated; nutrition interventions identified and prioritized for research under this component	Number of countries where behavior change strategies to promote consumption of nutritious foods have been developed, tested, evaluated Number of publications (peer-reviewed journals, briefs, other communication material) describing tools and impact findings <i>(Measured yearly through performance monitoring)</i>

(continued)

Portfolio of information, education, and communication materials developed and available	Number of countries where materials are available Number and types of materials available <i>(Measured yearly through performance monitoring)</i>
Published evidence from 5-8 value chains of the feasibility of leveraging value chains to improve access to nutritious foods by the poor	Number of publications documenting experience leveraging value chains to improve access to nutritious foods <i>(Measured yearly through performance monitoring)</i>
Top performing technologies identified and tested to enhance nutrition along the value chain for target nutritious foods	Number of countries/value chains with documented technologies to enhance nutrition along the value chain for nutritious foods <i>(Measured yearly through performance monitoring)</i>
Published evidence from at least 5 contexts of impact and cost-effectiveness of leveraging value chains to improve access to nutritious foods (supply) by the poor and to stimulate demand for such foods through successful behavior change communications strategies (demand)	Number of countries where impact and cost-effectiveness of value chain for nutrition interventions was assessed Number of publications (peer-reviewed journals, briefs, other communication material) released and disseminated Number of presentations/dissemination of results given in a variety of conference/workshop venues internationally and nationally Number of datasets documented and made available online <i>(Measured yearly through performance monitoring)</i>
Component 2: Biofortification	
2.1 HarvestPlus	
Improved lines of 7 biofortified parents introduced in the product pathway Zinc rice for Bangladesh and India Zinc wheat for India and Pakistan Provitamin A maize for Zambia Provitamin A cassava for Nigeria and DRC Iron pearl millet for India Iron-rich beans for Rwanda and DRC Provitamin A OFSP for Uganda and Mozambique	Number of biofortified varieties submitted to varietal release committees by NARES in indicated counties.
Published evidence that micronutrients in HarvestPlus crops are bioavailable and that the crops are efficacious in improving micronutrient status (for targeted micronutrients) in humans	Number of publications by HarvestPlus collaborators by discipline (e.g., human nutrition, plant science, economics) and frequency that these publications are cited by others
Biofortified crops rich in bioavailable nutrients that will overcome losses during storage, processing, and cooking are available on the market and/or available to poor farmers via public seed distribution systems	Estimated increase in intakes of iron, zinc, and provitamin A that is derived from: (i) Measured differential in iron, zinc, and provitamin A content between currently grown (non-biofortified) varieties and the released varieties (ii) Estimated retention and bioavailability of iron, zinc, and provitamin A of released varieties Estimated per capita consumption of biofortified staple
2.2 AgroSalud	
Proven nutritionally and agronomically improved rice, beans, cassava, maize, and sweet potato cultivars released	Number of cultivars released <i>(Measured yearly through performance monitoring)</i>
(continued)	

Analysis tool to target biofortification activities in countries made available online	Tool produced and made available on website <i>(Measured yearly through performance monitoring)</i>
Biofortified crops and commercially prepared biofortified food products distributed in urban centers	Number of vendors selling crops and food products <i>(Measured yearly through performance monitoring)</i>
Evidence published from quantitative evaluations of the socioeconomic and nutritional impacts of 2+ combinations of biofortified crops and food products	Number of publications produced <i>(Measured yearly through performance monitoring)</i>
Diverse communication modules produced and disseminated through different media	Number of modules produced and disseminated <i>(Measured yearly through performance monitoring)</i>
Information generated on the benefits and costs to farmers and consumers of biofortification	Number of documents produced and disseminated <i>(Measured yearly through performance monitoring)</i>
Component 3: Prevention and Control of Agriculture-Associated Diseases	
Prioritization of AAD and understanding of their systems context	
Descriptive analysis of priority problems in systems context (e.v. value chain analysis, risk maps, current control strategies for mycotoxins, key food-borne disease and zoonoses)	Number of countries where value chain mapping, risk mapping, and compilations of current control strategies for food hazards is taking place Number of food value chains mapped Number of commodity-specific food safety risk maps generated Number of agro-ecosystems where zoonoses are described and priority zoonoses identified
Conceptual framework and metrics for assessing the relative burdens (health, economics, and other impacts) of AAD to guide prioritization	Number of discussion papers on concept and potential utility of metrics for multiple disease burdens and guidelines for developing metrics available online Number of presentations and publications on conceptual framework of metrics Number of partners involved in development of metrics
Assessment of the multiple burden of target AAD in specific contexts and of the relative risk amenable to agriculture-based interventions	Number of target AAD for which multiple burden assessments conducted Number of peer-reviewed publications of multiple burden assessments Number of diseases for which agriculture-based intervention likely to be useful based on epidemiological assessment
Risk and socioeconomic assessment of high initial priority AAD	
New diagnostic, detection, and surveillance technologies and methods developed for initial high priority AAD	Number of diagnostic platforms in place Diagnostic platform performance indicators (sample throughput and cost)
Comprehensive and integrated health risk and socioeconomic assessment for high initial priority AAD identifying critical control points and control options	Number of detailed, integrated risk and economic assessments conducted with recommendations for control Number of peer-reviewed publications of integrated risk and economic assessments Number of policy and delivery partners involved in integrated assessment and their level of satisfaction with the products Number of priority AAD for which surveillance and control options based on epidemiology and risk factor studies Number of new diagnostics for priority AAD developed
(continued)	

Innovation and risk management for AAD	
Strategies to deliver innovations (technological, organizational and social) have been developed, tested and supported to better prevent and manage AAD <i>High priority initial strategies: biocontrol for aflatoxins; market-based approaches to managing food safety in informal domestic markets; modeling approaches to assess emerging disease management options (RVF); control of cysticercosis in the pig value chain in Uganda</i> <i>Other strategies: to be developed based on prioritization, and integrated risk and socio-economic assessments</i>	Number of countries with mycotoxin bio-control programs in place Number of value chains with market-based solution to food safety strategies in place Mathematical model for emerging infectious disease Control strategy for cysticercosis in the smallholder value chain in Uganda
One Health collaborations for integrated, multi-disciplinary management of high initial priority zoonoses and food-borne diseases established	Number of countries with One Health/EcoHealth collaborations for tackling AAD Number of partners from different disciplines engaged in these partnerships Assessment of costs and benefits of EcoHealth/One Health approaches in management of zoonotic and emerging disease based in CGIAR projects currently using EcoHealth projects
Health, social, and economic impact assessments of the risk management innovations developed	Number of rigorous evaluations of effectiveness, affordability, acceptability, and sustainability of high priority initial strategies
Component 4: Integrated Agriculture, Nutrition, and Health Programs and Policies	
4.1 Integrated Programs	
A set of tools, methods, and indicators (gender-disaggregated) to inform the design and targeting of integrated ANH programs; to assess and improve implementation; to identify and measure program impact pathways; and to evaluate impact and cost-effectiveness of multisectoral ANH programs	Number of methodological tools, documents/reports/publications describing the tools, methods, and indicators developed by CRP4 for: design/targeting of ANH; assessment of program implementation; measurement of program impact and impact pathways, and cost-effectiveness Number of such tools available on line <i>(Measured yearly through performance monitoring)</i>
A set of simple tools for use by program implementers to conduct rapid assessments, monitoring, or simple impact evaluation of ANH programs on key indicators	Guidelines on simple tools published and disseminated in workshops <i>(Measured yearly through performance monitoring)</i>
A body of published evidence from rigorous evaluations in 5-6 different contexts of benefits and cost-effectiveness ANH programs on health and nutrition (Burkina Faso, Uganda, Mozambique, Zambia, and 2-3 additional ones, possibly Nepal, Bangladesh, and fish systems in Zambia) disseminated to relevant stakeholders, and used for advocacy	Number of publications (peer-reviewed journals, briefs, other communication material) released and disseminated Number of datasets documented and made available online Number of presentations/dissemination of results at a variety of conference/workshop venues internationally, nationally, and locally <i>(Measured yearly through performance monitoring)</i>
Rich information generated on constraints to replication, scaling-up, and sustainability of integrated ANH programs and on ways to address these constraints	Number of publications (peer-reviewed journals, briefs, other communication material) released and disseminated Number of datasets documented and made available online Number of presentations/dissemination of results at a variety of conference/workshop venues internationally, nationally, and locally <i>(Measured yearly through performance monitoring)</i>
(continued)	

4.2 Harmonized Policies	
An annually updated and relevant evidence base from an agricultural and cross-sectoral perspective, which adds value to ongoing initiatives by supporting better investments in integrated planning across agriculture, nutrition, and health	Number of publications summarizing cross-sectoral experience in policymaking <i>(Measured yearly through performance monitoring)</i>
A community of practice of ANH specialists in information systems established	Community of Practice created and active (measured by number and types of interactions and initiatives) <i>(Measured yearly through performance monitoring)</i>
Evidence on trends in funding and quality of human resources in ANH	Data on trends in funding, number, and quality of human resources in ANH research <i>(Measured through one-time assessment)</i>
New metrics and benchmarks to relate and measure agriculture, health, and nutrition research	Inventory of methods, tools, and indicators developed for research and evaluation of agriculture, health, and nutrition programs and policies <i>(Measured through one-time assessment)</i>
Evidence on good practices in engaging policymakers and decisionmakers for cross-sectoral, including identification of immediate and low-cost steps to greater integration (and barriers to this), and charting of directions for long-term improvement	Number of publications summarizing good practices and case studies of success in cross-sectoral engagement in decisionmaking <i>(Measured yearly through performance monitoring)</i>
Capacity for cross-sectoral policy research and decisionmaking assessed, comparable cross-country data on capacity generated, training need identified at national level, and investment plans for capacity strengthening identified	Number of country data sets generated on capacity and training needs, and investment plans <i>(Measured yearly through performance monitoring)</i>
2. Research Outcomes	INDICATORS AND METRICS
Component 1: Value Chains for Enhanced Nutrition	
Outcome 1.1 Data and evidence generated by CRP4 to measure food consumption patterns, constraints to access to nutritious foods, consumers' knowledge, awareness and knowledge gaps related to diverse diets and nutritious foods, preferred sources of information on nutrition and health, and food preparation and storage methods are used by program implementers, development practitioners, researchers to design effective strategies to improve demand for nutritious foods and tailor supply of attractive nutritious foods for targeted populations (<i>in Senegal, Uganda, Mozambique, and 4-5 other contexts</i>)	Number of countries that have implemented innovative behavior change strategies to improve demand for—and supply of—nutritious foods (among target countries and other high-burden countries); scale and coverage of such initiatives in target countries <i>(Measured through regular outcome monitoring in target countries; and through one-time assessment in other high-burden countries)</i>
Outcome 1.2 Tools and materials developed to measure poor consumers' willingness to pay for nutritious foods and preferences (types, format) of these foods are widely used by private sector, NGOs, governments, and consumers to increase consumer awareness and stimulate demand for nutritious foods by the poor	Number of program implementers and development practitioners using the tools developed by CRP4 to measure willingness to pay for nutritious foods in context of value chains for nutritious foods: 1) in target countries; 2) in other countries Number of value-chain actors that request collaboration with—or assistance from—CRP4 to use or adapt tools <i>(Measured through regular outcome monitoring in target countries; and through one-time assessment in other high-burden countries)</i>
(continued)	

Outcome 1.3 Tools and technologies developed to enhance nutrition along the value chain are used by a variety of value-chain actors from both the public and private sector	Number of value chains in target countries and in other high-burden countries that adopt tools and technologies developed by CRP4 to enhance nutrition along the value chain in both public and private sector Number of value-chain actors that request collaboration with, assistance from, or collaboration with CRP4 to use or adapt tools <i>(Measured through regular outcome monitoring in target countries; and through one-time assessment in other high-burden countries)</i>
Outcome 1.4 Evidence of the <i>feasibility</i> of enhancing nutrition along the value chain motivates use of methods, tools, and technologies by different stakeholders for new value chains	Number of value chains that adopt CRP4 tools and technologies to incorporate nutrition new value chains (in target countries and other high-burden countries) <i>(Measured through regular outcome monitoring in target countries; and through one-time assessment in other high-burden countries)</i>
Outcome 1.5 Evidence generated regarding the impact and cost-effectiveness of enhancing nutrition through value chains in at least 5 different contexts leads to greater investments in value chains and behavior change communication to improve nutrition, and to the widespread use of the tools and methods developed by a large number of stakeholders	Significant shift in investment patterns among donors and governments in value chain for nutrition work; increases in numbers and diversity of value chains that incorporate nutrition goals and interventions in their work <i>(Measured through one-time global review and assessment)</i>
Component 2: Biofortification	
2.1 HarvestPlus	
Outcome 2.1.1 New nutritious crops are made available to NARES and implementing partners in Africa and Asia	Number of advanced breeding lines made available to NARES from Centers and number of releases of biofortified crops by varietal release committees after submission by NARES
Outcome 2.1.2 HarvestPlus crops that are assured to deliver nutritional benefits are available and accessible to the consumers	Marketed volume of biofortified crops
Outcome 2.1.3 Nutrition and health communities support the dissemination and consumption of biofortified foods	Number of nutrition and health institutions working in collaboration with HarvestPlus
Outcome 2.1.4 Delivery programs to ensure farmer adoption and consumer acceptance of biofortified crops are scaled up	Number of seed packets distributed, level of media activities to advertise benefits of biofortified crops
Outcome 2.1.5 Farmers and consumers have access to new varieties of nutrient-dense food crops and consume them regularly	Number of farm households adopting production of biofortified crops Area planted to biofortified crops by adopting farm households Increases in intakes of iron, zinc, and pro-vitamin A by adopting farm households and consumers who buy biofortified foods in markets Improvements in micronutrient status (as measured through blood indicators) due to consumption of biofortified foods Improvement in functional outcomes (e.g., work capacity and cognition) due to improvement in micronutrient status
2.2 AgroSalud	
Outcome 2.2.1 Iron-, zinc-, provitamin A- and amino acid-biofortified cultivars are made available to consumers	Number of cultivars commercially released Number of entities (private, public) distributing seed <i>(Measured through regular outcome monitoring in target countries)</i>
Outcome 2.2.2 Informed geographic targeting of biofortification activities is undertaken	Tool for geographic targeting available on line Number of downloads of tool <i>(Measured through regular outcome monitoring in target countries)</i>
(continued)	

Outcome 2.2.3 Seed production and dissemination systems are strengthened	Number of trainings offered to seed producers (farmers' groups, extensionists, private sector) Number of individuals trained in seed production <i>(Measured through regular outcome monitoring in target countries)</i>
Outcome 2.2.4 Nutrition and health communities support the dissemination and consumption of biofortified foods	Number of biofortification fora held with nutrition and health agencies Number of countries where biofortification is integrated into national health, nutrition, or food security plans <i>(Measured through regular outcome monitoring in target countries)</i>
Outcome 2.2.5 Delivery programs to ensure farmer adoption and consumer acceptance are scaled up	Number of delivery programs, with NGOs, government, UN agencies, and others in place <i>(Measured through regular outcome monitoring in target countries)</i> Proportion of farmers reporting adoption or pre-adoption of cultivars Proportion of consumers reporting organoleptic acceptance of cultivars <i>(Measured through one-time assessment in target countries)</i>
Outcome 2.2.6 Access to and consumption of biofortified cultivars and food products among urban consumers increased	Number of urban vendors selling crops and food products <i>(Measured through regular outcome monitoring in target countries)</i>
Outcome 2.2.7 Demand for biofortified crops and food products by informed farmers, consumers, extensionists, health professionals, and decisionmakers increased	Number of requests for biofortified seed, biofortified ingredients, and biofortified food products received by partners <i>(Measured through regular outcome monitoring in target countries)</i>
Component 3: Prevention and Control of Agriculture-Associated Diseases	
Outcome 3.1: Prioritisation of AAD and understanding their systems context Evidence generated by CRP4.3 improving the understanding by decisionmakers and implementers of the relative and absolute importance of AAD and the role of agriculture-based interventions in their management Multiple disease burden metrics and assessments developed and supported by CRP4.3 being used to inform prioritization and resource allocation for research, and health and agriculture policies and programs	Number of policy/decisionmakers aware of multiple disease burden assessments and convinced by their results; increase in awareness of priority zoonoses by all stakeholders in agro-ecosystems in which zoonoses assessed Number of instances of change in resource allocation by donors, researchers, policy and programs that can be credibly linked to CRP4.3 dissemination and advocacy of disease prioritization and metrics Wider use of tools and guidelines assessed by number of users and satisfaction
Outcome 3.2: Integrated health risk and socioeconomic assessment conducted for key AAD Integrated health risk and socioeconomic assessment supported by CRP4.3 being used by public and private sector for strategies for the effective, equitable (including gender equitable) and sustainable control of AAD New surveillance options and diagnostics developed by CRP4.3 being used	Number of policy/decisionmakers aware of health integrated health risk and socioeconomic assessments and recommendations conducted by CRP4.3 and convinced by their results; number of instances that these assessments lead to changes in risk management Number of surveillance options and diagnostics in use and number of people benefiting from these
(continued)	

<p>Outcome 3.3: Innovation and risk management for AAD Policies, programs, and private sector (both informal and formal) adapt and scale up CRP4.3 research outputs into integrated disease control for agriculture-associated disease with CRP4.3 support Increased capacity and skills for prevention and control of AAD at all levels (communities, programs, decisionmakers)</p>	<p>Number of multidisciplinary integrated disease control activities that CRP4 is actively involved in and/or use CRP4 research; number of value chains adopting CRP4 research outputs; number of impact assessments that show CRP4 technical, methodological, organizational and social innovations are being used to better control and prevent AAD and indicating number of people reached and cost/benefit Number of researchers, students, practitioners, and others working on AAD trained (gender disaggregated); number of people reached by community-capacity building using methods developed by CRP4 and out-scaled by other actors; number of donors, policymakers, and other decisionmakers aware of CRP4 research outputs and report using them in decisionmaking</p>
<p>Component 4: Integrated Agriculture, Nutrition, and Health Programs and Policies</p>	
<p>4.1 Integrated Programs</p>	
<p>Better designed and targeted integrated ANH program models, monitoring, and evaluation tools (including tools to measure quality of program implementation, impact, and cost-effectiveness) and indicators are used by partners (NGOs, governments, international organizations, researchers)</p>	<p>Number of well-integrated ANH programs (and their approximate coverage) implemented in target countries and other high burden countries <i>(Measured through regular outcome monitoring in target countries; and through one-time assessment in other high-burden countries)</i></p>
<p>ANH program implementers (including governments, nongovernmental organizations, and other development implementers) use the set of simple tools developed to assess implementation and impact of their programs on key indicators</p>	<p>Number of program implementers and development actors who use CRP4 tools to monitor and evaluate their ANH programs <i>(Measured through regular outcome monitoring in target countries; and through one-time assessment in other high-burden countries)</i></p>
<p>Evidence of impact and cost-effectiveness of ANH programs is used to stimulate investments in ANH programs</p>	<p>Change in level of investments in ANH programs in target countries and globally <i>(Measured through desk review and interviews with key donors, government representatives, NGOs, and other development actors)</i></p>
<p>Increased knowledge and commitment to sustained investments in a new generation of integrated ANH programs</p>	<p>Same as above</p>
<p>Lessons learned are used to address constraints and to successfully scale-up and replicate successful and sustainable ANH programs</p>	<p>Number of ANH programs that have gone to scale or have been replicated in other settings/countries <i>(Measured through interviews with program implementers from key NGOs and government representatives in target and other high-burden countries)</i></p>
<p>Greater investments in national policies and global initiatives integrating agriculture, nutrition, and health programs</p>	<p>Number of countries that have health, nutrition, food security, poverty, or other policies that effectively integrate agriculture, nutrition, health <i>(Measured using data from monitoring of the Scaling Up Nutrition (SUN) movement, REACH, WHO landscape analysis, and other global initiatives with which CRP4 has direct links)</i></p>
<p>Capacity developed at program level to use tools to assess and scale up ANH programs</p>	<p>Number of programs using tools developed by CRP4 to evaluate, replicate, and scale up their ANH programs <i>(Measured through interviews with program implementers from key NGOs and government representatives in target and other high-burden countries)</i></p>
<p>(continued)</p>	

Increased presence of policies and active ANH integrated programs	Number of countries with active ANH programs and policies <i>(Measured using data from monitoring of the Scaling Up Nutrition (SUN) movement, REACH, WHO landscape analysis, and other global initiatives with which CRP4 has direct links)</i>
4.2 Harmonized Policies	
CRP4 component services and outputs are recognized as useful to policymaking bodies; policy hierarchies view CRP4 as a valued source of knowledge and advice	Indicators of commitment to cross-sectional work developed and used by the SUN movement will be adapted and used to measure progress in different dimensions of cross-sectoral policymaking (CRP4 team members are directly involved in Task Force F, which leads the development of these indicators); examples include indicators to measure: commitment of high level leadership, government focal point for agriculture/nutrition/health appointed, national agriculture and food security strategies firmly incorporate nutrition and health, multi-stakeholder platforms established, civil society and business engagement established, action plans developed, etc. (see Scaling Up Nutrition; Progress report from countries and their partners in the movement to scale up nutrition; United Nations, High Level Meeting on Nutrition, September 20, 2011)
Consensus is achieved on need for integrated planning; an integrated approach is understood and implemented by technical and advisory leaders and by national-level planners	
Mechanisms and resources for cross-sectoral problem identification and program planning are approved by policymakers in all three sectors	
Capacity in the use of evidence for policymaking is strengthened	Inventory of trainings, number and types of individuals trained in cross-sectoral policymaking, number and types of individuals involved in cross-sectoral decision and policymaking (Measured annually)

3. Contribution to System-Level Outcomes	GLOBAL INDICATORS
<p>Indicative CRP outcomes contributing to contributing to system-level outcomes:</p> <p>Nutrition outcomes:</p> <ul style="list-style-type: none"> ➤ 2-5 percentage point reduction in child stunting per year in targeted high-burden countries (selected from the 36 countries accounting for 90 percent of the burden of malnutrition) ➤ 25 percent reduction in prevalence of anemia and/or vitamin A deficiency in women and young children in targeted high-burden countries (same as above) (depending on specific micronutrients targeted by CRP4 in different countries) ➤ 30 percent increases in dietary diversity (measured by number of food groups consumed) 	<p>Global indicator to be monitored as proxy for outcome:</p> <p>Nutrition outcomes:</p> <ul style="list-style-type: none"> ➤ Nationally representative surveys such as: Demographic and Health Surveys (in target countries); WHO global database; UNICEF Multiple Indicator Cluster Surveys (MICS); SUN monitoring system; Living Standards Measurement Surveys (LSMS) and other nationally representative surveys collecting dietary diversity and nutrition outcome data

<p>Health outcomes:</p> <ul style="list-style-type: none">➤ Health outcomes: 20 percent reduction of burden of key agriculture associated disease on poor people	<p>Health outcomes:</p> <ul style="list-style-type: none">➤ National health statistics; Global Burden of Disease
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APPENDIX 4. IMPLEMENTATION AND PARTNERSHIP IN POLICY PROCESSES: THE CAADP EXAMPLE

There are four types of delivery mechanism to disseminate the products of research to partner organizations, stakeholders, and policymakers. *Carrier policy processes* are ongoing policy processes that provide an opportunity for the CRP to add value to planning and implementation activities at the country and regional levels, in terms of technical information, tools, and capacity building. As an illustration, Pillar 3 dealing with hunger and nutrition and, in particular, the technical planning and implementation work that is being carried out by Regional Economic Communities (RECs) and their member states, would constitute the appropriate carrier policy processes for the CRP under the CAADP agenda. The different opportunities for value addition at the country, regional, and continental levels are specified in the middle column of Appendix Figure A4.1. The first value addition opportunity in this area at the country level would consist in assisting countries to better understand and properly articulate the issues related to agriculture for improved health and nutrition in the formulation of long-term policy and strategy options as well as action plans under this pillar. The second opportunity for value addition would emanate from the need for technical guidance for the design of health and nutrition components in the current country CAADP investment plans. In both of the above cases, the input from the CRP could be prototypes to scale up best practices for adoption, benchmarks to guide action by stakeholders, tools and other knowledge products to facilitate implementation, and even action research to clarify the future course of action. The value addition opportunities at the regional and continental levels are described in the bottom two boxes of the middle column of the chart.

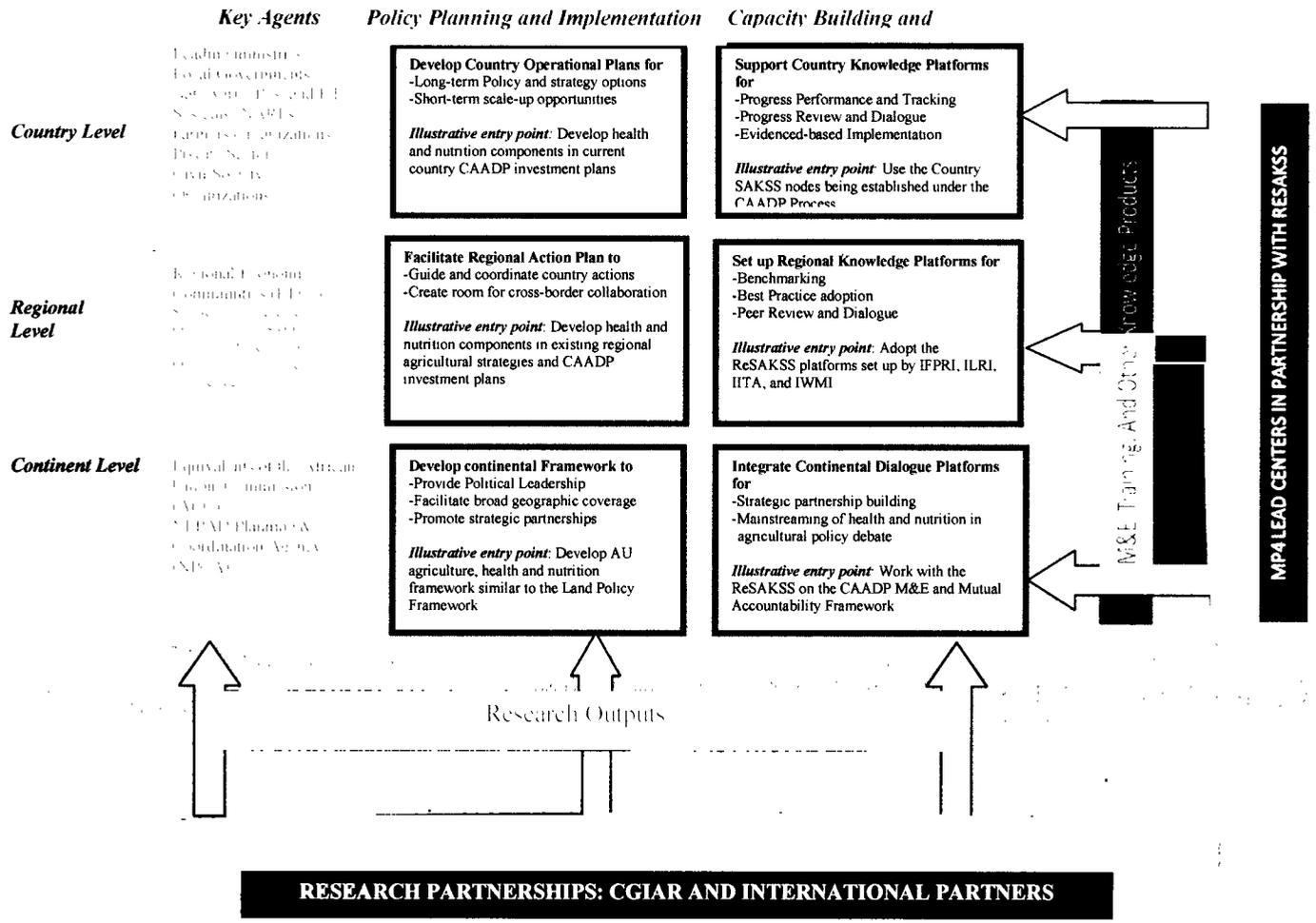
Anchor organizations and *operational actors* are at the forefront of policy planning, implementation, and coordination at the continental, regional, and country levels. They need to be engaged in order to influence the policy and program planning and implementation process and to learn from that process in return to inform the research agenda setting under the CRP. Engagement with the anchor organizations allows the CRP leadership to identify the relevant carrier policy processes and work with the appropriate stakeholders to create the space and opportunity for value addition. In the CAADP examples, they include the African Union Commission (AUC), the NEPAD Planning and Coordination Authority (NPCA), the Regional Economic Communities (RECs), leading national ministries, local governments, the national research and education systems (NARES), and the various professional and civil society organizations (see first column).

The CRP leadership will initiate engagement with the anchor organizations very early in the implementation phase. The preparation of the partnership strategy, road map, and action plan is a good opportunity to initiate this engagement.

Knowledge Platforms are not only important as means to facilitate the access to and use of the different research outputs under the CRP by stakeholders, ranging from various knowledge products, tools, and methodologies. They are also a critical support for the monitoring, evaluation, and impact assessment work as well as the related review and learning activities that are critical ingredients of the process of informing policies and fine-tuning the research approach and agenda of the CRP. Illustrative examples from the CAADP process include the Regional Strategy Analysis and Knowledge Support Systems (ReSAKSS), established by four CG centers, IFPRI, IITA, ILRI, and IWMI in collaboration with three RECs: the Common Market for Eastern and Southern Africa (COMESA), the Economic Community of West African States, and the Southern African Development Community (SADC). ReSAKSS operates three regional nodes, which are hosted by ILRI, IITA, and IWMI and coordinated by IFPRI (www.resakss.org). The nodes support the M&E, review, benchmarking, and learning processes under CAADP. They do that by creating knowledge products to guide implementation, tracking implementation performance and progress toward policy goals, documenting and disseminating lessons, and building capacities at the local level. The corresponding ReSAKSS activities at the continental and regional levels are described in the right-hand side column of the chart.

Given that ReSAKSS is already operating within the CG system, its facilitators include the two leading centers of the CRP, and well implanted in the CAADP process, it would make sense to consider using it as a knowledge platform to support the CRP's work.

Appendix Figure A4.1. CRP4 partnership and value addition illustrated using the CAADP Framework



APPENDIX 5. FISH VALUE CHAIN FOR IMPROVED NUTRITION AND HEALTH: PROPOSED COLLABORATION BETWEEN CRP4 AND CRP3.7

The importance of fish for nutrition and health and proposed CRP4-CRP3.7 research focus

In many low-income countries with water and fisheries resources, in particular among population groups living in riparian and coastal areas, capture of both freshwater and marine fish as well as fish production are important for livelihoods, income, and nutrition. These poor population groups typically also suffer disproportionately from undernutrition, including micronutrient deficiencies. Fish, in particular small fish species, are an integral part of the everyday diet, though the amount consumed is small and intrahousehold distribution favors males. In these population groups, fish is an irreplaceable animal source food, and its contribution to dietary diversity and to intake of several essential micro- and macronutrients is potential invaluable, yet largely ignored.

Studies in rural Bangladesh and Cambodia show that small fish make up between 50 and 80 percent of all fish eaten during the production season. Although consumed in small quantities, these small fish, which are consumed whole, are particularly rich in micronutrients. Their bones are an excellent source of calcium, and in some species vitamin A accumulates in their eyes and intestines. A study of poor, rural households in Bangladesh in 1997 revealed that small fish intake provided about 40 percent of the vitamin A and 32 percent of the calcium recommendations of an average household in the peak fish production season. The long-chained omega-3 polyunsaturated fatty acids found in marine and some freshwater fish also have a range of health benefits. Epidemiological studies have shown that the prevalence of cardiovascular diseases is low in North Atlantic regions with high fish intake, and it is well accepted that some fatty acids reduce the risks of cardiovascular failures, stroke, and the development of dementia in adults. In young children, omega-3 fatty acids are important for the development of membranes of the brain and the retina. The potential role of fish as a source of essential fatty acids in the first 1,000 days has recently been highlighted in the global Scaling Up Nutrition (SUN) Framework and Roadmap and the US government's 1,000 Days Initiative.

Many factors contribute to the favorable role of fish, in particular small fish species, in nutrition. Where fish is abundant, it is usually well liked, people have strong cultural preferences for it, and they perceive it as promoting nutrition, health, and well-being. Fish products—such as dried, smoked, salted, and fermented fish, fish paste, and fish sauce—extend the duration of storage and consumption, as well as accessibility. Small fish can be bought in small quantities from rural markets or caught from common resources water bodies by household members. When they are used for preparing a dish such as curry or stew, they can add flavor and taste to diets dominated by a single staple.

Surveys in both Asia and Africa show that fish consumption is declining and that the diversity of fish species consumed has decreased. In an effort to increase supply, governments have vigorously promoted aquaculture, in particular in Asia, in the last 30 years. However, the technologies in use promote monocultures of fast-growing large fish, such as carp, tilapia, and pangasid. The nutrient composition of these fish is of lower quality than that of small fish because of species variation, and nutrients, especially calcium, are lost when bones are removed before consumption.

In recent years, there has been growing awareness that the aquaculture technologies widely promoted, especially for small-scale production, must take into consideration production, productivity, income, and nutritional quality. In addition, more attention is being paid to the need for better management of wetland resources to protect capture fisheries and sustain fish diversity.

CRP4 will work with CRP1.3 and CRP3.7 to increase access to and consumption of fish among the poor in order to improve their nutrient intake, dietary quality, and nutrition and health security. One example of a partnership that the teams decided to explore during the early stages of implementation is joint research on the tilapia and catfish production value chain in Uganda. The table below (Table A5.1 (extracted from CRP3.7 proposal)) provides examples of new research questions and activities that CRP4 could add to the tilapia and catfish value chain to make it more nutrition-sensitive and more likely to achieve its overall goals of improving diet quality and nutrition among the poor.

The potential health risks associated with fish consumption and proposed CRP4-CRP3.7 research focus

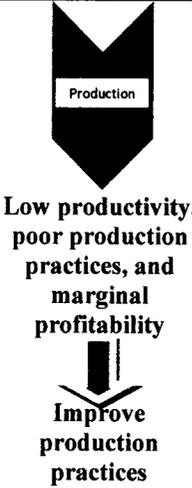
Fish is a highly perishable commodity and is associated with a wide range of health hazards, including bacteria, parasites, mycotoxins, and heavy metals. Some of these hazards are specific to fish products, whereas others are present in other animal source foods. In countries where fish consumption is high, it is frequently implicated in cases of food-borne illness. As for other food safety issues, risk analysis, including risk management methods such as HACCP, is the gold standard for assuring fish safety. However, although considerable evidence exists on the assessment and control of hazards in large, commercial, export-oriented fish production and capture systems, there has been very limited research in the large informal systems of most relevance to poor people involved in fish production, capture, and processing.

Ongoing work by ILRI and partners seeks to adapt risk analysis principles and tools to the informal markets where they have yet to be applied but have great potential for improving food safety while safeguarding or enhancing market access for the poor (especially women). Some studies looked at hazards in traditional fish systems in West Africa. Women have a major role in the processing of fish and use mainly traditional and low-technology processes. Levels of hygiene are very low, and traditional processing, such as smoking and curing, may lead to contamination with polycyclic hydrocarbons and other substances. However, processing may also mitigate risks. In some cases perception of risk by the public and decisionmakers does not correspond to actual risk to human health, leading to inappropriate policy and regulation.

In conjunction with CRP1.3 and 3.7, CRP4 will conduct comparative risk assessments to establish which hazards to human health are present in small-scale fish systems and what the relative importance of different hazards is. For those hazards likely to have a substantial impact on human health, risk assessment will be conducted to quantify the risk and identify the points at which it can be best controlled. This evidence will help raise awareness among stakeholders including fish value-chain actors, decisionmakers, and consumers. Stakeholders will be involved in the design, testing, and rigorous evaluation of interventions to improve food safety while delivering other benefits (such as higher yields, lower labor inputs, or better market access) that will motivate value-chain actors to adopt risk management practices. These studies will be done as proof of concepts in the value chains with other CRP involvement. They will be linked to development agents for wider dissemination of the tools, methods, and technologies developed and to local decisionmakers and international organizations (such as the WHO FERG group) important in creating an enabling environment.

Appendix Table A5.1. Opportunities and constraints in tilapia and catfish production value chain in Uganda and the research and development actions to overcome them (extracted with permission from the CRP3.7 proposal)

Legend: **grey highlighted text** presents examples of potential contribution of CRP4

	Researchable issues and supporting actions	Indicative partners and their roles	Outcomes
Improve access to quality inputs	<ul style="list-style-type: none"> • Farmers able to access quality inputs
 <p>Low productivity, poor production practices, and marginal profitability</p> <p>Improve production practices</p>	<p><i>Researchable Issues</i></p> <ul style="list-style-type: none"> • Fertilization regimes • Species and production system-specific feeding regimes to maximize productivity and profits • Impacts of production intensification on gender and household power relations • Feasibility and pro-poor and gender equitable benefits from contract growing <p><i>Supporting Actions</i></p> <ul style="list-style-type: none"> • Develop record keeping, technical capacity, and business skills among producers • Develop soft skills (e.g., communication, business, negotiation, gender awareness) among CG staff • Develop technical, communications, and business skills among NAADS staff and TSPs • Develop research skills among NARO and university staff • Develop capacity development material for use by NAADS and TCPs 	<p><i>Research</i></p> <ul style="list-style-type: none"> • Farmers, feed producers, and farmer organizations to seek gender equitable methods (including contract growing) to increase production and productivity • NARO and Ugandan universities and SRO partner country NARS and universities to partner on developing productive and profitable technologies • ARIs (Bergen, CIRAD, Stirling, and Wageningen) to partner on research, technical backstopping, and capacity development • CRP4: Research fish consumption patterns and constraints to access to fish among the poor; research consumers' demand and willingness to pay for better quality, more nutritious, and safer fish • CRP4: Design, implement, and evaluate behavior change strategies to raise awareness about the health and nutritional benefits of nutritious and safe fish, and promote consumption of fish among value-chain actors at all levels (including consumers), with a focus on vulnerable household members (women and young children) <p><i>Supporting Actions</i></p> <ul style="list-style-type: none"> • Farmers and POs to help identify capacity building needs, develop capacity building materials and participate in capacity building programs, and to participate in technology development • Ministry of Agriculture to help identify and implement incentives to adoption of productive and profitable technologies • NAADS and TCPs to participate in development of staff communication and gender awareness skills • TSPs, USAID LEAD, and NGOs to partner on gendered technology development and dissemination to farmers 	<ul style="list-style-type: none"> • Increased farmed fish production and consumption by the poor especially vulnerable groups such as women and young children

(continued)

	Researchable issues and supporting actions	Indicative partners and their roles	Outcomes
 <p>Transport & Processing</p> <p>Low quality and limited value adding</p> <p>Improve quality and seek equitable value-added opportunities</p>	<p><i>Researchable Issues</i></p> <ul style="list-style-type: none"> • Impacts of harvesting and transport on postharvest quality, nutrient content, food safety, and price • Impact of cost-effective cold chain on returns throughout the value chain • Options for postharvest processing to improve storage or add value, including nutritional and safety value • Identification of entry points along the value chain where nutritional value can be enhanced and exit points where losses in nutrient value can be prevented; development of new processing techniques that protect the nutritional value and safety of fish <p><i>Supporting Actions</i></p> <ul style="list-style-type: none"> • Develop and deliver training on fish transport • Seek synergies with other food , especially livestock, in transport and cold chains • Design and implement improvements to roads and other infrastructure • Create gender equitable employment opportunities 	<p><i>Research</i></p> <ul style="list-style-type: none"> • Farmers, hatcheries, producer organizations, and transporters to help identify and prioritize critical steps in fish transport, devise effective and economically efficient solutions, and build capacity within the transport sector • NARO and Ugandan universities and SRO partner-country NARS and universities to partner on research on fish processing and cold-chain development • CRP4: Carry out value-chain analysis to identify entry and exit points where nutritional value can be enhanced/protected • CRP4: Develop new products that are more convenient, attractive, and safer for poor consumers who do not have refrigeration facilities, and respond to their demand for fish and fish products • CRP4: Educate value-chain actors on the importance of protecting and enhancing the nutritional value and safety of fish along the value chain <p><i>Supporting Actions</i></p> <ul style="list-style-type: none"> • Ministry of Agriculture to help establish and implement priorities to improve feed transport, including road and other infrastructure improvements • Transporters, farmers, and producer organizations to help develop and adopt better fish transport practices to improve quality, food safety and reduce postharvest losses and prices • NAADS to collaborate on capacity development among fish transporters • USAID LEAD to partner on brokering improvements in fish transport systems • Technical services providers to support farmers on development of best practices for harvesting and postharvest handling 	<ul style="list-style-type: none"> • Increased quantities of affordable, nutritious and safe fish and fish products in markets and increased intake of such products by the poor

(continued)

	Researchable issues and supporting actions	Indicative partners and their roles	Outcomes
 <p data-bbox="244 442 442 495">Poorly developed markets</p>  <p data-bbox="244 558 442 644">Awareness raising among poor consumers</p>	<p data-bbox="470 252 661 274"><i>Researchable Issues</i></p> <ul data-bbox="470 282 1002 660" style="list-style-type: none"> • Present and likely future demand for different farmed fish products among poor consumers • New markets for novel products • Mechanisms to increase communication between customers and producers to test the hypothesis that farmers will use the information to better target production to market demand • Use of ICT to reduce knowledge imbalances and improve value-chain efficiency • The role of POs in marketing • Drivers of competition among local, national and regional markets for farmed fish • Impacts of increased fish supply on consumption by vulnerable groups, including women and children <p data-bbox="470 690 646 712"><i>Supporting Actions</i></p> <ul data-bbox="470 720 991 872" style="list-style-type: none"> • Develop capacity to conduct market research • Develop capacity to collect human health and nutrition data • Develop capacity to measure the impact and cost-effectiveness of value chain for nutrition and health approaches 	<p data-bbox="1032 252 1123 274"><i>Research</i></p> <ul data-bbox="1032 282 1678 574" style="list-style-type: none"> • Farmers, consumers, producer organizations, NARO, and Ugandan universities to collaborate on fish market research • NGOs to partner on market research and development • ARIs (Stirling) to partner on research into marketing of aquaculture products • CRP4: Research on impact and cost-effectiveness of strategies implemented to raise consumer awareness and stimulate demand for nutritious and safe fish and fish products; assessment of impact on changes in dietary quality, intake of fish and essential micronutrients, and nutritional status and health of key target groups <p data-bbox="1032 604 1208 626"><i>Supporting Actions</i></p> <ul data-bbox="1032 634 1678 764" style="list-style-type: none"> • Farmers, producer organizations, and traders to collaborate on development of marketing skills, use of ICT and collection of data • NAADS, TSPs, USAID LEAD, and NGOs to support farmers in adoption of ICT 	<ul data-bbox="1704 406 1959 536" style="list-style-type: none"> • Strong demand for—and increased access to—farmed fish products by poor and vulnerable consumers

APPENDIX 6. VALUE CHAIN FOR IMPROVED NUTRITION THROUGH AGRICULTURE BIODIVERSITY

Background

Agricultural biodiversity pertains specifically to the biological variety exhibited among crops, animals, and other organisms used for food and agriculture, as well as the web of relationships that bind these forms of life at ecosystem, species, and genetic levels. It includes not only crops and livestock directly relevant to agriculture, but also many other organisms that have indirect effects on agriculture, such as soil fauna, weeds, pests, and predators. This agricultural biodiversity includes species with underexploited potential for contributing to food security, health, income generation, and ecosystem services. Terms such as underutilized, neglected, orphan, minor, promising, niche, local, and traditional are frequently used interchangeably to describe these potentially useful species (both plant and animal), which are not mainstream but which have at least significant local importance and considerable global potential for improving food and nutrition security.

Creating biodiversity with high nutritional significance involves drawing on a vast array of cultivated and wild species, which if made available and utilized effectively could contribute significantly to the dietary diversity, livelihoods, and well-being of millions of people all over the world. Interspecies and intraspecies variations of crops represent a considerable wealth of local biodiversity and could contribute to improved incomes, food security, and nutrition with a better understanding of their contributions and use. They also have significant potential for enhancing adaptation to global climate change. Some of these species are strongly linked to the cultural heritage of their places of origin, and many are highly adapted to marginal, complex, and difficult environments that have contributed significantly to diversification and resilience of agroecological niches (Padulosi et al. 2011; Bharucha and Pretty 2010).

Rationale

The role of nutrient-rich traditional foods in value chains and their accessibility in markets is a less-explored area of research. The links between what is produced on the farm, the consumer who buys that food, and the income received by the producer does not stop at the point of production (Hawkes and Ruel 2010). Food is stored, distributed, processed, retailed, prepared, and consumed in a range of ways that affect the access, acceptability, and nutritional quality of foods for the consumer. Value chains are central to consumption, diets, and nutrition, not only in terms of the supply of food, but also in terms of how consumers influence value chains and how changes in the demand for specific local foods can influence the processes and outputs of value chains. There has also been modest attention to how actors along the value chain can be better informed on how to enhance the nutritional value of local foods. Food and nutrition systems need to be rethought through new business paradigms that demonstrate the value of biodiversity while promoting improved diet and nutrition outcomes.

Traditional foods and the species they are based on are usually produced or collected for self-consumption and have very limited markets—mostly local ones—if any. They usually face many marketing constraints, such as missing output markets because of high transaction costs (costly transport and handling because of bulk or freshness, perishability, short shelf life characteristics) or suboptimal market equilibrium (that is, although the product is sold, the price does not reveal the full value of the product or consumer willingness to pay) due to weak market demand, inefficient supply, or a combination of both. There may be insufficient knowledge and economic information about the product and its benefits, including nutritional benefits, and this knowledge gap may lead to a level of demand lower than it would have been had full information been available (Gruere et al. 2008). For example, local populations may be ignorant of the nutritional benefit of consuming a traditional food, with the result that demand for the food lower than it would have been had they been aware of the benefits. These conditions contribute to a lack of market competitiveness of traditional foods and products vis-à-vis the introduced ones associated with increased market integration. Furthermore, many of the introduced foods and

products have benefited from public and private investments in their development and marketing, whereas traditional ones have not. Even with nonintroduced crops, if one local crop benefits from large subsidies compared with the others, this makes the consumption and production of the latter less financially attractive vis-à-vis the former. For example, in India, subsidies given to rice farmers makes millet financially less attractive to farmers and consumers alike. The removal of these subsidies should be a way to promote millets, which are richer in calcium and iron than rice (Upadhyaya et al. 2006).

The research undertaken in this component will attempt to characterize and understand the role of markets and value chains in improving nutrition and dietary diversification both (1) directly, through an increase in the supply, marketing, access, and consumption/demand of nutritious foods sourced from biodiverse systems and (2) indirectly through an increase in income for smallholder farmers. Likewise, smallholder farmers can diversify their diets and improve their nutritional status either by producing more biodiverse sourced foods directly or by accessing more nutritious and diverse foods in markets through a rise in their disposable incomes. There will be an emphasis on understanding what role *nutritious local and traditional foods (LTFs) and neglected and underutilized species (NUSs)* play in creating demand for food products sourced from biodiverse landscapes by rural and periurban consumers and in boosting disposable incomes for smallholder farmers.

Research Objectives and Questions

Objective One:

To increase our understanding of how consumers can influence the demand for nutritious foods produced by smallholder farmers, and how smallholder farmers can access nutrient-rich foods sourced from agricultural biodiverse farming systems in informal and formal markets.

Understanding how consumer demand for nutritious foods sourced from agricultural biodiverse systems drives production of these products among smallholder farmers in developing countries is central. It is also important to understand the reverse—how increased production of nutritious foods sourced from agricultural biodiverse landscapes can increase knowledge and demand for consuming these foods. This objective will research how consumer knowledge of, demand for, and access to nutritious foods sourced from biodiverse settings can ensure visibility and accessibility through nutrition-sensitive value chains and other market mechanisms in diverse settings.

Research questions include the following:

- How can markets be more diverse-friendly for consumers, and what drives consumer preferences for nutritious LTFs sourced from biodiverse systems?
- What is the role of women in decisionmaking regarding nutrient-rich local food purchases and distribution within the household?
- What are the media outlets that disseminate information, promote access, and increase knowledge of and demand for nutritious LTFs and NUS by urban consumers?
- What new tools increase awareness, access to information, and knowledge of nutritious LTF products among consumers?
- What nutritious specialized products for vulnerable populations (such as therapeutic foods and complementary foods) could be developed, promoted, accepted, and scaled, and at what cost, from available local foods and underutilized crops sourced from biodiverse systems?

Objective Two:

To strengthen our understanding of how agricultural biodiversity and the production of LTFs and NUS can lead to a rise in disposable income for smallholder farmers, and what mechanisms can be instilled to use this additional income on nutritious commodities for the household (and especially to meet the nutritional needs of vulnerable women and young children).

The reasons for smallholder farmers to grow and sell local and traditional foods are not clear from a nutritional perspective, and the role of traditional foods in improving incomes and ensuring market demand needs to be further investigated. It is also important to understand how agricultural biodiversity can be scaled for commercial use while maintaining biodiversity and ecosystems and improving human nutrition and health. Finally, it will be useful to understand how small farmers can be linked to periurban and urban consumers in ways that offer mutual health, economic, social, and environmental benefits.

Marketing and promotion of biodiversity for food and nutrition has significant unrealized potential. However, most countries and communities for which promotion and commercialization of biodiversity for food and nutrition would be most attractive lack the capacity to assess market potential, obtain up-to-date market information, create the necessary physical infrastructure, and develop support strategies for their marketing.

Research questions include the following:

- Does traditional food diversity tend to disappear with market integration, as traditional foods are replaced with introduced foods that may be poor nutritional substitutes?
- What are the mechanisms and incentives for smallholder farmers to increase their incomes and competitive advantages by producing nutritious LTFs sourced from agrobiodiverse systems?
- How can value-chain benefits be best maximized for the producers of biodiverse sourced products and consumers (that is, the most vulnerable elements of the chain)?
- How can women farmers be linked in as producers and processors of nutrient-rich foods along the value chain, or as ingredient suppliers to commercial manufacturers of specialized, local, commercial products for nutritionally vulnerable populations?
- What are the pathways for linking smallholder farmers and their nutritious products sourced from agrobiodiverse farms to periurban and urban consumers? Specifically, what trends in periurban markets determine the potential success of agricultural biodiversity?
- What are the best training mechanisms related to marketing and value chains for producers? Possible mechanisms include market evaluation, information and communication, establishment of producer/exporter organizations, development of postharvest technologies, product development, product presentation, trade regulations, and quality requirements of buyers/processors.
- How can smallholder farmers' traditional knowledge relating to the production, storage, and marketing of nutritious products from agrobiodiverse systems be preserved and utilized?

CGIAR Centers' involvement and other necessary partners at the international, national, and regional level

The CG centres will include Bioversity International, IFPRI, ICRISAT, CIAT, IITA, and CIFOR
The partners will include AVRDC, NARES, FAO, Convention on Biological Diversity (CBD), WHO, Millennium Villages, Network of Farmers' and Agricultural Producers' Organizations of West Africa, Save the Children UK, Oxfam, HKI, WAHO, and GAIN

Appendix Table A6.1. Activities, outputs, and outcomes

Activities	Outputs	Outcomes
<p>OBJECTIVE ONE: To increase our understanding of how consumers can influence the demand for nutritious foods produced by smallholder farmers, and how smallholder farmers can access nutrient-rich foods sourced from agricultural biodiverse farming systems in informal and formal markets</p>		
<ul style="list-style-type: none"> • Carry out analysis of the nutritional value of TLFs/NUS in target areas • Carry out quantitative and qualitative research to (1) analyze the actual (and potential) contribution of TLFs/NUS to household and individual diet; (2) document local, national, and international consumer preferences for nutritious LTFs sourced from biodiverse systems; (3) assess how the demand can be used to boost production of such foods in local and regional value chains of developing countries; (4) define the role of women in decisionmaking regarding nutrient-rich local food purchases and intrahousehold distribution • Carry out a survey to characterize media and other outlets that disseminate information, promote access and increase knowledge and demand of nutritious LTFs for urban consumers • Develop, test, and evaluate new communication strategies to increase awareness, access to information, and knowledge of nutritious LTF products among producers, consumers and other actors along the value chain to stimulate demand for these foods • Carry out value-chain analysis to identify what nutritious specialized products for vulnerable populations (e.g., therapeutic foods and complementary foods) could be developed, promoted, accepted, scaled up, and at what cost, from available local foods and underutilized crops sourced from biodiverse systems 	<ul style="list-style-type: none"> • Information on consumption patterns and nutrient gaps for populations in biodiverse agrosystems, living in rural areas and of different socioeconomic groups • Evidence on the use of nutrient-rich foods (production, postharvest handling, processing, preservation, and preparation) • Evidence on determinants of use in households and communities (knowledge, beliefs, intrahousehold allocation of foods, sociocultural factors, and gender dimensions) • Studies completed on the development of tools and certifications for nutritionally rich LTFs and NUSs • Projects and collaborations established with value-chain actors on increasing supply and demand for nutrient rich LTFs and NUSs in informal and formal markets • Data collected and analyzed on the local food purchases, production for home consumption, and sales • Qualitative and quantitative data and analysis on consumers' knowledge and awareness about nutrient-rich foods • Data on the sources of information consumers rely on (e.g., formal or informal, public or private) regarding nutrition, diets, and health 	<ul style="list-style-type: none"> • Manuals and training guides established and in use for university courses and regional workshops • Tools and certification guidelines established and shared with value-chain actors • Value-chain case studies presented to private sector and food industry • Value-chain integration with nutrition courses and workshops made available and used • Database on the nutritional value of lesser known and local foods developed and available as open access and used • Better understanding of households' food purchasing and production patterns, the role of markets, and who uses them is used to promote healthy and diverse diets relying on local biodiversity

(continued)

Activities	Outputs	Outcomes
OBJECTIVE TWO: To strengthen our understanding of how agricultural biodiversity and the production of LTFs and NUS can lead to a rise in disposable income for smallholder farmers, and what mechanisms can be instilled to use this additional income on nutritious commodities for the household		
<ul style="list-style-type: none"> • Use quantitative and qualitative research tools to (1) define the mechanisms and incentives of how smallholder farmers can increase their income and competitive advantages by producing nutritious LTFs sourced from agrobiodiverse systems; (2) determine how women farmers can be linked in as producers and processors of nutrient-rich foods along the value chain, or as ingredient suppliers to commercial manufacturers of specialized, local, commercial products for nutritionally vulnerable populations • Select value chains and carry out analysis to identify and test ways of enhancing (or preserving) the nutrient content of select LTFs or NUSs along the value chain • Collect quantitative and qualitative data on traditional knowledge relating to the production, storage, and marketing of nutritious products from agrobiodiverse systems and use these data to replicate best practices and useful traditional knowledge among smallholder farmers, farmers associations, and national governments • Develop and apply research tools to understand how the preservation and sustainable management of public biodiverse ecosystems can provide income-generating possibilities for local populations and how this extra income can lead to better dietary diversity and quality 	<ul style="list-style-type: none"> • Greater understanding of how agricultural biodiversity and the production of LTFs and NUSs can increase income of smallholder farmers and women in particular • Value chains for nutritious LTFs with greatest potential for improving nutrition identified and prioritized for research • Best practices on production, storage, and marketing of nutritious products from agrobiodiverse systems identified and documented • Technologies identified for different commodities, in areas such as domestication of neglected and underutilized foods 	<ul style="list-style-type: none"> • Value-chain analysis used by value-chain actors to identify new opportunities to enhance (or protect) the nutritional value of LTFs and NUSs along the value chain • Best practices identified and disseminated for improving the nutritional content of local foods along the value chains

APPENDIX 7. 2010 STATUS OF MICRONUTRIENT DENSITY IN HARVESTPLUS CROPS UNDER DEVELOPMENT

[expressed as increases in parts per million (ppm)]

	Nutrient	Baseline average in crop	Desired increment in crop	Progress in genoplasm currently in crop development at the CGIAR cumulative increase (ppm)	Current progress in deployment in GxE trials out to target NARES (cumulative increase (ppm)	Projected initial release (as multiple nutrient level)	Projected year of first release	Target Countries
Rice	Zinc	16	8	8	3 <i>in Bangladesh</i>	24	2012 <i>accelerated breeding</i>	Bangladesh India
Wheat	Zinc	25	8	6-8	GxE trials 2010/2011 cycle	31-33	2013	India Pakistan
Maize Hybrid	Provitamin A	0	15	8-12	Data available June 2010	5-8	2012-13	Zambia
Maize OPV	Provitamin A	0	15	4-5	Data available June 2010	4-5 6-8	2011 2015	Zambia
Cassava	Provitamin A	0	15	14 <i>pre-breeding CIAT</i>	9.5 <i>total carotenoids</i>	9 <i>total carotenoids</i>	2013 <i>accelerated breeding</i>	Nigeria DR Congo
Pearl Millet Hybrid	Iron	47	30	N/A	Data available Feb 2011	67	2012 <i>fast-track hybrids</i>	India
Pearl Millet OPV	Iron	47	30	50 <i>in progeny lines</i>	30	77	2011	India
Bean	Iron	50	44	30	20	74	2010-11	DR Congo Rwanda
Orange Sweet Potato	Provitamin A	0	30 <i>= approx. 110 on dry matter basis</i>	200-360 <i>dry matter basis</i>	360 <i>dry matter basis</i>	360 <i>dry matter basis</i>	2009-10 <i>First variety released 2007</i>	Uganda Mozambique

APPENDIX 8. SUMMARY OF EXISTING AND PLANNED ACTIVITIES ON PREVENTION AND CONTROL OF AGRICULTURE ASSOCIATED DISEASE

Theme	Country/Region	Activity	Partners	Funders
Prioritisation and systems understanding	Developing countries	Systematic review of zoonoses with a wildlife-livestock interface	ILRI, RVC	DFID
	Nigeria	Assessing the importance of food-borne disease in the beef value chain in Nigeria	ILRI	World Bank
Risk and socioeconomic assessment	East, South and West Africa	Quantifying weather and climate impacts on health	Liverpool University, ICTP, CSE, CSIC, ECMWF, and others	EC
	Eastern Africa	Mapping, examining and anticipating future risks of water-related vector-borne diseases in eastern Africa	TCD, ICTP, ILRI, others	EC (under CRP7)
	East, South and West Africa	Food safety in informal markets	CSSRS, FUB, African universities	BMZ
	Kenya	Integrated Response System For Emerging Infectious Diseases in East Africa	ICIPE, KEMRI, ILRI	Google Foundation
	Kenya	Enhancing prevention and control of Rift Valley Fever in East Africa by intersectoral assessment of control options	ILRI, Ministry	AHRP
	Kenya	Mycotoxin diagnostics platform and application to national program maize breeding	ILRI/Cornell/CSIRO/Kenya/Tanzania/QAAFI	AusAID
	Kenya	Understanding aflatoxin accumulation in maize and evaluating strategies to reduce human exposure in East Africa	Cornell/U Maryland/ILRI	StART/Nelson Lab/AusAID
	Kenya	Measuring and mitigating the risk of mycotoxins for poor milk and maize consumers in Kenya	ILRI, IFPRI, University of Nairobi, Leeds University, MTT	MFA, Finland
	Kenya	Safe Food, Safe Dairy—assessing mycotoxin risk in dairy households	University of Nairobi, ILRI	Embassy of Finland
	Kenya Mali	Analyze impact of aflatoxin on the livelihoods and health of people in Kenya (maize) and Mali (groundnuts). Map areas at highest risk, identify cost-effective control measures to reduce exposure to aflatoxins, and disseminate findings to key stakeholders and policymakers.	IFPRI, CIMMYT, ICRISAT, University of Pittsburgh, USUHS, KARI, IER, ACDI/VOCA, and the East African Grains Council	Bill & Melinda Gates Foundation
	Kenya	Epidemiology of zoonoses among livestock and their keepers in East Africa	University of Edinburgh, ILRI	Wellcome Trust
	Kenya	Zoonoses in western Kenya	University of Edinburgh, ILRI	BBSRC
	Senegal	Climate change and aflatoxin exposure	Leeds University/IITA/University of Thies	AHRP
Risk assessment and management	Africa and Asia	Avian influenza control	IFPRI/ ILRI/ Others	DFID
	Kenya	Measuring, mapping, monitoring and mitigating drivers of the emergence of zoonotic and food-borne diseases: a case study	University of Edinburgh, ILRI	MRC

(continued)

Theme	Country/Region	Activity	Partners	Funders
Risk management	Africa	Participatory Epidemiology for improved disease control	ILRI, PENAPH	Rockefeller
	Africa	Bio-pesticide registration workshop with specific focus on aflatoxin control	USDA/IITA/IR-4	US Department of Agriculture (USDA)
	Africa	Early Detection Reporting Surveillance: Avian Influenza in Africa (EDRSAIA)	ILRI, AU-IBAR	USAID
	Kenya, Ghana, Sierra Leone, Zimbabwe, Zambia	Dynamic Drivers of Disease in Africa: Ecosystems, livestock/wildlife, health, and well-being	STEPS, ILRI, University of Edinburgh, other partners	ESPA
	East and central Africa	Pro-poor dairy policy and improved milk safety for value addition in the dairy chain	ASARECA, ILRI	EC
	Burkina Faso	Biocontrol development for mycotoxin control	IITA/USDA/INERA	Austrian Development Agency
	Kenya	Maize varietal differences in susceptibility to mycotoxins	Cornell/ILRI	
	Kenya	Filter paper test for milk quality and improved milk transport and holding containers	Diagnostics for all, Intellectual Ventures, ILRI	Bill & Melinda Gates Foundation
	Kenya Nigeria	Development and Commercialization of Biological Control of Aflatoxins in Nigeria and Kenya	IITA	Bill & Melinda Gates Foundation
	Malawi, Tanzania	Groundnut variety improvement for yield and adaptation, human health and nutrition; Postharvest value-chain technology improvements in groundnuts in Malawi and Tanzania, and innovative communication media and methods for more effective control of aflatoxins in groundnuts	ICRISAT/NASFAM / NARS/ Lilongwe Central Hospital /SUA/ Danish Management	McKnight Foundation/CCRP
	Mozambique, Zambia	Development and Commercialization of Biological Control of Aflatoxins in Mozambique. Field activities related to local beneficial fungi biocontrol. Training of farmers, government staff, and traders.	IITA, Ministry of Agriculture, local universities, University of Arizona.	USDA
	Nigeria	Assessing the impact of avian influenza control in Nigeria	ILRI	World Bank
	Nigeria, Kenya	Efficacy trials, registration, large-scale farmers' trials, lab-scale manufacturing, sensitization for mycotoxin biocontrol	IITA/USDA	AATF
	Nigeria	Large-scale farmers' trials, sensitization, farmer training – biocontrol for mycotoxins	IITA	MycoRed
	Nigeria	Low-cost manufacturing method development	USDA/IITA	USAID funds through IITA
	Senegal	Biocontrol R&D including demonstration trials	IITA/DPV/University of Thies /USDA	Fondation Agir pour l'Education et la Santé (FAES)
	South east Asia – 6 countries	Ecosystem approaches to the better Management of Zoonotic emerging infectious diseases(EID) in south East Asia	ILRI, CMU, UGM	IDRC
	India	Generating evidence to support enhanced traditional dairying in India	ILRI	OPEC
	Vietnam	Reducing disease risks and improving food safety in smallholder pig value chains in Vietnam	ILRI, HSPH, AU	ACIAR (proposal being finalized)

APPENDIX 9. FROM COMPONENT 3 (AAD)

Appendix Table A9.1. Detailed activity to impact plan for initial priority areas (food safety and zoonoses)

Activities	Outputs	Outcomes	Impact
Mycotoxins			
(1) Measurement and detection methods			
<ul style="list-style-type: none"> • Survey along value chains; assess contamination in key crops across agroecological zones • Initiate development of new detection methods in collaboration with ARI partners • Conduct food consumption and mycotoxin exposure surveys with health experts • Analyze mycotoxins in crops, strain composition in soil, and environmental variables to develop prediction models for mycotoxins • Assess the retention of these toxins during processing; modify processing methods 	<ul style="list-style-type: none"> • Risk maps showing magnitude of mycotoxin contamination in groundnut, maize, and other key crops • Groundnut and maize value chain mapped with critical control points in different agroecological zones • Survey results showing mycotoxin exposure in human population • Long-term: Diagnostic relationship between aflatoxin levels in blood and nutritional status of children • Surveillance systems for adoption by regulatory agencies • Prediction models for occurrence of mycotoxins 	<ul style="list-style-type: none"> • Prediction models used by governments, agencies, and national and international organizations • New cost-effective detection tools used routinely by actors along the value chain, including exporters 	<ul style="list-style-type: none"> • Reduction in aflatoxin incidence resulting from effective government policies
(2) Identify intervention opportunities and their costs; understand behavioral issues affecting their adoption			
<ul style="list-style-type: none"> • Identify and test new atoxigenic strains of <i>A. flavus</i> and other new biocontrol agents for maize and groundnut • Develop and test novel aflatoxins control • Test aflatoxin mitigation technologies in farmers' fields (maize and groundnut) • Assess farmers' willingness to pay for pre- and postharvest management options • Assess cost-effectiveness (CEA) of control measures; analyze cost and benefits (CBA) to producers of technologies' adoption • Develop alternate pathways to channel contaminated products for nonfood uses to reduce human exposure • Promote processing methods to reduce retained plant toxins 	<ul style="list-style-type: none"> • New strains for biocontrol of <i>A. flavus</i> identified • Appropriate pre- and postharvest aflatoxin management packages, based on CBA and CEA, targeted to specific farming systems • Long-term: Simple, rapid technologies for mycotoxin detection at field level • Alternative uses of contaminated products identified and promoted • A publicly accessible database on mycotoxins and relevant technological interventions 	<ul style="list-style-type: none"> • New strains for biocontrol of <i>A. flavus</i> promoted • 10% of farmers in selected countries adopt relevant technologies by 2015 • On-farm management practices (using biocontrol and resistant cultivars from MP3s) reduce levels in target countries by 70% • Risk of exposure to mycotoxins reduced by 80% in pilot sites • New biocontrol agents adopted by farmers in selected countries 	<ul style="list-style-type: none"> • Improved rural livelihood, health, and nutritional status of the targeted community as a result of reduced aflatoxin contamination

(continued)

Appendix Table A9.1 (continued)

Activities	Outputs	Outcomes	Impact
(3) Capacity building and information			
<ul style="list-style-type: none"> • Train NARS on detection tools • Develop flyers and videos in local languages to increase awareness at different levels • Develop a database of levels of mycotoxin contamination and relevant technological interventions 	<ul style="list-style-type: none"> • Policy advocacy platform to share information on risk associated with mycotoxins and their impact on livelihoods • Greater awareness of mycotoxins and associated health risks, among research collaborators, farmers, and consumers 	<ul style="list-style-type: none"> • Farmers and consumers in high-risk target regions have knowledge of mycotoxins and associated health risks, and methodologies / technologies for minimizing contamination. • Farmers and consumers are willing to adopt risk reduction measures. • Consumers are willing to pay a price differential for products with guaranteed low risk of mycotoxin exposure. 	<ul style="list-style-type: none"> • Improved rural livelihood, health, and nutritional status of the targeted community
Biological Hazards			
<p>Activities</p> <ul style="list-style-type: none"> • Contribute to assessment of the multiple burdens of FBD • Develop and validate participatory approaches to prioritizing food-borne hazards • Develop and validate rapid tests for food-borne pathogens • Test surveillance models and provide evidence for better surveillance of FBD • Develop One Health collaborations for on-farm risk reduction that address equity, participation, and ecological aspects • Improve epidemiological understanding of transmission, susceptibility, and control • Develop and test risk mitigation innovations and strategies • Develop and test risk communication strategies • Assess the impact of innovations and strategies 	<p>Outputs</p> <ul style="list-style-type: none"> • Risk-targeting decision support tools • Metrics and assessments of multiple burdens of food-borne disease • Evidence and influence for more appropriate policy • Novel rapid tests developed, tested, and shared • Novel technologies developed, tested, and shared • Strategies for risk management • Surveillance system guidelines and models • Risk communication to multiple stakeholders using multiple channels and media 	<p>Outcomes</p> <ul style="list-style-type: none"> • More rational allocation of FBD resources reflecting broader societal concerns • Better detection and reporting of FBD • Better management of FBD 	<p>Impact</p> <ul style="list-style-type: none"> • Improved livelihood, health, and nutritional status of the targeted community

(continued)

Appendix Table A9.1 (continued)

Activities	Outputs	Outcomes	Impact
Plant Toxins			
(1) Measurement and detection methods			
<ul style="list-style-type: none"> Evaluation of low toxin lines in target region, for farmers' participatory selection in SA and SSA 	<ul style="list-style-type: none"> Research reports that inform stakeholders of the potential risk of plant toxin lines 	<ul style="list-style-type: none"> Policymakers use information and institute regulations 	<ul style="list-style-type: none"> Enhanced agricultural production, reduced malnutrition, and better health
(2) Identify intervention opportunities, their costs, and understand behavioral issues effecting their adoption			
<ul style="list-style-type: none"> Evaluate farmers' preferred varieties through partners and NGOs Seed multiplication of farmers' preferred varieties in each partner country 	<ul style="list-style-type: none"> Improved varieties with low toxins (ODAP in lathyrus, cynide in cassava, vicine and tannin in faba bean, phytate and raffinose family oligosaccharides in most legume crops) New trait-specific donors for traits associated with high nutritional value Adoption of improved varieties and production technologies in the target regions 	<ul style="list-style-type: none"> Farmers' adoption of cost-effective measures to minimize exposure to plant toxins 	<ul style="list-style-type: none"> Enhanced agricultural production, reduced malnutrition, and better health
(3) Capacity building and information			
<ul style="list-style-type: none"> Community-based capacity building on maintaining genetic purity of adopted varieties, production of quality seeds, agronomic practices, and food processing methods to manage risk of plant toxins 	<ul style="list-style-type: none"> Enhanced capacity of NARS in conventional and molecular breeding, crop management, and seed production technologies Policy briefs/dialogues/advocacy to promote cultivation through proper infrastructure and seed support, value-addition, and linkage with markets at local level Publication of peer-reviewed research articles, datasets, and learning materials 	<ul style="list-style-type: none"> Enhanced access for the poor to safe food 	<ul style="list-style-type: none"> Enhanced agricultural production, reduced malnutrition, better health, gender equity, and strengthened NARS capacity

(continued)

Appendix Table A9.1 (continued)

Activities	Outputs	Outcomes	Impact
Pesticides and residues:			
(1) Measurement and detection methods			
<ul style="list-style-type: none"> • Analyze the market structure of pesticide use in developing countries, including fraud incidence • Understand the intensities of use and common practices across different regions • Conduct food consumption and pesticide exposure surveys with health experts 	<ul style="list-style-type: none"> • Research reports that inform stakeholders of the potential risk of excess pesticide use 	<ul style="list-style-type: none"> • Policymakers use information and institute regulations 	<ul style="list-style-type: none"> • Improved health from reduced acute and chronic exposure
(2) Identify intervention opportunities and their costs; understand behavioral issues effecting their adoption			
<ul style="list-style-type: none"> • Evaluate cost of compliance with private food safety standards for various size producers • Evaluate cost-effective feasible strategies to reduce exposure to pesticides arising from consumption of produce, use by producers, and handling and disposal • Understand consumers' willingness to pay for products with certified low risk; identify institutional mechanisms to certify produce as safe in terms of pesticide use 	<ul style="list-style-type: none"> • Research reports to inform policies that minimize the crowding out effect of private food safety standards • Mechanisms identified to improve agricultural practices • Identify cost-effective ways to maintain productivity with reduced exposure to pesticides 	<ul style="list-style-type: none"> • Reduction of crowding out effect • Farmers' adoption of cost-effective measures to minimize exposure to pesticides 	<ul style="list-style-type: none"> • Improved health from reduced acute and chronic exposure
(3) Capacity building and information			
<ul style="list-style-type: none"> • Research and evaluation in support of harmonization of minor use registration of agrochemicals to increase availability in developing countries • Develop cost-effective decision support tools for pesticide applications such as improving integrated pest management to reduce pesticide use (particularly of highly toxic pesticides) especially in peri-urban areas adapted to resource-poor farmers 	<ul style="list-style-type: none"> • Policy recommendation for harmonization of minor use registration of agrochemicals to increase availability in developing countries • Maintain or enhance the poor's markets access and improve their profitability and food safety 	<ul style="list-style-type: none"> • Policies implemented to harmonize minor use of registration of agrochemicals • Enhance access to the poor of safe food 	<ul style="list-style-type: none"> • Improved health from reduced acute and chronic exposure • Improved access for the poor to markets with better health practices

(continued)

Appendix Table A9.1 (continued)

Activities	Outputs	Outcomes	Impact
Measure and map the multiple burdens of zoonoses and consequences			
<ol style="list-style-type: none"> 1. Review the impact (disease and economics) and control of zoonoses 2. Work with international organizations to complement and ground truth ongoing studies 	<ol style="list-style-type: none"> 1. A global assessment of the multiple burdens of zoonoses and intervention opportunities 2. More detailed assessment of 1-2 known priority diseases 	<ol style="list-style-type: none"> 1. Greater awareness of health partners of the importance of zoonoses and need for agriculture-based interventions 2. Funding opportunities developed that reflect intervention opportunities 	<ol style="list-style-type: none"> 1. Zoonoses control activities partly attributable to shift in awareness funded and delivering health and livelihood benefits to poor people
Predict, plan for, and prevent disease emergence from agroecosystems			
<ol style="list-style-type: none"> 1. Understand drivers and crucibles of disease emergence 2. Develop pathogen detection platforms 	<ol style="list-style-type: none"> 1. Surveillance and control options based on improved understanding of disease 2. Diagnostics that take into account variants in circulation 	<ol style="list-style-type: none"> 1. Tools and guidelines being used by national and regional partners 2. Shift in mind-sets and policies toward ecohealth solutions 	<ol style="list-style-type: none"> 1. Improved detection and reporting of EID reducing threats to health and livelihoods 2. More resilient ecosystems reducing risk of EID
Better control of neglected zoonoses			
<ol style="list-style-type: none"> 1. Understand the role and effectiveness of current institutions to monitor and control for zoonosis 2. Develop partnerships 3. Co-develop and test integrated zoonosis control for one or more priority diseases 	<ol style="list-style-type: none"> 1. Evidence, tools, and methods for integrated zoonosis control tried by development partners 	<ol style="list-style-type: none"> 1. Tools and guidelines being used by national and regional partners 2. Shift in mind-sets and policies toward one health solutions 	<ol style="list-style-type: none"> 1. Integrated zoonoses control delivering health and livelihood benefits to poor people and addressing needs of poor (including women and other vulnerable groups)

APPENDIX 10. EXAMPLES OF INTEGRATED AGRICULTURE, HEALTH, AND NUTRITION PROGRAMS THAT COULD BE INCLUDED IN THE FIRST PHASE OF RESEARCH UNDER COMPONENT 4.1

Helen Keller International and IFPRI (South Asia and West Africa)

The Enhanced Homestead Food Production (E-HFP) program, supported by Helen Keller International (HKI), has been ongoing in a number of countries in Southeast Asia, including Bangladesh, Cambodia, Nepal, and the Philippines. It is now being tested in select African locations. HKI has also worked closely with the International Potato Center (CIP) in introducing orange-fleshed sweet potatoes (OFSP) in a number of countries in Africa; OFSP is one of the products being promoted in E-HFP in that region.

Goal: The program model is expected to improve maternal and child nutrition outcomes through a number of program impact pathways, including household-level production and consumption of high quality foods; increasing income through the sale of food surpluses; improving knowledge, attitudes and practices in regard to nutrition through the behavior change component; strong linkages with local health systems to improve uptake of essential services; and empowering women through increased knowledge, control over income, and program components that address gender equity issues.

Interventions: HKI works with local partner NGOs by first creating Village Model Farms (VMFs). Each VMF serves approximately two groups of 20 households each; these are reached through contact groups comprising primarily female farmers (known as “mothers groups”). These groups are provided with valuable production inputs, including seeds, seedlings, saplings, improved animal breeds, and feed and medicine for poultry and livestock, as well as improved cultivation techniques. Within the mothers groups, nutrition education is integrated into the agricultural program activities, thus encouraging women to adopt optimal dietary practices using the foods produced. The active involvement of local health staff in the program helps to reinforce key messages promoting optimal nutritional practices and extends the reach of the nutrition education component far beyond the members of the mothers groups.

Target population and reach: Since HKI launched the E-HFP program over two decades ago, over 5.5 million people have been directly reached (representing about 950,000 families), through work with more than 200 NGO partners in Bangladesh, Cambodia, Nepal, and the Philippines. Many millions more have indirectly benefited from spillover effects arising from the surplus of nutritious foods entering the local marketplace.

Early evidence of what works: Evaluation results have shown that E-HFP has increased production of nutritious crops and animal-based foods, improved dietary diversity, and increased income (especially under control of women), while it has increased female empowerment in family decisionmaking. In some countries, anemia prevalence was decreased in target children (6–59 months old) and nonpregnant women, and night blindness was reduced in children 12–59 months old. Evaluations show that the effects of the program survive long after HKI involvement has ended. The E-HFP model has received international awards as a *proven program* for addressing hunger and malnutrition at scale. In 2009, HKI’s E-HFP program in Bangladesh was selected as one of 27 case studies out of 250 applications for *Millions Fed: Proven Successes in Agricultural Development*, an initiative of the International Food Policy Research Institute (IFPRI) funded by the Bill and Melinda Gates Foundation.

Gaps in the program: An overarching gap is the need to evaluate the model’s impact on child nutrition, particularly on growth, as well as to deepen our understanding of the various program pathways. In addition, cost-effectiveness, including the scalability of the model, needs to be better documented. Another gap relating to program design pertains to addressing specific deficiencies in local dietary patterns through appropriate horticultural and small animal production strategies. We also need to explore the feasibility of adapting the current Asia Pacific model to address the food and nutrition security needs of the ultra-poor and the landless. Another high priority is how to adapt the model to Sub-Saharan Africa,

where there are severe constraints relating to water availability, weak government infrastructure, and few nongovernmental partners.

How CG can help: The CG centers can bring state-of-the-art knowledge in several areas: crop breeding (for enhanced nutritional value, drought and pest resistance, yield), livestock management and improvement (with a focus on poultry and small ruminants), integrated pest management, and water management systems. The system-wide Gender and Diversity program will provide valuable input for overall gender analysis and development of strategies for empowering women. IFPRI will play a key role in developing the monitoring and evaluation framework that will be necessary for mainstreaming these programs. The CG centers' credibility with the agriculture and food policy communities will be key in repositioning the E-HFP model, creating a strategic opportunity to harness agricultural programs to improve nutrition and livelihoods.

“Realigning Agriculture to Integrate Nutrition” (RAIN) Concern and IFPRI (Zambia)

With the support of a grant from Irish Aid for 2010, Concern Worldwide and the International Food Policy Research Institute (IFPRI) are working together to develop an innovative project, Realigning Agriculture to Integrate Nutrition (RAIN). The program reconceptualizes traditional livelihoods and food security programs, focusing on preventing stunting in children under the age of two years.

Goal: This new project will be implemented on an agricultural platform to reduce maternal and child undernutrition. The project is to generate evidence and inform policy at national, regional, and global levels, exploring how agricultural projects can contribute to the reduction of childhood stunting.

Interventions: The RAIN project will examine the combined potential of a targeted agricultural project that incorporates support for home/community food production and small animal husbandry, together with a strong nutrition and health intervention package.

Target population and reach: The project will be implemented in Mumbwa District, Central Province, Zambia. Activities will address agricultural and nutrition practices of approximately 3,000 households with pregnant and/or lactating women and children below the age of two years. The project will be implemented in very close collaboration with the two key line ministries, the Ministry of Agriculture and Cooperatives and the Ministry of Health, at both national and local levels. This is to ensure sustainability from the beginning by involving necessary stakeholders, as well as to develop a feasible project model that can be replicated in other areas.

Early evidence of what works: As the project is still in the design phase, there are no indications yet. However, the design of the project takes into account evidence generated in other projects in related areas: homestead food production, infant and young child feeding practices, women's empowerment, and programs addressing micronutrient deficiencies using a food-based approach.

Gaps in the program: The project will be set up specifically to monitor and evaluate the impact pathway from agriculture to nutrition. It will concentrate on activities around this pathway and the additional health and nutrition package, especially the behavior change communication component). It will not emphasize other pathways, such as strengthening of the health system, water, sanitation and hygiene, and treatment of HIV (ART).

How CG can help: Technical expertise from various CG centers could greatly benefit the project, especially IFPRI (as project partner). Also valuable will be links with ILRI, World Fish, and IITA, as well as related institutes and programs such as HarvestPlus and the World Vegetable Center. As a route for publication and dissemination of findings, the CG system will likely add weight, positioning the resulting model for adoption beyond the country of implementation.

Millennium Villages with Bioversity and IFPRI (Sub-Saharan Africa and example of humid tropics)

The Millennium Villages Project (MVP) is a 10-year rural development project that involves the coordinated delivery of scientifically-proven interventions in agriculture, health, infrastructure, education, and business development. Millennium Village Project sites are drawn from hunger “hot-spots,” with an estimated underweight prevalence of at least 20 percent. Village clusters averaging approximately 40,000 people were selected to represent the major agroecological zones and farming systems in Sub-Saharan Africa, presenting a range of challenges relating to income generation, food security, disease ecology, infrastructure, and health system development.

Goal: The aim of the MVP is to accelerate progress toward the Millennium Development Goals (MDG) targets: MDG 1—to eradicate extreme poverty and hunger and eliminate undernutrition; MDG 4—to reduce by two-thirds the under-five mortality rate; and MDG 5—to reduce by three-quarters the maternal mortality ratio.

Interventions: The villages are demonstration and testing sites for the integrated delivery of science-based interventions in health, education, agriculture, and infrastructure. Within the project, hunger and undernutrition are being addressed with an integrated food- and livelihood-based model that delivers a comprehensive package of health and development interventions.

- Community-wide interventions support food and livelihood security: subsidized seed and fertilizer to increase agricultural productivity; the introduction of high-value and nutritious crops; agro-processing initiatives; and microfinance programs to stimulate small-business development.
- A community health worker program promotes exclusive breastfeeding and locally appropriate complementary feeding, home-based fortification, and proper food storage techniques.
- Clinical interventions focus on persistent macro- and micronutrient deficiencies in children, including vitamin A supplementation, treatment of severe acute malnutrition, and regular growth monitoring.
- For cases of moderate malnutrition, families receive InstaFlour (the United States Agency for International Development [USAID]) or locally made nutrient-rich flour consisting of millet, soybean, sorghum, cassava, and groundnuts.
- Basic maternal health interventions such as antenatal care and institutional delivery are supported by efforts to promote adequate weight gain, along with iron and folic acid supplementation.

Target population and reach: Millennium Villages are located in Ethiopia, Ghana, Kenya, Malawi, Mali, Nigeria, Rwanda, Senegal, Tanzania, and Uganda. These countries were chosen to reflect a diversity of agroecological zones, representing the farming systems found in over 90 percent of Sub-Saharan Africa.

Early evidence of what works: Three years after the start of this 10-year project, the risk of stunting among children under two-years-old was reduced by 55 percent, with corresponding improvements in household food security, childcare practices, and infectious disease control across rural sites in nine African countries.

Gaps in the program: The use of historical controls, the uniqueness of project settings, and the multifactorial determinants of undernutrition limit definitive causal statements and impact assessment studies.

How CG can help: CG can bring new tools and methodologies for AHN implementation research, strengthen evaluation to establish causality, document lessons learned and impact pathways, formulate scaling-up strategies and comparison of impact and cost-effectiveness to other programs, and serve as an effective partner in local and national capacity building.

Agriculture Diversity for Nutrition, McGill University, Kenyatta University, National Museums of Kenya, Université de Abomey Calavi, and Bioversity (East, West, and Southern Africa)

Goal: This project investigates the factors underpinning the persistent rise in malnutrition in communities in Kenya, Benin, and South Africa. The project assesses existing strategies based on targeted single or multi-nutrient interventions—exploring how interventions based on local ecosystems and human resources can provide sustainable solutions to hunger and malnutrition, identifying the actual and potential contributions of local biodiversity to diets, and appraising the impact of ecosystem degradation on nutrition and health status. The research is also attempting to identify and mobilize biodiversity resources and biodiversity stakeholders by working with local communities as well as drawing on outside expertise in health, agriculture, environment, and development, in order to achieve transdisciplinary strategies for better health. These research results and outcomes may also serve as models in similar ecosystems and environments in Africa and other developing regions.

Interventions: The interventions targeted increasing the biodiversity within the study communities' food systems and then studying the effects of the increased agro-biodiversity and food availability on nutrition and health outcomes of under-five children. To ensure increases in the diversity of foods in communities' food systems, collaborating local food producers were provided with seeds of local but neglected food crops and were trained in mixed cropping systems.

Target populations and reach: Women farmers and children under five years of age in rural agriculture systems of Kenya, Benin, and South Africa.

Early evidence of what works: The experience from the first phase of the project affirmed the need for a comprehensive evidence base for designing coherent interventions to conserve and utilize food biodiversity, adapted to a wide range of situations, food systems, and ecosystems. Results to date have made important contributions to national and regional policies, through the wider recognition of the strong links between agro-biodiversity conservation, food, and nutrition.

Gaps in the program: Experience from the first phase also demonstrated the need for more data, and for further empirical demonstration of the contribution of biodiversity to positive health outcomes, to justify and guide policy changes and program implementation, and to shape specific nutritional interventions that build on local biodiversity resources.

How CG can help: This project needs to be scaled-up and tested in other food systems, in order to provide convincing empirical evidence of whether (and how) local food systems and biodiversity affect child nutrition and health outcomes.

Catholic Relief Services (Asia, Africa, and Latin America)

CRS has a strong integration component in its relief and development activities across all sectors.

Goal: Within the organization's current Agriculture and Environment Strategy (2009–2014), the pillar on Agriculture for Nutrition focuses on delivering improved nutrition and clean water.

Interventions: CRS conducts a huge number of programs in agriculture, nutrition, and health globally, including

- Kitchen and community gardens;
- Education on labor-saving techniques, such as trench and keyhole gardens for the elderly and sick, including people living with HIV;
- “Baby-friendly farms” for breastfeeding women;
- Silos and other food-storage buildings;
- Junior Farmer Field Schools for orphans and vulnerable children affected by HIV and AIDS;
- Local production and marketing of vitamin- and mineral-rich foods like sweet potatoes and beans;

- Education in nutrition, diet diversity, sanitation, and food-handling practices; and
- Identification of social, physical, or cultural barriers that prevent people from using healthy behaviors, such as washing hands before preparing food, or breastfeeding exclusively during an infant's first six months.

In addition, CRS has put increased resources toward integrating water and sanitation interventions with agricultural programs to improve the health of vulnerable populations. Several models are used to conduct this work, such as the Hearth model and Participatory Hygiene and Sanitation Transformation (PHAST), which focus on community participation and leadership.

Target populations and reach: Due to the scale of CRS global operations, CRS can offer this partnership numerous projects of varying focus, scale, and geographic location, ranging from several thousand to several hundred thousand households, located in Asia, Africa, and Latin America.

Early evidence of what works: M&E indicators—such as improved agriculture production, change in crops grown, dietary diversity, reduction in stunting and underweight in children, change in behavior of mothers and caregivers—have been used to monitor and document the successes of projects over the last 10–15 years.

Gaps in the program: The Agency would be very interested in increasing the visibility of its work to a more general audience, through additional reports, case studies, and in-depth project evaluations.

How CG can help: CG can provide in-depth analysis of different technical approaches in the field to evaluate what works, where, why, and how; it can also support data analysis, peer review, and report and article production. Recent approaches and innovations from the CGIAR and universities (e.g., varieties from HarvestPlus) might be incorporated into our work and scaled up.

Projects Working with Unique Agriculture Systems with the Integration of Nutrition and Health

Food for Progress Project and ICRAF (North and Northwest Regions of Cameroon)

Fifteen years ago, ICRAF initiated the *Food for Progress Program* as a development project in the north and northwest provinces of Cameroon to address the loss of the nutritious foods formerly gathered from forests, and the potential importance of trees to restore soil fertility. In 2010 the project was awarded USAID's Equator Prize.

Goal: The project aims to empower smallholder farmers to lift their households out of poverty, malnutrition, and hunger, while at the same time creating more environmentally and socially sustainable farming systems.

Interventions: Using participatory approaches, community tree nurseries are created to domesticate selected indigenous fruits and nuts, which before deforestation were gathered for foods and medicines. Rural Resource Centers (RRCs) provide training and mentoring at the village level. These RRCs have spun off 123 satellite tree nurseries in surrounding communities, supported by NGOs, CBOs, etc. New skills are developed at the community level through training and capacity building: restoration of soil fertility by planting nitrogen-fixing trees and shrubs alongside food crops; tree propagation and nursery management; tree domestication using simple, low-technology horticultural techniques; group dynamics and community project management; marketing, business skills, and management; and the use of microfinance.

Target population and reach: Currently the project is working with 7,095 farmers and about 50 entrepreneurs in 485 widely-dispersed communities across the region, centered around 7 RRCs located in lowland rainforest and in the denuded Bamenda Highlands. There have been many positive and few negative outcomes.

Early evidence of what works: Villagers have identified 31 positive impacts, including substantial income generation; the creation of employment and business opportunities in value-adding processing; retention of youths in the villages; doubled or trebled crop yields; diversified and more balanced diets (fruits and nuts, vegetables, meat, and honey); delivery of potable water piped in from hillside springs (and other infrastructure improvements), due to community-level planning and development; reduced workload for women (allowing more time to attend to family needs); and improved health of community members (Tchoundjeu et al. 2006; Asaah et al. 2010).

Gaps in the program: Currently the impacts on the nutrition and health of the participating communities are not being quantitatively assessed. Nor is there any work in progress to develop this project as a model for “Transformed Agriculture”—focusing on the use of agriculture to promote improved health and nutrition.

How CG can help: The application of the research agenda of CRP4 Component 3 within the project communities should generate important information about the nutritional value of traditional and underutilized foods, providing critical evidence of the importance of domesticating these once-plentiful species as components of farming systems. The available time frame (1–14 years) will offer opportunities to capture the dynamics of nutritional and health changes.

East Africa Dairy Development Project, ILRI, and Emory University (East Africa and example of agro-pastoral system)

Goal: The project is a large-scale intervention with the objective of doubling the dairy income in poor agro-pastoralist communities. The Bill and Melinda Gates Foundation, which funds the project, is also interested in ensuring the project provides additional welfare benefits, specifically improved child nutritional status.

Interventions: The project establishes dairy hubs organized around dairy farmer business groups to provide a steady market for the farm households, together with input and service provision through business development services.

Target populations and reach: The target populations will be 135,000 poor agro-pastoral households with indigenous cattle in Kenya, Uganda, and Rwanda.

Early evidence of what works: In collaboration with Emory University, ILRI is conducting a qualitative assessment of the potential pathways for dairy intensification to influence nutritional outcomes, including assessing the potential negative effects of livestock-associated health risks. The study is expected to raise awareness of the need and value of nutrition and health interventions to enhance nutritional outcomes.

Gaps in the program: Because the project was not originally designed to serve nutritional objectives, there is no component assessing opportunities for enhancing nutritional benefits.

How CG can help: The qualitative assessment is likely to suggest that a clear, positive nutritional impact would require additional measures to enhance the benefits (e.g., nutrition education) and to mitigate the risks (e.g., control of zoonoses). This could create an opportunity for undertaking a more holistic approach that also links to nutritional benefits through better crop diversity and quality.

KARI, PATH, and CIP (Western Kenya)

Vitamin A deficiency accounts for 6 percent of all deaths of children under five years of age and 5 percent of the total disease burden of children in this age group (as measured in disability-adjusted life years). Orange-fleshed sweet potato (OFSP) is an important source of energy and beta-carotene, which is converted into vitamin A in the body. Only 125 grams of most OFSP varieties supply the recommended daily allowance of vitamin A for children and non-lactating women. Evaluations of food-based

approaches using OFSP undertaken in Mozambique and Uganda have shown significant impacts on vitamin A intake and status (Low et al. 2007; HarvestPlus, July 2010).

Goal: In two HIV-affected Districts in Western Kenya, CIP and partners now want to provide solid evidence that it is possible to improve the health and nutrition of pregnant women and children up to age 2 years by integrating OFSP with health service delivery serving pregnant women.

Interventions: The intervention will include two intensity levels. The high-intensity intervention will use community health workers in conjunction with standing health facilities. It will also include community-based peer support through pregnant mothers' clubs. The low-intensity intervention will take place only at prenatal programs in standing health facilities. It will provide pregnant women with nutritional information on vitamin A-rich foods and young child feeding within existing programs, with no agricultural component. Almost all countries have prenatal programs, many of which provide nutritional advice to mothers. The low-intensity intervention constitutes a "minimum package" that most Sub-Saharan African countries could adopt and expand to scale, should it prove effective.

Target populations and reach: The target is to reach 900 pregnant women and their households during the intervention period of three-and-a-half years. Two major expected impacts are significant increases in consumption frequency of vitamin A-rich foods; and utilization of mother-child healthcare services. Partners include the Program for Appropriate Technology in Health (PATH); the Kenyan Agricultural Research Institute (KARI); local government stakeholders; and two NGO partners—Community Research in Environment and Development Initiatives (CREADIS), and Appropriate Rural Development Agriculture Program (ARDAP).

Early evidence of what works: Although the program only started in May 2010, PATH has found that one of the first facilities to distribute vouchers, Tamlega Dispensary, reported a 30 percent increase in first-time visits by pregnant women in their first and second trimesters, compared to the past three months. If this occurs in many other clinics, the voucher program may be a tool that helps antenatal care nurses serve more women earlier in their pregnancies, giving the pregnant women information they need to adopt healthy practices during pregnancy and, eventually, to ensure that their babies' nutrition and healthcare is good.

Gaps in the program: A major area for investment, still needing funding, is to study the effectiveness of linking OFSP distribution to de-worming efforts in community or school programs. Theoretically, improving intake of vitamin A while simultaneously lowering losses due to helminthic infections should substantially increase the effect on vitamin A status, above either intervention alone. The approach could also be extended as part of community-based nutrition programs, in addition to the use of health facilities as the entry point.

How CG can help: Test integration of additional crops (e.g., traditional African vegetables and fruit trees and their respective seed systems) and/or small-stock or poultry into the approach.

WorldFish (Bangladesh and example of aquatic system)

Fish and fisheries are important for the livelihoods, food, and income of the rural population in Bangladesh. However, increased rice production and changing agricultural patterns have resulted in a large decline in inland fisheries. Implementation of carp pond polyculture has been very successful, whereas little focus has been given to the commonly consumed small indigenous fish species, some of which are rich in vitamin A and minerals (such as calcium, iron, and zinc) and are an integral part of the rural diet. The program addresses an important element impairing the nutritional status of the rural poor: the decline in accessibility, increase in price, and decrease in intake of small indigenous fish species, as well as the increased intake of silver carp—the most commonly cultured fish species—which is poor in micronutrients and not preferred for consumption (Roos et al. 2007). An integrated approach was conducted jointly by Bangladeshi and Danish institutions, linking human nutrition and fisheries.

Goal: The overall objective of the research and capacity-building activities is to increase the production, accessibility, and intake of nutrient-dense small indigenous fish species, in particular mola, in order to combat micronutrient deficiencies.

Interventions: Activities include food consumption surveys; laboratory analyses of commonly consumed fish species; production trials of carp-mola pond polyculture; teaching, training, and dissemination of the results.

Target populations and reach: Rural Bangladesh, in areas with inland fisheries resources in households with small, seasonal ponds, as well as poor communities with access to wetlands.

Early evidence of what works: No decline in carp production (and thus in income) was found with the inclusion of mola, and increased intake of mola has the potential to combat micronutrient deficiencies. Teaching and training of graduates and field staff have led to increased awareness of the role of small indigenous fish species for good nutrition, resulting in the promotion of carp-mola pond polyculture and research in small indigenous fish species. The successful linking of human nutrition and fisheries to address micronutrient deficiencies has relevance for other countries with rich fisheries resources, such as Cambodia and countries in the Lake Victoria region of Africa.

Gaps in the program: Incorporation of behavior health communication with respect to nutrition and health education; strengthening of marketing and processing to increase utilization of nutrient-dense fish; and linkages to other rural development sectors, including health and education.

How CG can help: Influencing policy at the national level, building up a regional program with other Asian countries, dissemination at global, regional, and national levels, assisting in getting funding for research and field activities.

Human and Animal Health Research Unit at the Swiss Tropical and Public Health Institute (One Health Model)

Goal: The human and animal health unit aims to contribute to health of humans and animals by identifying and applying synergistic potential of closer cooperation between human and veterinary medicine, known as “one health.”

Target groups and the coverage area: The focus is primarily on the health of mobile populations and their animals, and, second, on the control of zoonoses in developing countries. Many of these activities are in the framework of larger international networks such as the European Union Framework Program 7 (EU FP-7), connecting research institutions in the north and south. Target groups are livestock keeping communities and consumers of livestock products in developing and transition countries: East Africa (Kenya, Ethiopia); West Africa (Chad, Mali, Côte d’Ivoire, Mauritania); and Central Asia (Kyrgyzstan and Mongolia).

Interventions: Mixed research teams, from the health and agricultural sectors, research topics ranging from molecular epidemiology to trans-sectoral economic assessment. Nutritional studies in pastoral communities of Chad (e.g., significant association between vitamin A/B-carotene content in milk consumed and serum retinol; higher proportion of malnutrition among mobile pastoralist women than sedentary women of the same region).

Early evidence of what works:

- Simultaneous assessment of zoonoses in the three sectors—health, livestock, and wildlife—generates more information on their epidemiology.
- Trans-sectoral economic assessment of costs of zoonoses provides the basis for valuing the financial contributions of each sector (public and private) involved in prevention and control of zoonoses.

- Assessment of response capacity in key sectors involved in prevention and control of epidemic zoonoses guides the planning of joint surveillance and contingency plans.
- Costs of human and animal health delivery services can be shared between sectors using the same infrastructure (e.g., vehicles and cool chain), particularly in remote rural areas.

Gaps in the program: Policy formulation is needed for national zoonoses control programs. In Chad, the government has initiated a policy formulation workshop involving a range of sectors, led by the Ministry of Finances.

How CG can help: Providing more evidence on the role of livestock in nutrition, health, and sustainability in arid and semi-arid regions.

Support to Household Food Security and Nutrition, FAO, and the Ministry of Agriculture (Afghanistan)

Goal: The project aims to contribute to improve household food security, nutrition, and the livelihoods situation in Afghanistan by addressing root causes of malnutrition such as fragile institutional capacities in coordination and implementation, limited knowledge on nutrition and improper feeding practices, limited access to food, especially during the winter seasons. The participation of women in agricultural development is addressed as a cross-cutting issue contributing to the goals.

Interventions: The project supports the integration of food security and nutrition in national policies and strategies by contributing to the intra- and interministerial and interagency dialogue and by developing the required capacities (i.e., Ministry of Agriculture, Ministry of Public Health, Ministry of Education, national NGOs, as well as development guidelines, etc.). To strengthen the integration of nutrition in the agriculture, education, and health sector as well as the direct implementation of community-based food security, nutrition and livelihoods are the priorities of direct implementation (i.e., support to literacy classes and community groups; training of teachers, and health and agriculture extension workers; establishing and training of women groups).

Target populations and reach: Besides support at the national level, the project directly implements activities in three provinces, working with target groups mainly through local government structures or in collaboration with national and local NGOs and community networks, such as women's committees and women's groups.

Early evidence of what works: Highlights are the successful contribution to the integration of food security and nutrition into the Afghan National Development Strategy, the National Nutrition Policy and Strategy, and the Infant and Young Child Policy, curriculum development or the contribution to MAIL monitoring system. National guidelines have been developed and largely disseminated (i.e., Afghan Family Nutrition Guideline, Complementary Feeding Guideline, Food Processing Guideline, etc.). The project supported the establishment of the MAIL's Home Economic Department as well as their subnational network reaching out to 18 provinces. In 2009, for example, the established network was able to reach out to 72,000 individuals providing nutrition education. Linkages to donors were established (i.e., Spanish and Government). In 2010, the department was able to receive additional donors as well as internal funding to extend those food security and nutrition activities. The project also supports 5 to 8 pilot projects annually, working with most vulnerable households in linking food production, food processing, and better family nutrition.

Gaps in the program: The focus of the project was given to the development of capacities, piloting, and implementing food and nutrition activities under MAIL. In order to embed the lessons learnt, a more systematic scale-up and a continued monitoring and evaluation system would be required. Furthermore, additional limited technical capacities are hindering large-scale and sustained impacts if the actual project support phases out.

How CG can help: Sharing lessons with other projects would help to design effective interventions to improve community nutrition through the agricultural sector. A systematic review of the different interventions applied to tackle household food insecurity and malnutrition, followed by a promotion and advocacy for successful food-based approaches, are required to increase recognition and to institutionalize the measures. This is important to ensure that achievements are sustained.

Appendix Table A10.1. Summary of case studies of programs integrating agriculture, nutrition, and health

Implementer/geographic coverage/CG collaborator	Type of program and intervention package	Goal	Where CRP4 can help
Helen Keller International South Asia and West Africa (950,000 families) in Bangladesh, Cambodia, Nepal, and the Philippines IFPRI	Enhanced Homestead Food Production (EHFP): <ul style="list-style-type: none"> • Village model farms with food crops, poultry, and livestock • Agriculture training and inputs • Nutrition education and behavior change (focus on child feeding practices and other essential nutrition actions) • Involvement of local health staff and primary healthcare input • <i>Target women, address gender equity.</i> 	<ul style="list-style-type: none"> • Improve women's and children's nutrition through food production, consumption of high quality foods, income (through sale of products), better knowledge, attitudes, practices, and empowering women. 	<ul style="list-style-type: none"> • Evaluating impact, impact pathways, and cost-effectiveness • Assessing whether model can be scaled up or replicated in other settings (e.g., targeting ultra poor; adapting to Sub-Saharan Africa) • Assessing how to address local dietary deficiencies • Strengthening gender analysis • Bringing state-of-the-art knowledge of crop breeding, livestock, water and pest management, and M&E
Concern Worldwide Zambia 3,000 households (at onset) IFPRI	Realigning Agriculture to Integrate Nutrition (RAIN): <ul style="list-style-type: none"> • Agriculture project (home and community food production; small animal husbandry) • Nutrition and health intervention package • <i>Integration of women's empowerment into food-based approach</i> 	<ul style="list-style-type: none"> • Improve maternal and child nutrition • Generate evidence and inform policy on how agriculture projects can contribute to reduce child stunting 	Note: project is still in design <ul style="list-style-type: none"> • Providing technical expertise in design, implementation, monitoring, and evaluation (e.g., involving ILRI, World Fish, IITA, HarvestPlus, the World Vegetable Center) • Strengthening impact evaluation using program theory and impact pathway methodologies • Documenting lessons learned; publishing and disseminating results
Millennium Villages West, East, and Southern Africa Bioversity and IFPRI	Millennium Villages Project: <ul style="list-style-type: none"> • Villages as demonstration sites for integrated delivery of agriculture, nutrition, health infrastructure • Integrated food- and livelihood-based model that delivers comprehensive package of health and development interventions 	<ul style="list-style-type: none"> • Accelerate progress toward MDG targets: MDG1—To eradicate poverty and undernutrition; MDG4 and 5—To improve child and maternal health 	<ul style="list-style-type: none"> • Bringing new tools and methodologies for ANH implementation research • Strengthening evaluation • Documenting lessons learned and impact pathways • Formulating scaling-up strategies • Comparison of cost-effectiveness and impact in relation to other programs • As partner in local and national capacity building
McGill University, Kenyatta University, National Museums of Kenya, Université de Abomey Calavi Kenya, Benin, and South Africa/Bioversity	Agriculture Diversity for Nutrition: <ul style="list-style-type: none"> • Seed distribution for increased biodiversity in local food systems • Training local producers in mixed cropping systems • <i>Target women and children under 5</i> 	<ul style="list-style-type: none"> • Identify contribution of local biodiversity to diets • Mobilize local biodiversity resources • Draw on health, agriculture, and environment sectors to achieve trans-disciplinary strategies for better health 	<ul style="list-style-type: none"> • Establishing an evidence base on the contribution of agrobiodiversity to improving child undernutrition and human health • Applying model to other ecosystems and environments

(continued)

Implementer/geographic coverage/CG collaborator	Type of program and intervention package	Goal	Where CRP4 can help
Catholic Relief Services Asia, Africa, and Latin America IFPRI and Bioversity	Agriculture for Nutrition interventions including: <ul style="list-style-type: none"> • Kitchen and community gardens, Junior Farmer Field schools for youth affected by HIV/AIDS, and baby-friendly farms • Local production and marketing of nutrient-rich crops • Education on labor-saving techniques (for people living with HIV), nutrition, and food safety • Integrated water, sanitation, and agricultural programs 	<ul style="list-style-type: none"> • Ensure that agriculture programs improve access to good nutrition and clean water 	<ul style="list-style-type: none"> • Carrying out in-depth analysis of technical approaches; evaluating what works, where, and why • Evaluating how innovations from CGIAR and universities can be incorporated and scaled-up • Supporting data analysis, documentation of experience, and publication of lessons learned
ICRAF and partners Cameroon 7,095 farmers 50 entrepreneurs 485 communities ICRAF	Food for Progress: <ul style="list-style-type: none"> • Domestication of indigenous fruits and nuts • Capacity building and training on community tree nursery management, via rural resource centers 	<ul style="list-style-type: none"> • Empower smallholder farmers through environmentally and socially sustainable farming systems, to improve health and reduce poverty and hunger 	<ul style="list-style-type: none"> • Assessing impacts of better livelihoods and diversified diets on nutrition and health • Developing project as model for using agriculture to promote improved health and nutrition • Generating evidence to support the domestication of traditional species • Using time series data to document impact on nutrition and health
Emory University Kenya, Uganda, and Rwanda (pastoral communities) ILRI	East Africa Dairy Development: <ul style="list-style-type: none"> • Dairy hubs organized around dairy farmer business groups, to provide steady market input and business development services 	<ul style="list-style-type: none"> • Increase dairy income and improve child nutrition in agro-pastoralist communities 	<ul style="list-style-type: none"> • Assessing nutritional impact • Identifying holistic approaches that increase nutritional benefits and control risks of zoonotic diseases
Program for Appropriate Technology in Health (PATH); Kenyan Agricultural Research Institute (KARI) Western Kenya 900 pregnant women and their households CIP	Orange-Fleshed Sweet Potato program in HIV-affected areas: <ul style="list-style-type: none"> • Community education on nutrition and prenatal care • Peer support through pregnant women's clubs • Mother-child healthcare services through use of health workers and existing facilities • Promotion of biofortified orange-fleshed sweet potato (OFSP) • <i>Targets pregnant women and children up to 2 years</i> 	<ul style="list-style-type: none"> • Improve nutrition of pregnant women and children under 2 by integrating OFSP and health service delivery in HIV-affected areas 	<ul style="list-style-type: none"> • Assessing the effectiveness of linking biofortification with health services such as de-worming • Testing integration of traditional vegetables, fruit trees, and small animals

(continued)

Implementer/geographic coverage/CG collaborator	Type of program and intervention package	Goal	Where CRP4 can help
Bangladeshi and Danish institutes; FAO Bangladesh WorldFish	Carp-mola polyculture research: <ul style="list-style-type: none"> • Food consumption surveys and analysis of nutrient content of fish species • Production trials of carp-mola pond polyculture • Training and dissemination of results 	<ul style="list-style-type: none"> • Increase production, accessibility, and intake of small, nutrient-dense indigenous fish species for better nutrition and health 	<ul style="list-style-type: none"> • Assisting with behavior change communication and health education • Strengthening marketing and processing of fish species • Establishing links to other development sectors • Influencing national policy • Building regional program
Swiss Tropical and Public Health Institute/ Kenya, Ethiopia, Chad, Mali, Côte d'Ivoire, Mauritania, Kyrgyzstan, and Mongolia ILRI	Ecohealth Model: <ul style="list-style-type: none"> • Mixed health and agricultural teams conducting nutritional, epidemiological, environmental, and economic assessments in pastoral communities using an “eco health ” approach 	<ul style="list-style-type: none"> • Identify and apply synergies between human and veterinary medicine to improve the health of humans and animals • Control zoonoses 	<ul style="list-style-type: none"> • Assist with policy formulation for national zoonoses control programs • Generate evidence on the role of livestock in nutrition, health, and sustainability in arid and semi-arid regions
FAO, Ministry of Agriculture Afghanistan IFPRI Bioversity	Support to Household Food Security and Nutrition in Afghanistan: <ul style="list-style-type: none"> • Support national policies and strategies that promote integrated food security and nutrition • Capacity building within and across ministries and agencies • Direct implementation activities, including teacher and extension worker education, and training of women’s groups 	<ul style="list-style-type: none"> • Improve household food security, nutrition, and livelihoods by addressing the root causes of malnutrition 	<ul style="list-style-type: none"> • Analysis of how to scale up and continue M&E efforts • Expanding technical capacities • Systematic review of different interventions and lessons learned • Promotion and advocacy for successful food-based approaches

APPENDIX 11. EXAMPLES OF EVIDENCE-BASED, CROSS-SECTORAL INTERVENTIONS

Three examples illustrate how past innovations can be built upon for much broader impact across agricultural-health-nutrition boundaries: (1) brucellosis control in Mongolia (Roth et al. 2003), (2) smallholder dairy in Kenya (Kaitibie et al. 2008), and (3) pesticide regulatory policy in the Philippines (Templeton and Jamora 2008).

In the case of brucellosis control in Mongolia, research was carried out to estimate the economic benefits and cost-effectiveness of improving human health in Mongolia through the control of brucellosis (a disease that can pass between livestock and humans) by mass vaccination of livestock. Researchers calculated the monetary benefits to the agricultural sector, the public health sector, and private households. This case shows how incomplete data from separate sectors could be used in models to highlight some of the most difficult questions for policymakers and their implications. What is the most effective way of controlling a human health problem originating in the agricultural sector? The identification and control of zoonotic diseases increasingly depends on surveillance and action in the agricultural sector. Second, what are the fiscal incentives that need to be put in place for effective control of diseases? Compensation of farmers for culled stock and free vaccination may be necessary for compliance. Finally, within government there must be protocols for the Ministries of Agriculture and Health to allocate the necessary funds according to some principle of cost-effectiveness and ultimate beneficiaries from the action. Collaboration is more difficult when it involves real claims on Ministerial budgets.

In the case of smallholder dairy in Kenya, health regulations requiring pasteurization of milk entering commercial circuits were reversed in the light of research into public health risks and a socioeconomic poverty impact assessment by ILRI and partners. This research is relevant to many locations in East Africa and South Asia.

In the case of the change in pesticide regulatory policy in the Philippines, IRRI and its partners documented growing health concerns in the 1980s, particularly the harmful effects of pesticide use, through detailed analysis of private health costs and environmental effects of rice farming in the Philippines. This research led the Philippine government to instigate a suite of pesticide regulatory policies and implementing guidelines and launch integrated pest management (IPM) as a national program. There are a number of important lessons from this work: (1) the impact of policy research is difficult to estimate; (2) there is seldom only one study that deals with an important issue (and the case of pesticide in the Philippines goes back 20 years and IRRI studied it several times); and (3) there are always multiple drivers of decisions. These are all good points for policy analysts to keep in mind.

All three examples illustrate the importance of bringing together knowledge and evidence, decisionmaking processes, partnerships, communication and advocacy, and other elements in support of integrated decisionmaking across the agriculture, nutrition, and health sectors.

APPENDIX 12. POTENTIAL INTERACTIONS BETWEEN CRP4 AND OTHER CRPS

Legend: Shaded rows indicate CRPs that CRP4 will collaborate with most closely

	Common interests and goals	Inputs of other CRPs to CRP4	CRP4 inputs to other CRPs	Mechanisms for collaboration/funding
CRP1.1	Improve nutritional security and agroecosystem resilience in dry farming systems	Assess the availability of agrobiodiversity products and their importance for nutrition and health Research nutrition impacts of diversification of livelihood; research synergies among crops, including those of high nutritional value	<u>Component 1:</u> Research on value chain for nutrition of agrobiodiversity products <u>Component 3:</u> Food safety and neglected zoonoses as constraints to both human health and animal production/productivity	Direct links through addressing the same target groups and issues related to the importance of agrobiodiversity for dietary diversity and nutrition outcomes, and food safety and neglected zoonoses <i>CRP4 will fund nutrition assessments, work on incorporating nutrition into value chains for agrobiodiversity products (value-chain work itself will be funded by CRP1.1), and work on food safety and zoonoses risk assessment and control measures.</i>
CRP1.2	Improve nutrition of the poor in humid farming systems Address issues of pesticide use	Address nutritional risks through market- and food-based approaches Reduce health risks from pesticide use and intensification Feedback humid-system research needs to CRP4	<u>Component 3:</u> Research on the ability of systems to deliver food quality and safety without trading off other attributes Food safety risks and emerging disease as constraints to rapidly emerging value chains; Pesticides as occupational hazards and food safety risks	Potential areas of collaboration: Joint contributions to better performing systems in terms of food production, emphasizing quality, safety, and environmental sustainability <i>Exact nature of partnership and funding responsibilities will be determined in first year of implementation</i>

(continued)

	Common interests and goals	Inputs of other CRPs to CRP4	CRP4 inputs to other CRPs	Mechanisms for collaboration/funding
CRP1.3	<p>Improve nutrition through promotion of fish production and intake and healthy aquatic ecosystems</p> <p>Focus on gender, women's participation, empowerment, and nutrition and health of mothers and young children</p>	<p>Provide field locations for research into nutrition, food safety, and occupational health in aquatic ecosystems</p> <p>Research on wider services and support needed to build healthier communities in remote and poor aquatic agricultural systems</p> <p>Research on gender</p>	<p><u>Components 1 and 3:</u></p> <p>Research on fish value chains for nutrition and food safety</p> <p><u>Component 4:</u></p> <p>Research on community-based homestead food production systems including fish ponds as a major source of animal source foods rich in essential nutrients and their impact on the nutrition of women and young children and on women's status (as part of research on integrated ANH programming)</p>	<p>Joint research on value chains for nutrition and food safety and on integrated agriculture, nutrition and health programs including fish products</p> <p><i>Funding for the incorporation of nutrition into fish value chains would come from CRP4, whereas CRP1.3 would provide field sites and specific fish value chains of interest. CRP4 would provide funding for research on integrated ANH programming that includes fish products. CRP1.3 would fund the incorporation of innovation in technologies related to fish production. Both CRPs would fund research on gender.</i></p>

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	Common interests and goals	Inputs of other CRPs to CRP4	CRP4 inputs to other CRPs	Mechanisms for collaboration/funding
CRP2	Ensure food and nutrition security and focus on policies to achieve these impacts	<p>Assess impacts of a wide range of policies on poverty, nutrition, and health and ways to strengthen policymaking to achieve greater impacts</p> <p>Focus on gender analysis and impacts, and methods to assess changes in gender-disaggregated outcomes</p> <p>Identify institutional arrangements that contribute to children's nutrition and health (social protection and market mechanisms); analyse gendered consumption patterns, domestic roles, and nutrient intakes</p> <p>Research access to resources, inputs and knowledge around agriculture-health-nutrition linkages; explore livelihood diversification and improvement of health and nutrition</p> <p>Improve efficiency of value chains to enhance nutritional security for neglected populations</p>	<p><u>Components 1&2:</u> Identify opportunities along the value chain to enhance nutritional value of biofortified crops and other nutritious foods</p> <p><u>Component 3:</u> Generate evidence on how policy and market structure can affect agriculture-associated diseases (AAD)</p> <p>Develop metrics for the multiple burdens of food-borne disease and zoonotics; provide evidence for targeted and informed policy advocacy, institutional capacity building, and awareness-raising around AAD</p> <p><u>Component 4:</u> Transfer learning from research on integrated ANH programming and policy to other types of programs such as social protection, risk management, and gender programs and policies</p>	<p>Coordinate food safety research and delivery of biofortified products and other nutritious foods to poor populations through value-chain research</p> <p>Work jointly, and generate research results, methods, and tools to analyze policy impacts on nutrition and health outcomes</p> <p>Collaborate on research on social protection policies, risk management, gender policies, and knowledge management</p> <p><i>CRP4 will fund the incorporation of nutrition, food safety, and biofortified crops (or biofortification results) in the work of CRP2 on value chains and policies; CRP2 will provide field sites for research on value chains and on social protection and gender programs, whereas CRP4 will fund nutrition and health inputs and contribution to impact analyses of nutrition and health outcomes.</i></p>

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	Common interests and goals	Inputs of other CRPs to CRP4	CRP4 inputs to other CRPs	Mechanisms for collaboration/funding
CRP3.1	Ensure that wheat meets users' quality and nutrition needs	<p>Technology generation of nutritionally improved wheat; exploring new traits of nutritional significance</p> <p>High throughput, low-cost phenotypic screening for nutritionally important processing-quality traits and associated marker genes</p> <p>Breeding for protein quality and quantity and micronutrients; ensuring that wheat nutritional quality improvements fit with needs of processing industry</p>	<p><u>Components 1 and 3:</u> Value-chain research to enhance/preserve the nutritional value and ensure safety of biofortified crop</p> <p><u>Component 2:</u> Technical and institutional aspects, including policy, dissemination, and adoption of biofortified wheat, and research on targeting, advocacy, and promotion of biofortified wheat and on evaluation of nutritional impact</p> <p><u>Components 2 and 4:</u> Where relevant, research on the incorporation of biofortified wheat in integrated ANH programming, including approaches to empower women with knowledge regarding nutrition and health and the role of biofortified wheat, promotion of consumption of biofortified wheat by women, children, and other vulnerable groups, and evaluation of nutritional impacts</p>	<p>Joint work on wheat biofortification, value chains for biofortified wheat, and including biofortified wheat into ANH programming.</p> <p><i>CRP4 will fund all work related to enhancing/preserving the nutritional value and safety of biofortified wheat along the value chain and the research on adoption, dissemination, and promotion of intake of biofortified wheat in the context of ANH programming. CRP3.1 will fund all plant-breeding efforts and will provide sites and funding for value-chain research, which CRP4 will complement to add nutrition objectives.</i></p>
CRP3.2	<p>Nutritious maize</p> <p>Mycotoxins</p>	<p>Develop biofortified maize (macro- and micronutrients), nutritionally enhanced germplasm, breeding approaches, and functional markers</p> <p>Nutrition research to assess factors influencing bioavailability</p> <p>Assess impact of maize interventions on child nutrition; insights from gender and value-chain analysis that may influence impact pathway of nutritionally enhanced maize</p> <p>Quantification of maize-associated mycotoxin risks, interventions to reduce risk (breeding, bio-control, screening tests), and capacity building and tools for partners</p>	<p>Same as above (CRP1.1), but for biofortified maize</p> <p><u>Component 3:</u> Overall risk framework for mycotoxin mitigations in food and feed</p> <p>Evidence of impact across different value chains and policy support</p>	<p>Same as above (CRP1.1), but for biofortified maize, and with additional research on mycotoxin risk mitigation and impact assessment.</p> <p><i>Coordination and funding arrangements for mycotoxin research will be discussed in year 1</i></p>

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	Common interests and goals	Inputs of other CRPs to CRP4	CRP4 inputs to other CRPs	Mechanisms for collaboration/funding
CRP3.3	Improve nutrition and health through rice research	Nutritional enhancement of rice; research into genes and allelic diversity conferring enhanced nutrition Estimate impact of improved rice on health and nutrition through DALY assessments	Same as above (CRP1.1), but for biofortified rice	To be determined
CRP3.4	Enhance the role of roots, tubers, and bananas in reducing risk of malnutrition Food safety issues	Breeding for improved nutrition; nutritional studies to understand bioavailability and retention of minerals and vitamins during storage, cooking, and processing Food safety issues and product quality	Same as above (CRP1.1), but for roots, tubers, and bananas <u>Component 3:</u> Evaluate low-toxin cassava, improved agronomic practices, and food-processing methods	Same as above (CRP1.1), but for roots, tubers and bananas, and with additional research on low-toxin cassava <i>Coordination and funding arrangements for food safety and plant toxins will be discussed in year 1</i>
CRP3.5	Grain legumes for health and nutrition Mycotoxins Plant toxins	Program Thrust 2 (Legumes for nutrition and health): mechanistic studies on effects of legume consumption on health; preparation methods to increase bioavailability and attractiveness of legumes; nutritional and biochemical profiles Improving agronomic practice to eliminate food hazards such as aflatoxins Development of nutritionally enhanced varieties; improved seed systems for nutritionally enhanced crops; promotional messages that stress nutrition	<u>Components 1, 2, and 4:</u> Same as above (CRP1.1) but for different varieties of beans and for biofortified beans <u>Component 3:</u> Evaluation of low-toxin grass-pea and faba beans, and improved agronomic practices and food-processing methods Integrated pest management to allow reduction of pesticide use Developing and evaluating cost-effective, pro-poor, and appropriate risk management for mycotoxins that can be scaled out	Same as above (CRP1.1) but for beans and biofortified beans, and with additional research on mycotoxin risk management and integrated pest management. <i>Coordination and funding arrangements for mycotoxins and plant toxins will be discussed in year 1</i> <i>Integrated pest management to reduce pesticide use will be developed in years 1–3 as part of a different approach to agroecosystem health</i>

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	Common interests and goals	Inputs of other CRPs to CRP4	CRP4 inputs to other CRPs	Mechanisms for collaboration/funding
CRP3.6	<p>Enhance nutritional benefits of dryland cereals</p> <p>Mycotoxin control</p> <p>Pesticides</p>	<p>Program Thrust 3 (healthy cereals for improved nutrition and well-being): accelerate and modernize development of resilient dryland cereals of improved quality, through biotechnology, marker technology, and participatory research. Provide evidence, aggressive advocacy on health and nutrition benefits of dryland cereals</p> <p>Research into health benefits of dryland cereals (and livestock products from animals fed on dryland cereals); develop traditional and alternative diverse food products high in nutrition</p> <p>Tools and capacity to monitor mycotoxins contamination; research into health effects of pesticides</p>	<p><u>Component 3:</u></p> <p>Research on pesticides as occupational hazards and food safety risks</p> <p>Developing and evaluating cost-effective, pro-poor, and appropriate risk management for mycotoxins that can be scaled out for wide-reaching impacts</p>	<p>Same as above (CRP3.1), but for dryland cereals</p> <p>Coordination and funding arrangements for mycotoxins to be discussed in year 1</p> <p>Integrated pest management to reduce pesticide use to be developed in years 1–3 as part of a different approach to agroecosystem health/</p>

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	Common interests and goals	Inputs of other CRPs to CRP4	CRP4 inputs to other CRPs	Mechanisms for collaboration/funding
CRP3.7	Produce more meat, milk, and fish to increase income, food security, health, and nutrition of poor populations	Provide comprehensive framework in focal countries and sites to channel research on health and nutrition for communities dependent on livestock and to leverage agriculture to improve nutrition and health.	<p>Given the importance of animal source foods for nutrition and health, CRP3.7 will be a key partner of CRP4, CRP4 will work on extending the <i>production</i> focus of CRP3.7 (for meat, dairy products, and fish) to ensuring <i>consumption</i>, and the translation of increased production into greater dietary diversity and improved nutrition and health among poor populations, with a focus on nutritionally vulnerable women and young children.</p> <p><u>Components 1 and 3:</u> Value-chain research to enhance/preserve the nutritional value and ensure safety of animal source foods; use-value chain research not only to stimulate production, but to increase demand among the poor</p> <p><u>Component 4:</u> Given the high nutrient density and bioavailability of micronutrients in animal-source foods, these products are important in homestead food production systems if nutrition impacts are to be achieved. Research will be carried out on incorporating suitable and adapted efficient breeds of animals in integrated ANH programming, including approaches to empower women with knowledge regarding nutrition and health and the role of animal source foods, promotion of consumption of these foods by women, children, and other vulnerable groups, and evaluation of nutritional impacts</p>	<p>Collaboration on value chains targeted by CRP3.7 to enhance nutrition and food safety along the value chain and increase the poor's access to safe and nutritious foods</p> <p>Joint participatory diagnoses to develop integrated agriculture, health, and nutrition projects that link CRP3.7 and CRP4; and joint research on incorporating production and consumption of animal-source foods in ANH programs to improve nutrition and health</p> <p><i>CRP4 will fund the research on nutrition and health that extends the work of CRP3.7 and documents its impact on nutrition and health outcomes. CRP3.7 will provide field sites and value chains for CRP4 to work on.</i></p>

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	Common interests and goals	Inputs of other CRPs to CRP4	CRP4 inputs to other CRPs	Mechanisms for collaboration/funding
CRP5	Improve livelihoods through research on water scarcity, land degradations, and ecosystem sustainability	Research into new socially (and economically) attractive, larger-scale approaches to water management, designed to optimize water productivity while minimizing health risk and environmental damage	<u>Component 3:</u> Inform CRP5 by adding health considerations as a faction in agricultural water management interventions	Coordinate water management options to reduce AAD Address health risks in research projects hosted by CRP5 on water management interventions <i>Coordination and funding arrangements will be discussed in year 1</i>
CRP6	Enhancing contributions of forests, agroforests, and trees to communities and smallholders and to the environment	Policy and market research for NTFPs and fruit trees for nutritional and medicinal value; conservation of wild relatives of important food and medicinal resources Research on forest and health issues at landscape scale, linked to the emergence of new diseases Research on medicinal plants in a variety of contexts	<u>Component 1:</u> Assessment of nutritional value and food safety risks of NTFPs and fruit trees in the context of value-chain research; work on enhancing/preserving nutritional value of fruits and stimulating demand among poor populations <u>Component 3:</u> Research on health service effects of forest agroecosystems; research on disease emergence linked to use of forest agroecosystems; research on medicinal plants as relevant	Work together in developing capacity for nutrition and health research around forests and fruit trees Work on participatory domestication of indigenous, underutilized fruit trees species in different agroecological zones and on the development and improvement of value chains for their traditionally used, nutrient-rich products <i>CRP4 will fund nutrition and health research that is added to CRP6's work based on mutual agreement.</i>
CRP7	Pro-poor adaptation to and mitigation of climate change	Climate change and environment are critical considerations for vulnerable and marginalized populations; these are also most vulnerable to threats to food and nutrition security and to AAD CRP7 will produce downscaled climate and development scenarios for targeted regions Analysis of adaptation options that may feed back to nutrition and human health, through shifts in the food system arising from diversification CRP7 will bring CRP4 outputs into the climate community	<u>Component 2:</u> Effect of climate change on micronutrient quality, types of plants grown, and genotype of staple crops grown (and effects on micronutrients) <u>Component 3:</u> CRP4 will produce scenarios of intensification and disease futures that will inform CRP7's work	Collaborate on evaluation of health implications of adaptation options Collaborate on assessing the impacts of climate change on consumer choices regarding nutritious foods, including changes in availability and access, in environments with different levels of susceptibility to climate change shocks <i>Coordination and funding arrangements will be discussed in year 1</i>

APPENDIX 13

Appendix Table A13.1. CRP4 capacity-strengthening strategies, outputs, outcomes, and impacts

Capacity-strengthening strategies	Outputs (direct result of CRP4 efforts)	Outcomes (change in behavior)	Impact (long-term effects)
1. Capacity assessment	<ul style="list-style-type: none"> • Identification of capacity needs, existing capacity, and capacity gaps to achieve CRP4 goals • Capacity-strengthening strategy developed for individual components and CRP4 	<ul style="list-style-type: none"> • Well-defined CRP4 capacity-strengthening needs • Well-developed monitoring indicators for tracking the activities and outputs of capacity-strengthening efforts 	<ul style="list-style-type: none"> • Enhanced capacity for better integration of agriculture nutrition and health objectives in development interventions
2. Individual capacity strengthening	<ul style="list-style-type: none"> • Increased number of skilled researchers, scientists, analysts, and policymakers who can generate and use knowledge for CRP4 objectives • Capable research collaborators with up-to-date knowledge on tools and methods applied in CRP4 research 	<ul style="list-style-type: none"> • Higher quality research on CRP4 issues • More relevant problems identified and addressed by national scientists • Better and equitable research partnership with national research and extension systems (NARES) 	<ul style="list-style-type: none"> • Improved technologies, policies, and program interventions contribute to sustainable agricultural system • Increased research outputs/publications by national research partners • Stronger national research systems/institutions
3. Institutional capacity strengthening	<ul style="list-style-type: none"> • Well-targeted collaborative partnership with national organizations • Focused capacity strengthening of policymakers, program managers, and research managers • Improved institutional capacity to design and implement research and program interventions • Improved organization ability to design, implement, monitor, evaluate, and assess the impact of integrated program interventions 	<ul style="list-style-type: none"> • Strengthened research organizations strategic in problem-solving • Better engaged national policymaking systems for CRP4 goals • Increased publishing/outputs by national systems • Effective use of research results for designing better-integrated program interventions 	<ul style="list-style-type: none"> • More relevant priorities set for institutions; improved ability to attract funding • Better managed national systems of agriculture research and institution

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Capacity-strengthening strategies	Outputs (direct result of CRP4 efforts)	Outcomes (change in behavior)	Impact (long-term effects)
4. Supporting teaching and training organizations	<ul style="list-style-type: none"> • CRP4 research results and methods developed as learning resources; country-level case studies developed as source book for use in training and learning programs • Enhanced interaction within target countries among the research, education, and policymaking institutions; exchange of collaborating researchers and students 	<ul style="list-style-type: none"> • Educational and training organizations incorporate learning content and case studies from CRP4 research in curricula • Joint output through exchange visits that enhance the quality of research in targeted countries 	<ul style="list-style-type: none"> • Students and researchers familiar with results and research methods from CRP4 • Joint research products owned and used internally in the country for designing program interventions and policymaking
5. Support to learning networks	<ul style="list-style-type: none"> • Well-functioning formal/informal learning networks that use CRP4 methods and results 	<ul style="list-style-type: none"> • Improved knowledge-sharing among the network members on issues related to CRP4 • Increased ownership and sustainable use of CRP4 results and methods for research and educational programs 	<ul style="list-style-type: none"> • Informed members of the learning networks use the knowledge gained for future research programs • CRP4 knowledge access and use by a wide range of institutions in North and South
6. Improving policy environment through capacity strengthening	<ul style="list-style-type: none"> • Strengthened capacity of policymakers and strategy developers at regional and subregional policy organizations for making informed policies using CRP4 results • Improved understanding of the policy process and actors at the national level for increasing the use of CRP4 research results 	<ul style="list-style-type: none"> • Regional and subregional policy organizations adopt results from CRP4 research as part of policy and strategy development • Use of CRP4 technologies, research, and methods of analysis at various stages of policy process in targeted countries 	<ul style="list-style-type: none"> • Improved policy environment that enables integration of agriculture, nutrition, and health policies and programs • Improved policies and strategies at the national level that recognize and use results of CRP4

APPENDIX 14. CRP4 CAPACITY STRENGTHENING ACTIVITIES BY COMPONENT

Component 1 – Nutrition-Sensitive Value Chains

Capacity development will be critical for the complex multidisciplinary and multisectoral research under this component. Full participation of regional partners will ensure individual and institutional capacity strengthening. This will also ensure that methodological frameworks for data gathering and analysis are harmonized, that the tools and methods developed are used widely, and that the concepts of nutrition-sensitive value chains are adopted and disseminated. Researchers will be trained in several specific areas: dietary assessment, including consumption and use of traditional crops; impact assessment regarding the contribution of traditional crops and the potential contribution of specific interventions; and intervention design to increase demand for nutrient-rich foods.

Institutional capacity support of value-chain stakeholders at all levels (and particularly women) will be critical to sustainability, including farmers' organizations, NGOs, public-sector marketing agencies, representatives of the processing industries, women entrepreneurs, and consumer associations. A major emphasis will be on educating these value-chain stakeholders to use a nutrition lens and to identify opportunities to enhance the nutritional value of foods at different steps of the value chain. Capacity development will also include training to enhance their skills as advocates in promoting nutrition-sensitive value chains (similar to the Bioversity–M.S. Swaminathan Research Foundation training courses for women entrepreneurs). This component will also engage with relevant universities and training organizations, supporting them to incorporate new knowledge generated by the research into their training and education curricula.

Component 2 – Biofortification

Lessons learned from existing biofortification programs point to three specific agricultural research and delivery areas that particularly require strengthening.

1. Capacity building to enable National Agricultural Research and Extension Systems to develop, evaluate, and disseminate biofortified crops. Crop evaluation, in particular, requires infrastructure for high throughput and precision phenotyping for quality traits, as well as technical backstopping for optimizing phenotyping assays. Short-term training will be provided on an ad hoc basis for adaptive research or GXE analysis, as an area that pertains directly to product development within this time-bound program. Training may include supporting the secondment of CGIAR scientists to target countries to oversee biofortified crop development activities, providing valuable one-on-one training to NARES partners.
2. Strengthening seed systems for seed multiplication and dissemination, to ensure that commercial release of crops is supported with abundant quality seed for farmers. Actors along the seed system value chain will be identified, and individuals and institutions responsible for seed policy will be targeted for capacity strengthening.
3. Because biofortification is such a new science, there is limited capacity for nutritional analysis of staple crops by NARES in target regions. All target countries of this component will need a regular program of laboratory assessments.

Component 3 – Control of Agriculture Associated Diseases

Capacity-strengthening activities of this component will focus on three main related areas: (1) capacity to generate trans-disciplinary knowledge and innovative strategies; (2) capacity to disseminate, adopt, and sustain knowledge; and (3) capacity to build partnerships and innovation networks. The overall strategy will be to leverage on existing national and regional capacities rather than building new ones, by encouraging south-south collaborations. Specific strategies for capacity strengthening will include: capacity needs assessment with development partners; building on existing innovation platforms;

capacity-building targets for development partners; and graduate and postgraduate training. This component will work with other expert boundary partners, including the advanced research institutes in both developed and developing countries as well as national and international NGOs. Participation of women will be actively encouraged, with specialized training provided at individual and institutional levels. In addition, young researchers and technicians will be encouraged to enroll in degree programs, with the component providing a platform for collaborative research.

Component 4 – Integrated Agriculture, Nutrition, and Health (ANH) Programs

Component 4 will focus on building individual-, group-, institutional-, and policy-level capacities through research collaboration.

Specific capacity development activity at the individual level includes strengthening the skills of the policy researchers and analysts for designing and implementing studies to evaluate the impact of program interventions in agriculture, nutrition, and health. At the group level, it will build and support learning networks among research and policy organizations. Learning networks will take advantage of complementarities among organizations, encourage shared learning and capacity development, and focus attention on integrated agriculture, nutrition, and health program interventions.

At the institutional level, capacity will be strengthened to engage in the research process and to extend or use research results, working with organizations such as government ministries, civil society organizations (CSOs), international and local nongovernmental organizations (NGOs), and private organizations. Program managers and policy decisionmakers will be targeted to mainstream the integrated approach into program design and implementation. This component will also engage in organizational capacity strengthening to design, manage, use, and evaluate research outputs, to develop community-based programs integrating ANH interventions. In addition, field research sites will serve as platforms for academic institutions in the north and south to interact and collaborate on program-relevant applied research and to acquire invaluable field and research experience. This component will also engage with relevant universities and training organizations, supporting them to incorporate new knowledge generated by CRP4 into training and education curricula and other learning resources.

At the policy level, CRP4 will also link with regional organizations for capacity strengthening, providing inputs in support of existing policy platforms that integrate agriculture for improved nutrition and health. Initially, two key partners will provide entry points for cross-sectoral engagement, in the target regions of Sub-Saharan Africa and South Asia.

- In Africa, AU/NEPAD (through its CAADP process) is a central animator in agricultural interventions, with capacity to link these to broader cross-sectoral engagement through regional economic communities and national government plans. The key target audience for this exercise would be policy decisionmakers at the regional and subregional levels. At the AU/NEPAD level, thematic sessions on integrating agriculture, nutrition, and health will be conducted for program leaders and policy decisionmakers. Similar thematic presentations will be made to strengthening the knowledge base of the policymakers in subregional organizations, such as COMESA in eastern and southern Africa and ECOWAS in Western and Central Africa.
- In the much larger South Asia region, planning ministries and national food security task forces in individual target countries will be strengthened for mainstreaming integration of ANH objectives in national policies and strategies. Regional and national forums and networks will be strengthened for policy dialogues and communications. For example, the Public Health Foundation of India provides a forum for looking at innovative public health solutions, including agricultural ones, to improve nutritional and health performance.

At the national level, leadership and managerial skills are needed to manage cross-sectoral collaboration. In order to bring together the sectoral policymakers from agriculture, nutrition, and health,

there is a need to understand and strengthen the policy process. Results and methods generated from this component will be used to develop cross-sectoral capacity throughout the policy process, targeting the national food security and nutrition taskforces to engage in a series of policy dialogues, to identify capacity gaps and to strengthen their capacity for incorporating the results of research into national policies and strategies.