Project Agreement

(Jiangxi Wuxikou Integrated Flood Management Project

between

INTERNATIONAL BANK FOR RECONSTRUCTION
AND DEVELOPMENT

and

JIANGXI PROVINCE

Dated May 6, 2013
PROJECT AGREEMENT

AGREEMENT dated May 6, 2013, entered into between INTERNATIONAL BANK FOR RECONSTRUCTION AND DEVELOPMENT ("Bank") and JIANGXI PROVINCE ("Jiangxi" or "Project Implementing Entity") ("Project Agreement") in connection with the Loan Agreement ("Loan Agreement") of the same date between PEOPLE’S REPUBLIC OF CHINA ("Borrower") and the Bank. The Bank and Jiangxi hereby agree as follows:

ARTICLE I – GENERAL CONDITIONS; DEFINITIONS

1.01. The General Conditions (as defined in Appendix I to the Loan Agreement) constitute an integral part of this Agreement.

1.02. Unless the context requires otherwise, the capitalized terms used in the Project Agreement have the meanings ascribed to them in the Loan Agreement or the General Conditions.

ARTICLE II – PROJECT

2.01. Jiangxi declares its commitment to the objectives of the Project. To this end, Jiangxi shall:

(a) carry out and cause Jingdezhen City to carry out the Project in accordance with the provisions of Article V of the General Conditions; and

(b) provide, or cause to be provided, promptly as needed, the funds, facilities, services and other resources required for the Project.

2.02. Without limitation upon the provisions of Section 2.01 of this Agreement, and except as the Bank and Jiangxi shall otherwise agree, Jiangxi shall carry out the Project and shall cause Jingdezhen City to carry out the Project in accordance with the provisions of the Schedule to this Agreement.

ARTICLE III – REPRESENTATIVE; ADDRESSES

3.01. Jiangxi’s Representative is the Governor or the Vice Governor of Jiangxi, or such other person or persons as may be designated in writing by either the Governor or Vice Governor.
3.02. The Bank’s Address is:

International Bank for Reconstruction and Development
1818 H Street, N.W.
Washington, D.C. 20433
United States of America

Cable: INTBAFRAD
Telex: 248423(MCI) or 248423(MCI)
Facsimile: 1-202-477-6391

3.03. Jiangxi’s Address is:

46 Beijing West Road
Nanchang City
Jiangxi Province
People’s Republic of China

Facsimile: 330046
AGREED at Beijing, People's Republic of China, as of the day and year first above written.

INTERNATIONAL BANK FOR RECONSTRUCTION AND DEVELOPMENT

By

Authorized Representative

Name Klaus Rohland
Title Country Director, China

Jiangxi Province

By

Authorized Representative

Name Yang Yingming
Title Deputy Director General
International Department
Ministry of Finance
SCHEDULE

Execution of the Project

Section I. Implementation Arrangements

A. Project Management

1. In order to ensure the proper carrying out of the Project, Jiangxi shall cause Jingdezhen City to maintain throughout the period of implementation of the Project an organizational structure satisfactory to the Bank which shall include, inter alia, the following entities with composition, powers, functions, funds, staffing, facilities and other resources satisfactory to the Bank:

(a) the Project Leading Group at the municipal level in Jingdezhen City of Jiangxi chaired by the Vice Mayor of Jingdezhen City and consisting of directors from the line government departments of the City, including, inter alia, the Finance Bureau, Development and Reform Commission, Water Affairs Bureau, Construction Bureau and Environmental Protection Bureau of the City and responsible for overseeing the overall implementation of the Project, making decision on key Project issues and carrying out interagency coordination at the municipal level;

(b) the Project Management Office established under the People’s Government of Jingdezhen City under terms of reference satisfactory to the Bank and responsible, inter alia, for Project management and implementation, procurement and financial management, monitoring and evaluation of the Project as well as reporting on progress and implementation issues to the Project Leading Group referred to in clause (a) above;

(c) the expert Group to provide technical support to the PMO in Project management and implementation; and

(d) the Independent Panel of Experts for Environment, Social and Resettlement and Dam Safety to provide advice and guidance on the implementation of the safeguards aspects of the Project.

B. Anti-Corruption

Jiangxi shall and shall cause Jingdezhen City to carry out the Project in accordance with the provisions of the Anti-Corruption Guidelines.
C. Project Plan and Safeguards

1. Jiangxi shall cause Jingdezhen City to: (a) apply in the implementation of the Project, the Project Implementation Plan, in a manner satisfactory to the Bank; and (b) carry out the Linked Activities with the diligence and efficiency, and in accordance with specification, standards, criteria and time frame satisfactory to the Bank. Jiangxi shall ensure that Jingdezhen City shall not amend, suspend, or waive said Project Implementation Plan or any provision thereof, without the prior concurrence of the Bank.

2. In carrying out the Project and the Linked Activities, Jiangxi shall cause Jingdezhen City to apply the measures and actions set forth in the RAP and RPF (and related plan prepared in accordance with the RPF) in a timely and efficient manner satisfactory to the Bank. In addition, in carrying out the activities under the Project and the Linked Activities, Jiangxi shall cause Jingdezhen City:

   (a) to take, or cause to be taken, all necessary actions to minimize to the extent possible the acquisition of land or assets of people, any involuntary loss by persons of shelter, productive assets or access to productive assets or income or means of livelihood, temporarily or permanently, and the displacement of said people in carrying out the Project or the Linked Activities;

   (b) where the acquisition of land or assets or the displacement of people is unavoidable, before initiating the implementation of any works which would result in such acquisition or displacement, provide to such people compensation and, as applicable, relocate and rehabilitate the displaced people in permanent sites with adequate facilities, including providing all related assistance required in respect thereof, based on adequate consultation with said people all in accordance with the RAP and RPF (and related plan prepared in accordance with the RPF) and in a manner satisfactory to the Bank; in those instances where said relocation and rehabilitation assistance provided are of a transitory nature, make arrangements prior to implementing any such works for the permanent and adequate relocation and rehabilitation of such people based on adequate consultation with said people in accordance with the RAP and RPF (and related plan prepared in accordance with the RPF) and implement such arrangements in a manner and in accordance with a time schedule satisfactory to the Bank; and

   (c) to take, or cause to be taken, all necessary actions to address any issues that may arise with respect to any acquisition of land or assets or the displacement of people or any other adverse impact on people including their resettlement or rehabilitation from the construction of the completed portions of the Wuxikou Dyke System in accordance with
principles, procedures and arrangements satisfactory to the Bank including those set out in the RAP (and related plan prepared in accordance with the RPF).

3. In carrying out the Project, Jiangxi shall cause Jingdezhen City to implement or cause to be implemented the ESMP and the PMP in a manner satisfactory to the Bank, and ensure that the Project is implemented in accordance with sound environmental and pesticide management practices and standards.

4. Jiangxi shall cause Jingdezhen City furnish to the Bank an annual implementation plan for the following year, by November 30 in each year, beginning November 30, 2013: (a) summarizing the implementation status of the Project to date, including those under the Project Implementation Plan, the RAP, the RPF (and related plan prepared in accordance with the RPF), the ESMP and the PMP; (b) setting out the activities to be undertaken in the following calendar year for implementing the Project, including activities drawn from the Project Implementation Plan, the RAP, the RPF (and related plan prepared in accordance with the RPF), the ESMP and the PMP as well as budget and other resources required in respect thereof; (c) taking into account the Bank's comments, finalize and provide to the Bank no later than December 31 in each year beginning December 31, 2013 said annual implementation plan for the following calendar year in a manner satisfactory to the Bank; and (d) implement the Project in accordance with, inter alia, said annual implementation plan and in a manner satisfactory to the Bank. Without limitation to any other provision of this Agreement, Jiangxi shall, during calendar year 2013, cause Jingdezhen City to carry out the Project in accordance with, inter alia, the annual implementation plan for said year agreed to with the Bank, and in a manner satisfactory to the Bank.

5. Jiangxi shall ensure that Jingdezhen City shall not amend, suspend, fail to enforce or waive the RAP, the RPF (and related plan prepared in accordance with the RPF), the ESMP and the PMP or any annual implementation plan referred to in paragraph 4 hereof, or any provision of any such plan without the prior written approval of the Bank.

6. Jiangxi shall cause Jingdezhen City to: (a) carry out measures satisfactory to the Bank for improving the living standard of Displaced Persons affected by the implementation of the Project and the Linked Activities in accordance with the provisions of the RAP and the RPF (and related plan prepared in accordance with the RPF; and (b) include appropriate and adequate provisions in the contracts entered into for implementing the Project to ensure the fulfillment of the requirements set out in the ESMP together with specification of sanctions to be imposed or other measures to be taken for failure to carry out said requirements.
7. Jiangxi shall cause Jingdezhen City to: (a) engage and retain the services of independent consultants to carry out external monitoring and reporting, on an annual basis, under terms of reference satisfactory to the Bank, of compliance with the implementation of the RAP and the RPF (and related plan prepared in accordance with the RPF); and (b) implement the recommended measures in a prompt and efficient manner satisfactory to the Bank, in order to improve compliance with the RAP and the RPF (and related plan prepared in accordance with the RPF).

8. Jiangxi shall cause Jingdezhen City to take or cause to be taken all such action as shall be necessary in the implementation of the Project to protect cultural heritage sites in the relevant area of influence of the Project in accordance with arrangements and procedures satisfactory to the Bank.

9. Jiangxi shall cause Jingdezhen City to maintain the Dam Safety Panel under terms of reference, including a time-table and adequate budget for its activities, satisfactory to the Bank, to, inter alia: (i) review the design reports and inspect and monitor the construction of the Project Dam; and (ii) review and provide comments on the Dam Safety Plans.

10. Jiangxi shall cause Jingdezhen City to:

(a) require the Dam Safety Panel to carry out its function as set out in its terms of reference and to provide all support and cooperation to the Dam Safety Panel necessary for such purpose;

(b) require the Dam Safety Panel to meet, inspect, evaluate and review on a quarterly basis the safety status of the investigation, design, construction and start of operations of the Project Dam, including carrying out site visits, provide advance notification to the Bank of the meetings of the Dam Safety Panel, permit the Bank to send a representative to attend said meetings, and provide to the Bank and Jingdezhen City written reports of findings and recommendations for any safety related measures within fifteen (15) days of each quarterly review;

(c) require the Dam Safety Panel to review the Dam Safety Plans for the Project Dam provided by Jingdezhen City as set out in paragraphs 11 to 14 of Section I of this Schedule; and

(d) furnish to the Bank all reports received from the Dam Safety Panel and implement the measures recommended by the Dam Safety Panel in respect of the Project Dam in a timely manner satisfactory to the Bank, including those referred to in clause (b) of this paragraph.
11. Jiangxi shall cause Jingdezhen City to prepare and provide to the Dam Safety Panel and the Bank the Plan for Construction Supervision and Quality Assurance for the Project Dam and finalize said Plan taking into account the recommendation of said Panel. Jiangxi shall cause Jingdezhen City to complete said Plan prior to initiating the procurement of the Project Dam. Jiangxi shall cause Jingdezhen City to maintain the organizational and other requirements set out in the Plan for Construction Supervision and Quality Assurance in a manner satisfactory to the Bank and carry out periodic supervision of the construction of the Project Dam in accordance with the provisions of said Plan in order to ensure that the dam-safety-related elements of the design of said Dam are implemented during its construction.

12. Jiangxi shall cause Jingdezhen City to prepare and provide to the Dam Safety Panel and the Bank the Instrumentation Plan for the Project Dam and finalize said Plan taking into account the recommendations of said Panel. Jiangxi shall cause Jingdezhen City to finalize said Plan prior to completing the design of said Dam and before issuing tenders inviting bids for awarding the contract for the construction of said Dam. Jiangxi shall cause Jingdezhen City to install instruments in accordance with the provisions of said Plan in order to monitor the safety of said Dam.

13. Jiangxi shall cause Jingdezhen City to prepare and provide to the Dam Safety Panel and the Bank the Operation and Maintenance Plan for the Project Dam and finalize said Plan taking into account the recommendations of said Panel. Jiangxi shall cause Jingdezhen City to finalize said Plan not less than six (6) months prior to the projected date of initial filling of the reservoir to be constructed with respect to the Project Dam. Jiangxi shall cause Jingdezhen City to maintain the organizational and other requirements of said Plan in a manner satisfactory to the Bank and carry out operation and maintenance of said Dam in accordance with the provisions of said Plan.

14. Jiangxi shall cause Jingdezhen City to prepare and provide to the Dam Safety Panel and the Bank the Dam Emergency Preparedness Plan for the Project Dam and finalize said Plan taking into account the recommendations of said Panel. Jiangxi shall cause Jingdezhen City to finalize said Plan not later than twelve (12) months prior to the projected date of initial filling of the reservoir to be constructed with respect to the Project Dam. Jiangxi shall cause Jingdezhen City to maintain the organizational and other requirements of said Plan in a manner satisfactory to the Bank.

15. Jiangxi shall cause Jingdezhen City to:

(a) implement the Dam Safety Remedial Action Plan in a manner satisfactory to the Bank and in accordance with technical standards and time frame set out in said Plan;
(b) not amend, abrogate, repeal, waive, or fail to enforce any provisions of any Dam Safety Plan or the Dam Safety Remedial Action Plan without the prior concurrence of the Bank;

(c) retain the Dam Safety Panel until the Panel is satisfied, after the filling up of the reservoir related to the Project Dam, with the start-up of operation of said Dam, and a report relating thereto is provided by the Panel to the Bank, Jiangxi and Jingdezhen City; and

(d) establish arrangements, satisfactory to the Bank, for the periodical inspection of the Project Dam and the Project Affected Dams, in accordance with sound engineering practice and evolving technical criteria, by independent qualified professionals who have not been involved with their investigation, design, construction or operation, in order to determine whether there are any deficiencies in the condition of their structures, or in the quality and adequacy of maintenance or methods of operation of the same, which may endanger their safety; and carry out periodic inspection of the Project Dam and the Project Affected Dams in accordance with said arrangements and implement the recommended measures in a timely manner satisfactory to the Bank. Such arrangements for carrying out periodical inspection shall be established in respect of the Project Affected Dams no later than three (3) months after the Effective Date and in respect of the Project Dam no later than the projected date of initial filling of the reservoir to be constructed under said Dam.

16. Jiangxi shall cause Jingdezhen City to: (a) initiate no later than three (3) months after the Effective Date and under terms of reference and time frame satisfactory to the Bank (i) a Comprehensive Reservoir Management Plan Development Study to identify issues related to future monitoring and adaptive management of the implementation of the ESMP based on evaluation of environment performance; and (ii) a second phase study of cumulative impact assessment of the Project in the entire Changjiang River Basin; (b) complete said studies at least six (6) months prior to filling up of the Project Dam reservoir; (c) prepare no later than thirty (30) days after completion of the studies an action plan to implement the recommendations of said studies satisfactory to the Bank; and (d) implement said action plan in a manner satisfactory to the Bank.

17. Jiangxi shall cause Jingdezhen City to prepare and provide to the Bank, for review and comments: (i) the annual work plan for implementation of the project, including activities drawn from the PIP, the ESMP, the RAP, the RPF, and the DSRAP; and (ii) the annual monitoring and evaluation plan for review and comments.
In the event of any inconsistency between the provisions of the PIP, the ESMP, the RAP, the RPF, the PMP and the Dam Safety Plans and the provisions of this Agreement or the Loan Agreement, the provisions of this Agreement and the Loan Agreement shall prevail.

Section II. Project Monitoring, Reporting and Evaluation

A. Project Report

1. Jiangxi shall cause Jingdezhen City to monitor and evaluate the progress of the Project and prepare Project Report for the Project in accordance with the provisions of Section 5.08 (b) of the General Conditions and on the basis of the indicators set forth in Annex A to this Schedule. Each such Project Report shall: (i) with respect to the general progress of the Project, cover the period of one (1) calendar semester, and shall be furnished to the Bank by March 15 and September 15 of each year, beginning on March 15, 2013; and (ii) with respect to the monitoring and evaluation, cover the period of one year, and shall be furnished to the Bank by March 15 each year, starting from March 15, 2014.

2. Jiangxi shall cause Jingdezhen City to, not later than June 30, 2015 review with the Bank (mid-term review) the progress made in the implementation of the Project, together with any recommendation the Borrower or the Bank may have on measures to be taken to ensure the efficient completion of the Project and to achieve the objectives thereof.

B. Financial Management, Financial Reports and Audits

1. Jiangxi shall cause Jingdezhen City to maintain a financial management system and prepare financial statements in accordance with consistently applied accounting standards acceptable to the Bank, both in a manner adequate to reflect the operations, resources and expenditures related to the Project.

2. Jiangxi shall cause Jingdezhen City to have its financial statements referred to above audited by independent auditors acceptable to the Bank, in accordance with consistently applied auditing standards acceptable to the Bank. Each audit of these financial statements shall cover the period of one (1) fiscal year of Jiangxi. The audited financial statements for each period shall be furnished to the Borrower and the Bank not later than six (6) months after the end of the period.

3. Without limitation on the provisions of Part A of this Section, Jiangxi shall cause Jingdezhen City to prepare and furnish to the Bank not later than forty five (45) days after the end of each calendar semester, interim un-audited financial reports.
for the Project covering the calendar semester, in form and substance satisfactory to the Bank.

4. Jiangxi shall cause Jingdezhen City to install and operationalize for use the Procurement and Financial Management Information System, no later than thirty (30) days after the Effective Date, and thereafter apply the system in order to monitor the entire procurement process and financial transactions under the Project in a manner satisfactory to the Bank.

Section III. Procurement

A. General

1. Goods, Works and Non-Consulting Services. All goods, works and non-consulting services required for the Project and to be financed out of the proceeds of the Loan shall be procured in accordance with the requirements set forth or referred to in Section I of the Procurement Guidelines, and with the provisions of this Section.

2. Consultants' Services. All consultants' services required for the Project and to be financed out of the proceeds of the Loan shall be procured in accordance with the requirements set forth or referred to in Sections I and IV of the Consultant Guidelines and with the provisions of this Section.

3. Definitions. The capitalized terms used below in this Section to describe particular procurement methods or methods of review by the World Bank of particular contracts refer to the corresponding method described in the Procurement Guidelines, or Consultant Guidelines, as the case may be.

B. Particular Methods of Procurement of Goods, Works and Non-consulting Services

1. International Competitive Bidding. Except as otherwise provided in paragraph 2 below, goods, works and non-consulting services shall be procured under contracts awarded on the basis of International Competitive Bidding. The provisions of paragraphs 2.55 and 2.56 of the Guidelines and Appendix 2 thereto shall apply to goods manufactured in the territory of the Borrower (other than goods manufactured in the Hong Kong Special Administrative Region or the Macau Special Administrative Region of the Borrower).

2. Other Methods of Procurement of Goods, Works and Non-consulting services. The following table specifies the methods of procurement, other than International Competitive Bidding, which may be used for procurement of goods, works and non-consulting services. The Procurement Plan shall specify the circumstances under which such methods may be used.
Procurement Method

(a) National Competitive Bidding. The procedures to be followed for National Competitive Bidding shall be those set forth in the Law on Tendering and Bidding of the People’s Republic of China promulgated by Order No. 21 of the President of the People’s Republic of China on August 30, 1999, with the following clarifications required for compliance with the Procurement Guidelines:

(i) All invitations to prequalify or to bid shall be advertised in a newspaper of national circulation in the Borrower’s country, except for civil works contracts that are estimated to cost less than $2,000,000 equivalent each and for goods and non-consulting services contracts that are estimated to cost less than $300,000 equivalent each, which may be advertised in a provincial daily newspaper. Such advertisement shall be made in sufficient time for prospective bidders to obtain prequalification or bidding documents and prepare and submit their responses. In any event, a minimum of thirty (30) days shall be given to bidders between the date of advertisement in such newspaper and the deadline for submission of bids, and the advertisement and bidding documents shall specify the deadline for such submission.

(ii) Qualification requirements of bidders and the method of evaluating the qualification of each bidder shall be specified in detail in the bidding documents.

(iii) All bidders that meet the qualification criteria set out in the prequalification document shall be allowed to bid and there shall be no limit on the number of pre-qualified bidders.

(iv) All bidders shall be required to provide security in an amount sufficient to protect the Borrower or the Project Implementing Entity, as the case may be, in case of breach of contract by the contractor, and the bidding documents shall specify the required form and amount of such security.

(v) Bidders will be allowed to submit bids by mail or by hand. The time for opening of all bids shall be the same as the deadline for receipt of such bids.

(vi) All bids shall be opened in public; all bidders shall be offered an opportunity to be present (either in person or through their representatives) at the time of bid opening, but bidders shall not be required to be present at the bid opening.
(vii) All bid evaluation criteria shall be disclosed in the bidding documents and quantified in monetary terms or expressed in the form of pass/fail requirements.

(viii) No bid may be rejected solely on the basis that the bid price falls outside any standard contract estimate, or margin or bracket of average bids established by the Borrower or the Project Implementing Entity (Jiangxi), as the case may be.

(ix) Each contract shall be awarded to the lowest evaluated responsive bidder, that is, the bidder who meets the appropriate standards of capability and resources and whose bid has been determined: (A) to be substantially responsive to the bidding documents; and (B) to offer the lowest evaluated cost. The winning bidder shall not be required, as a condition of award, to undertake responsibilities for work not stipulated in the bidding documents or otherwise to modify the bid as originally submitted.

(x) Each contract financed with the proceeds of the Loan shall provide that the suppliers and contractors shall permit the Bank, at its request, to inspect their accounts and records relating to the performance of the contract and to have said accounts and records audited by auditors appointed by the Bank.

(xi) Government owned enterprises in the Borrower’s country may be permitted to bid or submit a proposal of goods, works and non-consulting services if they can establish that they: (A) are legally and financially autonomous; (B) operate under commercial law; and (C) are not a dependent agency of the agency conducting the procurement.

(xii) All bids should not be rejected solely because the number of bids is less than three (3), and rejection of all bids or rebidding shall not take place without the Bank’s prior written concurrence.

(xiii) The results of bid evaluation and contract award shall be published in the national press or provincial press (as provided under sub-paragraph (i) above) or official gazette or a free and open access website and shall identify the name and offered price of the winning bidder, as well as the duration and summary scope of the awarded contract.

(xiv) The Project Implementing Entity (Jiangxi) shall have in place provisions for bidders to protest.
Particular Methods of Procurement of Consultants' Services

1. Quality- and Cost-based Selection. Except as otherwise provided in paragraph 2 below, consultants' services shall be procured under contracts awarded on the basis of Quality- and Cost-based Selection.

2. Other Methods of Procurement of Consultants' Services. The following table specifies the methods of procurement, other than Quality- and Cost-based Selection, which may be used for consultants' services. The Procurement Plan shall specify the circumstances under which such methods may be used.

<table>
<thead>
<tr>
<th>Procurement Method</th>
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<tbody>
<tr>
<td>(a) Quality-Based Selection</td>
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<tr>
<td>(b) Selection based on Consultants' Qualifications</td>
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<tr>
<td>(c) Selection of Individual Consultants</td>
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<tr>
<td>(d) Single Source Selection (Firm and Individual)</td>
</tr>
</tbody>
</table>

Review by the Bank of Procurement Decisions

The Procurement Plan shall set forth those contracts which shall be subject to the Bank's Prior Review. All other contracts shall be subject to Post Review by the Bank.

Section IV. Other Undertakings

1. Jiangxi shall, with respect to the Wuxikou flood control scheme constructed under Part 1 of the Project, in order to ensure the proper operation and maintenance of such scheme, at least six (6) months prior to the completion of the scheme, cause Jingdezhen City to prepare and furnish to the Bank for review and comment a proposed operation and maintenance plan for such scheme, including institutional, technical and financial arrangements, and, thereafter, ensure the prompt implementation of each such plan, taking into account the views of the Bank thereon.

2. Jiangxi shall, with respect to the integrated flood risk management system developed under Part 2 of the Project, in order to ensure the proper operation and
maintenance of such system, at least six (6) months prior to the completion of the system, cause Jingdezhen City to prepare and furnish to the Bank for review and comment a proposed operation and maintenance plan for such system, including institutional, technical and financial arrangements, and, thereafter, ensure the prompt implementation of each such plan, taking into account the views of the Bank thereon.

3. Jiangxi shall cause Jingdezhen City to ensure the counterpart funds required for the Project are made available in a timely manner.
Annex A to Schedule
Performance Monitoring Indicators

Results Framework

**Project Development Objective (PDO):** The project development objective is to reduce the flood risk in the central urban area of Jingdezhen City through implementation of priority structural and non-structural measures, and contribute to establishment of an integrated flood risk management system for the City.

<table>
<thead>
<tr>
<th>PDO Level Results Indicators</th>
<th>Unit of Measure</th>
<th>Baseline (2012)</th>
<th>Cumulative Target Values</th>
<th>Frequency</th>
<th>Data Source</th>
<th>Data Collection</th>
<th>Description</th>
</tr>
</thead>
<tbody>
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<tr>
<td>PO-1: Percentage of urban</td>
<td>% (male and</td>
<td>30%</td>
<td>30% 30% 40% 50% 70%</td>
<td>Annually</td>
<td>Annual</td>
<td>Water Affairs</td>
<td>By</td>
</tr>
<tr>
<td>population protected from</td>
<td>female)</td>
<td></td>
<td>80%</td>
<td></td>
<td>Report</td>
<td>Bureau/PMO</td>
<td>independent</td>
</tr>
<tr>
<td>1-in 50-year floods</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>consultant</td>
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<tr>
<td>(disaggregated by gender)</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
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<tr>
<td>PO-2: The flood protection</td>
<td>Flood protection</td>
<td>20-year flood</td>
<td>20-year flood 30-year</td>
<td>Annually</td>
<td>Annual</td>
<td>Water Affairs</td>
<td>By</td>
</tr>
<tr>
<td>level is increased from</td>
<td>level</td>
<td>flood 30-year    flood 40-year flood 40-year flood 50-year flood</td>
<td></td>
<td></td>
<td>Bureau/PMO</td>
<td>independent</td>
<td></td>
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<tr>
<td>20-year flood to 50-year</td>
<td></td>
<td>40-year flood 40-year flood 50-year flood</td>
<td></td>
<td></td>
<td></td>
<td>consultant</td>
<td></td>
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<tr>
<td>flood</td>
<td>20-year flood</td>
<td>20-year flood</td>
<td>flood</td>
<td></td>
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<td></td>
<td></td>
</tr>
<tr>
<td>PO-3: Total area subject to</td>
<td>km²</td>
<td>35.58</td>
<td>35.58 35.58 20.58 10.58 5.58</td>
<td>Annually</td>
<td>Annual</td>
<td>Water Affairs</td>
<td>By</td>
</tr>
<tr>
<td>inundation by 1-in-50-year</td>
<td></td>
<td>3.56</td>
<td>3.56 3.56 3.56 3.56 3.56</td>
<td></td>
<td>Report</td>
<td>Bureau/PMO</td>
<td>independent</td>
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<tr>
<td>floods</td>
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</tr>
</tbody>
</table>

**Intermediate Result Indicators by Component**

**Component I: Construction of Wuxikou Flood Control Scheme**

| IO-1: Progress in dam        | %               | 0               | 20 50 70 80 90 100 | Annually | Data collected on site | Water Affairs Bureau/PMO | By          |
| construction                 |                 |                 |                  |          |                         |                           | independent  |
| IO-2: Minimum environment    | m³/s            | 15              | 15 15 15 15 15 15 15 | Annually | Annual Report           | Water Affairs Bureau/PMO | By          |
| flow and water supply² provided |             |                 |                  |          |                         |                           | independent  |
| IO-3: Operation & Maintenance| Status          | N/A             | N/A N/A N/A       | Prepara  | Approval                 | Annual Report           | Water Affairs Bureau/PMO | By          |
| Plan for Wuxikou Flood Control Scheme gets approved by | | | | tion | by June 30 | Implement | independent  |
| | | | | | | | |

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1 The annual target value is indicative for scheduling implementation of the project.

2 The 15 m³/s includes the minimum environment flow 8.87 m³/s, which are 10% of the annual average flow discharge of the Changjiang River, plus the flow discharges of 6.15 m³/s for downstream water supply.
### Jingdezhen municipal government

#### Component II: Establishment of Integrated Flood Risk Management System

<table>
<thead>
<tr>
<th>IO-4: Progress in Master Plan development and government review and approval</th>
</tr>
</thead>
<tbody>
<tr>
<td>□ Status</td>
</tr>
<tr>
<td>□ %</td>
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</tbody>
</table>

#### Component III: Implementation of Resettlement Action Plan (NBF)

<table>
<thead>
<tr>
<th>IO-8: No. of people relocated with proper compensation and livelihood restoration</th>
</tr>
</thead>
<tbody>
<tr>
<td>□ Person</td>
</tr>
<tr>
<td>□ Person</td>
</tr>
<tr>
<td>□ %</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>IO-11: No. of staff from PMO and related bureaus (including decision makers) attend trainings and study tours (both domestic and off-shore) and pass government test on project management and flood risk</th>
</tr>
</thead>
<tbody>
<tr>
<td>□ Person</td>
</tr>
<tr>
<td>management.</td>
</tr>
<tr>
<td>------------</td>
</tr>
<tr>
<td>IO-12: Management Information System (MIS) is well maintained and fully utilized to generate various reports</td>
</tr>
</tbody>
</table>