Trust Fund Administration Agreement
between the European Union, represented by the European Commission, and International Development Association concerning the Multi-donor Trust Fund for the Ethiopia Protection of Basic Services Social Accountability Program

Trust Fund No. TF 071654-(Donor Reference: CRIS Ref: FED/2015/371-974)

This Administration Agreement is concluded under Indirect Management in the context of the Framework Agreement, co-signed on July 30 and August 15, 2014 (the “2014 Framework Agreement” or “Framework Agreement”) between the World Bank Group and the European Commission which sets the general conditions for this Administration Agreement. The Framework Agreement shall be applicable and form an integral part of this Administration Agreement for the Trust Fund.

1. The International Bank for Reconstruction and Development and the International Development Association (collectively, the “Bank”) acknowledges that the European Union, represented by the European Commission (the “Donor”, and together with the Bank, the “Parties” and each a “Party”) agrees to provide the sum of EUR two million and five hundred thousand (EUR 2,500,000) (the “Contribution”) for the Multi-Donor Trust Fund for the Ethiopia Protection of Basic Services Social Accountability Program, TF No. 071654 (the “Trust Fund”) in accordance with the terms of this Administration Agreement. Other donors are also expected to contribute to the Trust Fund on the terms and conditions specified in the Annexes to this Administration Agreement.

The estimated total budget of the Trust Fund is US $32.6 million. The indicative budget set out in Annex 5 shall be used for monitoring purposes only and shall not be binding.

2. The Contribution shall be used to finance the activities set forth in the “The Ethiopia Protection of Basic Services Social Accountability Program Trust Fund Description” attached hereto as Annex 1, and shall be administered by the Bank on behalf of the Donor in accordance with the terms of this Administration Agreement, including the “Standard Provisions” attached hereto as Annex 2 and “Governance of Multi Donor Trust Fund” attached hereto as Annex 3.

Expected results of the Trust Fund and corresponding indicators (including baselines, result goals and sources of data) are set out in Annex 4.

The Implementation period shall start on the date following that on which the last of the two parties signs.

3. The Donor shall deposit the Contribution in accordance with the following schedule and in the currency specified in Section 1 above (“Contribution Currency”) into such bank account designated by the Bank (each amount deposited hereinafter referred to as an “Instalment”) upon submission of a payment request by the Bank.

   (A) Promptly following countersignature – €2,000,000
   (B) €500,000 subject to the disbursement of 70% of the preceding instalment.

The period for payment of the balance shall be 90 days.
4. When making any deposit, the Donor shall instruct its bank to include in its deposit details information (remittance advice) field of its SWIFT deposit message, information indicating: the amount deposited, that the deposit is made by the Donor for TF no. 071654 (the Multi-donor Trust Fund for the Ethiopia Protection of Basic Services Social Accountability Program), the Commission internal reference number and the date of the Administration Agreement, the name of the project for which the funds are intended, the name of the Commission department responsible for the Trust Fund and the date of the deposit (the “Deposit Instructions”). In addition, the Donor shall provide a copy of the Deposit Instructions to the Bank’s Accounting Trust Funds Division by e-mail sent to tfremitadvice@worldbank.org or by fax sent to +1 (202) 614-1315.

5. Except with respect to the Deposit Instructions, any notice, request or other communication to be given or made under this Administration Agreement shall be in writing and delivered by mail, fax or e-mail to the respective Party’s address specified below or at such other address as such Party notifies in writing to the other Party from time to time:

For the Bank:

Alex Kamurase
Senior Social Protection Specialist
Social Protection and Labor Global Practice (GSPDR)
The World Bank Group
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Email: akamurase@woldbank.org

For the Donor:

Mr. Augusto Piccagli
Head of Finance, Contracts and Audit Section
Delegation of the European Union to Ethiopia
P.O.Box 5570
Addis Ababa, Ethiopia
Tel: +251(0) 11 6612511
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E-mail: Augusto.PICCAGLI@eeas.europa.eu

6. In the event any amounts are to be returned to the Donor under this Administration Agreement, the Bank shall transfer such amounts to the Donor unless otherwise agreed with the Bank.

7. (a) The Interpretative Addendum and all Annexes hereto, as well as the Framework Agreement between the World Bank Group and the European Commission, constitute the entire agreement and understanding between the Donor and the Bank. In the event of any inconsistency, the Framework Agreement prevails over the Administration Agreement and the Administration Agreement (including its Interpretative Addendum) prevails over its Annexes. Unless otherwise specified in an annex hereto, this Administration Agreement may be amended only by written amendment between the Bank and the Donor provided, however, that any annexes to this Administration Agreement may be amended only by written amendment of all donors contributing to the Trust Fund; and (b) Annex 2 below, reflecting non-substantive differences with the analogous Annex in the model Administration Agreement attached to the Framework Agreement, applies to all donors to this Trust Fund because the Trust Fund is a joint multi-donor initiative. Nevertheless,
this Administration Agreement is concluded in the context of the Framework Agreement and shall be interpreted in a manner consistent with the terms of the Framework Agreement.

8. Individual procurement and grant contracts under this Administration Agreement shall be signed by the World Bank Group entity no later than seventeen (17) months from the date of this Administration Agreement.

9. The following derogations from the Framework Agreement shall apply in cases of crisis, emergency and post-emergency situations:

   Article 2.6 of the 2014 Framework Agreement specifies that, "in duly justified cases of crisis and emergency and post-recovery situations," derogations from the terms of the 2014 Framework Agreement may be made. Given that this Administration Agreement aims at supporting activities for the benefit of Ethiopia, and given that Ethiopia, in the opinion of the Parties, represents such a case where such derogations are justified, the Parties hereby agree to derogate from the 2014 Framework Agreement for the reasons and in the manner as follow:

   Through its Article 14.1.4, the 2014 Framework Agreement sets forth a notional approach for determining compliance with cost eligibility requirements in the case of a multi-donor trust fund (MDTF), such as this TF071654.

   However, said Article 14.1.4’s application of the notional approach solely to cost eligibility requirements does not expressly cover the situation where the European Commission contributes to a commingled account amounts governed by the terms of the Framework Agreement, co-signed on March 20, 2009 between the European Commission and the International Bank for Reconstruction and Development, the International Development Association, and the International Finance Corporation (the “2009 Framework Agreement”), and amounts governed by the terms of the 2014 Framework Agreement. Consequently, the Parties hereby derogate from said Article’s provisions by explicitly expanding them to cover situations where successive European Commission contributions are governed by the 2009 Framework Agreement or the 2014 Framework Agreement.

   Consequently, pursuant to the notional approach, any use by the World Bank Group of amounts contributed by the European Commission shall be deemed compliant with the terms of either the 2009 Framework Agreement or the 2014 Framework Agreements as follows:

   (i) if an activity was fully funded by resources from this TF 071654 before any EC contributions under this Administration Agreement are received by the Bank, then such activity falls under the terms of the 2009 Framework Agreement;

   (ii) if an activity begins to be funded by resources from this TF 071654 after an amount equivalent to all contributions covered by prior Administration Agreements entered into by the European Commission with regard to this TF 071654 has been disbursed by the Bank, and the relevant Grant Agreement for such activity was signed after the date of this Administration Agreement, then such activity falls under the terms of the 2014 Framework Agreement.

   (iii) if an activity begins to be funded by resources from this TF 071654 before an amount equivalent to all contributions covered by prior Administration
Agreements entered into by the European Commission with regard to this TF 071654 has been disbursed by the Bank, and the relevant Grant Agreement for such activity was signed after the date of this Administration Agreement, then such activity falls under the terms of either one of those Framework Agreements.

10. The measures taken to identify the EU as a source of financing shall be in accordance with Attachment 4 of the Framework Agreement.

11. Each of the Parties represents, by confirming its agreement below, that it is authorized to enter into this Administration Agreement and act in accordance with these terms and conditions. The Parties are requested to sign and date this Administration Agreement, and upon possession by the Bank of this fully signed Administration Agreement, this Administration Agreement shall become effective as of the date of the last signature.

INTERNATIONAL DEVELOPMENT ASSOCIATION

By: 
Name: Carolyn Turk
Title: Country Director for Ethiopia, Sudan and South Sudan
Date: 12 February 2016

EUROPEAN UNION, represented by the EUROPEAN COMMISSION

By: 
Name: Francisco Carreras
Title: Head of Cooperation
Date: 15 February 2016
Interpretative Addendum

For purposes of interpreting Art. 14.1.4 of the 2014 Framework Agreement (and not as a derogation from such Article), the "notional approach" referred to therein shall apply in the following manner in cases of both Direct and Indirect Management modalities:

a) This Trust Fund is a "Multi Donor Trust Fund" or "MDTF," defined as follows in Article 1(v) of the 2014 Framework Agreement: "a trust fund that may receive contributions from more than one donor, whose funds are pooled under a single set of agreed terms and such funds are not earmarked by individual donors for specific categories of expenditures, also known as 'joint co-financing' by the Commission." Consequently, the contributions from the Commission, as well as from other donors ("Other Contributions"), form an account of commingled funds (the "MDTF Pool") within which no one particular donor's contribution can be traced.

b) In administering the MDTF Pool, the Bank applies terms that are the same for all contributions to the MDTF, in addition to terms, derived from the 2014 Framework Agreement, that apply solely to the Commission Contribution ("Commission Terms"). Examples of Commission Terms include (i) that costs are incurred within a specified time period (2014 Framework Agreement Arts. 14.1.1(c) and 14.2.1(b)), (ii) that costs are verifiable through supporting documents retained for 7-years after the applicable End Disbursement Date (2014 Framework Agreement Arts. 14.1.1(e), 14.2.1(d) and 17.4), or (iii) that the Trust Fund pay for indirect costs only at levels not exceeding those accepted by the Commission pursuant to 2014 Framework Agreement Art. 13.4.

c) The notional approach allows the Commission to participate in MDTFs where not all expenditures would be eligible for the Commission (see point b immediately above) without earmarking its contribution to specific items or on the basis of geographical criteria. It allows so by verifying that there is enough expenditure in the MDTF eligible in accordance with the Commission Terms to justify the Commission contribution.

d) Consequently, if, in carrying out verifications or audits of the Trust Fund under 2014 Framework Agreement Art. 17.2, a sampling by a European Union competent body finds ineligible expenditures because of non-compliance solely with Commission Terms, no refunds need be made by the Bank or any Recipients, nor shall adjustments be made under 2014 Framework Agreement Art. 15.3.1, nor shall any offsets be applied under 2014 Framework Agreement Art. 16.5 or otherwise, as long as sufficient Other Contributions to cover the amount of such expenditures were made to the MDTF Pool before the End Disbursement Date. Such refunds, adjustments or offsets may only be applied (i) if, and to the extent, the ineligible expenditures are in excess of Other Contributions and (ii) if the Bank does not provide documentation to justify that an amount equal to such ineligible expenditures is covered by the Other Contributions.

The provisions of this paragraph shall be applied in conjunction and are consistent with Art. 17 of, and Attachment 3 to, the 2014 Framework Agreement, and are also consistent with the terms of Art. 42.2 of the Rules of Application (Commission Delegated Regulation (EU) No 1268/2012 of 29 October 2012).
Description of Activities and Expenditures under the Multi-donor Trust Fund for the Ethiopia Protection of Basic Services Social Accountability Program

This Annex shall be applicable to and form an integral part of all agreements entered into between the Bank and entities (collectively, the “Donors”) that provide contributions (the aggregate of all contributions from the Donors, the “Contributions”) to be administered by the Bank for the Trust Fund.

1. DEFINITIONS

(a) “Ethiopia Protection of Basic Services Social Accountability Program” or the “Program” means the Federal Democratic Republic of Ethiopia’s (Ethiopia’s) social accountability program consisting of a program of interrelated actions, measures, and instruments adopted or entered into by the government with the aim to strengthen the use of accountability tools, approaches and mechanism in Ethiopia by: (i) citizens and citizen groups; (ii) civil society organizations; (iii) local government officials; and (iv) service providers as a means to make basic service delivery more equitable, effective, responsive and accountable.

(b) “Steering Committee” means a committee established to oversee implementation of the Program and chaired by the State Minister of Ethiopia’s Ministry of Finance and Economic Cooperation.

(c) “SAIP” means the social accountability implementing partners.

2. OBJECTIVES

The overall objective of the Trust Fund is to strengthen the institutional capacity for social accountability to make service delivery more effective and responsive in Ethiopia.

3. DESCRIPTION OF ACTIVITIES

(a) Recipient Executed Activities:

(i) Provision of technical assistance and institutional support, enabling a scaling-up of social accountability activities, mainly through the sponsorship of grant subprojects or activities that will be implemented by social accountability implementing partners (SAIPs), each such SAIP to be selected by the Recipient in respect of a particular subproject or activities, and each operating with a discrete set of defined tasks and in a specific area of finite social accountability needs, and/or within a defined social subgroup, and/or for a defined time period, and/or within a specific geographic area; and collectively, contributing to the overall aim of achieving an expansion of social accountability results in all regions of Ethiopia;

(ii) Provision of enhanced institutional capacity building opportunities, with the object of deepening social accountability capacity of partner institutions at all levels, and identification and strengthening of support institutions;
(iii) Provision of technical support for lessons-learning, monitoring, evaluation, audits and reporting, including evaluation of gaps and bottlenecks in scale-up of social accountability activities and a continual assessment of progress throughout the Program period;

(iv) Supporting effective implementation, management and coordination of the Program financed by the Trust Fund.

(b) Bank Executed Activities

Strengthening oversight and technical design under the Program, through: (i) carrying out of activities designed to support the Steering Committee’s oversight role or to strengthen its capacities, or to enhance other aspects of Program design or the achievement of its intended results; (ii) provision of technical assistance in specific areas at the request of the authorities the Federal Democratic Republic of Ethiopia in the area of social accountability that reflect international good practices and fall within an area of the Bank’s comparative advantage and available capacity; (iii) carrying out assessments and studies; and (iv) coordination of independent evaluations.

4. CATEGORIES OF EXPENDITURE

For Bank-Executed activities, the Contributions may be used to finance Consultant Fees, Extended Term Consultants, Travel Expenses, Staff Costs, Media and Workshop Costs, Contractual Services, and Associated Overheads.

For Recipient-Executed activities, the Contributions may be used to finance Goods, Consultants’ Services, Training and Workshops (including media costs), Operating Costs, and Grants for Subprojects.

The foregoing categories of expenditures may include the financing of taxes.
ANNEX 2

Standard Provisions Applicable to the Multi-donor Trust Fund for the Ethiopia Protection of Basic Services Social Accountability Program

This Annex shall be applicable to and form an integral part of all agreements entered into between the Bank and the Donors that provide Contributions to be administered by the Bank for the Trust Fund.

1. Administration of the Contributions

1.1. The Bank shall be responsible only for performing those functions specifically set forth in this Agreement and shall not be subject to any other duties or responsibilities to the Donors, including, without limitation, any duties or obligations that might otherwise apply to a fiduciary or trustee under general principles of trust or fiduciary law. Nothing in this Agreement shall be considered a waiver of any privileges or immunities of IDA under its Articles of Agreement or any applicable law, all of which are expressly reserved.

1.2. The Contributions shall be administered in accordance with the Bank’s applicable policies and procedures, as the same may be amended from time to time, including its framework to prevent and combat fraud and corruption and its screening procedures to prevent the use of Bank resources to finance terrorist activity, in line with the Bank’s obligations to give effect to the relevant decisions of the Security Council, taken under Chapter VII of the of Charter of the United Nations. The Donor acknowledges that this provision does not create any obligations of the Bank under the anti-terrorist financing and asset control laws, regulations, rules and executive orders of an individual member country that may apply to the Donor, nor shall it be deemed a waiver, express or implied, of any of the privileges and immunities of the Bank.

2. Commingling, Exchange and Investment of the Contributions

2.1. The Contributions shall be accounted for as a single trust fund and shall be kept separate and apart from the funds of the Bank. The Contributions may be commingled with other trust fund assets maintained by the Bank.

2.2. The Contributions may be freely exchanged by the Bank into other currencies as may facilitate their disbursement.

2.3. The Bank shall invest and reinvest the Contributions pending their disbursement in accordance with the Bank’s policies and procedures for the investment of trust funds administered by the Bank. The Bank shall credit all income from such investment to the Trust Fund to be used for the same purposes as the Contributions.

3. Administrative Cost Recovery

3.1 In order to assist in the defrayment of the costs of administration and other expenses incurred by the Bank under this Agreement, the Bank may deduct and retain for its own account an amount equal to 2 percent of each Contribution. In addition, costs for program management and administration as defined in Annex 1 up to a maximum of 2 percent will be charged to the Trust Fund on an actual basis. If the Contributions increase beyond what was originally expected at the time of counter-signature of the first administration agreement, and the administrative costs
increase as a result, the Donors acknowledge that an additional administrative fee may be applied to such new Contributions.

4. **Grant to Recipient**

4.1. The Bank shall, as administrator of the Trust Fund on behalf of the Donors, enter into a grant agreement (the “Grant Agreement”) with a Management Agent (the “Recipient”, or the “Management Agent”), who shall be authorized to act on behalf of Ethiopia, consistent with the purposes of the Trust Fund and in accordance with the provisions of this Agreement, and who shall exercise rights and privileges with respect to the disposition of the portion of the proceeds of the Trust Fund which shall be committed under such Grant Agreement, and on the specific terms and conditions as shall be set forth in the Grant Agreement, and who shall likewise be bound to observe certain obligations regarding the proper use of such proceeds, including with respect to the supervision of the utilization of funds granted to SAIPs for discrete social accountability subprojects or activities to be implemented by such SAIPs. The Grant Agreement may be entered into up to the maximum amount of the Contributions that all Donors have agreed to make available under the Administration Agreements between the Bank and the Donors. Upon request by a Donor, the Bank shall furnish a copy of the Grant Agreement to the Donors.

4.2. The Bank shall, within the customary limits of its role as a development institution and as an administrator of a multi-donor trust fund for a member country, undertake to provide appropriate support to the Recipient for the supervision of the activities financed under the Grant Agreement. Subject to the consent of the Recipient and the authorities of the Federal Democratic Republic of Ethiopia, representatives of the Donors may be invited by the Bank to participate in Bank supervision missions related to the Trust Fund.

4.3. The Bank shall promptly inform the Donors of any significant modification to the terms of any Grant Agreement and of any contractual remedies that are exercised by the Bank under any Grant Agreement. To the extent practicable, the Bank shall afford the Donors the opportunity to exchange views before effecting any such modification or exercising any such remedy.

5. **Procurement**

5.1. For Recipient-executed activities, the Grant Agreement shall provide that the Contributions shall be used by the Recipient to finance expenditures for goods and services, as the case may be, in accordance with the Bank’s Guidelines on “Procurement under IBRD Loans and IDA Credits” and the Bank’s Guidelines on the “Selection and Employment of Consultants by World Bank Borrowers,” as in effect at the date of entry into the Grant Agreement.

5.2. For Bank-executed activities, the employment and supervision of any consultants and the procurement of any goods financed by the Contributions shall be the responsibility of the Bank and shall be carried out in accordance with its applicable policies and procedures.

6. **Accounting and Financial Reporting**

6.1. The Bank shall maintain separate records and ledger accounts in respect of the Contributions deposited in the Trust Fund account and disbursements made therefrom.

6.2. The Bank shall furnish to the Donors current financial information relating to receipts, disbursements and fund balance in United States Dollars of the Trust Fund with respect to the Contributions via the World Bank’s Trust Funds Donor Center secure website. Within six (6)
months after all commitments and liabilities under the Trust Fund have been satisfied and the Trust Fund has been closed, the final financial information relating to receipts, disbursements and fund balance in United States Dollars of the Trust Fund with respect to the Contributions will be made available to the Donors via the World Bank’s Trust Funds Donor Center secure website.

6.3. The Bank shall provide to the Donors, within six (6) months following the end of each Bank fiscal year, an annual single audit report, comprising (1) a management assertion together with an attestation from the Bank’s external auditors concerning the adequacy of internal control over cash-based financial reporting for all cash-based trust funds as a whole; and (2) a combined financial statement for all cash-based trust funds together with the Bank’s external auditor’s opinion thereon. The cost of the single audit shall be borne by the Bank.

6.4. If a Donor wishes to request, on an exceptional basis, a financial statement audit by the Bank’s external auditors of the Trust Fund, the Donor and the Bank shall first consult as to whether such an external audit is necessary. The Bank and the Donor shall agree on the appropriate scope and terms of reference of such audit. Following agreement on the scope and terms of reference, the Bank shall arrange for such external audit. The costs of any such audit, including the internal costs of the Bank with respect to such audit, shall be paid by the requesting Donor.

6.5. The Bank shall provide the Donors with copies of all financial statements and auditors’ reports received by the Bank from the Recipient pursuant to the Grant Agreement.

7. Progress Reporting

7.1. The Bank shall provide the Donors with an annual report on the progress of activities financed by the Contributions. Within six (6) months of the final disbursement date specified in paragraph 8.1, the Bank shall furnish to the Donors a final report on the activities financed by the Trust Fund.

7.2 Any Donor may review or evaluate activities financed by the Trust Fund. The Donor and the Bank shall agree on the scope and conduct of such review or evaluation, and the Bank shall provide all relevant information within the limits of its policies and procedures. All associated costs shall be borne by the Donor. It is understood that any such review or evaluation will not constitute a financial, compliance or other audit of the Trust Fund.

8. Disbursement; Cancellation; Refund

8.1 It is expected that the Contributions will be fully disbursed by the Bank by June 30, 2017. The Bank shall only disburse Contributions for the purposes of this Agreement after such date with the written approval of the Donors.

8.2 Any Donor or the Bank may, upon three (3) months’ prior written notice, cancel all or part of the Donor’s pro rata share, of any remaining balance of the Contributions that is not committed pursuant to any agreements entered into between the Bank and any consultants and/or other third parties for the purposes of this Agreement prior to the receipt of such notice, including the Grant Agreement.

8.3. Following the final disbursement date specified in paragraph 8.1, the Bank shall return any remaining balance of the Contributions to the Donors or to the relevant Donor Balance Account on a pro rata basis based on the Donors’ paid Contributions. In the event of a cancellation the Bank
shall promptly return to the relevant Donor or Donors or to the relevant Donor Balance Account the Donor’s pro rata share of uncommitted Contributions in accordance with paragraph 8.2.

9. **Disclosure**

The Bank will disclose this Agreement and related information on this Trust Fund in accordance with the World Bank Policy on Access to Information. By entering into this Agreement, Donors consent to disclosure of this Agreement and related information on this Trust Fund.
Governance Structure for the Multi-donor Trust Fund for the Ethiopia Protection of Basic Services Social Accountability Program

Steering Committee

The Steering Committee consists of three representatives each of the Federal Democratic Republic of Ethiopia, development partners and the civil society. The representative of the Ministry of Finance and Economic Development of the Federal Democratic Republic of Ethiopia will continue to be the chair of the Steering Committee and will provide the focus and direction to the Program implementation and enhance public sector acceptance and responsiveness. The development partners will also play the role of knowledge partners by bringing into the Program international best practice experience. Civil society representatives will bring in the voices of people and citizens’ perspectives, and greater diversity to the policy dialogue.

The Steering Committee will act as the owner of the Program and give directions to and supervise the Recipient, especially with regard to policy and strategic decisions. The responsibilities of the Steering Committee will be as follows:

(a) Provide strategic direction and guide program implementation and endorse annual plans and budgets prepared by the Recipient;

(b) Oversee the implementation of the Program by the Recipient including the screening and contracting of SAIPs;

(c) Review and assess lessons learnt and provide guidance on replication of best practices to improve the quality, quantity and access to basic services; and

(d) Inform the authorities of the Federal Democratic Republic of Ethiopia on the Program progress and provide strategic support in institutionalization of social accountability within the Government of Ethiopia’s other initiatives.
## Indicative ESAP Bridging Funding Results Framework

### Priority 1: Continuity of SA Development on the Ground and Promotion of Innovation

<table>
<thead>
<tr>
<th>Strategic Priority and Main Intervention</th>
<th>Expected Deliverables</th>
<th>Performance Indicators</th>
</tr>
</thead>
</table>
| Consolidating and deepening SA in 223 ESAP2 participating Woredas. | - Identification of areas for expansion within existing participating kebeles and woredas.  
- A number of Innovations identified and agreed for implementation.  
- Elaboration of more effective tools for making linkages between SA, FTA, GRM and GRM more functional and better integrated. | - % of participating woredas in which innovations for promoting sustainable SA identified and agreed are implemented.  
- % of participating woredas in which mechanisms for integrating SA-FTA-GRM-PFM implementation are adopted and made functional.  
- Number of citizens’ representatives that actively participated in planning, implementation and monitoring of government development programs in participating woredas, of which x% are female.  
- % of participating woredas in which resources are allocated for implementing JAPs following interface meetings. |
| Keeping SA on the local government agenda. | - Continued publication and wider distribution of the newsletters  
- Distribution of ESAP2 documentaries to SAIPs for onward diffusion to local levels and the citizenry  
- Radio IEC programs on SA  
- Structured citizens’ feedback sessions through public meetings.  
- Structured partnerships between SAIPs, regional councils and BoFEDs to monitor woredas and sectors where ESAP2 was active  
- Functional monitoring mechanisms and dialogue on SA between different levels of government.  
- Delivery of training programs to SAIPs  
- Coaching of participating SAIPs to take over increased responsibilities. | - Number of structured awareness raising, education and communication campaigns organized at woreda and regional levels  
- Number of woreda and kebele council standing committee members trained and existing participatory and representative mechanisms activated  
- % of regional integrated linkage committees that use media (TV, community radios and other media instruments) to keep SA on the agenda of service providers and citizens in participating woredas and kebeles targeted.  
- % of citizens in participating woredas reached with program supported communication campaigns.  
- % regions and woredas where the SA documentary is displayed  
- Number of non-participating woredas that practice SA principles and practices. |

**Priority 2: Fostering and Enhancing Social Accountability Dialogue at Federal, Regional and Woreda Levels**
### Strengthening the existing dialogue framework

- A revised dialogue framework consistent with commitments for the successor program to ESAP2
- An updated or new terms of reference for the different dialogue fora consistent with the new dialogue framework
- A strategy for mainstreaming SA in selected sectors and an identification of which sectors.
- Revised integrated and expanded dialogue framework discussed, adopted and functional.

### Strengthening the capacity of key stakeholders to engage in social accountability policy dialogue

- Carrying out awareness raising and providing tailor made trainings on social accountability and its achievements in each sector to old and new stakeholders
- Facilitating exposure to relevant local initiatives in Ethiopia
- Providing coaching/advisory support to sector ministries/programs in mainstreaming SA.
- Number of participants in SA training programs disaggregated by institution and gender.
- % of target key stakeholders with regular representation in key SA dialogue forums.

### Sustaining and enhancing knowledge management on social accountability

- Delivery of training programs
- Operationalization of feedback mechanisms to allow communication of lessons to inform decisions
- Deepening the action research agenda
- Of key knowledge products planned on SA, % that are completed and disseminated.

### Facilitate identification and strengthening of vertical and horizontal mechanisms for SA.

- Completion of a mapping of dialogue and programs for SA
- Elaboration of a simple plan for ensuring communication between the main forums identified.
- Reflection of expanded options for donor participation into SA dialogue framework in the various ToRs.
- Mapping on SA dialogue completed and a communication framework adopted and functional

### Priority 3: Design the next phase of ESAP based on evidence and lessons learned

<table>
<thead>
<tr>
<th>Establishment of a credible foundation for measuring social accountability impacts on basic service delivery</th>
</tr>
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<tbody>
<tr>
<td>Design of ESAP3</td>
</tr>
<tr>
<td>Implementation of the planned end line survey of ESAP2</td>
</tr>
<tr>
<td>Terms of reference for the design of the next phase of ESAP2</td>
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<tr>
<td>Agreed Program Design Document for the ESAP2 successor program</td>
</tr>
<tr>
<td>Operational evaluation completed and disseminated</td>
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<tr>
<td>Impact evaluation completed and disseminated</td>
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</table>

| ESAP2 successor Program Documented elaborated and adopted by the SC |
| Roll out plan for ESAP2 successor Program Documented elaborated and adopted by the SC |

These results indicators are indicative and are subject to changes without the need for further amendment. The results indicative indicators are for monitoring and evaluation purposes only and progress against them shall not be taken into account to determine the final amount of the Contribution to the Trust Fund by the European Commission.
ANNEX 5

**Indicative Budget of the MDTF**

<table>
<thead>
<tr>
<th>Estimated Main Expenditures</th>
<th>US Dollars</th>
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<tbody>
<tr>
<td>Grants to Social Accountability Implementing Partners</td>
<td>17,924,948</td>
</tr>
<tr>
<td>Capacity Building, Training and Workshop</td>
<td>13,362,364</td>
</tr>
<tr>
<td>Program management and coordination (up to 2%)</td>
<td>651,686</td>
</tr>
<tr>
<td>Administration Fee (2%)</td>
<td>651,816</td>
</tr>
<tr>
<td>TOTAL</td>
<td>32,590,815</td>
</tr>
</tbody>
</table>

The World Bank Group entity may transfer amounts between categories of the indicative budget at the exclusion of Program management and coordination (up to 2%) and Administration Fee (2%). This does not require an amendment of the Administration Agreement if the Action is carried out as described in Annex I – Trust Fund Description.

The amount estimated for personnel is calculated taking into account different levels of expertise estimated to be required for carrying out the activities described in Annex 1.