



Integrated Safeguards Data Sheet Restructuring Stage

Restructuring Stage | Date ISDS Prepared/Updated: 13-June-2018 | Report No: ISDSR22094

Regional Vice President:	Victoria Kwakwa
Country Director:	Mara K. Warwick
Senior Global Practice Director:	Ede Jorge Ijjasz-Vasquez
Practice Manager/Manager:	Susan S. Shen
Task Team Leader:	Haddy Jatou Sey



I. BASIC INFORMATION

1. BASIC PROJECT DATA

Project ID P153585	Project Name FCPF-Capacity Building on REDD+ for Forest-Dependent Indigenous Peoples in EAP and SAR Project
Task Team Leader(s) Haddy Jatou Sey	Country World
Approval Date 30-Sep-2016	Environmental Category Not Required (C)
Managing Unit GSU02	Is this a Repeater project?

PROJECT FINANCING DATA (IN USD MILLION)

Total Project Cost 490,750.00	Total Financing 490,750.00
Financing Gap 0.00	
Financing Source	Amount
Trust Funds	490,750.00
Total	490,750.00

2. PROJECT INFORMATION

Current Project Development Objective

The Project Development Objective is to strengthen: (i) the knowledge of targeted forest-dependent indigenous peoples of REDD+ Readiness at the national level; and (ii) knowledge exchange at the regional level.



3. PROJECT DESCRIPTION

The project is financed under the Forest Carbon Partnership Facility (FCPF) Capacity Building Program Phase 2, which was set up to ensure the active engagement and participation of REDD+ relevant stakeholders, including indigenous peoples (IPs) from FCPF participating countries in the Latin America and the Caribbean (LAC), sub-Saharan Africa and Asia-Pacific regions. The two-year (2016-2018) parent project is financed by a small Recipient Executed Trust Fund of US\$490,570, currently being implemented by the implementing organization-Tebtebba. The beneficiaries of this project are forest-dependent IPs and their representative organizations and networks in the ten FCPF countries in Asia and the Pacific, which are eligible to participate in REDD+ - related regional learning and exchange activities under Component 2. Of the ten countries, IP organizations/networks from five countries (Bhutan, Fiji, Papua New Guinea, Vanuatu and Vietnam) are also eligible to participate in national-level REDD+ capacity building activities through a competitive sub-project mechanism under Component 1.

The project underwent mid-term review (MTR) in February 2018 where it was determined that it was on track to achieve its objective by the closing date of October 31, 2018. Under Component 1 (National Capacity Building and Awareness Raising), six sub-grant agreements have been signed: two in Bhutan, two in Vietnam, one in Fiji and one in Vanuatu (further information is available on the project's webpage at <http://www.tebtebba.org/index.php/content/2017-01-07-04-16-14>). Sub-projects were not signed in Papua New Guinea as no acceptable proposals were submitted from the country; the implementation of two sub-projects in Bhutan and Vietnam allow the achievement of the project's target on national activities and an efficient use of the Trust Fund resources. Tebtebba has been following up on sub-projects' progress through semi-annual progress and financial reports from implementing organizations, through email and phone communication and through visits to sub-project sites. Under Component 2 (Regional Exchange and Sharing of Lessons Learned), the project has financed the preparation of a study on IPs' customary tenure systems *vis a vis* state tenure systems. Country case studies have been drafted for Fiji, Nepal and Vietnam and the final reports are currently being reviewed by Tebtebba and the Task Team. A regional workshop for dissemination of sub-project lessons learned is planned for the first week of October 2018.

Restructuring is proposed to add resources (US\$651,163) to the project for additional capacity building activities in Bhutan, Fiji, Vanuatu and Vietnam, scale up the project's results and extend the project's closing date from October 31, 2018 to December 31, 2019.

4. PROJECT LOCATION AND SALIENT PHYSICAL CHARACTERISTICS RELEVANT TO THE SAFEGUARD ANALYSIS (IF KNOWN)

The additional financing will finance capacity building activities in forest-dependent IP communities in Bhutan, Fiji, Vanuatu and Vietnam.

5. ENVIRONMENTAL AND SOCIAL SAFEGUARDS SPECIALISTS ON THE TEAM

Maya Gabriela Q. Villaluz, Environmental Safeguards Specialist



Roberto B. Tordecilla, Social Safeguards Specialist

6. SAFEGUARD POLICIES TRIGGERED

Safeguard Policies	Triggered	Explanation
Environmental Assessment (OP) (BP 4.01)	No	<p>Given the scope and scale of the AF, it is not expected that significant and irreversible environmental impacts and risks will be generated. The AF is designed to improve environmental and social outcomes by building the capacity of targeted forest-dependent IPs in EAP and SAR regions to enhance their knowledge and understanding of REDD+. The AF will not finance physical investment activities on the ground, and it will therefore not have any direct environmental or social impacts. The impacts of informing IPs to enhance their participation in REDD+ processes at the national level are expected to be largely positive.</p> <p>The Operations Manual includes detailed eligibility criteria and procedures for the selection of the capacity building activities (including consistency with World Bank safeguards policies) to be financed by the AF.</p>
Natural Habitats (OP) (BP 4.04)	No	<p>Activities financed under the AF are expected to have positive impacts on Natural Habitats by strengthening the capacity of forest-dependent IP organizations to contribute to their national REDD+ Readiness processes. The Operations Manual includes detailed eligibility criteria and procedures for the selection of the capacity building activities (including consistency with World Bank safeguards policies) to be financed by the AF.</p>
Forests (OP) (BP 4.36)	No	<p>Activities financed under the AF are expected to have positive impacts on forest management by strengthening the capacity of forest-dependent IP organizations to contribute to their national REDD+ Readiness processes. The Operations Manual includes detailed eligibility criteria and procedures for the selection of the capacity building activities (including consistency with World Bank safeguards policies) to be financed by the AF.</p>
Pest Management (OP 4.09)	No	<p>The AF is not expected to support activities that involve the procurement or substantially increased use of pesticides. This would be specified in the exclusion list.</p>



Physical Cultural Resources (OP) (BP 4.11)	No	The AF is not expected to support any activities having an adverse impact on physical cultural resources. This would be specified in an exclusion list.
Indigenous Peoples (OP) (BP 4.10)	Yes	OP/BP 4.10 is triggered as the majority of direct beneficiaries is IPs as per the criteria of the Policy. Furthermore, it is expected that the implementation of the AF will result in significant positive benefits to IPs, as activities to be financed include capacity building training and enhancing their knowledge base for their active participation in REDD+ processes within their respective countries. The Operations Manual includes detailed eligibility criteria and procedures for the selection of the capacity building activities to ensure consistency with the World Bank's Safeguards Policies.
Involuntary Resettlement (OP) (BP 4.12)	No	None of the activities that would be supported by the AF is expected to involve physical displacement and resettlement. They are also not expected to involve the involuntary restriction of access to legally designated parks or protected areas.
Safety of Dams (OP) (BP 4.37)	No	None of the activities that would be supported by the AF is expected to involve the construction or operation of new existing dams.
Projects on International Waterways (OP) (BP 7.50)	No	The AF is not expected to support activities in international waterways.
Projects in Disputed Areas (OP) (BP 7.60)	No	The project is not expected to support activities in disputed areas.

II. KEY SAFEGUARD POLICY ISSUES AND THEIR MANAGEMENT

A. SUMMARY OF KEY SAFEGUARD ISSUES

1. Describe any safeguard issues and impacts associated with the Restructured project. Identify and describe any potential large scale, significant and/or irreversible impacts.

Like the parent project, the Additional Financing (AF) triggers OP/BP 4.10 on Indigenous Peoples (IPs) since its beneficiaries continue to be IPs as per the criteria of the policy. Similar to the parent project, the AF will be classified as Environmental Assessment Category C (EA Not Required) since it will only support training, workshops, research and south-south exchanges without any negative impacts on the environment.

2. Describe any potential indirect and/or long term impacts due to anticipated future activities in the project area.



The expected impact of the activities financed by the additional financing is increased awareness and understanding of IPs in selected countries of REDD+, and its impact on their communities. Increased participation of IPs in local and national decision making is the expected long term goal of the parent project and the additional financing.

3. Describe any potential alternatives (if relevant) considered to help avoid or minimize adverse impacts.

None.

4. Describe measures taken by the borrower to address safeguard policy issues. Provide an assessment of borrower capacity to plan and implement the measures described.

Specific compliance measures with OP/BP 4.10 were incorporated into the Operations Manual, implemented and will continue to be implemented, including: (i) mechanisms to ensure broad community support of capacity building sub-project proposals submitted to Tebtebba (the implementing NGO); (ii) the active participation of key stakeholders; and (iii) access to grievance redress mechanisms. Tebtebba maintains a hard copy and an electronic case book for recording complaints and their resolution, its webpage includes information on contacts and addresses to which grievances can be addressed, and budget has been set aside for grievance redress. To date, no grievances have been issued.

Tebtebba's capacity to plan and implement the above measures has been proven as strong. It understands well the requirements of the safeguard policies and uses its knowledge to inform and educate its sub-project beneficiaries. World Bank Implementation Support Missions consist of a safeguard session where safeguard requirements are repeated and compliance is verified and reported on.

5. Identify the key stakeholders and describe the mechanism for consultation and disclosure on safeguard policies, with an emphasis on potentially affected people.

The key stakeholders are forest-dependent IP communities in Bhutan, Fiji, Vanuatu and Vietnam whose awareness and understanding of REDD+ will further improve, and IP organizations in these countries which will receive funding to carry out capacity building activities for IP communities, benefit from increased capacity within their organizations on REDD+ -related topics, and enjoy from increased visibility among relevant decision makers in their respective countries. Capacity building sub-projects have been and will continue to be implemented following careful analysis of IP communities' needs through consultation with community representatives.

B. DISCLOSURE REQUIREMENTS

Indigenous Peoples Development Plan/Framework

Date of receipt by the Bank

09-Oct-2017

Date of submission for disclosure

09-Oct-2017

“In country” Disclosure

Country

Date of Disclosure



Philippines	09-Oct-2017
<p>Comments</p> <p>An Indigenous Peoples Development Plan/Framework is not required. This is the date of the Operational Manual where OP 4.10 measures are addressed.</p>	

C. COMPLIANCE MONITORING INDICATORS AT THE CORPORATE LEVEL

OP/BP 4.10 - Indigenous Peoples

Has a separate Indigenous Peoples Plan/Planning Framework (as appropriate) been prepared in consultation with affected Indigenous Peoples?	NA
--------------------------------------------------------------------------------------------------------------------------------------------	----

The World Bank Policy on Disclosure of Information

Have relevant safeguard policies documents been sent to the World Bank for disclosure?	NA
Have relevant documents been disclosed in-country in a public place in a form and language that are understandable and accessible to project-affected groups and local NGOs?	NA

All Safeguard Policies

Have satisfactory calendar, budget and clear institutional responsibilities been prepared for the implementation of measures related to safeguard policies?	Yes
Have costs related to safeguard policy measures been included in the project cost?	Yes
Does the Monitoring and Evaluation system of the project include the monitoring of safeguard impacts and measures related to safeguard policies?	Yes
Have satisfactory implementation arrangements been agreed with the borrower and the same been adequately reflected in the project legal documents?	Yes

III. APPROVALS

Task Team Leader(s)	Haddy Jatou Sey
---------------------	-----------------



Approved By

Safeguards Advisor		
Practice Manager/Manager		