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February 13, 2020

OFFICIAL DOCUMENTS

H. E. Mr. Henri Yav Mulang
Minister of Finance
Ministry of Finance
Boulevard du 30 juin
Commune de la Gombe
Kinshasa 1, BP 12997
Democratic Republic of Congo

Re: Democratic Republic of Congo: IDA Grant No. D4390-ZR (Third Additional Financing for Health System Strengthening for Better Maternal and Child Health Results Project)

Second Restatement of the Disbursement and Financial Information Letter dated March 6, 2019 Additional Instructions: Disbursement and Financial Information Letter.

Excellency,

I refer to the Financing Agreement between the Democratic Republic of Congo (the "Recipient") and the International Development Association (the "Bank") for the above-referenced Project. The General Conditions, as defined in the Financing Agreement, provide that the Recipient may from time to time request withdrawals of Credit amounts from the Credit Account in accordance with the Disbursement and Financial Information Letter, and such additional instructions as the Association may specify from time to time by notice to the Recipient. The General Conditions also provide that the Disbursement and Financial Information Letter may set out Project specific financial reporting requirements. This letter constitutes such Disbursement and Financial Information Letter ("DFIL") and may be revised from time to time.

This Disbursement and Financial Information Letter is a Second Restatement of the Disbursement and Financial Information Letter dated March 6, 2019 for the above referenced project. The purpose of this restatement is to (i) change the Financial Institution at which the Designated Account will be opened and (ii) link each Designated Account to its corresponding disbursement categories as stated in Section III of Schedule 2 of the Financing Agreement March 6, 2019.

The Disbursement and Financial Information Letter dated March 6, 2019 and its First Restatement dated October 22, 2019 are hereby superseded, replaced in their entirety by the Second Restatement.

I. Disbursement Arrangements, Withdrawal of Credit/ Funds, and Reporting of Uses of [Credit Funds.

The *Disbursement Guidelines for Investment Project Financing,* dated February 2017, ("Disbursement Guidelines") are available in the Association's public website at https://www.worldbank.org and its secure website "Client Connection" at https://clientconnection.worldbank.org. The Disbursement Guidelines are an integral part of the DFIL, and the manner in which the provisions in the guidelines apply to the Credit is specified below.

***(i)* Disbursement Arrangements**

- ***General Provisions*** (Schedule 1). The table in Schedule 1 sets out the disbursement methods which may be used by the Borrower, information on registration of authorized signatures[6], processing of withdrawal applications (including minimum value of applications and processing of advances), instructions on supporting documentation, and frequency of reporting on the Designated Account.

- ***Special Provision.*** Projects in situations of urgent need of assistance or capacity constraints: Disbursements under contracts for goods, works, non-consulting services and consulting services procured or selected through international open or limited competition or Direct Selection, as set out in the procurement plan, must be made only through Direct Payment and/or Special Commitment disbursement methods.

***(ii)* Electronic Delivery.** Section 11.01 (c) of the General Conditions.

The Association may permit the Recipient to electronically deliver applications (with supporting documents) through the Association's web-based portal (https://clientconnection.worldbank.org) "Client Connection". This option may be affected if the officials designated in writing by the Recipient who are authorized to sign and deliver Applications have registered as users of "Client Connection". The designated officials may deliver Applications electronically by completing the Form 2380, which is accessible through "Client Connection". By signing the Authorized Signatory Letter, the Recipient confirms that it is authorizing such persons to accept Secure Identification Credentials (SIDC) and to deliver the Applications and supporting documents to the Association by electronic means. The Recipient may continue to exercise the option of preparing and delivering Applications in paper form. The Association reserves the right and may, in its sole discretion, temporarily or permanently disallow the electronic delivery of Applications by the Recipient. By designating officials to use SIDC and by choosing to deliver the Applications electronically, the Recipient confirms through the authorized signatory letter its agreement to: (a) abide by the Terms and Conditions of Use of Secure Identification Credentials in connection with Use of Electronic Means to Process Applications and Supporting Documentation, available in the Association's public website at https://worldbank.org and "Client Connection"; and (b) to cause such official to abide by those terms and conditions.

II. Financial Reports and Audits.

(i) Financial Reports. The Recipient must prepare and furnish to the Association not later than forty-five (45) days after the end of each calendar quarter, interim unaudited financial reports ("IFR") for the Project covering the quarter.

(ii) Audits. Each audit of the Financial Statements must cover the period of one fiscal year of the Borrower, commencing with the fiscal year in which the first withdrawal was made. The audited Financial Statements for each such period must be furnished to the Association not later than six (6) months after the end of such period.

III. Other Information.

For additional information on disbursement arrangements, please refer to the Loan Handbook available on the Bank's website (http://www.worldbank.org/) and "Client Connection". The Bank recommends that you register as a user of "Client Connection". From this website you will be able to

prepare and deliver Applications, monitor the near real-time status of the Loan, and retrieve related policy, financial, and procurement information. For more information about the website and registration arrangements, or if you have any queries in relation to the above, please contact the Bank by email at askloans@worldbank.org.

Very truly yours,
INTERNATIONAL DEVELOPMENT ASSOCIATION

By Faly Diallo

Faly Diallo
Finance Officer
Loan Operations and Trust Fund
Department
Africa Region

Attachments

1. Form of Authorized Signatory Letter
2. Form of Statement of Expenditures (SOEs)
3. Form of Customized Statement of Expenditure for Performance Based Payments under Category (1) (b) of Section III.A of Schedule 2 of the Financing Agreement

Cc with copies: [Project Implementing Entity 1]
[street address]
[city], [country]
[email address]

[Project Implementing Entity 2]
[street address]
[city], [country]
[email address]

Schedule 1 : Disbursement Provisions

<table>
<tr><th colspan="6">Basic Information</th></tr>
<tr><td rowspan="3">Grant Number</td><td rowspan="3">D4390-ZR</td><td>Country</td><td>Democratic Republic of Congo</td><td rowspan="2">Closing Date</td><td rowspan="2">Section [III.B.2.] of Schedule [2] to the Loan Agreement.</td></tr>
<tr><td>Borrower</td><td>Democratic Republic of Congo</td></tr>
<tr><td>Name of the Project</td><td>Health System Strengthening for Better Maternal and Child Health Results Project – 3rd AF</td><td>Disbursement Deadline Date
Subsection 3.7
**</td><td>Four months after the closing date.</td></tr>
<tr><th colspan="6">Disbursement Methods and Supporting Documentation</th></tr>
<tr><td colspan="2">Disbursement Methods
Section 2 (**)</td><td>Methods</td><td colspan="3">Supporting Documentation
Subsections 4.3 and 4.4 (**)</td></tr>
<tr><td colspan="2">Direct Payment</td><td>YES</td><td colspan="3">Copy of records</td></tr>
<tr><td colspan="2">Reimbursement</td><td>YES</td><td colspan="3">Customized Statement of Expenditure in the form attached (Attachment 3) for Performance Based Financing under Category 1 of Section IV.A.2 of Schedule 2 of the Financing Agreement

Statement of Expenditure (SOE) in the format provided in Attachment 2 of the DFIL for categories 2,3 and 4.</td></tr>
<tr><td colspan="2">Designated Account</td><td>YES (Only for Category 4 of Section III.A of Schedule 2 of the Financing Agreement).</td><td colspan="3">Statement of Expenditure (SOE) in the format provided in Attachment 2 of the DFIL for category 4.</td></tr>
<tr><td colspan="2">Special Commitments</td><td>YES</td><td colspan="3">Copy of Letter of Credit</td></tr>
<tr><th colspan="6">Designated Account (Sections 5 and 6 **)</th></tr>
<tr><td colspan="2">Type</td><td colspan="2">01 Segregated Designated Account to finance Eligible Expenditures under Category 4 of Section III.A of Schedule 3 of the Financing Agreement</td><td>Ceiling</td><td>Variable</td></tr>
<tr><td colspan="2">Financial Institution – Name</td><td colspan="2">Banque Commerciale du Congo</td><td>Currency</td><td>USD</td></tr>
<tr><td colspan="2">Frequency of Reporting Subsection 6.3 (**)</td><td colspan="2">Same as IFR</td><td>Amount</td><td>Up to 06 months forecast of Eligible Expenditures under Category 4 of Section III.A of Schedule of the Financing Agreement</td></tr>
</table>

Minimum Value of Applications (subsection 3.5)
The minimum value of applications for Reimbursement, Direct Payment and Special Commitment is twenty percent of the Designated Account Ceiling.
Authorized Signatures *(Subsection 3.1 and 3.2 **) The form for Authorized Signatories Letter is provided in Attachment 1 of this letter* ***Withdrawal and Documentation Applications*** *(Subsection 3.3 and 3.4 **)*
Authorized Signatures (subsection 3.1 and 3.2). A letter in the form attached (attached 2) should be furnished to the World Bank at the address indicated below providing the name(s) and specimen signature (s) of the official (s) authorized to sign Applications: The World Bank Resident Mission Kinshasa, Democratic Republic of Congo Attention: Jean-Christophe Carret, Country Director ***(ii) Applications (subsection 3.4 - 3.4).*** All Withdrawal Applications and supporting documentation will be electronically sent via the Association's system Client Connection.
Additional Disbursement Instructions
1. ***Special Provision.*** Projects in situations of urgent need of assistance or capacity constraints: Disbursements under contracts for goods, works, non-consulting services and consulting services procured or selected through international open or limited competition or Direct Selection, as set out in the procurement plan, must be made only through Direct Payment and/or Special Commitment disbursement methods. 2. **Withdrawal Applications for Performance Based Financing under Category 1 of Section IV.A.2 of Schedule 2 of the Financing Agreement must be supported by:** o A six-month cash forecast which reflects cash needs for project components supported under Category 1 of Section IV.A.2 of Schedule 2 of the Financing Agreement; o Copies of the Independent expert verification certification of the Delivery of the Packages of Health Services satisfactory to the Association for eligible expenditures under Category 1 of Section IV.A.2 of Schedule 2 of the Financing Agreement
Other
Disbursements under Category 4 of Section III.A of Schedule 2 of the Financing Agreement (Part 4.b of the Project - Contingent Emergency Response Component (CERC)) will be subject to the conditions precedent to accessing the CERC funds, namely that the Recipient has provided, and the Bank has accepted, evidence of the occurrence of an eligible crisis or emergency and the Recipient has prepared and adopted/adhered to

[Attachment 1 – Form of Authorized Signatory Letter]

[Letterhead]
Ministry of Finance
[Street address]
[City] [Country]

[*DATE*]

The World Bank
1818 H Street, N.W.
Washington, D.C. 20433
United States of America

Attention:, *Country Director*

Re: Democratic Republic of Congo
IDA Grant D……-___
Health System Strengthening for Better Maternal and Child Health Results Project
3rd Additional Financing
Additional Instructions: Disbursement and Financial information Letter (DFIL)

I refer to the Democratic Republic of Congo Additional Financing ("Agreement") between the International Development Association (the "Bank") and the Democratic Republic of Congo (the "Borrower"), dated _____, providing the above Loan. For the purposes of Section 2.02 of the General Conditions as defined in the Agreement, any [1][one] of the persons whose authenticated specimen signatures appear below is authorized on behalf of the Borrower to sign applications for withdrawal [and applications for a special commitment] under this Loan.

For the purpose of delivering Applications to the Bank, [2][each] of the persons whose authenticated specimen signatures appears below is authorized on behalf of the Borrower, acting [3][individually] [4][jointly], to deliver Applications, and evidence in support thereof on the terms and conditions specified by the Bank.

[5][This confirms that the Borrower is authorizing such persons to accept Secure Identification Credentials (SIDC) and to deliver the Applications and supporting documents to

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[1] Instruction to the Borrower: Stipulate if more than one person needs to sign Applications, and how many or which positions, and if any thresholds apply. *Please delete this footnote in final letter that is sent to the Bank.*

[2] Instruction to the Borrower: Stipulate if more than one person needs to *jointly* sign Applications, if so, please indicate the actual number. *Please delete this footnote in final letter that is sent to the Bank.*

[3] Instruction to the Borrower: Use this bracket if any one of the authorized persons may sign; if this is not applicable, please delete. *Please delete this footnote in final letter that is sent to the Bank.*

[4] Instruction to the Borrower: Use this bracket only if several individuals must jointly sign each Application; if this is not applicable, please delete. *Please delete this footnote in final letter that is sent to the Bank.*

the CERC Operations Manual. Disbursements under this component will follow procedures described in the CERC Manual including supporting documentation; provided that this disbursement letter will first need to be amended and reinstated at a later date to reflect those specific arrangements. In order to provide necessary liquidity for fast response, the ceiling of the Advance under the CERC could be up to 100% of the amount allocated to the CERC category.

** *Sections and subsections relate to the "Disbursement Guidelines for Investment Project Financing", dated February 2017*

the Bank by electronic means. In full recognition that the Bank shall rely upon such representations and warranties, including without limitation, the representations and warranties contained in the *Terms and Conditions of Use of Secure Identification Credentials in connection with Use of Electronic Means to Process Applications and Supporting Documentation* ("Terms and Conditions of Use of SIDC"), the Borrower represents and warrants to the Bank that it will cause such persons to abide by those terms and conditions.]

This Authorization replaces and supersedes any Authorization currently in the Bank records with respect to this Agreement.

[Name], [position] Specimen Signature: _____

[Name], [position] Specimen Signature: _____

[Name], [position] Specimen Signature: _____

Yours truly,

/ signed /

_____
[Position]

[5] Instruction to the Borrower: Add this paragraph if the Borrower wishes to authorize the listed persons to accept Secure Identification Credentials and to deliver Applications by electronic means; if this is not applicable, please delete the paragraph. *Please delete this footnote in final letter that is sent to the Bank.*

Attachment 2 – Statement of Expenditures

IBRD- International Bank for Reconstruction and Development

Statement of Expenditures

Payment made during the period from _____ to _____

Financier	
Loan/Credit/Grant #	
Application #	
Category #	
Page #	

	Yes	No
The following expenditures have been incurred during the retroactive financing period (please tick)		
The following expenditures have been incurred before the closing date of the loan/credit/grant (please tick)		

											ONLY for the Designated Account		
1	2	3	4	5	6	7	8	9	10	11	13	14	15
Item No	Supplier's Name	Brief Description of the expenditure	Prior Review Contract? (YES or NO)	Contract # (Client Connection # for Prior Review contracts)	Contract currency and amount (original + amendment)	Invoice number	Date of payment	Total amount of invoice covered by Application (net of retention)	% Financed by the Bank	Eligible Amount (Col 9 X 10)	Exchange rate	Date of Withdrawal from the Designated Account	Amount Withdrawn from the Designated Account (Col 11 / 12)
								0.00		0.00		TOTAL	0.00

Supporting documents for this SOE are retained at

_____

(insert location)

A separate form should be used for each category

Attachment 3
Customized Statement of Expenditures

Note: It was agreed during negotiations that the summary page of the customized SOE will be submitted to IDA as supporting documentation for disbursements; the detailed page will be kept by the .... for review by IDA or by the external auditors.

2	3	4	5	6	7	8
Name and Address of the Health Center/ Health Administration Unit	Brief Description of Services offered	Total Amount Reimbursed	Elig. %	Amount Eligible	Amount Paid from Designated Account	Remarks
			TOTALS			

Nous, soussignés, certifions que les montants ci-dessus, ont été dépensés au titre des catégories _____ (Composante ____) du tableau des dépenses éligibles, Annexe 2 et section IV. A, de l'Accord de Financement du Don IDA _____, et que ces dépenses ont été engagées conformément aux termes de ce dit accord. En particulier, nous certifions que pour chaque dépense engagée au titre d'un des catégories ____ (composante ___), les pièces justificatives y afférant[tes] ont fait l'objet d'un contrôle par nos services compétents y compris les contrôles prévus par les dispositions des sections Fet G des Accords de Don.

_____ / / _____
RAF

/
_____ /
[Coordonateur National]