

29-Jan-2022

Mr. Carlos Ivan Simonsen Leal
President
Fundação Getulio Vargas
Praia de Botafogo, No. 190
Rio de Janeiro – RJ, 22250-900
Brazil

Re: Global Evaluation Initiative Grant No. TF0B7848
Center on Learning from Evaluation and Results for Lusophone
Africa and Brazil Project
Letter Agreement

Dear Sir:

In response to the request for financial assistance made on behalf of *Fundação Getulio Vargas- Escola de Economia de São Paulo* (“Recipient” or “FGV”), I am pleased to inform you that the International Bank for Reconstruction and Development (“IBRD”) and the International Development Association (“IDA”) (collectively, the “Bank”), acting as administrator of the Global Evaluation Initiative (GEI) Multi-Donor Trust Fund, proposes to extend to the Recipient for the benefit of Selected Participating Portuguese Speaking Countries (“Selected PALOP Countries”) and the Federative Republic of Brazil (“Brazil”), a grant in an amount not to exceed seven hundred and thirty-six thousand United States Dollars (USD 736,000) (“Grant”) on the terms and conditions set forth or referred to in this letter agreement (“Agreement”), which includes the attached Annex, to assist in the financing of the project described in the Annex (“Project”).

This Grant is funded out of the abovementioned trust fund for which the Bank receives periodic contributions from the donors to the trust fund. In accordance with Section 3.02 of the Standard Conditions (as defined in the Annex to this Agreement), the Bank’s payment obligations in connection with this Agreement are limited to the amount of funds made available to it by the donors under the abovementioned trust fund, and the Recipient’s right to withdraw the Grant proceeds is subject to the availability of such funds.

The Recipient represents, by confirming its agreement below, that it is authorized to enter into this Agreement and to carry out the Project in accordance with the terms and conditions set forth or referred to in this Agreement.

Please confirm the Recipient's agreement to the foregoing by having an authorized official of the Recipient sign and date this Agreement, and returning one duly executed copy to the Bank.

Very truly yours,
INTERNATIONAL BANK FOR
RECONSTRUCTION AND DEVELOPMENT
INTERNATIONAL DEVELOPMENT ASSOCIATION

By Brenda Barbour
Brenda Barbour
Acting Director
Strategy and Operations
IEGDG

AGREED:
FUNDAÇÃO GETULIO VARGAS- ESCOLA DE ECONOMIA DE SÃO PAULO

By Carlos Ivan Simonsen Leal
Authorized Representative
Name Carlos Ivan Simonsen Leal
Title President
Date 19-Feb-2022

Enclosures:

- (1) "International Bank for Reconstruction and Development and International Development Association Standard Conditions for Grant Financing Made by the Bank out of Trust Funds" dated February 25, 2019
- (2) Disbursement and Financial Information Letter of the same date as this Agreement, together with the "Disbursement Guidelines for Investment Project Financing", dated February 2017

Article I
Standard Conditions; Definitions

1.01. **Standard Conditions.** The Standard Conditions (as defined in Section 1.02 below) constitute an integral part of this Agreement.

1.02. **Definitions.** Unless the context requires otherwise, the capitalized terms used in this Agreement have the meanings ascribed to them in the Standard Conditions or in this Section:

- (a) “Anti-Corruption Guidelines” means, for purposes of paragraph 2 of the Appendix to the Standard Conditions, the “Guidelines on Preventing and Combating Fraud and Corruption in Projects Financed by IBRD Loans and IDA Credits and Grants”, dated October 15, 2006, and revised in January 2011, and as of July 1, 2016.
- (b) “Category” means a category set forth in the table in Section 3.01 of this Agreement.
- (c) “CLEAR LAB” or the “Centre” means the Centre for Learning on Evaluation and Results for Lusophone Africa and Brazil, established in 2015, at the *Fundação Getulio Vargas*, in São Paulo, Brazil.
- (d) “ECD” means evaluation, capacity, and development.
- (e) “Environmental and Social Commitment Plan” or “ESCP” means the environmental and social commitment plan for the Project, dated October 29, 2021, as the same may be amended from time to time in accordance with the provisions thereof, which sets out the material measures and actions that the Recipient shall carry out or cause to be carried out to address the potential environmental and social risks and impacts of the Project, including the timeframes of the actions and measures, institutional, staffing, training, monitoring and reporting arrangements, and any environmental and social instruments to be prepared thereunder.
- (f) “Environmental and Social Standards” or “ESSs” means, collectively: (i) “Environmental and Social Standard 1: Assessment and Management of Environmental and Social Risks and Impacts”; (ii) “Environmental and Social Standard 2: Labor and Working Conditions”; (iii) “Environmental and Social Standard 3: Resource Efficiency and Pollution Prevention and Management”; (iv) “Environmental and Social Standard 4: Community Health and Safety”; (v) “Environmental and Social Standard 5: Land Acquisition, Restrictions on Land Use and Involuntary Resettlement”; (vi) “Environmental and Social Standard 6: Biodiversity Conservation and Sustainable Management of Living Natural Resources”; (vii) “Environmental and Social Standard 7: Indigenous Peoples/Sub-Saharan Historically Underserved Traditional Local Communities”; (viii) “Environmental and Social Standard 8: Cultural Heritage”; (ix) “Environmental and Social Standard 9: Financial Intermediaries”; (x) “Environmental and Social Standard 10: Stakeholder Engagement and Information Disclosure”; effective on October 1, 2018, as published by the Bank.
- (g) “M&E” means monitoring and evaluation.

- (h) “Operating Costs” means reasonable costs, as shall have been approved by the Bank, for the incremental expenses incurred on account of Project implementation including the incremental portion of the salaries of the CLEAR LAB’s staff for the time devoted to the implementation of the Project, as well as communication costs, office supplies and equipment maintenance, utilities, document duplication/printing, consumables, travel cost and *per diem* for Project staff for travel linked to the implementation of the Project (but excluding consulting services and regular salaries of the CLEAR LAB’s staff).
- (i) “Procurement Regulations” means, for purposes of paragraph 20 of the Appendix to the Standard Conditions, the “World Bank Procurement Regulations for IPF Borrowers”, dated November 2020.
- (j) “Selected Participating Portuguese Speaking Countries” or “Selected PALOP Countries” means Angola, Cape-Verde, Guinea-Bissau, Mozambique and São Tomé and Príncipe, and any other country that may be added to this list at a later date with the written agreement of the Bank.
- (k) “Standard Conditions” means the “International Bank for Reconstruction and Development and International Development Association Standard Conditions for Grant Financing Made by the Bank out of Trust Funds”, dated February 25, 2019, with the modification set forth in the Appendix.
- (l) “Training” means the reasonable costs, as shall have been approved by the Bank, for training and workshops conducted under the Project, including tuition, travel and subsistence costs for training and workshop participants, costs associated with securing the services of trainers and workshop speakers, rental of training and workshop facilities, preparation and reproduction of training and workshop materials, and other costs directly related to training course and workshop preparation and implementation (but excluding goods and consultants' services).

Article II Project Execution

2.01. ***Project Objectives and Description.*** The objective of the Project is to improve monitoring and evaluation frameworks, capacity, and use in supported developing countries in Lusophone Africa and Brazil. In doing so, the Project will seek to build partnerships with existing ECD providers to generate synergies.

The Project consists of the following parts:

Part 1. Strengthen a Culture of Evidence-Based Decision-Making in Selected PALOP Countries and Brazil

Support capacity building activities to strengthen the institutional capacity of Selected PALOP Countries and Brazil to develop effective national, subnational, and/or institutional level monitoring and evaluation (“M&E”) frameworks, including through *inter alia*, carrying out technical assistance, and analytical and advisory work, and Training.

Part 2. Strengthen a Cadre of Evaluators and M&E Specialists in Selected PALOP Countries and Brazil

Support capacity building activities and Training for enhancing the capabilities of professionals to implement M&E activities and services, in Selected PALOP Countries and state level governments in Brazil.

Part 3. Generating and Sharing M&E Knowledge

Support M&E knowledge generation and dissemination activities in Selected PALOP Countries and Brazil, for the enhancement of evidence creation, analysis and use for learning, and for promoting accountability and evidence-based decision making for public policies.

Part 4. CLEAR LAB Internal Capacity Development, Monitoring and Evaluation

Support capacity building activities, including Training, to strengthen CLEAR LAB's operational capacity, program management, for promoting efficiency and transparency in the use of resources.

2.02. ***Project Execution Generally.*** The Recipient declares its commitment to the objectives of the Project. To this end, the Recipient shall cause the Project to be carried out by CLEAR LAB in accordance with the provisions of: (a) Article II of the Standard Conditions; (b) the Anti-Corruption Guidelines; and (c) this Article II.

2.03. ***Institutional and Other Arrangements.***

- (a) The Recipient shall designate and maintain, throughout Project implementation, qualified and experienced staff in sufficient numbers, responsible for the procurement, financial management, environmental and social, and monitoring and evaluation aspects of the Project, all acceptable to the Bank.

2.04 ***Operational Manual.*** (i) The Recipient shall carry out the Project in accordance with a manual (the "Operational Manual"), satisfactory to the Bank, setting forth, *inter alia*:

- (a) a detailed description of Project activities;
- (b) the administrative, financial, accounting, auditing, and disbursement procedures for Project implementation, including all pertinent documents and models in relation thereto;
- (c) the plan for monitoring, evaluation and supervision of the Project according to the agreed Performance Indicators; and
- (d) the criteria for identification and selection of the Participating PALOP Countries.

(ii) Except as the Bank shall otherwise agree in writing, the Recipient shall not assign, amend, abrogate, waive, or fail to enforce any provision of the Operational Manual. In case of any conflict between the terms of the Operational Manual and those of this Agreement, the terms of this Agreement shall prevail.

2.05. **Environmental and Social Standards.**

- (a) The Recipient shall, and shall cause CLEAR LAB to, ensure that the Project is carried out in accordance with the Environmental and Social Standards, in a manner acceptable to the Bank.
- (b) Without limitation upon paragraph (a) above, the Recipient shall, and shall cause CLEAR LAB to, ensure that the Project is implemented in accordance with the Environmental and Social Commitment Plan (“ESCP”), in a manner acceptable to the Bank. To this end, the Recipient shall, and shall cause CLEAR LAB to, ensure that:
 - (i) the measures and actions specified in the ESCP are implemented with due diligence and efficiency, and provided in the ESCP;
 - (ii) sufficient funds are available to cover the costs of implementing the ESCP;
 - (iii) policies and procedures are maintained, and qualified and experienced staff in adequate numbers are retained to implement the ESCP, as provided in the ESCP; and
 - (iv) the ESCP, or any provision thereof, is not amended, repealed, suspended or waived, except as the Bank shall otherwise agree in writing, as specified in the ESCP, and ensure that the revised ESCP is disclosed promptly thereafter.
- (c) In case of any inconsistencies between the ESCP and the provisions of this Agreement, the provisions of this Agreement shall prevail.
- (d) The Recipient shall, and shall cause CLEAR LAB to, ensure that:
 - (i) all measures necessary are taken to collect, compile, and furnish to the Bank through regular reports, with the frequency specified in the ESCP, and promptly in a separate report or reports, if so requested by the Bank, information on the status of compliance with the ESCP and the environmental and social instruments referred to therein, all such reports in form and substance acceptable to the Bank, setting out, *inter alia*: (A) the status of implementation of the ESCP; (B) conditions, if any, which interfere or threaten to interfere with the implementation of the ESCP; and (C) corrective and preventive measures taken or required to be taken to address such conditions; and
 - (ii) the Bank is promptly notified of any incident or accident related to or having an impact on the Project which has, or is likely to have, a significant adverse effect on the environment, the affected communities, the public or workers, in accordance with the ESCP, the environmental and social instruments referenced therein and the Environmental and Social Standards.
- (e) The Recipient shall, and shall cause CLEAR LAB to, establish, publicize, maintain and operate an accessible grievance mechanism, to receive and facilitate resolution of concerns and grievances of Project-affected people, and take all measures necessary and appropriate to resolve, or facilitate the resolution of, such concerns and grievances, in a manner acceptable to the Bank.

- 2.06. **Project Monitoring, Reporting and Evaluation.** The Recipient shall ensure that each Project Report is furnished to the Bank not later than one (1) month after each semester, covering the semester.

**Article III
Withdrawal of Grant Proceeds**

- 3.01. **Eligible Expenditures.** The Recipient may withdraw the proceeds of the Grant in accordance with the provisions of: (a) Article III of the Standard Conditions; and (b) this Section; to finance Eligible Expenditures in the amount allocated and, if applicable, up to the percentage set forth against each Category of the following table:

Category	Amount of the Grant Allocated (expressed in USD)	Percentage of Expenditures to be Financed (inclusive of Taxes)
(1) Goods, Consulting Services, Non-consulting Services, Training and Operating Costs under the Project	736,000	100%
TOTAL AMOUNT	736,000	

- 3.02. **Withdrawal Conditions.** Notwithstanding the provisions of Section 3.01 of this Agreement, no withdrawal shall be made for payments made prior to the date of this Agreement, except that withdrawals, up to an aggregate amount not to exceed one hundred and forty-seven thousand two hundred United States Dollars (\$147,200), may be made for payments made prior to this date but on or after November 1, 2021, for Eligible Expenditures under Category 1.

- 3.03. **Withdrawal Period.** The Closing Date is December 31, 2024.

**Article IV
Recipient's Representative; Addresses**

- 4.01. **Recipient's Representative.** The Recipient's Representative referred to in Section 7.02 of the Standard Conditions is its President.

- 4.02. **Recipient's Address.** For purposes of Section 7.01 of the Standard Conditions:

- (a) the Recipient's Address is:

Fundação Getúlio Vargas
Praia de Botafogo n.º 190
Rio de Janeiro, RJ – CEP 22253-900
Brazil; and

(b) the Recipient's Electronic Address is:

Facsimile:	E-mail:
+ 55 (21) 21736360	carlos.leal.1944@fgv.br

4.03. **Bank's Address.** For purposes of Section 7.01 of the Standard Conditions:

(a) the Bank's address is:

International Bank for Reconstruction and Development/International Development
Association
1818 H Street, N.W.
Washington, D.C. 20433
United States of America; and

(b) the Bank's Electronic Address is:

Telex:	Facsimile:
248423 (MCI) or 64145 (MCI)	1-202-477-6391

APPENDIX
Modifications to the Standard Conditions

Paragraph 18 of the Appendix (Definitions) is modified to read as follows:

“18. ‘Member Country’ means the member of the Bank in whose territory the Project is carried out or any such member’s political or administrative subdivisions. If the Grant is extended by the Bank to such member as a party to the Grant Agreement, the term ‘Member Country’ and ‘Recipient’ refer to the same entity. If the Project is carried out in the territory of more than one member of the Bank, ‘Member Country’ refers separately to each such member.”