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EXECUTIVE SUMMARY

0.1 Haryana became a new state of India on 1st November, 1966 with Chandigarh its capital, and since then it has made spectacular progress to become one of the most prosperous states of India. Haryana's geographical proximity to the national capital New Delhi and, a well-developed telecom and transport infrastructure, are its major strengths in the economic field.

0.2 Haryana is a small state in north India. It has a total of 81 cities and towns. It has 6,759 villages. For administrative purpose, the state is divided into four divisions - Ambala, Rohtak, Gurgaon and Hissar. Haryana is situated in the north between 27 deg 37' to 30 deg 35' latitude and between 74 deg 28' to 77 deg 36' longitude. Haryana has Uttar Pradesh (U.P) on its eastern border, Punjab on its western border, Uttranchal, Himachal Pradesh & Shivalik Hills on its northern border and Delhi, Rajasthan and Aravali Hills on its southern border. The altitude of Haryana varies between 700 ft to 900 ft above the sea level. An area of 1,553 sq km is covered by forest.

0.3 Climate of Haryana is similar to other states of India lying in the northern plains. It is very hot in summer (up to a high of 50 deg Celsius) and cold in winters (down to a low of 1 deg Celsius). The hottest months are May and June and the coldest being December and January. Rainfall is varied, with Shivalik Hills region being the wettest and the Aravali Hills region being the driest. About 80% of the rainfall occurs in the monsoon season (July-September) and sometimes causes local flooding.

0.4 UHBVNL namely, Uttar Haryana Bijli Vitran Nigam Limited has emerged from the erstwhile Haryana State Electricity Board and is been engaged in the distribution of power in the northern sector of Haryana. At present, UHBVNL has 184 no. of 33 KV substations along with 2110 Km of associated 33 KV lines, having 348 nos. Power transformers with installed capacity 2124 MVA and 37349 KM of 11 KV line and 62171 KM of LT line along with 107746 no. distribution transformers with install capacity 7227059 KVA which are catering to the load requirements of Distribution Company.

0.5 The growth of power demand in Haryana on the average has been of the order of 7 to 8% in the past but now it is in the range of 14% for the state as a whole, whereas in certain pockets like Gurgaon and other industrial belts, this rate has touched a high level of 20-25%. Looking at the aspirations of the consumers, their paying capability, expectations and electrical equipment available for consumer use, the rate of growth is likely to be higher than the rate which existed a few years back.

0.6 For meeting power load growth & evacuation of the proposed capacity addition of power, Uttar Haryana Bijli Vitran Nigam Ltd. (UHBVNL) has made a comprehensive distribution expansion program at an estimated cost of Rs.3100.72 crore during 11th five year plan.

0.7 UHBVNL will undertake constructions new substations; installation of additional transformers, laying of new distribution lines, interlinking of lines of existing substations etc.
0.8 UHBVNL is committed to provide a clean environment, ecology and sustainable development in all its developmental activities. All the distribution projects are, therefore, very carefully planned, following the stipulated guidelines, to ensure that at least possible, if any, adverse environmental & social impacts are caused at the same time reliability, security and economy are also no compromised with. UHBVNL also ensures that natural resources, natural habitat, cultural habitat, historical monuments/structures etc. are conserved for the future generations.

0.9 The erstwhile HSEB had developed the first Social Policy & Procedure document in 1997 as per priority issues in the power sector consistent with operational directives of the Multilateral Funding Agencies.

0.10 UHBVNL has with in the overall corporate ethics of avoidance, minimization and alleviation has now developed its Corporate Environmental and Social Policy and Procedures (ESPP) to address the environment and socio-economic issues arising from its activities. The ESPP outlines UHBVNL’s approach and commitment to deal with environmental and social issues, relating to its distribution projects, and lays out management procedures and protocols to alleviate the same. The ESPP includes framework for identification, assessment, and management of environmental and social concerns at both organizational and project levels.

0.11 UHBVNL believes that the ESPP is a energetic and living document, which shall be upgraded with the changes in the social and environmental governance in the state and modified in the light of the experiences gained with field implementation of the UHBVNL projects. It is the logical vehicle to give a human face to the corporate functioning and moves away from classical cost-benefit approach to the larger realm of corporate social responsibility, while mainstreaming and up scaling environmental and social concerns. It is dedicated to the firm commitment of the UHBVNL to the paradigm of sustainable development and appropriate processes.

0.12 ESPP document comprises seven chapters, which are briefly discussed as under:

**Brief Profile of UHBVNL**

0.13 Haryana power sector comprises of four wholly State-owned Nigams i.e. HPGCL, HVPNL, UHBVNL & DHBVNL, which are responsible for power generation, transmission and distribution in the State. Earlier, all these activities were performed by the erstwhile Haryana State Electricity Board.

0.14 **Uttar Haryana Bijli Vitran Nigam Limited’s Environmental & Social Policy**

0.15 UHBVNL is committed to identify, assess, and manage environmental and social concerns at both organization and project levels by strictly following the basic principals of avoidance, minimization and mitigation of environmental & social impacts with the improvement of Management System and introduction of State of the Art and proven technologies.
0.16 The key principals of UHBVNL environmental and social policy are:

- Avoid carrying out operations in environmentally sensitive areas such as forests, national park, and biosphere reserves.

- Consider environmental implications of location, terrain, and sensitive areas in impact identification and mitigate these with innovative / practical engineering solutions.

- Application of efficient and safe technology practices;

- Abate pollution in all its activities and operations;

- Minimizing energy losses and promote energy efficiency in all activities;

- Avoid any disruption of socially sensitive areas with regard to human habitation and areas of cultural significance;

- Wherever losses are suffered, assistance will be provided to the affected persons to improve or at least regain their living standards;

- Consultations will be held among local population regarding finalization of proposed route of the distribution lines and substations;

- Ensure in delivering R&R entitlements and compensation for lost assets based on UHBVNL’s entitlement framework.

- Involuntary resettlement will be avoided or minimized by exploring all viable alternative project designs.

- All adversely affected persons including those without title to land will be provided assistance to improve or regain their living standards to the pre project levels.

- Special attention will be paid for the improvement of living standards of marginalized and vulnerable groups.

- Resettlement Action Plan (RAP) will be prepared in close consultation with the affected families to ensure their acceptability as well as timely delivery of entitlements and assistance.

- If any person’s remaining land holding becomes operationally non viable, the entire holding will be acquired and compensated accordingly, if the AF desires. A similar approach will be adopted for structures affected partially.
Physical works will not commence on any portion of land before compensation and assistance to the affected population have been provided in accordance with the policy framework.

POLICY, LEGAL AND REGULATORY FRAMEWORK

0.17 UHBVNL undertakes its distribution activities within purview of Indian laws keeping in mind appropriate obligations and guidelines of statutory and funding agencies. Power distribution projects are not included in schedule-I of Environment Impact Assessment-1994; hence environment clearances are not required for power distribution projects and would require limited environmental analysis and Environmental Management Plan (EMP) only.

0.18 As per provision contained in Haryana Electricity Reforms Act 1997 & Electricity Act, 2003 Acts, UHBVNL has authority to install distribution poles in any kind of land. Electricity Act, 2003 seeks to create a framework for the power sector development by measures conducive to the industry. Electricity Act does not explicitly deal with environmental implications of activities related to power distribution. However, UHBVNL integrates environmental protection as a part of its project activities. Power distribution schemes are planned in such a way that the power of eminent domain is exercised responsibly.


0.20 Mandatory social requirements for UHBVNL distribution works includes Land Acquisition Act 1894, Haryana Government Rehabilitation & Resettlement Policy for land acquisition Oustees and funding agencies requirement like WB OP 4.12 involuntary resettlement, WB OP 4.10 Indigenous People. National Rehabilitation and Resettlement Policy 2007 has also been consulted while framing the ESPP.

Social Entitlement framework

0.21 The prescriptive social entitlement framework derives from the aforementioned constitutional directives national R&R Policy, State R&R Policy and relevant policies.
UHBVNL reiterates that physical displacement of people is not, and will not be, a major consequence of its projects. Irrespective of whether displacement occurs, the entitlement framework will be a base for all its management procedures. The objective of the UHBVNL’s framework is to ensure realistic rehabilitation and compensation of the acquired assets of the AFs covers categories such as Loss of Land (Homestead land, agriculture land, tenants, lease holders, encroachers/ squatters etc), Loss of structure ( with valid title, tenants, lease holders, squatters, cattle sheds, workshop sheds etc.), Loss of livelihood, Loss of standing crops/ trees, Loss of access to Common Property Resources (CPR) and facilities, Losses to host communities, Panchayat land and additional benefits for vulnerable person.

ENVIRONMENT AND SOCIAL MANAGEMENT PROCEDURES

The power distribution system includes and incorporates the distribution line, its right of way (ROW), Switchyards, Sub-stations. The principle structure of the distribution line includes the line itself, conductors, towers supports etc. The voltage capacity of the distribution line affects the sizes required for principal structures. The ROW in which distribution line is constructed ranges in width from 7 meters (11 kV) to 15 meters (for 33 kV line).

The major social & environmental impacts of power distribution system can be loss of Land, loss of structures, Loss of livelihood, Loss of standing crops/ trees, Loss of access to Common Property Resources (CPR) and facilities, Losses to host communities, loss of vegetation, loss of forests, change in land use pattern, loss of human habitats and involuntary resettlement, environment hazards due to PCBs in electrical equipment and impact in cultural/ historical heritage of area, and interference with telecommunication lines due to EMF induced effect. Besides these, minor environmental impacts of distribution system can be electrocution hazards; noise problems in substations, chemical contamination of sites, fire hazards and loss of sensitive areas like lands/ wet lands etc.

The above problem can occur during different stages of project life viz Project planning, construction, and Operation & maintenance of power distribution system.

UHBVNL’s E&S management process consists of Project conceptualization Project planning, Project approval, detailed design and tendering, Operation and maintenance and Annual review.

During planning stage because of inherent flexibility in route selection factors like impacts in sensitive areas, loss of human habitations and impact on land use, aviation hazards etc. can be eliminated or managed in such a way that social and environmental impacts are minimized.

During construction stage social & environment impacts can be minimized/ eliminated by proper grading of substation sites/ poles locations, proper fencing to avoid electrocution hazards; proper storage and liquid impoundment for fuels, waste, raw materials so as to prevent spills/ leakages and contaminations of soil/ surface water etc.
0.29 Similarly during operation and maintenance stage by maintaining proper clearances in ROW (i.e. cutting and trimming of trees); by preventing noise from transformers etc (i.e. periodical examination of core bolts, core plates, loose external fittings etc.); by checking oil leakages from joints of transformers; by fire precaution from hazards due to ignition of insulating oil in oil filled switchgears/ transformers, the environment impacts can be minimized/ eliminated.

0.30 The environmental and social impacts of distribution works are identified. While the projects are planned, constructed, operated and maintained, the mitigation measures are taken for addressing the social & environmental impacts.

0.31 Environmental and social risk assessment is a vital part of UHBVNL’s environmental and social management strategies. The risk assessment process identifies existing risks, and forecast future potential risks in its power distribution projects. It is a scientific process that includes cost-benefit analysis. The environment and social management procedures developed by UHBVNL evaluate these risks, both qualitatively and quantitatively, and prioritize them. Based on prioritization, environment and social management options are selected.

0.32 Based on the Environment Impact Assessment & Base line survey, the Environment Management Plan & Resettlement Action Plan of each distribution work is prepared by UHBVNL.

Institutional Frame work

0.33 This chapter detailed the Organizational Arrangements made by UHBVNL to ensure effective implementation of its ESPP, UHBVNL. ER&R committee at headquarter, Environment & Social Monitoring Committee (ESMC) at the Zonal level and Environment & Social Implementation Unit (ESIU) has also been constituted at the grass root level has been constituted. Training and development of employees is integral to implementation of ESPP. In the beginning, training would be imparted to the executive/ non executive from NPTI/ PGCIL so as to enable them to understand the ESPP document, to take necessary steps in right time to implement. Thereafter, the training would be imparted at the different training institutes.

Public Awareness

0.34 Distribution projects do not create much environmental and social impact which may result in resistance from public. Inspite of this, UHBVNL has a firm commitment towards public awareness of possible social & environmental impacts, however minor these may be. As a first step, as per Section 29 of Electricity (Supply) Act-1948, public notification of the projects is published in local newspaper to invite objections from Public within two months.

Before finalization of ESPP document, due consultation with public at large was done by issuance of the notice in the newspaper by making available the draft ESPP at circle headquarters and website of the corporation. The comments / suggestion offered by the public were given due weightage and incorporated in the ESPP.
Chapter 1: UTTAR HARYANA BIJLI VITRAN NIGAM LTD.  
(UHBVNL)

1.1 Haryana power sector comprises of four wholly State-owned Nigams i.e. HPGCL, HVPNL, UHBVNL & DHBVNL which are responsible for power generation, distribution and distribution in the State. Earlier, all these activities were performed by the erstwhile Haryana State Electricity Board.

1.2 The State’s power sector was restructured on August 14, 1998. The Haryana State Electricity Board (HSEB) was reorganized into two State owned corporations namely Haryana Vidyut Prasaran Nigam Ltd. (HVPNL) and Haryana Power Generation Corporation Ltd (HPGCL) on 14.08.1998. HPGCL was made responsible for operation & maintenance of State’s owned power generating stations. HVPNL was entrusted the power transmission and distribution functions. Simultaneously, an independent regulatory body i.e. Haryana Electricity Regulatory Commission, was constituted on 16.08.1998 to aid and advise the State Government on the development of the power sector and take appropriate measures to balance the interest of various stake holders in the power sector namely electricity consumers, power entities and generation companies, etc.

1.3 HVPNL was further reorganized on July 01, 1999 by carving out two more corporations, namely Uttar Haryana Bijli Vitran Nigam Ltd. (UHBVNL) and Dakshin Haryana Bijli Vitran Nigam Ltd. (DHBVNL) with the responsibility of distribution and retail supply of power within their jurisdiction. While UHBVNL is responsible for Panchkula, Ambala, Yamuna, Kurukshetra, Kaithal, Karnal, Sonepat, Panipat, Rohtak, Jhajjar and Jind districts, DHBVNL caters to Hisar, Fatehabad, Bhiwani, Sirsa, Faridabad, Gurgaon, Rewari and Narnaul districts. These distribution companies at the moment are serving about 42 lac consumers of different categories.

1.4 HPGCL is looking after Generation of power, Bulk Supply and Long term Power Purchases. Trading business in respect of power was transferred to HPGCL on 09.06.2005 from HVPNL and thereafter HPGCL is looking after the trading business. With effect from 15.04.2008, the trading function has been transferred to Discoms i.e. UHBVNL & DHBVNL for which Haryana Power Procurement Cell (HPPC) has been formed. The total installed and contracted generation capacity available to the State is 4368.01 MW. The highest daily power supply of 1002.43 lack units was on 20.8.2007 and the record maximum demand of 4826 MW was met on 21.8.2007.

1.5 At present UHBVNL has 184 no. of 33 KV substations along with 2110 Km of associated 33 KV lines, having 348 nos. Power transformers with installed capacity 2124 MVA and 37349 KM of 11 KV line and 62171 KM of LT line along with 107716 no. distribution transformers with install capacity 7227059 KVA which are catering to the load requirements of Distribution Company.

1.6 At present HVPNL has 256 Grid substations of voltage rating 66 kV to 220 kV along with 7844 Km of associated transmission lines. In addition there are 6 nos.
400 kV substations of PGCIL 2 Nos. 400 kV & 8 Nos 220 kV substation of BBMB located in Haryana which are catering to the load requirements of distribution companies. The abstract of the Grid substations is as under:-

Table 1 No of sub stations

<table>
<thead>
<tr>
<th>Sr. No</th>
<th>Name of substation</th>
<th>No. of substation as on 31.03.2008</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>400 kV substation</td>
<td>6 (PGCIL) + 2 (BBMB) = 8</td>
</tr>
<tr>
<td>2</td>
<td>220 kV substation</td>
<td>32 + 8* = 40</td>
</tr>
<tr>
<td>3</td>
<td>132 kV substation</td>
<td>120</td>
</tr>
<tr>
<td>4</td>
<td>66 kV substations</td>
<td>104</td>
</tr>
</tbody>
</table>

*including 8 No. 220 kV substations of Bhakra Beas Management Board (BBMB) in Haryana area.

1.6 Keeping in view of the expected 14 % growth, Haryana Power Sector has made a comprehensive capacity addition program for 11th plan as under:-

Table 2 capacity addition program for 11th plan

<table>
<thead>
<tr>
<th>Sources of installed capacity</th>
<th>2007-08</th>
<th>2008-09</th>
<th>2009-10</th>
<th>2010-11</th>
<th>2011-12</th>
</tr>
</thead>
<tbody>
<tr>
<td>State Owned Projects</td>
<td>1587.40</td>
<td>1587.40</td>
<td>1587.40</td>
<td>1587.40</td>
<td>1587.40</td>
</tr>
<tr>
<td>Central Sector Share</td>
<td>1514.40</td>
<td>1514.40</td>
<td>1514.40</td>
<td>1514.40</td>
<td>1514.40</td>
</tr>
<tr>
<td>Shared Projects - BBMB &amp; IP</td>
<td>937.50</td>
<td>937.50</td>
<td>937.50</td>
<td>937.50</td>
<td>937.50</td>
</tr>
<tr>
<td>DCRTPP Yamuna Nagar</td>
<td>600.00</td>
<td>600.00</td>
<td>600.00</td>
<td>600.00</td>
<td>600.00</td>
</tr>
<tr>
<td>Hisar TPS (600X2) Mega Plant Status</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Jhajjar Case- II ~((1150 ± 15%)*</td>
<td></td>
<td></td>
<td></td>
<td>1150.00</td>
<td>1150.00</td>
</tr>
<tr>
<td>Aravali STPS, Jhajjar (500X3)</td>
<td></td>
<td></td>
<td>750.00</td>
<td>750.00</td>
<td></td>
</tr>
<tr>
<td>[Haryana:Delhi - 50:50]</td>
<td></td>
<td></td>
<td>750.00</td>
<td>750.00</td>
<td></td>
</tr>
<tr>
<td>Additional availability through PPA with IPPs/CPSU</td>
<td>111.70</td>
<td>233.70</td>
<td>876.70</td>
<td>1126.70</td>
<td>1930.70</td>
</tr>
<tr>
<td>Yamuna Nagar Extension</td>
<td></td>
<td></td>
<td>300.00</td>
<td>300.00</td>
<td></td>
</tr>
<tr>
<td>Faridabad Gas</td>
<td></td>
<td></td>
<td>432.00</td>
<td>432.00</td>
<td></td>
</tr>
<tr>
<td>Total Capacity (MW)</td>
<td>4751.00</td>
<td>4873.00</td>
<td>6616.00</td>
<td>9498.00</td>
<td>10302.0</td>
</tr>
<tr>
<td>Total Available Capacity (at 80% PLF)</td>
<td>3800.80</td>
<td>3898.40</td>
<td>5292.80</td>
<td>7598.40</td>
<td>8241.60</td>
</tr>
</tbody>
</table>

Load Growth, Capacity Addition & Available Capacity (MW):

![Graph showing load growth, capacity addition, and available capacity](image-url)
1.7 For evacuation of the proposed capacity addition of power, UHBVNL has made a comprehensive distribution expansion program during 11th five-year plan as under:

<table>
<thead>
<tr>
<th>Distribution (UHBVN)</th>
<th>2007-08</th>
<th>2008-09</th>
<th>2009-10</th>
</tr>
</thead>
<tbody>
<tr>
<td>New 33 KV S/Stn., Aug. of 33 KV S/Stn., New 33 KV Lines and HT capacitors</td>
<td>109.74</td>
<td>395.36</td>
<td>505.10</td>
</tr>
<tr>
<td>Bi/Trifurcation of 11KV Feeders, Aug. &amp; addition of new DTs along with 11KV and LT Lines,</td>
<td>318.14</td>
<td>737.71</td>
<td>1055.85</td>
</tr>
<tr>
<td>Strengthening of Dist.System under RGGVY,AB cables.</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Segregation of Rural domestic load from rural agriculture load</td>
<td>54.98</td>
<td>248.45</td>
<td>303.43</td>
</tr>
<tr>
<td>Providing HVDS/LVDS</td>
<td>47.70</td>
<td>342.80</td>
<td>390.50</td>
</tr>
<tr>
<td>Providing Area Load Despatch Centre</td>
<td>2.00</td>
<td>5.27</td>
<td>7.27</td>
</tr>
<tr>
<td>Consumers metering, DT metering, feeders metering, prepaid metering</td>
<td>16.60</td>
<td>98.60</td>
<td>115.20</td>
</tr>
<tr>
<td>Demand side management activates</td>
<td>155.00</td>
<td>464.00</td>
<td>619.00</td>
</tr>
<tr>
<td>NABARD Works</td>
<td>3.00</td>
<td>0.00</td>
<td>3.00</td>
</tr>
<tr>
<td>APDRP Works</td>
<td>10.37</td>
<td>0.00</td>
<td>10.37</td>
</tr>
<tr>
<td>Customer care centre</td>
<td>0.00</td>
<td>4.00</td>
<td>4.00</td>
</tr>
<tr>
<td>Packaged sub station (11 KV)</td>
<td>0.00</td>
<td>3.00</td>
<td>3.00</td>
</tr>
<tr>
<td>Civil Works cost of land, construction of offices &amp; residences.</td>
<td>0.00</td>
<td>34.00</td>
<td>34.00</td>
</tr>
<tr>
<td>Other-Cost of material for execution of works under various schemes mentioned above and other Misc.</td>
<td>0.00</td>
<td>50.00</td>
<td>50.00</td>
</tr>
<tr>
<td>Capital Works to be done departmentally.</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Total Distribution</strong></td>
<td>717.53</td>
<td>2383.19</td>
<td>3100.72</td>
</tr>
</tbody>
</table>

**PLANNED ACTIVITIES UNDER 11th FIVE YEAR PLAN**

1.8 The following activities have been planned to be carried out by UHBVN for strengthening the distribution network in the 11th five-year plan.

1. Creation of New 33 KV Sub- Stations, Augmentation of 33 KV S/Stns., new 33 KV lines & H.T capacitors.

1.9 Creation of additional S/Stns. is an ongoing process and with this the requirement of load growth is met with. Apart from this new S/Stns. will improve tail end voltages and reduction in technical losses.

1.10 A provision for construction of 165 No. new 33 KV S/Stns. has been made in the five year plan. Out of 36 No. S/Stns. proposed during 2007-08, 21 no. have been commissioned & work on remaining 15 No. S/Stns. is in progress. In 2008-09 another 36 No. new 33 KV S/Stns are proposed to be created.
1.11 1650 K. M. New associated 33 KV line to feed S/Stns. covered under item No. 1 above is proposed to be erected during 11th five year plan.

1.12 A provision for augmentation of 140 No. existing 33 KV S/Stns. has been made in the plan.

1.13 Investment of Rs. 505.10 Crores is likely to be incurred on this activity.

2. Bi/Trifurcation of 11 KV feeders, augmentation & addition of new DTs along with 11 KV & LT lines, strengthening of distribution system under RGGVY, Providing AB cables, consumer metering and D.T metering

1.14 Overloaded feeders result into higher technical losses and more area is affected on interruption of supply. With Bi/Trifurcation of overloaded feeders technical losses will come down and better voltage/supply will be ensured to the consumers fed from such feeder. It is proposed to Bi/Trifurcate 1700 No. during the 11th Five Year plan.

1.15 There is an average load growth of 8% in UHBVN & some areas have even higher load growth. Additional consumers are getting added to the system & agriculture consumers are also getting the capacities of their motors enhanced due to drop in water table. It is proposed to provide additional 49650 T/F’s along with connected HT/LT line during the 11th Five year plan.

1.16 Investment of Rs. 1055.85 Crores is likely to be incurred on this activity.

3. Segregation of rural domestic load from rural agriculture load.

1.17 There are 704 No. 11 KV feeder required to be segregated for rural domestic load from agriculture load. Out of these which 310 No. feeders have been completed up to 31.03.08. For balance 394 No. feeders work is in progress.

1.18 Investment of Rs. 303.43 crores is likely to be incurred on this activity during 11 th five year plan.

4. Providing HVDS on villages/Towns & 11 KV feeders

1.19 A list of high loss urban & mix urban feeders having pay back of Rs. 20 lac per annum was prepared and saving potential assuming 16% line losses as target was assessed. To achieve this target, all feeders are proposed to be covered under HVDS during the five-year plan with an investment of Rs. 490.50 Crores. HVDS in 1200 No. villages & colonies have also been planned out of which 307 have been completed up to 31/03/08.

5. Providing Area load dispatch centre/DAS on various S/Stns

1.20 UHBVN has a network of 184 No. 33 KV S/Stns. as on 31/03/08 provision has been made to create 36 no. S/Stns during the year 2008-09.
1.21 A close monitoring of each S/Stn. is required to obtain prompt data such as load on all the 3 phases, power factor, energy consumption, reactive component time of day component & status of a feeder. This is necessary for better efficiency in the system. It is therefore proposed to provide data acquisition system on all 220 No. 33 KV S/Stns in the jurisdiction of UHBVN, during the year 2008-09 at an estimated cost of about Rs. 3.00 Crores. This provision would also help in monitoring of power regulatory enforcements. During the 11th five-year plan, it has been planned to provide data acquisition system at all the S/Stns. & area load dispatch centres with an investment of Rs. 7.27 Crores.

6. Feeder metering, pre-paid metering

1.22 During the 11th five-year plan UHBVN has planned to replace all electro mechanical consumer & feeder meters with electronic meters. Pre-paid metering concept is also being adopted. Performance of few pre-paid meters installed is under study. Investment of Rs. 115.20 Crores have been proposed for this activity.

7. Demand side management

1.23 Augmentation of 11 KV & LT line: - Reduction of technical losses helps in better availability to the consumers. Conductor augmentation also helps in better voltage to the consumers and saving in energy. It is thus proposed to augment 250 K.M HT/LT lines at an investment of Rs. 5 Crores during 2008-09 & as per requirement during other Financial Year depending upon the load growth.

1.24 HVDS on new Tube wells:- UHBVN has set a target for the release of 10,000 new Tube well connections during the year 2008-09. All tube well connections whose demand notices have been issued after 01/01/07 are being released by providing dedicated 10/16/25 KVA T/Fs to each agriculture consumer. It has also been planned to provide energy efficient motors.

1.25 It is a known fact that LT losses are higher than the HT losses. There has been a sincere effort to improve LT/HT ratio. The present LT/HT ratio in UHBVN is 1.74:1. To reduce LT/HT ratio it was decided to provide LT less connections to agriculture consumers. Dedicated T/Fs with 10/16/25 KVA capacity (as per the motor capacity) will make the consumer get his load extended authorized to seek matching capacity T/F. Also there is a tendency on the part of a consumer that as and when the water level changes they enhance the capacity of the motors unauthorized resulting into drawing of more power and increase in damage rate of T/Fs.

1.26 Investment of Rs. 619 Crores have been proposed for these activities during the 11th five year plan.

8. National Bank for Agriculture and Rural Development (NABARD)

1.27 Provision of Rs. 3.00 Crores was made in the 11th five year plan during 2007-08 the works under this scheme has been completed.
9. Accelerated Power Development and Reform Program (APDRP)

1.28 For carrying out various activities under APDRP Rs. 10.37 Crores has been provided during 11th five year plan & work is in progress.

10. Customer care center

1.29 Customer Care Centers are operating at District Headquarters through outsourcing. It is proposed to provide 10 No. more customer care centers in other towns/cities during 2008-09 with an investment of Rs. 4.00 Crores. These Customer Care Centers will have provision of building, computers, telephones for round the clock registration of complaints as well as interaction with fields staff for prompt attending of complaints. Single window services like accepting of consumer applications for release of connections as well as cash collections can be met through these customer care centers. These centers will enhance operation efficiency & give better services to the consumers & reduce interruption time.

11. Packaged S/Stns. (11 KV)

1.30 By providing packaged S/Stns. an efficient and technical advanced system is laid where risky lines exist in the narrow areas. With these packaged S/Stns. VCB’s are provided in place of air break switches, ring main systems are provided to ensure alternate source of supply and automatic power factor control system to ensure better voltage to the consumers. Keeping this in view, a provision has been made to provide 50 No. packaged S/Stns. in Ambala, Panipat & Yamunanagar urban areas.

12. Civil Works cost of land, construction of offices & residences in UHBVN

1.31 To ensure efficient & smooth working in the offices, necessity has been felt to construct offices/complexes to accommodate UHBVN offices in the field. It is also desired to provide services to the consumers under one roof/complex to save the time of consumers staff and officers. Accordingly a provision of Rs. 34 Crores has been made.

13. Others-Cost of material for execution of works under various schemes mentioned above and other Misc. Capital works to be done departmentally

1.32 For cost of material for execution of works under various schemes & carrying out other Misc. capital works departmentally, provision of 50 Crores have been made.

The total investments of 11th five-year plan have been provided Rs. 3100.72 Crores.

14. Measures taken for reducing AT&C losses and their out come

1.33 Financial position of Power Utility depends upon the proper utilization of power i.e. the power supplied shall be billed with minimum distribution losses in the system and also depends on the optimum realization against the assessment made against the billing.
1.34 In order to maintain healthy financial position of the Power Utility, it was decided to fix the targets for the AT&C Losses (Aggregated Transmission and Commercial Losses).

1.35 To reduce the AT&C Losses the targets have been fixed and are being monitored regularly. The target fixed for AT&C Losses for the FY: 2007-08 to FY: 2011-12 are as under:

Table 3 Target for reduction of AT&C Losses (Upto 2011-12)

<table>
<thead>
<tr>
<th>Financial Year</th>
<th>Target fixed for AT&amp;C Losses</th>
</tr>
</thead>
<tbody>
<tr>
<td>2007-08</td>
<td>33%</td>
</tr>
<tr>
<td>2008-09</td>
<td>28%</td>
</tr>
<tr>
<td>2009-10</td>
<td>24%</td>
</tr>
<tr>
<td>2010-11</td>
<td>20%</td>
</tr>
<tr>
<td>2011-12</td>
<td>16%</td>
</tr>
</tbody>
</table>

1.36 To reduce the AT&C Losses following steps have been taken by the UHBVNl.

(a) By providing HVDS system in villages (10 villages per Division).

(b) Shifting the meters of domestic and other consumers outside the premises on poles and pillar-boxes.

(c) Detection of Theft of electricity.

(d) Shifting the meters and metering equipments of HT industrial category near gate.

(e) Extensive sealing of meters.

(f) Regularization of kundi connection.

(g) By providing distribution transformers, where the system is overloaded. Bifurcation/Trifurcation of overloaded & lengthy feeders are being carried out. Also segregation of Rural light load & Agricultural load is also being done.

(h) Replacement of worn out conductor and installation of proper size conductor in distribution system.

(i) By providing meters on Distribution Transformers i.e. DT metering.

(j) Replacement of bare conductor with LT Aerial Bunched cable in critical area.

(k) By effecting timely TDCOs/PDCOs
(l) To improve the Collection Efficiency, Bill Collection in rural areas have been given to HESL (NGOs), franchisee for door to door collection of energy charges.

1.37 Achievement of reduction in AT&C Losses against the fixed target for the FY: 2007-08 upto January-2008 is as under: -

Table 4 Achievement of reduction in AT&C Losses

<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>42.21%</td>
<td>33.00%</td>
<td>33.84%</td>
<td>39.03%</td>
</tr>
</tbody>
</table>

1.38 From above it is observed that the AT&C losses at the end of FY-2006-07 were 42.41%. The target to reduce AT&C losses at the end of FY-2007-08 have been fixed as 33%. The AT&C losses during 2007-08 upto Feb.2008 are 33.84% against 39.03% for the corresponding period of FY 2006-07 i.e. upto Feb.2007. The reduction in AT&C losses is 5.19%. Thus the Nigam is expected to bring down AT&C losses around 33% in FY2007-08 to achieve the target.

15. CDM (Clean Development Mechanism) & DSM (Demand Side Management).

CDM (Clean Development Mechanism)

1.39 The implementation of CDM Projects in Power Sector has already been emphasized in National Tariff Policy for the promotion of clean power.

1.40 CDM Project under Kyoto Protocol, UHBVN has undertaken introduction of compact fluorescent lamps to consumers (“CFL Project”).

1.41 In pursuance to the above decision, following action has been taken: -

CFL Project

1.42 Under Kyoto Protocol MOU has been signed with M/s OSRAM to implement CDM Project in the ‘Operation’ Circles of Yamunanagar & Sonepat, wherein the firm will be responsible for exchange of incandescent lamps with CFL lamps and will be eligible for benefits under CDM by earning CERs and whereas UHBVN will be benefiting through reduced peak demand.

1.43 For implementation of CDM Project in Rohtak and Panipat, three options are available as under
(a) M/s OSRAM may cover the circles of Panipat & Rohtak as doing in Yamunanagar & Sonepat.

(b) REC, PFC or other agencies to finance the project. M/s OSRAM to extend/handle the project. UHBVN to take advantage of CERs generated under the project with part sharing with M/s OSRAM.

(c) Nigam to handle the project independently with UNFCCC with the help of consultants.

**DSM (Demand Side Management)**

1.44 The essential features of DSM are as under:

**To provide CFL lamps (BEE rating of 4 stars & above)**

1.45 At discount/installment plan to domestic consumer for as many points as the domestic consumer desires. Presently it has been decided to launch DSM project in Ambala, Kurukshetra, Karnal & Jind ‘OP’ Circles. Memorandum of Understanding has been signed with CFL manufacturer (Indo Asian) to arrange the sales of CFL at the collection counters of UHBVN on special rates as under:

<table>
<thead>
<tr>
<th>Name of item</th>
<th>Net price for destination changeable from the</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>11W CFL</td>
<td>Rs. 67.50</td>
<td></td>
</tr>
<tr>
<td>15W CFL</td>
<td>Rs. 75.00</td>
<td></td>
</tr>
<tr>
<td>20W CFL</td>
<td>Rs. 100.00</td>
<td></td>
</tr>
<tr>
<td>T-8 36W Tube</td>
<td>Rs. 32.00</td>
<td></td>
</tr>
</tbody>
</table>

**Mandatory use of T-5 28 Watt Energy Efficient Tube light System/Retrofit Assembly in Government Aided Institutions/Boards/Corporations:**

1.46 Vide Sales Circular No. U-73/2006, instructions have been issued ----- The use of 40 watt conventional tube lights with blast in all new buildings/institutions constructed in Government sector/Government aided sector/Boards and Corporations/Autonomous bodies is banned with immediate effect. These buildings/institutions constructed in Government Bodies shall use only T-5 28 watt energy efficient tube light system. It shall be mandatory that in existing buildings, the defective 40-watt conventional tube lights with blast, when replaced, would be replaced by only 28-watt retrofit assembly.
HVDS Project

1.47 UHBVN is already making investment in converting existing high loss LT Network to low loss HT Network. The project provides saving in energy and are eligible for CDM benefits provided the necessary action are taken for preparing the CDM Project report as per UNFCCC guidelines.

1.48 Further, The Nigam also proposes to distribute free upto three BEE 4 star rating CFL bulbs of 15 watt & above to the consumers opting for HVDS. SEs ‘OP’ have been asked to give their requirement of CFLs for implementation of HVDS scheme (more than 350 HVDS/LVDS villages & colonies as on date). On commissioning of HVDS system in villages/in colonies, the CFL bulbs can be distributed free of cost to consumers against receipt of filament bulbs and production of latest paid bill receipt.

Promotion of Solar Water Heating System in Domestic sector by providing rebate on electricity bills to the users of Solar Water Heaters:

1.49 Vide Sales Circular No. U-8/2007, instructions have been issued ---- To provide rebate on domestic electricity bills @ Rs. 100/-, Rs. 200/- and Rs. 300/- per month to the users of Solar Water Heaters of capacity 100 LPD, 200 LPD and 300 LPD capacities respectively for a period of 3 years from the date of installation of the system.

Mandatory use of ISI Marked Motor Pump sets, Power Capacitor, foot/reflex valves in Agriculture sector:

1.50 Vide Sales Circular No. U-54/2005, instructions have been issued ------- For all new tubewell connections, the use of ISI marked pump sets power capacitor, foot/reflex valves in Agriculture Sector is mandatory. While releasing new AP connections, on issue of demand notices instructions may be incorporated to implement the above point.

1.51 Department of Renewal Energy has placed some amount at the disposal of ADCs for various districts for disbursement to farmers for promoting use of ISI marked pumps/motors, reflex valves and power capacitors in Agriculture sector (Rs. 400/- per HP upto maximum Rs. 5000/- per pump set). Since, the Nigam has decided to develop Yamunanagar as a Model District, hence have requested the Renewal Energy Department to divert entire funds placed at the disposal of ADCs of various districts falling within the jurisdiction of UHBVN, for implementation of energy conservation in Agriculture pump sets at the disposal of ADC Yamunanagar for its utilization in Yamunanagar district under the Model district scheme as the amount for each district is small and impact will be seen when the scheme is implemented in a concentrated way.
Agriculture Energy Efficiency Project:

1.52 Under this Project, the proposal for replacement of existing agriculture motors, which are presently operating as low as at 20% efficiency may be replaced by efficient motors and delivery system which may work at around 50% efficiency level, thereby leading to Energy Conservation and Reduction in Carbon Emissions and thus qualify for CDM benefits provided the necessary action are taken for preparing the CDM Project report as per UNFCCC guidelines. This is at the formulation stage.

Environmental AND Social Policy & PROCEDURES.

2.1 Uttar Haryana Bijli Vitran Nigam Limited (UHBVNL) has been a pioneer in promoting innovativeness and setting new standards in service delivery. It has permanent concerns towards environment & safety and endeavours to graduate to ISO 9001, ISO14000 and OSHAS 18000 standards.

Environment and Social Policy Statement of UHBVNL

UHBVNL is committed to identify, assess, and manage environmental and social concerns at both organization and project levels by strictly following the basic principals of avoidance, minimization and mitigation of environmental & social impacts with the improvement of Management System and introduction of State of the Art and proven technologies.

UHBVNL assures to:
2.2 Ensure total transparency on the project to all stakeholders through dissemination of information and consultation at every stage of project implementation;

2.3 Maintain highest standards of corporate responsibility not only towards its employees but also to the consumers enriching the quality of life of the community.
2.4 To minimize ecological impacts on environment, land and flora/fauna through progressive policies like consciously economizing on the requirement of land for civil structures and reducing the width of the Right of Way

a) **Principles of Environment and Social Policy**

2.5 Based on the provisions available in various existing central, state acts and policies, UHBVNL has formulated the environmental and social policy to address the adverse impacts in all its future distribution investment programs. The key principles of UHBVNL environmental and social policy are:

(a) Avoid carrying out operations in environmentally sensitive areas such as forests, national park, and biosphere reserves

(b) Consider environmental implications of location, terrain, and sensitive areas in impact identification and mitigate these with innovative / practical engineering solutions; through appropriate Environmental Management Plan (EMP) and/or Project Implementation Plan (PIP).

(c) Application of efficient and safe technology practices;

(d) Abate pollution in all its activities and operations;

(e) Minimizing energy losses and promote energy efficiency in all activities

(f) Avoid any disruption of socially culturally and archaeologically sensitive areas if impactive shall be conserved following the laws of the land.

(g) Wherever losses are suffered, assistance will be provided to the affected persons to improve or at least regain their living standards;

(h) Consultations with potential affected persons will be held among local population regarding finalization of proposed route of the distribution lines and sub-stations;

(i) Delivering R&R entitlements and compensation for lost assets based on UHBVNL’s entitlement framework.

(j) Involuntary resettlement will be avoided or minimized by exploring all viable alternative project designs.

(k) All adversely affected persons including those without title to land will be provided assistance to improve or regain their living standards to the pre project levels.
Special attention will be paid for the improvement of living standards of marginalized and vulnerable groups.

Resettlement Action Plan (RAP) will be prepared in close consultation with the affected families to ensure their acceptability as well as timely delivery of entitlements and assistance.

If any person’s remaining land holding becomes operationally non viable, the entire holding will be acquired and compensated accordingly, if the affected person desires. A similar approach will be adopted for structures affected partially.

Physical works will not commence on any portion of land before compensation and assistance to the affected population have been provided in accordance with the policy framework.

As far as possible, UHBVNL plans and conducts its construction activity after the harvests to avoid damage to crops. In case damage to standing crop is unavoidable, UHBVNL provides compensation under LA Act.

2.6 UHBVNL gives due consideration to address the environmental & social issues and accordingly plans to create suitable organizational structure to implement alternative mitigation measures systematically. The policy and procedures will be subject to constant review in accordance with the guiding principles of avoidance, minimum disturbance and suitable remedial measures.

ENVIRONMENT AND SOCIAL ISSUES IN DISTRIBUTION PROJECTS

Construction and operation of distribution lines and substations may involve environmental and social concerns that are distinct from each other in terms of their nature of impacts. Some of the environmental and social issues that could arise from its projects are unavoidable, and UHBVNL seeks to address them through its management processes outlined in this document.

UHBVNL has a vast distribution network of Distribution lines and substations spread across Haryana catering to the needs of distribution companies operating in the state. UHBVNL has identified environmental and social issues typically associated with its projects as below.

Environmental Issues

1. **Lopping of Trees within Right of Way**
   Minimum cutting of trees is done within right of way. Clearance from the forest department is invariably taken.

2. **Clearing of Ground vegetation for movement of Machinery**
Due care is taken in movement of machinery so that minimum damage is done to ground vegetation. Due compensation is given for any such minimum damage also.

3. **Clearing of Ground vegetation for substations**
Due care is taken in movement of machinery so that minimum damage is done to ground vegetation. Due compensation is given for any such minimum damage also. In addition efforts are always made to procure barren land and not fit for agriculture.

4. **Used transformer oil**
The used transformer oil is disposed off with utmost care as per prescribed norms so that no pollution or environmental effect is there. The same are auctioned only to those firms who hold valid license from the concerned department/ regulatory body.

5. **Disposal of used batteries and capacitor bank**
The used battery and capacitors banks are disposed off (auctioned) as per the prevalent rules. The same are auctioned only to those firms who hold valid license from the concerned department/ regulatory body.

6. The power transformers containing PCBs have been phased out and no power equipment with PCBs are in use.

**Social issues**

2.9 Social issues associated with distribution projects are mainly related to land acquisition carried out for substation sites. For the poles of distribution lines a very minimal land is required. These poles are normally erected in public land. However, UHBVNL exercises flexibility in setting substations as well as footing electric pole. The following are the likely adverse impacts in case of lines and substations.

**Distribution Lines**

- Temporary disturbance during construction and erection of distribution poles and stringing.
- Damage to crop.
- Restriction on the height of trees to be grown under distribution lines.

**Substations**

- Loss of land
- Loss of house/structures/trees/crops
- Loss of livelihood due to acquisition of private agricultural land
2.10 UHBVNL is keen to ensure a fair, efficient and transparent handling of all matters relating to land acquisition and involuntary resettlement, including loss of assets and other negative impacts on Affected Persons (APs) resulting from its investment program, irrespective of sources of financing. In this context, UHBVNL has formulated the Environmental Social Policy and Procedures (ESP&P) consistent with relevant national and Haryana’s policies and regulations, including ‘inter-alia’ the Indian Electricity (Supply) Act 1948, Indian Electricity Rules, 1956 and Indian Electricity Act 2003. The main objective of the ESP&P is to provide overall policy and procedural framework which will govern the actions of UHBVNL to mitigate the adverse social and environmental impacts resulting from its distribution investment program by means of EMP & RAP for sub projects.
CHAPTER 3: POLICY, LEGAL AND REGULATORY FRAMEWORK

Mandatory environmental requirements for UHBVNL at a state level include:

Haryana Electricity Reforms Act 1997 & Electricity Act, 2003:

3.1 As per provision contained in above mentioned Acts UHBVNL has authority to install distribution poles/towers in any kind of land. Electricity Act, 2003 seeks to create a framework for the power sector development by measures conducive to the industry. Electricity Act does not explicitly deal with environmental implications of activities related to power distribution. However, UHBVNL integrates environmental protection as a part of its project activities. Power distribution schemes are planned in such a way that the power of eminent domain is exercised responsibly.

3.2 The main features of Electricity (Supply) Act, 1948 and Haryana Electricity Reforms Act, 1997 and Electricity Act, 2003 are as per Annexure-I containing relevant Sections i.e. Section 42 of Supply Act 1948, Sec. 67, 68 & 164 of Electricity Act, 2003.

The Forest (Conservation) Act, 1980

3.3 This Act provides for the conservation of forests and regulating diversion of forestlands for non-forestry purposes. When distribution projects falls within forestlands, prior clearance is required from relevant authorities under the Forest (Conservation) Act, 1980. State governments cannot de-reserve any forestland or authorize its use for any non-forest purposes without approval from the Central government.

3.4 The steps for forest clearance are briefly described below

Route alignment

3.5 Preliminary route selection for distribution lines is done by using tools such as the forest atlas and Survey of India maps. During route alignment, all possible efforts are made to avoid the forest area (like national park and sanctuaries) or to keep it to the barest minimum. Whenever it becomes unavoidable due to the geography of terrain or heavy cost involved in avoiding it, different alternative options are considered to minimize the requirement of forest area. Modern tools like GIS/GPS will be used for finalization of route. For selection of optimum route, the following criteria are taken into consideration:
(a) The route of the proposed distribution lines does not involve any human habitation;
(b) Any monument of cultural or historical importance is not affected by the route of the distribution line;
(c) The proposed route of distribution line does not create any threat to the survival of any community with special reference to Tribal Community;
(d) The proposed route of distribution line does not affect any public utility services such as playgrounds, schools and other establishments;
(e) The line route does not pass through any sanctuaries, National Park, Biosphere reserves or eco-sensitive zones; and
(f) The line route does not infringe with area of natural resources.

3.6 To achieve this, route selection of distribution lines is undertaken in close consultation with representatives from the State forest departments and the Department of Revenue. Alterations are made to avoid environmentally sensitive areas and settlements at execution stage. An environmental screening for the routes election of distribution line is as per Annexure – II.

**Right of way**

3.7 Right of Way (ROW) width depends on the line voltage. A maximum width of ROW for distribution lines on forest land and minimum clearances between conductors and trees to be adhered in route selection as specified in IS: 5613 and by the MOEF guidelines given below:

<table>
<thead>
<tr>
<th>DISTRIBUTION VOLTAGE (IN KV)</th>
<th>MAX. ROW*</th>
<th>GROUND CLEARANCE (in meters)</th>
<th>MINIMUM CLEARANCE IN METERS</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td>CONDUCTORS</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>HOR.</td>
</tr>
<tr>
<td>11 KV</td>
<td>7</td>
<td>6.1</td>
<td>1.5</td>
</tr>
<tr>
<td>33 KV</td>
<td>15</td>
<td>6.1</td>
<td>1.5</td>
</tr>
</tbody>
</table>

3.8 MOEF guidelines are available at Annexure VII. Below each conductor clearance of 3 meters is permitted for taking the tension string equipment. The trees on such strips are felled but after stringing is complete dwarf trees are planned keeping in view the electrical clearance to be maintained except in one outer strip which shall be left clear to permit maintenance of the distribution lines. Chart depicting the trees falling zone/ requirement as per MOEF guidelines is given at Annexure III.
Formulation of forest proposal

3.9 After finalization of route-alignment and ROW width and if the ROW passes through forest area, UHBVNL submits details in prescribed performa (Annexure-IV) to the respective Nodal Officer (Forest) of State Government. Nodal Officer forwards the details to the concerned Divisional Forest Officer (DFO) for formulation of forest proposal for processing of clearance under the Forest (Conservation) Act, 1980. The DFO then surveys the relevant forest area required for the construction of distribution line under the possible alternatives. The proposal is submitted to the state forest department and then forwarded to the principal chief conservator of forests in the state and finally to the state secretariat. The State Government recommends the proposal for further processing and approval to:-

(a) Concerned Regional Office of the MoEF if the area involved is 40 hectare or less

(b) MoEF, New Delhi if the area is more than 40 hectare

3.10 The MoEF approves the proposal in two stages. In principle or first stage approval is accorded with certain conditions depending upon the case. Second stage or final approval is accorded by the MoEF after receiving the compliance report from State Government. The approval process is given as under:

Environment (Protection) Act, 1986

3.11 The Environment (Protection) Act, 1986 was introduced as an umbrella legislation that provides a holistic framework for the protection and improvement to the environment. In terms of responsibilities, the Act and the associated Rules requires for obtaining environmental clearances for specific types of new / expansion projects (addressed under Environmental Impact Assessment Notification, 1994) and for submission of an environmental statement to the State Pollution Control Board annually. Environmental clearance is not applicable to Power distribution projects as per project categories given in Annexure-V. A notifications with regard to Environment protection of specified area of Aravali range and list of projects or activities requiring prior environmental clearances as per MOEF, GOI-- EIA 2006 notifications are also annexed as Annexure V.
Declaration of eco-sensitive zones by Haryana Government

3.12 Haryana Government vide its letter No. 1417-Ft-4-2007/3281 dated 6.3.2007 has submitted a proposal to Ministry of Environment & Forests Government of India for declaration of eco-sensitive zones around all the National parks & Wildlife Sanctuaries in the State as per Annexure VI. The notification of the eco-sensitive zone prohibiting certain activities is yet to be issued by Government of India under Environment Protection Act. National parks include Kalesar National Park Yamunanagar, Sultanpur National park, Gurgaon. Wildlife Sanctuaries includes Bhihdawas Sanctuary, Jhajjar, Nahar sanctuary, Rewari, Chhillchhila Sanctuary, Kurukshetra, Bir Shikargah Sanctuary, Panchkula, Abubshehar Sanctuary, Sirsa Saraswati Sanctuary, Kaithal & Kurukshetra, Khaparwas Sanctuary Jhajjar, Bir Bara Ban, Jind, Kalesar Sanctuary, Yamunanagar, Morni Sanctuary, Panchkula.

3.13 Since distribution line projects are non polluting in nature and do not involve any disposal of solid waste, effluents and hazardous substances on land, air and water, so limited requirements of Environment (Protection) Act, 1986 are applicable. However, through a notification under the Environment (Protection) Act, 1986 power distribution projects located in Aravali region in Gurgaon in Haryana will require environmental clearance from the MoEF.

3.14 UHBVNL undertakes environmental assessment for all projects as a standard management procedure as laid down in the ESPP and also functions within permissible standards of ambient air quality and noise levels as prescribed by national laws and state regulations.

3.15 Other rules and regulations under the Environmental (Protection) Act, 1986 applicable to the operation of UHBVNL are described below:

BATTERIES (MANAGEMENT AND HANDLING) RULES, 2001

3.16 MoEF under Section 6, 8 and 25 of the Environment (Protection) Act, 1986 has put certain restriction on disposal of used batteries and its handling. As per the notification dated 16th may 2001, it is the responsibility of bulk consumer (UHBVNL) to ensure that the used batteries are disposed at or deposited with the dealer, manufacturer or to registered recycler for handling and disposal. UHBVNL is observing these restrictions and disposing off the batteries as per the provisions of the above notifications, half yearly return is required to be filed as per Form 8 (Annexure VII) to the concerned State Haryana Pollution Board.

HAZARDOUS WASTES (MANAGEMENT AND HANDLING) AMENDMENT RULES, 2003

3.17 These Rules classify used mineral oil as hazardous waste under the Hazardous Waste (Management & Handling) Rules, 2003 that requires proper handling and disposal. The requirements for disposal of used mineral oil as per these Rules are as follows
(a) The used oil can be sent / sold for re-refining to registered recyclers, if it meets the specification in Schedule –5

(b) The waste oil which is not suitable for re-refining (i.e. does not meet the specifications listed in Schedule-5), can be used in furnaces if it meets the specifications laid down in Schedule –6

(c) Any waste oil which does not meet the specification in Schedule–6 shall not be auctioned or sold, but shall be disposed in hazardous waste incinerator.

3.18 Used mineral oil generated at the UHBVNL substations meet the requirements of Schedule 5 of the above Rules. UHBVNL will seek authorization for disposal of hazardous waste from concerned State Pollution Control Boards (SPCB) as and when required. This oil will be auctioned to authorized/registered re-refiners and submit the information to the respective SPCB as per Form -13.

* Schedule 5 & 6 are depicted in Annexure-VIII.
* Form-13 Annexure-IX.

OZONE DEPLETING SUBSTANCES (REGULATION AND CONTROL) RULES, 2000

3.19 MoEF under the section of 6, 8 and 25 of the Environment (Protection) Act, 1986 has notified rules for regulation/ control of Ozone Depleting Substances ( ODS) under Montreal Protocol. As per the notification certain control and regulation has been imposed on manufacturing, import, export, and use of these compounds.

CONSERVATION OF NATURAL RESOURCE RELATED LAWS

3.20 UHBVNL is fully conscious of the need to conserve the natural resources and avoids ecologically sensitive areas as far as possible. In case traversing forestland is unavoidable, clearance from forest authorities is obtained under the Forest (Conservation) Act, 1980. Other relevant legislations having bearing on the proposal of UHBVNL are:

(a) Indian Forest Act 1927, which classifies forests and controls extraction and transit of timber and other forest produce

(b) Forest (Conservation) Act 1980 places restrictions on state governments concerning diversion of forestlands for non-forest purposes

(c) National Forest Policy 1988 envisages people’s involvement in development and protection of forests

(d) Wildlife Protection Act 1972 deals with the management of protected areas (national park and sanctuaries). It contains provisions for controlling trade in wildlife products, including ban on hunting of specified animals.

(e) The Ancient monuments, etc. Remains Act, 1958.
The ESPP of the Company (UHBVNL) shall consider the statutory mandatory requirements of the Ancient Monuments, etc. Remains Act, 1958 and shall avoid such areas but if per chance finds such portion of land falling under the provisions of said Act, the same shall be excluded from the project area of UHBVNL.


The ESPP of the Company (UHBVNL) shall consider the statutory mandatory requirements of the Antiquities and Art Treasures Act, 1972 and shall immediately inform the authorities under the said Act, if any of the Antiquities and Art treasures are found per chance in the land falling under the project area of the Company.

HEALTH AND SAFETY REQUIREMENTS

3.21 UHBVNL maintains safety as a top priority, apart from various labour laws dealing with workers’ health and safety. UHBVNL has a dedicated health unit to oversee all health aspects of its project employees under the Director/health and has framed safety codes, guidelines/checklist for workers’ safety as its personnel are exposed to live EHV apparatus and distribution lines.

3.22 All supervisory technical officials of UHBVNL are required to pass safety code test. These guidelines include work permits and safety precautions for work on the distribution lines during construction and operation. An extract of safety code consisting of Dos & Don’ts for workers on substation & distribution line equipments are attached as Annexure X.

3.23 There have been some concerns about the possibility of an increased risk of cancers from exposure to electromagnetic radiations from overhead distribution lines. A World Health Organization (WHO) review held as part of International EMF project concluded that “From current specific literature there is no convincing evidence that exposure through radiations field shortens the span of humans or induces or promotes cancers”.

1. Indian Electricity Rules -1956

3.24 These contain set of rules for general safety requirements and conditions relating to supply and use of energy. Some of these stipulations are as under: -

3.25 Minimum clearances prescribed in the Indian Electricity Rules 1956 bare conductors live parts or any apparatus in outdoor substations excluding overhead lines of HV installations are: -

<table>
<thead>
<tr>
<th>Voltage Class</th>
<th>Ground Clearance (meters)</th>
<th>Sectional Clearance (meters)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Not exceeding 11 kV</td>
<td>2.75</td>
<td>2.6</td>
</tr>
</tbody>
</table>


### Table 8 Clearance above ground of lowest conductor

<table>
<thead>
<tr>
<th>Line categorization</th>
<th>Minimum clearance (meters)</th>
</tr>
</thead>
<tbody>
<tr>
<td>(1) For overhead lines across a street</td>
<td></td>
</tr>
<tr>
<td>(a) Low &amp; medium voltage lines</td>
<td>5.8 meters</td>
</tr>
<tr>
<td>(b) High voltage lines</td>
<td>6.1 meters</td>
</tr>
<tr>
<td>(2) For overhead lines along a street</td>
<td></td>
</tr>
<tr>
<td>(a) Low &amp; medium voltage lines</td>
<td>5.5 meters</td>
</tr>
<tr>
<td>(b) High voltage lines</td>
<td>5.8 meters</td>
</tr>
<tr>
<td>(3) For overhead lines erected elsewhere than along or across</td>
<td></td>
</tr>
<tr>
<td>(a) 33 kV</td>
<td>5.2 meters</td>
</tr>
<tr>
<td>(b) 66 kV</td>
<td>5.5 meters</td>
</tr>
<tr>
<td>(c) 132 kV</td>
<td>6.1 meters</td>
</tr>
<tr>
<td>(d) 220 kV</td>
<td>7.0 meters</td>
</tr>
<tr>
<td>(e) 400 kV</td>
<td>8.5 meters</td>
</tr>
</tbody>
</table>

### Table 9 Prescribed vertical clearance from buildings on basis of maximum sag

<table>
<thead>
<tr>
<th>Sr. No.</th>
<th>Line categorization</th>
<th>Minimum clearance (meters)</th>
</tr>
</thead>
<tbody>
<tr>
<td>1.</td>
<td>33 kV</td>
<td>3.7</td>
</tr>
<tr>
<td>2.</td>
<td>66 kV</td>
<td>4.0</td>
</tr>
<tr>
<td>3.</td>
<td>132 kV</td>
<td>4.6</td>
</tr>
<tr>
<td>4.</td>
<td>220 kV</td>
<td>5.5</td>
</tr>
<tr>
<td>5.</td>
<td>400 kV</td>
<td>7.3</td>
</tr>
</tbody>
</table>

### Table 10 Prescribed horizontal clearance from buildings on basis of maximum deflection due to wind pressure

<table>
<thead>
<tr>
<th>Sr. No.</th>
<th>Line categorization</th>
<th>Minimum clearance (meters)</th>
</tr>
</thead>
<tbody>
<tr>
<td>1.</td>
<td>33 kV</td>
<td>2.0</td>
</tr>
<tr>
<td>2.</td>
<td>66 kV</td>
<td>2.3</td>
</tr>
</tbody>
</table>
Table 11 Prescribed minimum clearance in meters between lines crossing each other: from buildings on basis of maximum sag

<table>
<thead>
<tr>
<th>Sr. No.</th>
<th>Nominal system</th>
<th>11-66 kV</th>
<th>110-132 kV</th>
<th>220 kV</th>
<th>400 kV</th>
<th>800 kV</th>
</tr>
</thead>
<tbody>
<tr>
<td>1.</td>
<td>11-66 kV</td>
<td>2.44</td>
<td>3.05</td>
<td>4.58</td>
<td>5.49</td>
<td>7.94</td>
</tr>
<tr>
<td>2.</td>
<td>110-132 kV</td>
<td>3.05</td>
<td>3.05</td>
<td>4.58</td>
<td>5.49</td>
<td>7.94</td>
</tr>
<tr>
<td>3.</td>
<td>220 kV</td>
<td>4.58</td>
<td>4.58</td>
<td>4.58</td>
<td>5.49</td>
<td>7.94</td>
</tr>
<tr>
<td>4.</td>
<td>400 kV</td>
<td>5.49</td>
<td>5.49</td>
<td>5.49</td>
<td>5.49</td>
<td>7.94</td>
</tr>
<tr>
<td>5.</td>
<td>800 kV</td>
<td>7.94</td>
<td>7.94</td>
<td>7.94</td>
<td>7.94</td>
<td>7.94</td>
</tr>
</tbody>
</table>

The above clearances are as per the provisions of Indian Electricity Rules -1956.

ENVIRONMENT REQUIREMENTS of FUNDING AGENCIES

3.26 Major Funding Agencies relating to UHBVNL are Power Finance Corporation (PFC), NCR Planning Board (NCRPB), Rural Electrification Corporation (REC), World Bank (WB), and Japan Bank for International cooperation (JBIC). Operational policies of 4.01 of World Bank & Environmental guidelines of JBIC, Operational Manual (OM) F1/ BP of Asian Development Bank cover the environment requirement of these funding agencies.

3.27 The funding agencies policy and procedures for environmental assessment (EA) of different developmental projects are outlined in these policies and guidelines. Mostly distribution line projects in Haryana have limited impact which are minimized through mitigation/ management measures and, therefore, require only an environmental review and may be subjected to Environmental Assessment (EA) only. The information is required to be prepared for all the distribution works as per Annexure-XI.

3.28 EA is initiated as early as possible in project cycle and undertaken concurrently with economic, financial, institutional, social, and technical analysis of the project. Distribution projects do not have irreversible impact to environment, human population, and wild life including wetlands, forests, grassland and other natural habitats. Moreover, UHBVNL takes appropriate measures to prevent, minimize, mitigate, or compensate for adverse impact and improve environmental performance. EA takes into account the natural environment, human health and safety, and social aspects and trans-boundary and global environmental aspects. During EA process, public is kept informed at every stage of project execution and their views are respected in decision-making.

World Bank OP- 4.36 on Forest –

3.29 This policy applies to the following types of Bank-financed investment projects:
a. projects that have or may have impacts on the health and quality of forests;

b. Projects that affect the rights and welfare of people and their level of dependence upon or interaction with forests; and

c. Projects that aim to bring about changes in the management, protection, or utilization of natural forests or plantations, whether they are publicly, privately, or communally owned.

3.30 The OP aims to reduce deforestation, enhance the environmental contribution of forested areas, through promoting afforestation, reduce poverty, and encourage economic development. It places restriction on project disturbing forest having high environment and ecological value and that may contravene international environment agreements.

3.31 UHBVNL’s emphasis is always on avoidance of forests or minimizing to the extent possible through careful route selection. However, where unavoidable, measures are adopted to minimize ROW requirements and forest losses UHBVNL pays the forest departments to carry out CA on twice the area affected by its operations to compensate for loss of vegetation and also to increase the forest cover in the larger national interest.

World Bank OP-4.11 on Physical Cultural Resources –

3.32 This policy addresses physical cultural resources, which are defined as movable or immovable objects, sites, structures, groups of structures, and natural features and landscapes that have archaeological, paleontological, historical, architectural, religious, aesthetic, or other cultural significance. Physical cultural resources may be located in urban or rural settings, and may be above or below ground, or under water. Their cultural interest may be at the local, provincial or national level, or within the international community. 2. Physical cultural resources are important as sources of valuable scientific and historical information, as assets for economic and social development, and as integral parts of a peoples cultural identity and practices.

Objective

3.33 The Bank assists countries to avoid or mitigate adverse impacts on physical cultural resources from development projects that it finances. The impacts on physical cultural resources resulting from project activities, including mitigating measures, may not contravene either the borrower’s national legislation, or its obligations under relevant international environmental treaties and agreements.

3.34 The OP pertains to preservation, protection, and enhancement of important and significant cultural properties. It sets out restriction on projects that will significantly damage non-replicable cultural property.
3.35 Due to inherent flexibility in routing of distribution lines, importance is accorded to significant cultural properties to avoid them totally. Archaeological Survey of India (ASI), body that supervise protection of these properties, is consulted while finalizing route alignment. Similarly, substations are located in such a way that the cultural property sites and structures are best avoided.

World Bank OP- 4.04 on Natural Habitats –

3.36 The Bank promotes and supports natural habitat conservation and improved land use by financing projects designed to integrate into national and regional development the conservation of natural habitats and the maintenance of ecological functions. Furthermore, the Bank promotes the rehabilitation of degraded natural habitats. The Bank does not support projects that, in the Bank's opinion, involve the significant conversion or degradation http://wbln0018.worldbank.org/Institutional-Manuals/OpManual.nsf/58aa50b14b6bc071852565a30061be86/7609d7707685788485256731005b1b42?OpenDocument3of critical natural habitats.

3.37 It pertains to policies for conservation of natural habitats such as National Park, Sanctuaries, Game Reserves, and Biosphere Zones. The bank does not support any project involving significant conversion of natural habitats unless there are no feasible alternatives for the project and its siting.

3.38 UHBVNL avoid these areas altogether during route alignment. However, in some cases due to location of generation projects/ substations particularly in Hydro project, it becomes very difficult to totally avoid these areas. Special measures that protect and enhance the environment, which is essential for long-term sustainable development of natural habitats, are undertaken. Placing of tall towers, multi-circuit tower to minimize impact as well as providing financial assistance towards planning and implementing mitigation measures for protection of natural habitat is provided to authorities responsible for protection/conservation of these protected areas.

FUNDING AGENCIES REQUIREMENTS - SOCIAL

3.39 Comprehensive Resettlement and Rehabilitation (R&R) guidelines are UHBVNL’s mandatory requirements in respect of Funding Agencies i.e. World Bank Operational Policy/procedures - 4.12 and Operational Directives - 4.10.

A) WORLD BANK OP 4.12: INVOLUNTARY RESETTLEMENT

3.40 Involuntary resettlement may cause severe long-term hardship, impoverishment, and environmental damage unless appropriate measures are carefully planned and carried out. For these reasons, the overall objectives of the Banks policy on involuntary resettlement are the following:

a. Involuntary resettlement should be avoided where feasible, or minimized, exploring all viable alternative project designs.
b. Where it is not feasible to avoid resettlement, resettlement activities should be conceived and executed as sustainable development programs, providing sufficient investment resources to enable the persons displaced by the project to share in project benefits. Displaced persons should be meaningfully consulted and should have opportunities to participate in planning and implementing resettlement programs.

c. Displaced persons should be assisted in their efforts to improve their livelihoods and standards of living or at least to restore them, in real terms, to pre-displacement levels or to levels prevailing prior to the beginning of project implementation, whichever is higher.

3.41 This OP describes World Bank’s policies and procedures on involuntary resettlement as well as conditions that borrowers are expected to meet during operations involving resettlement of affected groups. It requires an entitlement framework aimed at restoration, replacement, and participation of affected groups. A detailed social assessment and development of an action plan having list of measures for betterment/restoration of lost assets/income is required to be submitted to bank before start of project work. However where only a few people (e.g. about 100-200 individuals) are to be relocated at a particular location, appropriate compensation for assets, logistical support for moving and a relocation grant may be the only requirements but the principle on which compensation is to be based will remain same as for larger groups.

B) WORLD BANK OP 4.10: INDIGENOUS PEOPLE (IP)

3.42 This policy contributes to the Bank’s mission of poverty reduction and sustainable development by ensuring that the development process fully respects the dignity, human rights, economies, and cultures of Indigenous Peoples. For all projects that are proposed for Bank financing and affect Indigenous Peoples, the Bank requires the borrower to engage in a process of free, prior, and informed consultation. The Bank provides project financing only where free, prior, and informed consultation results in broad community support to the project by the affected Indigenous Peoples. Bank-financed projects include measures to (a) avoid potentially adverse effects on the Indigenous Peoples’ communities; or (b) when avoidance is not feasible, minimize, mitigate, or compensate for such effects. Bank-financed projects are also designed to ensure that the Indigenous Peoples receive social and economic benefits that are culturally appropriate and gender and intergenerationally inclusive.

3.43 This OP describes World Bank’s policies and procedures for projects that affect indigenous people. The objective is to ensure that development benefits are socially and culturally compatible and that the IPs are consulted. Thus, an Indigenous People Development Plan is to be prepared as a prerequisite. UHBVNL will incorporate the IP component wherever necessary.
3.44 UHBVNL emphasizes that distribution projects in Haryana require relatively small portion of land and does not involve major displacement. However, all affected persons/ families shall be provided compensation and rehabilitation assistance along with other measures as per UHBVNL’s social entitlement framework which is based on these directives/ manuals and national R&R Policy to restore income/ livelihood of all affected persons.

MANDATORY SOCIAL REQUIREMENTS FOR UHBVNL AT A STATE LEVEL INCLUDE

3.45 The ESPP of the company (UHBVNL) considered all the mandatory requirements of the national and state policies and acts and also the guidelines of funding agencies in addressing the social and environmental issues arising out of its activities.

3.46 All land acquisition for any public purpose in the state is governed by the Land Acquisition Act 1894 as amended from time to time by the State Govt. This empowers the government to acquire compulsorily any land not owned by it which is required for a public purpose. The definition of land includes benefits to arise out of land & things attached to earth or permanently fastened to any thing attached to the earth. This act applies to those with legal entitlements to land and structures thereon.

Procedure for Land Acquisition

3.47 For any LA the Act under section (u/s)-4(1) stipulates publication of a notification to that effect in the official Gazette and in 2 daily newspapers circulated in the locality, at least, one shall be in the regional language. Such a publication authorizes an authorized officer to enter upon such a land make a preliminary survey ascertain its suitability and determine the exact position of land to be acquired. However, person can enter into any building or any enclosure attached to a dwelling house either by giving notice of seven days to the occupant or with the consent of occupant. The substance of this notification is given as public notice at a convenient place in the locality in which the land to be acquired is located, such a notification provides an opportunity to the parties interested in the land to file objections if any, u/s-5A to the proposed acquisition.
3.48 In order to proceed with the acquisition of the land (as notified u/s-4) it is followed by declaration u/s 6 of the ibid Act specifying that land is required for public purpose. Every declaration is published in the official Gazette and in two daily newspapers with circulation in the local area, thereafter revenue Department issues direction to the Collector u/s-7 of the Act to take order for the acquisition of notified land to be marked out, measured land/or other immovable properties, which is done under the provision of Sec.8 of the act in question. Thereafter under the provision s contained in Sec.-9 notices are served to the concerned persons stating the intention of the Government to take possession of the land and that any claims for compensation should be made to the Collector, Finally, the award of compensation is made by the collector u/s-11 of the Act after inquiring into objections(if any) in pursuance of the notice given u/s-9 to the measurements made u/s-8 and into the value of the land on the date of publication of the notification/s4(1). The award u/s-11 is made within two years from the date of publication of the declaration and if no award is made within this period, the entire proceedings of the LA is lapsed and fresh notification u/s-(4) needs to be initiated. Once the award is passed, the collector takes possession of the land which there upon vests absolutely in the Government free from all encumbrances. However, in case of urgency Section-17 of the Act empowers the Collector to take possession of the land even though no award has been made. Such land thereupon vests with the Government free from all encumbrances.

3.49 However, this Act further provides remedy for seeking enhancement of compensation by the person to seek enhancement of compensation in lieu of acquired as per provisions contained in Sec.18 of ibid Act.

3.50 This act was further amended in 1984 with respect to sections 4,23,28 and 34 which deal with publication of primary notification determination of compensation; power of the court in determining the amount of compensation; interest on compensation and payment of interest respectively. To sum up the amended provisions have resulted in the following :-

- The publication of the primary notification, apart from the Official Gazette, has to be made in two daily newspapers circulated in that locality of which at least one shall be in the regional language.

- In addition to the market value of the land the court is to award a sum of thirty percent as solatium on such market value in consideration of the compulsory nature of the acquisition.

- The amount of compensation awarded by the court is not to be lower than the amount awarded by the collector.

- The interest of excess compensation has been enhanced from 9 per cent to 15 per cent after the date of expiry of a period of one year from the date on which possession has been taken.
3.51 UHBVNL strictly follows procedures laid down under the Land Acquisition Act (LA Act), 1894, when land is acquired for sub-stations. Once the award is passed, the collector takes possession of the land which thereupon vests absolutely in the Government free from all encumbrances. These are further reinforced taking into consideration UHBVNL’s entitlement framework and public consultation process apart from inbuilt consultation process of LA act, public consultation/information by UHBVNL is an integral part of the project implementation. Public is informed about the project at every stage of execution by Press Notes and media etc. During socio-economic survey taken up parallely with land acquisition process, UHBVNL’s site officials meet people and inform them about the Land acquisition details, proposed R&R measures and compensation packages.

The National Rehabilitation and Resettlement Policy, 2007

3.52 Ministry of Rural Development (Department of Land Resources), GOI, notified the National Rehabilitation and Resettlement Policy, 2007 on dated 31.10.2007.

The objectives of the National Rehabilitation and Resettlement Policy are as follows:-

a. to minimise displacement and to promote, as far as possible, non-displacing or least-displacing alternatives;

b. to ensure adequate rehabilitation package and expeditious implementation of the rehabilitation process with the active participation of the affected families;

c. to ensure that special care is taken for protecting the rights of the weaker sections of society, especially members of the Scheduled Castes and Scheduled Tribes, and to create obligations on the State for their treatment with concern and sensitivity;

d. to provide a better standard of living, making concerted efforts for providing sustainable income to the affected families;

e. to integrate rehabilitation concerns into the development planning and implementation process; and

f. where displacement is on account of land acquisition, to facilitate harmonious relationship between the requiring body and affected families through mutual cooperation.

3.47 Rehabilitation and Resettlement Benefits for the Affected Families as per of Clause 7 National Rehabilitation and Resettlement Policy, 2007

• The rehabilitation and resettlement benefits shall be extended to all the affected families who are eligible as affected families on the date of publication of the
declaration under paragraph 6.1, and any division of assets in the family after the said date may not be taken into account.

- Any affected family owning house and whose house has been acquired or lost, may be allotted free of cost house site to the extent of actual loss of area of the acquired house but not more than two hundred and fifty square metre of land in rural areas, or one hundred and fifty square metre of land in urban areas, as the case may be, for each nuclear family:

  Provided that, in urban areas, a house of up to one hundred square metre carpet area may be provided in lieu thereof. Such a house, if necessary, may be offered in a multi-storied building complex.

- Each affected below poverty line family which is without homestead land and which has been residing in the affected area continuously for a period of not less than three years preceding the date of declaration of the affected area and which has been involuntarily displaced from such area, shall be entitled to a house of minimum one hundred square metre carpet area in rural areas, or fifty square metre carpet area in urban areas (which may be offered, where applicable, in a multi-storied building complex), as the case may be, in the resettlement area:

  Provided that any such affected family which opts not to take the house offered, shall get a suitable one-time financial assistance for house construction, and the amount shall not be less than what is given under any programme of house construction by the Government of India.

- Each affected family owning agricultural land in the affected area and whose entire land has been acquired or lost, may be allotted in the name of the khatedar(s) in the affected family, agricultural land or cultivable wasteland to the extent of actual land loss by the khatedar(s) in the affected family subject to a maximum of nine hectare of irrigated land or two hectares of un-irrigated land or cultivable wasteland, if Government land is available in the resettlement area. This benefit shall also be available to the affected families who have, as a consequence of the acquisition or loss of land, been reduced to the status of marginal farmers.

- In the case of irrigation or hydel projects, the affected families shall be given preference in allotment of land-for-land in the command area of the project, to the extent possible. Such lands may be consolidated, and plots of suitable sizes allotted to
the affected families who could be settled there in groups. In case a family cannot be
given land in the command area of the project or the family opts not to take land
there, such a family may be given monetary compensation on replacement cost. basis
for their lands lost, for purchase of suitable land elsewhere.

• In the case of irrigation or hydro projects, the State Governments may formulate
suitable schemes for providing land to the affected families in the command areas of
the projects by way of pooling of the lands that may be available or, otherwise, could
be made available in the command areas of such projects.

• In the case of irrigation or hydro projects, fishing rights in the reservoirs shall be
given to the affected families, if such rights were enjoyed by them in the affected
area; (b) In other cases also, unless there are special reasons, fishing rights shall be
given preferentially to the affected families.

• In case of a project involving land acquisition on behalf of a requiring body, the stamp
duty and other fees payable for registration of the land or house allotted to the
affected families shall be borne by the requiring body.

• The land or house allotted to the affected families under this policy shall be free from
all encumbrances.

• The land or house allotted to the affected families under this policy may be in the joint
names of wife and husband of the affected family.

• In case of allotment of wasteland or degraded land in lieu of the acquired land, each
khatedar in the affected family shall get a one-time “financial assistance of such
amount as the appropriate Government may decide but not less than fifteen thousand
rupees per hectare for land development.

• In case of allotment of agricultural land in lieu of the acquired land, each .khatedar in
the affected family shall get a one-time financial assistance of such amount as the
appropriate Government may decide but not less than ten thousand rupees, for
agricultural production.

• Each affected family that is displaced and has cattle, shall get financial assistance of
such amount as the appropriate Government may decide but not less than fifteen
thousand rupees, for construction of cattle shed.
• Each affected family that is displaced shall get a one-time financial assistance of such amount as the appropriate Government may decide but not less than ten thousand rupees, for shifting of the family, building materials, belongings and cattle.

• Each affected person who is a rural artisan, small trader or self-employed person and who has been displaced shall get a one-time financial assistance of such amount as the appropriate Government may decide but not less than twenty-five thousand rupees, for construction of working shed or shop.

• In case of a project involving land acquisition on behalf of a requiring body:
  (a) the requiring body shall give preference to the affected families – at least one person per nuclear family - in providing employment in the project, subject to the availability of vacancies and suitability of the affected person for the employment;
  (b) wherever necessary, the requiring body shall arrange for training of the affected persons, so as to enable such persons to take on suitable jobs;
  (c) the requiring body shall offer scholarships and other skill development opportunities to the eligible persons from the affected families as per the criteria as may be fixed by the appropriate Government;
  (d) the requiring body shall give preference to the affected persons or their groups or cooperatives in the allotment of outsourced contracts, shops or other economic opportunities coming up in or around the project site; and
  (e) the requiring body shall give preference to willing landless labourers and unemployed affected persons while engaging labour in the project during the construction phase.

• The affected persons shall be offered the necessary training facilities for development of entrepreneurship, technical and professional skills for self employment.

• In case of a project involving land acquisition on behalf of a requiring body, the affected families who have not been provided agricultural land or employment shall be entitled to a rehabilitation grant equivalent to seven hundred fifty days minimum agricultural wages or such other higher amount as may be prescribed by the appropriate Government:
  Provided that, if the requiring body is a company authorized to issue shares and debentures, such affected families shall be given the option of taking up to twenty per cent. of their rehabilitation grant amount in the form of shares or debentures of the requiring body, in such manner as may be prescribed:
  Provided further that the appropriate Government may, at its discretion, raise this proportion up to fifty per cent. of the rehabilitation grant amount.

Haryana Government Rehabilitation & Resettlement Policy for land acquisition Oustees


3.49 The main provisions in the Policy are as under:
Annuity

a. The land owners will be paid annuity for 33 years over and above the usual land compensation. The amount of annuity will be Rs. 15,000/- per acre per annum.

b. Annuity of Rs. 15,000/- will be increased by a fixed sum of Rs. 500/- every year.

c. In respect of land acquired in terms of land acquisition policy for setting up of Special Economic Zone/Technology Cities, Technology Parks, in addition to rehabilitation and resettlement package notified by Industries and Commerce Department vide No. 49/48/2006-4IBI, dated 4th May, 2006, a sum of Rs. 30,000/- per acre per annum will be paid for a period of 33 years by private developers and this annuity will be increased by Rs. 1,000/- every year.

d. The policy of paying annuity will be applicable to all cases of land acquisition by Govt. except land acquired for defence purposes.
CHAPTER 4: Social Entitlement framework

4.1 The prescriptive Social Entitlement Framework derives from the aforementioned directives of the constitution, national Resettlement & Rehabilitation Policy, State R&R Policy and other relevant policies. The framework guides the UHBVNL in its activities of land acquisition, resettlement & rehabilitation of the affected and displaced. Table 12 presents the entitlements those will be provided to those adversely affected by the project.

Table 12 UHBVNL'S SOCIAL ENTITLEMENT FRAMEWORK

<table>
<thead>
<tr>
<th>Sr. No.</th>
<th>Type of Issue/impact</th>
<th>Beneficiary</th>
<th>Entitlement</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Loss of Land</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
| a)      | Homestead land with valid title, or customary or usufruct rights | Titleholders         | i) Cash compensation as per LA Act 1894  
ii) Actual registration charges for purchase of alternate land for maximum of compensation amount within one year as per proof of purchased land.  
iii) The land owners will be paid annuity for 33 years over and above the usual land compensation. The amount of annuity will be Rs. 15,000/-per acre per annum on prorata basis. Annuity of Rs. 15,000/- will be increased by a fixed sum of Rs.500/- every year as per State Government Policy.  |
|          |                      |                      | Vulnerable person Titleholders One time additional compensation of Rs.5000 per acre on prorate basis will be paid in addition to above entitlements. |
| b)      | Agricultural Land    |                      |                                                                                                                                              |
| (i)     | With valid title     | Titleholders         | i) Cash compensation as per LA Act 1894  
ii) Actual registration charges for purchase of alternate land for maximum of compensation amount within one year as per proof of purchased land.  
The land owners will be paid annuity for 33 years over and above the usual land compensation. The amount of annuity will be Rs. 15,000/-per acre per annum on prorata basis. Annuity of Rs. 15,000/- will be increased by a fixed sum of Rs.500/- every year as per State Government Policy.  |
<p>|          |                      |                      | Vulnerable person Titleholders One time additional compensation of                                                                                                                                     |</p>
<table>
<thead>
<tr>
<th>Category</th>
<th>Eligibility</th>
<th>Compensation Provided</th>
<th>Notes</th>
</tr>
</thead>
<tbody>
<tr>
<td>(ii) tenants, sharecroppers, leaseholder including the commercial and other establishments</td>
<td>Individual with proof of tenancy/share cropping/leasing</td>
<td>Rs.5000 per acre on prorate basis will be paid in addition to above entitlements.</td>
<td>Reimbursement for unexpired tenancy/share cropping/lease period. Note: This amount will be deducted from the compensation payable to land owners.</td>
</tr>
<tr>
<td>Vulnerable person</td>
<td>Individual with proof of tenancy/share cropping/leasing</td>
<td>One time additional compensation of Rs.5000 will be paid in addition to above entitlements.</td>
<td></td>
</tr>
<tr>
<td>(iii) Encroacher/Squatters</td>
<td>Occupant</td>
<td>Assistance to be provided for inclusion in various Government Schemes.</td>
<td></td>
</tr>
</tbody>
</table>

2. Loss of structure

(i) with valid title, Structure owner

| (i) | Compensation as per Haryana PWD scheduled rates. |
|     | One time financial assistance of Rs.10,000/- for shifting of family, building material, belongings and cattle etc. |
|     | Affected Families will be allowed to dismantle structure and carry salvage whatever they can. |

Vulnerable person Structure owner

| One time additional compensation of Rs.5000 will be paid in addition to above entitlements. | |

(ii) Tenant, leaseholder (who have created the structure) Individuals/ Party with proof of tenancy/leasing

| i) Compensation as per Haryana PWD scheduled rates. |
| ii) One time financial assistance of Rs.10,000/- for shifting of family, building material, belongings and cattle etc. |
| iii) Affected Families will be allowed to dismantle structure and carry salvage whatever they can. |
| iv) Reimbursement for unexpired tenancy/ lease period. Note: The amount at iv) will be deducted from the compensation payable to land owners. |

Vulnerable person (who have created the structure) Individuals/ Party with proof of tenancy/leasing

| One time additional compensation of Rs.5000 will be paid in addition to above entitlements. | |

(iii) Tenant, leaseholder (Who have not created the structure) Individuals/ Party with proof of tenancy/leasing

| Reimbursement for unexpired tenancy/ lease period. Note: This amount will be deducted from the compensation payable to land owners. |

Vulnerable person (Who have not created the structure) Individuals/ Party with proof of tenancy/leasing

| Additional compensation of Rs.2000 will be paid in addition to above entitlements. |
### IV. Squatters Structure

| I. Compensation as per Haryana PWD scheduled rates.  
| II. Assistance in shifting by providing transport charges to actual or Rs.10,000/- maximum.  
| III. Affected Families will be allowed to dismantle structure and carry salvage whatever they can. |

### V. Cattle shed

| Owner/Family |
| Cash compensation of Rs. 15000/- for reconstruction of cattle shed. |
| Vulnerable person |
| Additional compensation of Rs.1000 will be paid to head of family in addition to above entitlements. |

### VI. Workshop sheds

| Owner/Family |
| Cash compensation of Rs. 25000/- for reconstruction of workshop shed. |
| Vulnerable person |
| Additional compensation of Rs.2000 will be paid to head of family in addition to above entitlements. |

### 3. Loss of livelihood

#### i) Wage /Self employment (both agriculture and Non Agriculture)

| Each adult earning member (both men & women) |
| Assistance to be provided for inclusion in various State Government Schemes for self-employment. |

### 4. Loss of standing crops/ trees

#### i) a) Crops  
| Cultivator title holders |
| In either category compensation for the total loss of crop/tree as per LA Act. |

### 5. Loss of access to Common Property Resources (CPR) and facilities

#### i) a) Common property Resources/Civic amenities.

| Community |
| Replacement of CPRs/Civic amenities to ensure equivalent community resources and amenities or provisions of functional equivalence |

### 6. Losses to host communities

#### i) CPR and Civic amenities

| Community |
| Augmentation of resources of host community to sustain pressure of AFs. |

### 7. Panchayat land

| Village Panchayat |
| Compensation as per State Government Policy. |

### 8. Other Impacts related to loss of assets/ livelihood not identified.

| AFs |
| Unforeseen impacts should be documented and mitigative measures have to be proposed with in the overall principles & provisions of ESPP. |

---

**Note:** *Vulnerable person means the people below poverty level, widow, physically handicap*
A. Various Provisions of Entitlements

4.1 In case of squatters, and encroachers to become eligible for the entitlements, he or she should have been residing continuously at least one year prior to the cut-off date supported by documentary evidence, like ration card, voters card or identity card, Electricity Payment Bill, etc. In case of provisions for covering the affected families under various government schemes, UHBVNL will make every effort to cover them under various ongoing schemes depending upon their eligibility criteria prescribed by the government from time to time.

4.2 In rural areas for agricultural land, if after acquisition, a land holder is left with one acre or less land, his entire land will be acquired, provided that such land was used for agricultural purpose for at least one crop during the last two year.

B. Provisions in payment of compensation under LA Act

4.3 For evaluation of land to be acquired under LA Act, a Committee has been formed in Haryana under the chairmanship of concerned Divisional Commissioner as Chairman, concerned Deputy Commissioner as Member Secretary, representatives of the concerned beneficiary department and District Revenue Officer as Members. In addition to this, affected farmers can also be included in this Committee. Deputy Commissioner is competent to make awards in excess of 15 per cent of the rate furnished by the said Committee or Rs.10 lacs whichever is less. He can still enhance more with the approval of State Government as per section 11(1) of the Land Acquisition Act 1894 to ensure compensation at market value for loss of agriculture lands. GoH has fixed minimum land rates of different lands in order to avoid under value transactions. These rates will become the basis for finalizing land rates.

4.4 In case of damage of crops, compensation will be given as per land acquisition act. The quantum of crops damaged will be assessed by collecting per acre yield figure of that crop in that area from Tehsildar (Revenue authorities) and the equivalent amount of damaged crops will be calculated by ascertaining rate/quintal from the o/o Secretary Market Committee of the concerned area. In case of damage to tree, its cost will be got evaluated from the office of the concerned Forest Officers of the area. It will depend upon the age and kind of tree involved.

C. Definitions

4.5 The following are used for the purpose of various definitions:

Project Affected Family: The definition of AF (Affected family) includes people who loose land, livelihoods, homesteads, structures, and access to resources, because of project activities.

Vulnerable Persons: Vulnerable persons are those who may be more vulnerable than the average and may suffer economically and socially as a result of their displacement. For the purpose of the project, the people below poverty level (as per GoH list), widow, physically handicapped, losing entire land holding, and SC/ST are considered as vulnerable.
Squatters and Encroachers: are those who have occupied the government lands for shelter and livelihood. Further, the squatters are defined as those who have occupied land for residential and commercial purposes. On the other hand the encroachers are those who have extended their lands or occupied for agricultural purposes.

D. Budget

The total cost of Environment and R&R (ER&R) including cost of compensation, relocation and rehabilitation, social assessment, planning, implementation, supervision monitoring and evaluation shall be included as the integral part of project cost to ensure so that provision for adequate funds is to take up the ER&R activity as per provisions of the policy.

E. Cut Off dates

For the non titleholders the cut off date will be the date of census survey conducted as part of socio economic survey and for titleholders it will be the date of issuance of Section 4 (1) notice under LA Act.

F. Public Disclosure, Consultation, and Participation

Public consultation will be an integral part of the process throughout the planning and execution of project. The Environment and Social Management Team (ESMT) will interact closely with PAPs, host communities, project personnel, government departments, NGOs right from the early stages of the project preparation on a regular basis for developing and implementing the RAP and EMP. Distribution projects in general do not create much social and environmental impacts and do not result in resistance from public, NGOs or other social organization on account of environmental issues. Inspite of this UHBVNL considers it necessary that public is made aware of the possible environmental impacts, howsoever minor these may be. For this purpose public contact drives shall be organized by the field units and public awareness shall also be created through NGO’s and other social organizations active in the affected areas. During the public awareness drives it is to be ensured that only accurate information is given about the project and its possible environmental impacts. Public suggestions shall be given due weightage and good suggestion shall be incorporated in Environmental Management Plans & Resettlement Action Plan, selection of route of the distribution line and selection of site of substation.

The first step of the public awareness program is the publication of the Public Notification in the local newspapers as per the section 29 of Electricity (supply) Act 1948 in which details of the proposed project are given. Though this notification the objections are invited from the public. The objections are to be filed within a period of 60 days from the date of publication of notification. The next steps of the program is holding of the meetings and discussions with the public during the reconnaissance survey and again during the detailed survey/tower spotting.

Before finalization of ESPP document, due consultation with public at large was done by issuance of the notice in the newspaper by making available the draft ESPP at circle headquarters and website of the corporation. The comments/suggestion offered by the public were given due weightage and incorporated in the ESPP.
CHAPTER 5: ENVIRONMENT AND SOCIAL MANAGEMENT PROCEDURES

5.1 UHBVNL has developed comprehensive Environmental and Social (E&S) Management Procedures and incorporated them to its project cycle, to ensure that its operation eliminates or minimizes adverse environmental and social impacts. The E&S management procedures identifies the relevant issues at early stages of project cycle and follow the basic philosophy of sustainable development through Avoidance, Minimization and Mitigation.

5.2 UHBVNL’s project cycle forms the operational framework and background through which the environment & social issues are addressed. Key milestones in UHBVNL’s distribution projects include project conceptualization; planning; approval; design; tendering; implementation and operation; and maintenance.

Project Conceptualization

5.3 During project conceptualization, UHBVNL identifies the project. Identification of a power distribution project is on the basis of demand and supply in regions and links between new power generating projects and availability of state’s quota of power from the Powergrid. UHBVNL carries out feasibility studies encompassing demand for energy, prioritizing for different sectors and regions, environmental and social impact assessment; economic and financial analysis; and an implementation schedule. During feasibility studies, UHBVNL identifies and weight various line options on a survey map such that there is shortest distance between origin of the proposed distribution line and the substations sites. At all times, while considering line options, UHBVNL keeps in view its policy of avoidance of socially (including environmentally) sensitive areas.
Project Planning

5.4 During project planning, UHBVNL carries out a Reconnaissance survey. A number of alternatives are studied to minimize possible environmental impacts of distribution line. Adequate care is taken in the route alignment to avoid forests and areas of natural resources completely, if not possible, allow to traverses minimally. The planning exercise also ensures that the route does not involve human habitation and areas of cultural importance. Field officers verify critical issues e.g. river, hill, railway crossings; power and telephone lines etc. and finalize the optimal route on the map. Once the route is finalized, UHBVNL, will carry out a Environment & Social Assessment based on the assessment and the provisions under ESPP, UHBVNL will formulate a EMP (Environment Management Plan & Resettlement Action Plan (RAP) in consultation with Affected Families.

Principles in Finalisation of Transmission Routes

5.5 To avoid or minimize the impacts while identifying the transmission system, a preliminary route selection will be done by UHBVNL using tools such as FOREST ATLAS, village cadastral maps and Survey of India maps. After field verification finalization of route alignment will be done. The guiding principle behind this is avoidance of human displacement and involvement of bare minimum forest. Only when absolutely unavoidable, UHBVNL consider minimum routing through forest and other lands (both private and public) on which the local population is dependent. UHBVNL endeavors to avoid orchards, plantations, and forests in line routing through studies of alternative routes. UHBVNL takes into consideration the following points while routing its distribution lines:

1. The route does not involve any human habitation
2. The route does not affect any monument of cultural or historical importance;
3. The proposed route does not threaten the survival of any community, especially tribal communities;
4. The proposed route does not affect any public utility services like play-grounds, school and other establishments, etc.
5. The line route does not pass through any sanctuaries, National park, or similar ecologically fragile areas, etc
6. The Nigam will use modern techniques / tools like GIS, GPS to optimize route alignment. After the finalization of route, UHBVNL carries out an Environmental Assessment.

5.6 The walk over survey is being carried out by concerned JE(Civil) of UHBVN. The tentative route is marked on the GT Survey sheet of the area. The care is taken to avoid habitat area, tree plantations and structures etc. The distance from the nearest Air Force Station, Airports, restricted areas is provided in EMP. The details of the crossings viz. railway crossings, existing distribution lines, rivers & National highways etc are also given.
5.7 UHBVNL considers various sites for substations. On the basis of data for various parameters considered during selection process, a comprehensive analysis for each alternative site will be carried out. Weightage will be given to various parameters for finalizing alternative sites which are often site specific. Due consideration is given to availability of infrastructure facilities such as access roads, railheads etc. type of land viz. government, revenue, private, agriculture, environment and social impacts such as number of families affected as well as cost of compensation and rehabilitation.

After the approval of the distribution works, detailed surveys would be carried out by the field staff and accordingly the Environment Management Plan & Resettlement Action plan of sub projects would be prepared. Simultaneously the design and finalization of the specifications would be finalized. The relevant portions of the EMP would be made part of the bid document. Thereafter, the process of award of contract would be initiated and work would be executed under the supervision of respective field offices.

**Operation and Maintenance**

5.8 UHBVNL continuously monitors the distribution lines and substations. The lines are patrolled regularly to identify any defects in the components. Monitoring of the line is carried out by the respective UHBVNL field offices.

**Project Review**

5.9 UHBVNL’s project staff reviews the lines and substations on a daily basis. Apart from this, monthly review meetings are conducted at the district level. The UHBVNL headquarters monitor construction and technical, environmental and social components of the power distribution projects. The social components of the project are reviewed annually by the ESMU of UHBVNL.

**Project approval**

5.10 Project approval including screening from an environmental & social perspective and EMP/RAP would be approved by the internal management consisting of Whole Time Directors of UHBVNL.

**Social Issues in Distribution Investment Program**

5.11 Social issues associated with distribution projects mainly arise out of land acquisition for substation sites. UHBVNL can exercise flexibility for locating substations with a view to minimize any adverse impacts. The amount of land for each substation will vary between 2 to 4 acres in case of 33 kV substations. In case of distribution lines persons currently occupying land or using other resources will continue to make use of land with certain restrictions under the distribution lines in terms of distance and height of trees or crops to be grown. During land acquisition, if any holding becomes one acre or less, UHBVNL will acquire the entire holding and compensate fully. Such land holders will also be covered, depending upon their eligibility under the proposed entitlement package.

**Payment of Damages during Construction and Operation**
5.12 UHBVNL has demonstrated in the past its trustworthiness and capacity to build safe towers at railway, highway and other crossings. UHBVNL plans to undertake its construction activities after the harvests of crop is unavoidable, UHBVNL provides adequate compensation for losses. The assessment of compensation for crop loss will be paid as per the records of the village Patwari based on the average yields in the area and prevailing market price.

5.13 The ESPP of UHBVNL intends to avoid any displacement as far as possible in all its distribution line projects. In other words, involuntary resettlement is minimal in UHBVNL’s distribution line projects. UHBVNL even tries to minimize economic disturbance to those dependent on land required for substations. In case economic resettlement is inevitable, UHBVNL will address the social issues arising due to land acquisition through its ESPP and the entitlement framework. In accordance with the policy UHBVNL will ensure proper valuation of compensation for land and other assets. UHBVNL will identify suitable opportunities for marginalization groups which will be spelt out in RAP encouraging consultation with the public, NGO’s and local authorities on socio-economic issues that arise from its project activities in consultation with the local community.

5.14 Consultation with the local community will be one of the methods of ensuring its involvement in UHBVNL’s project. The consultation process will start right from conceptualization of the project and continue through planning, design and implementation. This will also help in making the community realize the importance of the projects for the larger interest of the State.

**Socio-Economic Surveys**

5.15 The impacts of distribution projects will be assessed through the census and baseline socio economic surveys (BSES). The BSES will help in assessing the extent of the acquisition of land and other immovable assets, magnitude of displacement, losses to be sustained by AFs, needs of vulnerable groups, and finally provide the basis for preparing the Resettlement Action Plans (RAPs). The census survey in case of sub stations will be conducted immediately after preparation of the Feasibility Report (FR) followed by a baseline socio-economic survey to capture the detailed account of living standards.
Resettlement Action Plans

5.16 Wherever required, a comprehensive Resettlement Action Plans will be drawn up in advance by the project authorities based on the results of baseline surveys and provisions available in ESPP. The RAP will be prepared after taking into confidence the AFs as well as host population. Special attention will be paid to the vulnerable sections of affected population. The key contents of RAP include:

- Findings of social impact assessment, baseline information of AFs,
- Efforts made in avoiding or minimizing the resettlement,
- Details of AFs by impact category and their corresponding entitlements,
- Budget estimates,
- Time-table with target dates for key milestones,
- Implementation arrangements,
- Description of AFs participation and monitoring and evaluation arrangements.

5.17 Environmental issues of distribution investment program

- Airport & Aviation Hazards
  
  The minimum distance of distribution line required under Air Safety Regulations from any structure is 15 Kms with a maximum height of 30 Mtrs as discussed with the local Air Force authorities at Chandigarh.

- Invasion of exotic species

- Migrating Birds

- EMF Effect

- Cultural/Aesthetic Resources

- Runoff and Sedimentation

- Access to Wild lands, Induced Secondary development

- Access roads for towers

Status of Clearances
5.17 In case any clearance for tree cutting in the ROW is required, the same is obtained. Environment Management Plan (EMP) of each distribution work would be prepared separately irrespective of whether the distribution work is executed departmentally or on turnkey basis. A sample format of EMP is enclosed as Annexure XI.

5.18 At present, no separate O&M contractor is being engaged by UHBVNL. However, in case contractors are engaged for O&M purpose, all norms would be adhered to by the contractor as per ESPP. The process of approval is detailed as Annexure XII. 5% of the estimated cost of the distribution project would be taken as costing of EMP.

5.19 Constraints / clearances required would be finalized before finalization of design each distribution work. These Constraints / clearances would be highlighted in the tenders to be floated.

5.20 Tree plantation would form part of project and as per convenience of the organization.

5.21 Annual review of the EMP of each distribution system would be reviewed by the Environment & Social management group and thereafter by the internal management i.e. Whole Time Directors of UHBVNL.

5.22 The ESPP shall form specific training module for executive/ non executive so as to enable them to make provisions in the specifications & also to take necessary steps in right time. In the beginning, training would be imparted to the executive/ non executive from NPTI/ PGCIL so as to enable them to understand the ESPP document, to take necessary steps in right time to implement. Thereafter, the training would be imparted at the UHBVNL training institutes.

Safety Feature

5.23 Every structure bears danger plate denoting the “danger to life”. Every structure will be provided with barbed wire to avoid any attempt of climbing. Normal safety features such as continuous earth wire, unclimbable fencing and other relevant electrical protection schemes are being provided to safe guard against accidents. Distance protection schemes are being used to protect the H.T Lines against electrical faults.
Flow Chart for 33 KV Power Sub-Station and associated line

Planning

- Identification
- Reconnaissance and Preliminary survey
- Environmental assessment and Management planning

Project Conceptualization/Technical scrutiny

- Feasibility studies
- Environmental Screening and Scoping
- Checking suitability of land for civil and electrical point of view
- Preliminary approval

Project Approval

- Approval of UHBVNL
- Funding Agencies

Detailed Design and Tendering

- Detailed Surveys
- Social Assessment and Management Planning
- Design estimates and finalization of specifications
- Tendering, award of contract

Project Implementation

- Check Surveys
- Erection of line
- Sub/Stn. Construction
- Clearance by CEI
- Testing and Commissioning

Operation and Maintenance

- Sub-Station Operation
- Preventive Maintenance

Project Review

- Monthly Review
- Annual Review
### Table 13  Project Cycle for 33 kV & above Power Sub-Station and associated line

<table>
<thead>
<tr>
<th>Sr. No.</th>
<th>Milestone</th>
<th>Period in Months</th>
<th>Onwards continued</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td>Two</td>
<td>Two</td>
</tr>
<tr>
<td>1</td>
<td>Planning</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>a. Identification</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>b. Reconnaissance and Preliminary survey</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>c. Environmental assessment and management planning</td>
<td></td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>Project Conceptualization/Technical scrutiny</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>a. Feasibility studies</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>b. Environmental Screening and Scoping</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>c. Checking suitability of land for civil and electrical point of view</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>d. Preliminary approval</td>
<td></td>
<td></td>
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<tr>
<td>3</td>
<td>Project Approval</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>a. In principle approval of UHBVNL</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>b. Concurrence of UHBVNL</td>
<td></td>
<td></td>
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<tr>
<td></td>
<td>c. Funding Agencies</td>
<td></td>
<td></td>
</tr>
<tr>
<td>4</td>
<td>Detailed Design and Tendering</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>a. Detailed Surveys</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>b. Social Assessment and Management Planning</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>c. Design estimates and finalization of specifications</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>d. Tendering, award of contract</td>
<td></td>
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</tr>
</tbody>
</table>
5.24 UHBVNL’s Risk Management process involves risk preparedness, risk mitigation and the sharing of liabilities (via internal arrangements and insurance). Responsibilities in the event of occurrence of a risk have been illustrated below:

Table 14

<table>
<thead>
<tr>
<th>RISK</th>
<th>KEY ROLE-PLAYERS</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>UHBVNL</td>
<td>CONTRACTOR</td>
</tr>
<tr>
<td>Non Compliance</td>
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<td></td>
</tr>
<tr>
<td>1. Regulatory</td>
<td></td>
<td></td>
</tr>
<tr>
<td>2. Contractual</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Major hazards, e.g. tower fall during construction</td>
<td></td>
<td></td>
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<tr>
<td>During O&amp;M</td>
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<td></td>
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<tr>
<td>Impacts on health etc.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Force Majeure</td>
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<td></td>
</tr>
<tr>
<td>1. Insurable</td>
<td></td>
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</tr>
<tr>
<td>2. Non-Insurable</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Inclusion/ Exclusion concerned communities/NGOs</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Public interest mitigation</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Delayed implementation of ESMP</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
CHAPTER 6: Institutional Frame work
Organizational Arrangements

UHBVNL has three levels of institutional bodies to oversee and implement ESPP: the bodies are constituted at headquarter level, Zonal level, and divisional level.

At Head Quarter Level
6.1 ER&R committee at headquarter has been constituted under the chairmanship of Director Technical. The other members of the committee include the concerned Chief Engineer/OP and CE/PD&C UHBVN, Panchkula and XEN/Planning. Will be the Member Secretary (Nodal Officer) of the R&R Committee.

At Zonal Level
6.2 The Environment & Social Monitoring Committee (ESMC) consist of concerned Chief Engineer, concerned Superintendent Engineer, concerned Executive Engineer (Cons and Op), Land Acquisition Officer and two representatives of AFs and ESMC would report to Director Technical, UHBVN, Panchkula.

At Divisional Level
6.3 In addition to above, Environment & Social Implementation Unit (ESIU) will be there consisting of Executive Engineer, and SDO/Construction and Operation of concerned place.

The role and functions of the ER&R, ESMC & ESIU include but are not limited to:
(a) Co-ordinate among various agencies involved in implementation of the ESPP programs;
(b) Monitor and review implementation of the ESPP Plans;
(c) Function as a grievance redressal body; and
(d) Provide overall guidance and leadership for smooth implementation of resettlement and rehabilitation plans.
(e) To review the ESPP Policy after every two years.

To ensure effective implementation of ESPP, UHBVNL focuses on:
1. Strengthening the implementation of the ESPP by redeployment of appropriately trained personnel at key levels.
2. Reinforcing in-house capabilities by working with specialized external agencies

3. Reviewing progress of the ESPP internally or through external agencies

**Capacity Building**
Training and development of employees is integral to implementation of ESPP. In the beginning, training would be imparted to the executive/ non executive from NPTI/ PGCIL so as to enable them to understand the ESPP document, to take necessary steps in right time to implement. Thereafter, the training would be imparted at the UHBVNL training institutes.

**Grievance Redress Mechanism**
6.4 It is expected that through a participatory process and good compensation and support mechanisms, acceptance of the project will be enhanced and complaints reduced. In case of issues related to land acquisition, the LA Act provides adequate provisions at different stages of the LA process for the AFs to object to the proposed acquisition of land and other properties, compensation rates etc. The LA act also allows the affected person to receive the compensation under protest and then refer the case to the court for settlement. However, a E R&R Monitoring Committee at Zonal level will hear complaints and facilitate solutions. If the grievance is not redressed at Zonal level, the affected person can appeal to the Environment R&R Committee at Corporate level. He/she can further appeal to the court if his/her grievance is not redressed at either zonal or corporate level.
<table>
<thead>
<tr>
<th>Sr. No.</th>
<th>Abbreviation</th>
<th>Meaning</th>
</tr>
</thead>
<tbody>
<tr>
<td>1.</td>
<td>ADB</td>
<td>Asian Development Bank</td>
</tr>
<tr>
<td>2.</td>
<td>BOD</td>
<td>Board of Directors</td>
</tr>
<tr>
<td>3.</td>
<td>CCEA</td>
<td>Cabinet Committee on Economic Affairs</td>
</tr>
<tr>
<td>4.</td>
<td>CEA</td>
<td>Central Electricity Authority</td>
</tr>
<tr>
<td>5.</td>
<td>DFO</td>
<td>Divisional Forest Officer</td>
</tr>
<tr>
<td>6.</td>
<td>EA</td>
<td>Environmental Assessment</td>
</tr>
<tr>
<td>7.</td>
<td>EAMP</td>
<td>Environmental Assessment and Management Plan</td>
</tr>
<tr>
<td>8.</td>
<td>EHV</td>
<td>Extra High Voltage</td>
</tr>
<tr>
<td>9.</td>
<td>EMP</td>
<td>Environmental Management Plan</td>
</tr>
<tr>
<td>10.</td>
<td>ESMC</td>
<td>Environmental and Social Management Committee</td>
</tr>
<tr>
<td>11.</td>
<td>ER&amp;RC</td>
<td>Environmental Reh. &amp; Resettlement Committee</td>
</tr>
<tr>
<td>12.</td>
<td>ESIU</td>
<td>Environmental and Social Implementation Unit</td>
</tr>
<tr>
<td>13.</td>
<td>ESPP</td>
<td>Environmental and Social Policy Procedures</td>
</tr>
<tr>
<td>14.</td>
<td>FA</td>
<td>Funding Agency</td>
</tr>
<tr>
<td>15.</td>
<td>FP</td>
<td>Forest Proposal</td>
</tr>
<tr>
<td>16.</td>
<td>FR</td>
<td>Feasibility Report</td>
</tr>
<tr>
<td>17.</td>
<td>IPDP</td>
<td>Indigenous People Development Plan</td>
</tr>
<tr>
<td>18.</td>
<td>ISO</td>
<td>International Standard Organization</td>
</tr>
<tr>
<td>19.</td>
<td>JBIC</td>
<td>Japan Bank for International Cooperation</td>
</tr>
<tr>
<td>20.</td>
<td>KV</td>
<td>Kilo Volts</td>
</tr>
<tr>
<td>21.</td>
<td>LAA</td>
<td>Land Acquisition Assessment</td>
</tr>
<tr>
<td>22.</td>
<td>MOEF</td>
<td>Ministry of Environment &amp; Forests</td>
</tr>
<tr>
<td>23.</td>
<td>NO</td>
<td>Nodal Officer</td>
</tr>
<tr>
<td>24.</td>
<td>OD</td>
<td>Operational Directive</td>
</tr>
<tr>
<td>25.</td>
<td>OP</td>
<td>Operational Policy</td>
</tr>
<tr>
<td>26.</td>
<td>OM</td>
<td>Operation Manual</td>
</tr>
<tr>
<td>27.</td>
<td>OSS</td>
<td>Organizational Support Systems</td>
</tr>
<tr>
<td>28.</td>
<td>AF</td>
<td>Affected Family</td>
</tr>
<tr>
<td>29.</td>
<td>PAF</td>
<td>Project Affected Families</td>
</tr>
<tr>
<td>30.</td>
<td>PIB</td>
<td>Public Investment Board</td>
</tr>
<tr>
<td>31.</td>
<td>R&amp;R</td>
<td>Resettlement and Rehabilitation</td>
</tr>
<tr>
<td>32.</td>
<td>RAP</td>
<td>Rehabilitation Action Plan</td>
</tr>
<tr>
<td>33.</td>
<td>REB</td>
<td>Regional Electricity Board</td>
</tr>
<tr>
<td>34.</td>
<td>RHQ</td>
<td>Regional Headquarters</td>
</tr>
<tr>
<td>35.</td>
<td>ROW</td>
<td>Right of Way</td>
</tr>
<tr>
<td>36.</td>
<td>SA</td>
<td>Social Assessment</td>
</tr>
<tr>
<td>37.</td>
<td>SAMP</td>
<td>Social Assessment and Management Plan</td>
</tr>
<tr>
<td>38.</td>
<td>SEB</td>
<td>State Electricity Board</td>
</tr>
<tr>
<td>39.</td>
<td>WB</td>
<td>World Bank</td>
</tr>
<tr>
<td>40.</td>
<td>WHO</td>
<td>World Health Organization</td>
</tr>
</tbody>
</table>
THE ELECTRICITY (SUPPLY ) ACT, 1948

Section 42 of Electricity Supply Act 1948

42. Powers to Board for placing wires, poles, etc. 3*[(1)] Notwithstanding anything contained in sections 12 to 16 and 18 and 19 of the Indian Electricity Act, 1910 (9 of 1910), but without prejudice to the requirements of section 17 of that Act where provision in such behalf is made in a sanctioned scheme, the Board shall have, for the placing of any wires, poles, wall-brackets, stays, apparatus and appliances for the distribution and distribution of electricity, or for the distribution of telegraphic or telephonic communications necessary for the proper co-ordination of the works of the Board, all the powers which the telegraph authority possesses under Part III of the Indian Telegraph Act, 1885 (13 of 1885), with regard to a telegraph established or maintained by the Government or to be so established or maintained: Provided that where a sanctioned scheme does not make such provision as aforesaid, all the provisions of sections 12 to 19 of the first-mentioned Act shall apply to the works of the Board.

4*[(2) A Generating Company may, for the placing of wires, poles, wall-brackets, stays, apparatus and appliances for the distribution of electricity, or for the distribution of telegraphic or telephonic communications necessary for the proper co-ordination of the works of the Generating Company, exercise all or any of the powers which the Board may exercise under sub-section (1) and subject to the conditions referred to therein.]

Electricity Act 2003

67 Provision as to opening up of streets, railways etc.

1. A licensee may, from time to time but subject always to the terms and conditions of his licence, within his area of supply or distribution or when permitted by the terms of his licence to lay down or place electric supply lines without the area of supply, without that area carry out works such as -

a. to open and break up the soil and pavement of any street, railway or tramway;

b. to open and break up any sewer, drain or tunnel in or under any street, railway or tramway;

c. to alter the position of any line or works or pipes, other than a main sewer pipe;

d. to lay down and place electric lines, electrical plant and other works;

e. to repair, alter or remove the same;

f. to do all other acts necessary for distribution or supply of electricity.

2. The Appropriate Government may, by rules made by it in this behalf, specify, -

a. the cases and circumstances in which the consent in writing of the Appropriate Government, local authority, owner or occupier, as the case may be, shall be required for carrying out works;
b. the authority which may grant permission in the circumstances where the owner or occupier objects to the carrying out of works;

c. the nature and period of notice to be given by the licensee before carrying out works;

d. the procedure and manner of consideration of objections and suggestion received in accordance with the notice referred to in clause (c);

e. the determination and payment of compensation or rent to the persons affected by works under this section;

f. the repairs and works to be carried out when emergency exists;

g. the right of the owner or occupier to carry out certain works under this section and the payment of expenses therefor;

h. the procedure for carrying out other works near sewers, pipes or other electric lines or works;

i. the procedure for alteration of the position of pipes, electric lines, electrical plant, telegraph lines, sewer lines, tunnels, drains, etc.;

j. the procedure for fencing, guarding, lighting and other safety measures relating to works on streets, railways, tramways, sewers, drains or tunnels and immediate reinstatement thereof;

k. the avoidance of public nuisance, environmental damage and unnecessary damage to the public and private property by such works;

l. the procedure for undertaking works which are not reparable by the Appropriate Government, licensee or local authority;

m. the manner of deposit of amount required for restoration of any railways, tramways, waterways, etc.;

n. the manner of restoration of property affected by such works and maintenance thereof;

o. the procedure for deposit of compensation payable by the licensee and furnishing of security; and

p. such other matters as are incidental or consequential to the construction and maintenance of works under this section.

3. A licensee shall, in exercise of any of the powers conferred by or under this section and the rules made there under, cause as little damage, detriment and inconvenience as may be, and shall make full compensation for any damage, detriment or inconvenience caused by him or by any one employed by him.

4. Where any difference or dispute [including amount of compensation under sub-section (3)] arises under this section, the matter shall be determined by the Appropriate Commission.
5. The Appropriate Commission, while determining any difference or dispute arising under this section in addition to any compensation under sub-section (3), may impose a penalty not exceeding the amount of compensation payable under that sub-section.

68. Provisions relating to overhead lines

1. An overhead line shall, with prior approval of the Appropriate Government, be installed or kept installed above ground in accordance with the provisions of sub-section (2).

2. The provisions contained in sub-section (1) shall not apply-
   a. in relation to an electric line which has a nominal voltage not exceeding 11 kilovolts and is used or intended to be used for supplying to a single consumer;
   b. in relation to so much of an electric line as is or will be within premises in the occupation or control of the person responsible for its installation; or
   c. in such other cases as may be prescribed.

3. The Appropriate Government shall, while granting approval under sub-section (1), impose such conditions (including conditions as to the ownership and operation of the line) as appear to it to be necessary

4. The Appropriate Government may vary or revoke the approval at any time after the end of such period as may be stipulated in the approval granted by it.

5. Where any tree standing or lying near an overhead line or where any structure or other object which has been placed or has fallen near an overhead line subsequent to the placing of such line, interrupts or interferes with, or is likely to interrupt or interfere with, the conveyance or distribution of electricity or the accessibility of any works, an Executive Magistrate or authority specified by the Appropriate Government may, on the application of the licensee, cause the tree, structure or object to be removed or otherwise dealt with as he or it thinks fit.

6. When disposing of an application under sub-section (5), an Executive Magistrate or authority specified under that sub-section shall, in the case of any tree in existence before the placing of the overhead line, award to the person interested in the tree such compensation as he thinks reasonable, and such person may recover the same from the licensee.

Explanation. - For purposes of this section, the expression “tree” shall be deemed to include any shrub, hedge, jungle growth or other plant.

164. Exercise of powers of Telegraph Authority in certain cases.
The Appropriate Government may, by order in writing, for the placing of electric lines or electrical plant for the distribution of electricity or for the purpose of telephonic or telegraphic communications necessary for the proper co-ordination of works, confer upon any public officer,
licensee or any other person engaged in the business of supplying electricity under this Act, subject to such conditions and restrictions, if any, as the Appropriate Government may think fit to impose and to the provisions of the Indian Telegraph Act, 1885, any of the powers which the telegraph authority possesses under that Act with respect to the placing of telegraph authority possesses under that Act with respect to the placing of telegraph lines and posts for the purposes of a telegraph established or maintained, by the Government or to be so established or maintained.

Haryana Reforms Act 1997

57. (1) Notwithstanding anything contained in this Act – the powers rights and functions of Regional Electricity Authority, the Central Electricity Authority, the Central Government and authorities, other than the State Electricity Board and the State Government under the Indian Electricity Act, 1910 or the Electricity (Supply) Act, 1948 or rules framed thereunder shall remain unaffected and shall continue to be in force.

(2) Nothing contained in this Act will apply to the Power Grid Corporation, BBMB or other bodies or licensees in relation to the inter-state distribution of the electricity or generating companies owned or controlled by Central Government or undertaking owned by the Central Government.
Annexure: - II

FORMAT OF SELECTION ENVIRONMENT SCREENING to CONSTRUCTION OF
SUB STATIONS / LINES

<table>
<thead>
<tr>
<th>S. NO.</th>
<th>ENVIRONMENTAL ASPECTS CONSIDERED FOR ALIGNMENT</th>
<th>REMARKS ON THE INDIVIDUAL ASPECTS AS PER FINAL ALIGNMENT CONSIDERED FOR EXECUTION</th>
</tr>
</thead>
<tbody>
<tr>
<td>1.</td>
<td>The preference is given to a site which is near to the National Highway. State Highway or a road having width at least of 15 feet (pucca road) to facilitate transport of heavy equipments during construction and O&amp;M.</td>
<td></td>
</tr>
<tr>
<td>2.</td>
<td>If residential units are planned on the sub station land. The availability of the potable water, underground water, water supply system either from PWD or Municipality is ensured.</td>
<td></td>
</tr>
<tr>
<td>3.</td>
<td>The existing plantation on the proposed sited is minimum in order to avoid the environmental externalities.</td>
<td></td>
</tr>
<tr>
<td>4.</td>
<td>The land is free from all encumbrances.</td>
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</tr>
<tr>
<td>5.</td>
<td>The land is not subject to common property use such as for religious activities, used for meadows etc.</td>
<td></td>
</tr>
<tr>
<td>6.</td>
<td>The selected land is located in such a place where corridor for incoming and outgoing lines is easily available.</td>
<td></td>
</tr>
<tr>
<td>7.</td>
<td>The land situated in the flood prone area is avoided.</td>
<td></td>
</tr>
</tbody>
</table>
To The Forest Secretaries,
of All States/Union territories.

Subject: Simplification of procedure under the Forest (Conversation) Act, 1980.

Sir,

It has been alleged that the execution of various development scheme and projects in the States/UTs is suffering because of the delays in the clearance of cases under the Forest (Conversation) Act, 1980. A working Group was constituted by this Ministry to go into the matter and it has been found that the delays in the processing of cases actually occur at the State Level because of the following main reasons:

i) The proposals submitted are incomplete.

ii) Lack of coordination between the development agencies/departments and the Forest Department.

iii) Lack of monitoring of the progress of cases at various levels in the Forest Department.

iv) Non-existence of separate Cells or of Nodal Officers to handle such cases at the State level (2 months) and for furnishing of clarifications raised by the central Government (within 3 weeks).

v) Non-observance of time limits prescribed for processing of cases at the State level.

vi) The Development Departments do not take advance action and send the proposals for forest lands required by them only at the last moment (i.e. well after the projects are finally sanctioned and work is in hand).

1. It is also felt that sometimes the delay in the disposal of cases is because of the inaction and/or indifference at the field level. As a result of all this, the Forest (Conservation) Act is coming under criticism unnecessarily.

2. The working Group has found that the existing procedure laid down by the Central Govt. for the submission of proposals under the aforesaid Act is neither difficult nor time consuming. It is necessary that guidelines already issued vide letter No.8-6/80-FRY (Coord) dated 3rd September, 1983 and the procedure laid down therein may kindly be brought to the notice of all concerned and it should be made clear that the department/agency requiring the use of forest land should furnish complete information in columns 1, 2, 3, 5, 6 of the proforma prescribed under the Forest (conservation) rules (on the basis of guidelines issued already) and submit the proforma along with a brief note covering essential details of the proposed scheme/project (in 4 copies) to the Nodal Officer appointed by the State Government. The Nodal Officer should then carry out preliminary scrutiny of the proposal and if
found incomplete or inadequate, should return it forthwith to the concerned department/agency with his comments. Otherwise, he should refer the proposal to the concerned DFO for providing information in the remaining columns of the proforma. This work should be done within a weeks’ time. The DFO should collect the information and fill in the details in columns 4 and 7(i), (ii), (iii) of the proforma and return 3 copies of the same to the Nodal Officer within a period of one month. The Nodal Officer should then scrutinize the information, complete the rest of the formalities, as well as obtain the opinion of the CCF, and forward two copies (dully completed) to the State Government within 2 weeks. Before giving his opinion CCF should obtain comments of Chief Wildlife Warden against columns 4 (v), (vi), (vii) of the proforma and enclose the same with the proposal.

4. The State Government should scrutinize the proposal and forward one copy to the Central Government with its recommendations within a maximum period of 2 weeks. The whole procedure should not take more than two months at the State Govt. level after the stage of preliminary scrutiny by the Nodal Officer.

5. The cases relating to seismic surveys and explorations for oil drilling as well as prospecting licenses for mining need not be referred to Central Govt. for prior approval provided these do not involve cutting of trees or clearance of forests. However, it should be made clear in each case that the permission to survey, explore or prospect does not imply any commitment on the part of the Central Govt. or any subsequent use of the forest land.

6. Cases relating to exploratory drilling for prospecting oil may be dealt with in accordance with the simplified procedure laid down vide this Ministry’s letter No.8-22/81-FRY(Coord) dated 19.9.82, provided the forest land required for the purpose is not more than one hectare.

7. Cases involving small forest area upto 2 ha in extent, which are devoid of tree cover or which have scanty tree growth, may also be dealt with as per simplified procedure given in letter No. 8-22/81-FRY (coord) dated 15.9.82. However, the proposal in each case should include correct information about the type and density of vegetation.

8. In the case of transmission lines, the guidelines got put in Annexure-I should be followed.

9. It is essential that the procedure for the collection of data and processing of cases at various levels in the State should be streamlined to ensure expenditure disposal of the cases.

10. The project authorities/development departments must take advance action at the stage of project formulation, which should involve consultation with the officers of the Forest Departments. In any case, a formal request for any forest land must be made at least six months in advance of its actual requirement.

11. The State Governments which have not established separate cells or appointed nodal officers in the Forest Departments exclusively to handle the work arising under the Forest (conservation) Act, must do so without any delay. Further, the Nodal Officer
should be provided with adequate supporting staff, technical and ministerial, so that he is able to discharge his responsibilities promptly and affectively within the time frame suggested above. The nodal officer should be freely accessible to the officers of other departments/agencies and he should hold regular meetings at least once in 3 months with the heads of development departments, like irrigation, PWD, Mining, Electricity etc. to review the progress of pendency of cases at different levels and to remove bottlenecks.

12. The CCFs and Conservators should be made responsible for constant monitoring and ensuring disposal of pending proposals. At the State level, the Forest Secretary must obtain from the Nodal officer a monthly statement of proposals submitted and disposal of pending (with reasons thereafter) and scrutinize it carefully as well as issue necessary instructions and guidance from time to time. A monthly statement of such cases should be sent to the Central Govt. by the 15th of the following month in the proforma as at Annexure-II. The first such monthly statement should be sent for the month ending the 31st March 1984 and should reach this Ministry latest by 20th April, 1984.

13. You are requested to take action on the above lines urgently and to issue necessary instructions to all concerned so as to ensure that the proposals under the Forest (Conservation) Act are processed with utmost expedition at the State level.

Yours faithfully,
Sd/-
(SAMAR SINGH)
Forest Secretary to the Govt. of India

ANNEXURE-A

Guidelines for laying Transmission lines through forest areas- Forest (Conservation) Act, 1980.

1. While determining the alignment of transmission lines, forest areas should be avoided as far as possible in conformity with the following observation of the Prime Minister.

Transmission lines involves cutting of trees, we should find feasible alternatives”.

2. Where routing of transmission lines through the forest area cannot be avoided, these should be aligned in such a way that it involves the least amount of tree cutting.

3. As far as possible, the route alignment through forest areas should not have any line deviation.

4. i) The maximum width of right of way for the transmission lines on forest land shall be as follows:

<table>
<thead>
<tr>
<th>Transmission voltage (KV)</th>
<th>Width of right of way (M)</th>
</tr>
</thead>
<tbody>
<tr>
<td>11</td>
<td>7</td>
</tr>
<tr>
<td>33</td>
<td>15</td>
</tr>
<tr>
<td>66</td>
<td>18</td>
</tr>
<tr>
<td>110</td>
<td>22</td>
</tr>
<tr>
<td>132</td>
<td>27</td>
</tr>
<tr>
<td>220</td>
<td>35</td>
</tr>
<tr>
<td>400</td>
<td>52</td>
</tr>
</tbody>
</table>
ii) Below each conductor, width clearance of 3 mts. Would permitted for taking the tension stringing equipment. The trees on such strips would have to be felled but after the stringing work is completed dwarf trees would be planted keeping in view the electrical clearances to be maintained, except in outer-s strip which shall be left clear to permit maintenance of the transmission line.

<table>
<thead>
<tr>
<th>Transmission voltage (KV)</th>
<th>Minimum clearance between conductors and tops of trees</th>
</tr>
</thead>
<tbody>
<tr>
<td>11</td>
<td>2.6</td>
</tr>
<tr>
<td>33</td>
<td>2.8</td>
</tr>
<tr>
<td>66</td>
<td>3.4</td>
</tr>
<tr>
<td>110</td>
<td>5.7</td>
</tr>
<tr>
<td>132</td>
<td>4.0</td>
</tr>
<tr>
<td>220</td>
<td>4.6</td>
</tr>
<tr>
<td>400</td>
<td>5.5</td>
</tr>
</tbody>
</table>

iii) For any repair and maintenance work of the transmission line, the Power Authorities may be allowed to fell minimum number of trees for repairs below other conductors, where dwarf trees have been planted in consultation with the local forest officer.

iv) In the remaining width within the right of way (upto a maximum of 52 mtr. for 400 KV lines) trees will not be felled but to prevent electrical hazards they be lopped, to the extent required, to maintain the following minimum clearance from the conductor.

v) In the case of transmission lines to be constructed in hilly areas, where adequate clearance is already available trees will not be cut.

5. The Forest Department shall plant dwarf trees upto the number of trees removed from the right of way at the cost of the State Electricity Board, Electricity Department etc.

6. Where the forest growth consists of coconut and other similar tall trees, width of right of way greater than those indicated at S.No.4 may be permitted in Consultation with CEA.

Annexure-IV

FORMAT FOR FORMULATION OF FOREST PROPOSAL

FORM – ‘A’

PART – 1

(To be filled by the user agency)

1. Projects details:
   i) Short narrative of the proposal and project/scheme for which the forest land is required
   ii) Map showing the required forest land, boundary of adjoining forest on a 1:50,000 scale map
   iii) Cost of the project
iv) Justification for locating the project in forest area
v) Cost benefit analysis (to be enclosed)
vi) Employment likely to be generated

2. Purpose-wise break-up of the total land required:
3. Details of displacement of people due to the project if any:
i) Number of families
ii) Number of Scheduled Castes/Scheduled Tribe families
iii) Rehabilitation plan (to be enclosed)
4. Whether clearance under Environment (Protection) Act, 1986 required?
   (Yes/No)
5. Undertaking to bear the cost of raising and maintenance of compensatory afforestation
   and/or penal compensatory afforestation as well as cost for protection and
   regeneration of Safety Zone etc. as per the scheme prepared by the State Government
   (undertaking to be enclosed)
6. Details of certificates/documents enclosed as required under the instructions.

Signature
(Name in Block letters)
Designation
Address (of User Agency)

Date:____________ Place:____________

State serial No. of proposal________
(To be filled up by the Nodal Officer with date of receipt)
PART – II
(To be filled by the concerned Deputy Conservator of Forests)

State serial No. of proposal_________________________
Location of the project/Scheme: ______________________

7. Location of the project/ scheme:
   i) State/Union Territory
   ii) District
   iii) Forest Division
   iv) Area of forest land proposed for diversion (in ha.)
   v) Legal status of forest
   vi) Density of vegetation
   vii) Species-wise (scientific names) and diameter class-wise enumeration of trees (to be enclosed, in case of irrigation/ hydel projects enumeration at FRL, FRL-2 meter & FRL-4 meter also to be enclosed.
   viii) Brief note on vulnerability of the forest area to erosion
   ix) Approx. distance of proposed site for diversion from boundary of forest
   x) Whether forms part of National Park, wildlife sanctuary, biosphere reserve, tiger reserve, elephant corridor, etc. (if so the details of the area and comments of the Chief Wildlife Warden to be annexed).
   xi) Whether any rare/endangered/unique species of flora and fauna found in the area—if so details thereof
   xii) Whether any protected archaeological/heritage site/defence establishment or any other important monument is located in the area. If so the details thereof with NOC from competent authority, if required

8. Whether the requirement of forest land as proposed by the user agency in col.2 of Part-I is unavoidable and barest minimum for the project. If no recommended area item-wise with details of alternatives examined.

9. Whether any work in violation of the Act has been carried out (Yes/No). If yes details of the same including period of work done, action taken on erring officials. Whether work in violation is still in progress

10. Details of compensatory afforestation scheme:
    i) Details of non forest area/degraded forest area identified for compensatory afforestation, its distance from adjoining forest, number of patches, size of each patch
    ii) Map showing non-forest/degraded forest area identified for compensatory afforestation and adjoining forest boundaries
    iii) Detailed compensatory afforestation scheme including species to be planted, implementing agency, time schedule, cost structure, etc
    iv) Total financial outlay for compensatory afforestation scheme
    v) Certificates from competent authority regarding suitability of area identified for compensatory afforestation and from management point of view (To be signed by the concerned Deputy Conservator of Forests)

11. Site inspection report of the DCF (to be enclosed) especially highlighting facts asked in col.7 (xi, xii), 8 and 9 above.

12. Division/District profile:
    i) Geographical area of the district
    ii) Forest area of the district
    iii) Total forest area diverted since 1980 with number of cases
    iv) Total compensatory afforestation stipulated in the district/division since 1980 on
       (a) forest land including penal compensatory afforestation
       (b) Forest land
       (c) Non-forest land

13. Specific recommendations of the DCF for acceptance of otherwise of the proposal with reasons

Signature
Name_____________      Official Seal
PART – III
(To be filled by the concerned Conservator of Forests)

14. Whether site, where the forest land involved is located has been inspected by concerned Conservator of Forests (Yes/No). If yes, the date of inspection & observations made in form of inspection note to be enclosed.

15. Whether the concerned Conservator of Forests agree with the information given in Part-B and the recommendations of Deputy Conservator of Forests.

16. Specific recommendations of concerned Conservator of Forests for acceptance or otherwise of the proposal with detailed reasons.

Signature

Name

Date : _______ official Seal

Place:_______
PART – IV

(To be filled in by the Nodal Officer or Principal Chief Conservator of Forests or Head of Forest Department)

17. Detailed opinion and specific recommendations of the State Forest Department for acceptance of otherwise of the proposal with remarks (While giving opinion, the adverse comments made by concerned Conservator of Forests or Deputy Conservator of Forests should be categorically reviewed and critically commented upon).

Signature
Name
Designation (Official Seal)
Date:
Place:
PART-V

(To be filled in by the Secretary in charge of Forest Department or by any other authorized officer of the State Government not below the rank of the Under Secretary)

18. Recommendation of the State Government (Adverse comments made by any officer or authority in Part-B or Part-C or Part-D above should be specifically commented upon)

Signature
Name
Designation (Official Seal)

Date: ________
Place: ________
SPECIFIED PROJECT CATEGORIES AS LISTED IN SCHEDULE -1 OF ENVIRONMENT IMPACT ASSESSMENT NOTIFICATION, 1994 (MOEF)

Following project categories are required to obtain environmental clearance, prior to establishing an industrial unit.

**New projects**

For industrial units in the following project categories, if investment is > Rs. 50 crores:

1. Nuclear power plant & related projects, such as Heavy Water Plants, nuclear fuel complex, rare earths;
2. River valley projects including hydel power, major irrigation projects and a combination, including flood control;
3. Ports, harbours, airports (except minor ports and harbours);
4. Petroleum refineries including crude and product pipelines;
5. Chemical fertilizers (Nitrogenous and Phosphatic other than single Superphosphate);
6. Petrochemical complexes (both Olefinic and Aromatic) and Petrochemical intermediates such as DMT, Caprolactam, LAB etc. and production of basic plastic such as LDPE, HDPE, PP, PVC.
7. Exploration for oil and gas and their production, transportation and storage;
8. Synthetic Rubber
9. Hydrocyanic acid and its derivatives;
10. Primary metallurgical industries (such as production of Iron and Steel, Aluminium, Copper, Zinc, Lead and Ferro Alloys);
11. Electric Arc Furnaces (Mini Steel Plants);
12. Chloro alkali industry;
13. Viscose staple fibre and filament yarn;
14. Storage batteries integrated with manufacture of oxides of lead, lead antimony alloy;
15. Thermal power plants;
16. Pulp, paper and newsprint;
17. Cement

For industrial units in the following project categories, irrespective of the investment:

1. Pesticides (Technical);
2. Bulk drugs and pharmaceuticals;
3. Asbestos and asbestos products;
4. All tourism projects between 200-500 meters of a High Tide Line & at locations with an elevation > 1,000 meters with investments > Rs.5 crores;
5. Mining projects (with leases of more than 5 hectares);
6. Highway projects;
7. Err roads in the Himalayas and/or forest area
8. Distilleries
9. Raw skins and hides;
10. Dyes;
11. Foundries (individual);
12. Electroplating.

**Projects requiring Site Clearance from MoEF:**

Site clearance from the MoEF is to be obtained in case of the following projects:

1. Mining
2. Pit-Head thermal power stations;
3. Hydropower, major irrigation projects and/or their combination including flood control;
4. Ports and harbours (excluding minor ports);
5. Prospecting and exploration of major minerals in areas more that 500 hectares.
6. Industrial Estate

For obtaining site clearance, application is to be submitted giving the location of the project along with requisite details, to the MoEF. MoEF will convey its decision about the suitability of the proposed site within a maximum period of 30 days.

Projects requiring Site Clearance from MoEF:

Site clearance from the MoEF is to be obtained in case of the following projects:
7. Mining
8. Pit-Head thermal power stations;
9. Hydropower, major irrigation projects and/or their combination including flood control;
10. Ports and harbours (excluding minor ports);
11. Prospecting and exploration of major minerals in areas more that 500 hectares.
12. Industrial Estate

For obtaining site clearance, application is to be submitted giving the location of the project along with requisite details, to the MoEF. MoEF will convey its decision about the suitability of the proposed site within a maximum period of 30 days.
New Delhi, the 7th May 1992

(Under Section 3(1) and 3(2) (v) of the Environment Protection) Act, 1986 and rule 5(3) (d) of the Environment (Protection) Rules, 1986 restricting certain activities in specified area of Aravalli Range, which are causing Environmental Degradation in the Region.

S.O. 319 (E) – Whereas a Notification under section 3(1) and section 3(2) (v) of the Environment (Protection) Act, 1986 (29 of 1986) inviting objections against restricting certain activities in specified area of Aravalli Range which are causing Environmental Degradation in the Region was published in the Gazette of India, Part II- Section 3 Sub-section (ii) vide S.O. 25 (E) dated 9th January, 1992:

And whereas all objections received have been duly considered by the Central Government;

Now, therefore, in exercise of the powers conferred by sub-section (1) and clause (v) of sub-section (2), of section 3 of the Environment (Protection) Act, 1986 (29 of 1986), read with rule 5 of the Environment (Protection) Rules, 1986, the Central Government hereby prohibits the carrying on of the following process and operations, except with its prior permission, in the areas specified in the Table appended to this Notification:

1. Any person who desires to undertake any of the above mentioned processes or operations in the said areas, shall submit an application to the Secretary, Ministry of Environment and Forests, New Delhi, in the attached application form (Annexure) specifying, inter alia, details of the area and the proposed process or operation. He shall also furnish an Environment Impact Statement and an Environmental Management Plan along with the application and such other information as may be required by the Central Government for considering the application.

2. The Central Government in the Ministry of Environment and Forests shall, having regard to the guidelines issued by it from time to time for giving effect to the provisions of the said Act, grant permission within a period of three months from the date of receipt of the application or where further information has been asked for from the applicant, within a period of three months from the date of the receipt of such information, or refuse permission within the said time on the basis of the impact of the proposed process or operation on the environment in the said area.

3. For seeking permission under this notification, an application in the prescribed form (see Annexure) duly filled in, may be submitted to the Secretary, Ministry of Environment and Forests, Paryavaran Bhavan, CGO Complex, Lodi Road, New Delhi.

[No.17/1/91-PL/IA]  
R.RAJAMANI, Secy.

TABLE

Areas where carrying on of processes and operations without permission is prohibited

(i) all reserved forests, protected forests or any other area shown as ‘forest in the land records maintained by the State Government as on the date of this notification in
relation to Gurgaon District of the State of Haryana and the Alwar District of the State of Rajasthan.

(ii) All areas shown as:-
(a) Gair Mumkin Pahar, or
(b) Gair Mumkin Rada, or
(c) Gair Mumkin Behed, or
(d) Banjad Beed, or
(e) Rundh.

In the land records maintained by the State Government as on the date of this notification in relation to Gurgaon district of the State of Haryana and the Alwar district of the State of Rajasthan.

(iii) all areas covered by notifications issued under section 4 and 5 of the Punjab Land Preservation Act, 1900, as applicable to the State of Haryana in the district of Gurgaon upto the date of this Notification.

(iv) All areas of Sariska National Park and Sariska Sanctuary notified under the Wildlife (Protection) Act, 1972 (53 of 1972).

**APPLICATION FORM**

1. (a) Name & address of the project proposed:
   (b) Location of the project:
       Name of the Place:
       District, Tehsil:
       Location Map:
   (c) Alternate sites examined and the reasons for the site proposed:

2. Objectives of the project:

3. (a) Land Requirement:
   (b) i) Topography of the area indicating gradient, aspect & altitude.
   ii) Erodability classification of the proposed land.
   (c) Pollution sources existing within 10 Km. Radius.
   (d) Distance of the nearest National Park/Sanctuary/Biosphere
   (e) Rehabilitation Plan for Quarries/borrow areas:
   (f) Green belt plan.
   (g) Compensatory afforestation plan.

4. Climate & Air Quality*
   (a) Wind rose at site:
   (b) Max./Min./Mean annual temperature.
   (c) Ambient air quality data:
   (d) Nature & concentration of emission of SPM, Gases (CO, CO₂, SO₂, NO)

5. ** (a) Water balance at site surface and ground water availability and demand:
   (b) Lean season water availability:
   (c) Water source to be tapped with details of competing users (Rivers, lake, Ground, Public supply):
   (d) Water Quality:
   (e) Changes observed in quantity and quality of water in the last 15 years and present charging and extraction details:
   (f) (i) Quantum of waste water to be released with treatment details:
   (ii) Quantum & Quality of water in the receiving water body:
(iii) Quantum of waste water to be released on land and the type of land:

6. Solid Wastes:
   a. Nature & quantity of solid wastes generated:
   b. Solid waste disposal method:

7. Noise & vibrations:
   (a) Sources of noise & vibrations:
   (b) Ambient noise level:
   (c) Noise & vibration control measures proposed:
   (d) Subsidence problem, if any, with control measures:

8. Power requirement indicating source of supply; complete environmental details to be furnished separately, if captive power unit proposed:

9. Total labour force to be deployed with details of:
   - Endemic health problems in the area.
   - Health care system proposed:

10. (a) Number of families and population to be displaced:
    (b) Rehabilitation Master Plan:

11. Risk assessment report:

12. (a) Environmental Impact Assessment Report:
    (b) Environmental Management Plan: Prepared as per Guidelines of MEF issued from time to time.
    (c) Detailed Feasibility Report:
    (d) Proposal for diversion of Forestland under Forest (Conservation) Act, 1980 including Benefit Cost analysis.

13. Recommendations of the State Pollution Control Board and/or the State Department of Environment & Forests.

* Data may be obtained from India Meteorological Department and State Pollution Control Board.

** Ground water Board and the Irrigation Deptt. may be contacted for data.

N.B.
A. Item Nos. 3(c), 4,5,6,7,8,9,10,12 (b) and 12 (c) are not applicable to cutting of trees.
B. Item Nos 3©, 4,7,11 are not applicable to construction of cluster of dwelling units, farm sheds, community center and any other activity connected with such construction including roads.
C. Item Nos. 3(b), 3(c), 3(e), 3(f), 4,5,6,7,9,12(a) & 12(b) are not applicable to electrification.
D. All items to be furnished in case of mining, industry, thermal power, transport projects.
E. Notwithstanding the above, any item(s) considered not applicable may be so indicated along with reasons.
## SCHEDULE
(See paragraph 2 and 7)

### LIST OF PROJECTS OR ACTIVITIES REQUIRING PRIOR ENVIRONMENTAL CLEARANCE

<table>
<thead>
<tr>
<th>Project or Activity</th>
<th>Category with threshold limit</th>
<th>Conditions if any</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>(1)</td>
<td>(2)</td>
</tr>
<tr>
<td>1</td>
<td>Mining, Extraction of natural resources and power generation (for a specified production capacity)</td>
<td></td>
</tr>
<tr>
<td>(1)</td>
<td></td>
<td>(3)</td>
</tr>
<tr>
<td>1 (a)</td>
<td>Mining of minerals</td>
<td>50 ha. Of mining lease area</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Asbestos mining irrespective of mining area</td>
</tr>
<tr>
<td></td>
<td></td>
<td>All projects</td>
</tr>
<tr>
<td></td>
<td></td>
<td>&lt;50 ha. &gt; 5 ha. Of mining lease area.</td>
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<tr>
<td></td>
<td></td>
<td>General Condition shall apply</td>
</tr>
<tr>
<td>1 (b)</td>
<td>Offshore and onshore oil and gas exploration, development &amp; production</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>All projects</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Note Exploration surveys (not involving drilling) are exempted provided the concession areas have got previous clearance for physical survey</td>
</tr>
<tr>
<td>1 (c)</td>
<td>River Valley projects</td>
<td>(i) &gt; 50 MW hydroelectric power generation; (ii) &gt; 10,000 ha. Of culturable command area.</td>
</tr>
<tr>
<td></td>
<td></td>
<td>(i) &lt;50 MW &gt; 25 MW hydroelectric power generation; (ii) &lt;10,000 ha. Of culturable command area.</td>
</tr>
<tr>
<td></td>
<td></td>
<td>General Condition shall apply</td>
</tr>
<tr>
<td>1 (d)</td>
<td>Thermal Power Plants</td>
<td>&gt; 500 MW (coal/lignite/naphta &amp; gas based); &gt;50 MW (Pet coke, diesel and all other fuels-)</td>
</tr>
<tr>
<td>1 (e)</td>
<td>Nuclear power projects and processing of nuclear fuel</td>
<td>All projects</td>
</tr>
<tr>
<td>2</td>
<td>Primary Processing</td>
<td></td>
</tr>
<tr>
<td>2 (a)</td>
<td>Coal washeries</td>
<td>&gt; 1 million ton/annum throughput of coal</td>
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<tr>
<td>2 (b)</td>
<td>Mineral beneficiation</td>
<td>&gt; 0.1 million ton/annum throughput of coal</td>
</tr>
<tr>
<td></td>
<td>General Condition shall apply (Mining proposal with Mineral beneficiation shall be appraised together for grant of clearance)</td>
<td></td>
</tr>
<tr>
<td>3</td>
<td>Materials Production</td>
<td></td>
</tr>
<tr>
<td>3 (a)</td>
<td>Metallurgical industries (ferrous &amp; non ferrous)</td>
<td>a) Primary metallurgical industry All projects</td>
</tr>
<tr>
<td></td>
<td></td>
<td>b) Sponge iron manufacturing &gt; 200TPD</td>
</tr>
<tr>
<td></td>
<td></td>
<td>c) Secondary metallurgical processing industry All toxic and heavy metal producing units &gt; 20,000 tonnes/annum</td>
</tr>
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</tr>
<tr>
<td>3 (b)</td>
<td>Cement plants</td>
<td>&gt;1.0 million tones/annum production capacity</td>
</tr>
<tr>
<td></td>
<td>General Condition shall apply.</td>
<td></td>
</tr>
<tr>
<td>4</td>
<td>Materials Processing</td>
<td></td>
</tr>
<tr>
<td>4 (a)</td>
<td>Petroleum refining industry</td>
<td>All projects</td>
</tr>
<tr>
<td>4 (b)</td>
<td>Coke oven plants</td>
<td>&gt;2,50,000 tonnes/annum</td>
</tr>
<tr>
<td>4 (c)</td>
<td>Asbestos milling and asbestos based</td>
<td>All projects</td>
</tr>
<tr>
<td>4 (d)</td>
<td>Chlor-alkali industry</td>
<td>&gt; 300 TPD production capacity or a unit located outside the notified industrial area/estate</td>
</tr>
<tr>
<td>4 (e)</td>
<td>Soda ash industry</td>
<td>All projects</td>
</tr>
<tr>
<td>4 (f)</td>
<td>Leather/skin/hide processing industry</td>
<td>New projects outside the industrial area or expansion of existing units out side the industrial area</td>
</tr>
<tr>
<td>5</td>
<td>Manufacturing/Fabrication</td>
<td></td>
</tr>
<tr>
<td>5 (a)</td>
<td>Chemical fertilizers</td>
<td>All projects</td>
</tr>
<tr>
<td>5 (b)</td>
<td>Pesticides industry and pesticide specific intermediates (excluding formulations)</td>
<td>All units producing technical grade pesticides</td>
</tr>
<tr>
<td>5 (c)</td>
<td>Petro-chemical complexes (industries based on processing of petroleum fractions &amp; natural gas and/or reforming to aromatics)</td>
<td>All projects</td>
</tr>
<tr>
<td>5 (d)</td>
<td>Manmade fibres manufacturing</td>
<td>Rayon</td>
</tr>
<tr>
<td>5 (e)</td>
<td>Petrochemical based processing (processes other than cracking &amp; reformation and not covered under the complexes)</td>
<td>Located out side the notified industrial area/estate</td>
</tr>
<tr>
<td>5 (f)</td>
<td>Synthetic organic chemicals industry (dyes &amp; dye intermediates; bulk drugs and intermediates excluding drug formulations; synthetic rubbers; basic organic chemicals, other synthetic organic chemicals and chemical intermediates)</td>
<td>Located out side the notified industrial area/estate</td>
</tr>
<tr>
<td>5 (g)</td>
<td>Distilleries</td>
<td>(i) all molasses based distilleries</td>
</tr>
<tr>
<td></td>
<td></td>
<td>(ii) all cane juice/non-molasses based distilleries &gt;30 KLD</td>
</tr>
<tr>
<td>5 (h)</td>
<td>Integrated paint industry</td>
<td>All projects</td>
</tr>
<tr>
<td>5 (i)</td>
<td>Pulp &amp; paper industry excluding manufacturing of paper from waste paper and Pulp manufacturing industry</td>
<td>Paper manufacturing industry without</td>
</tr>
<tr>
<td>Environmental and Social Policy &amp; Procedures</td>
<td></td>
<td></td>
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<tr>
<td>---------------------------------------------</td>
<td></td>
<td></td>
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<tr>
<td><strong>manufacture of paper from ready pulp with out bleaching</strong></td>
<td>pulp manufacturing</td>
<td></td>
</tr>
<tr>
<td><strong>5 (j)</strong> Sugar Industry</td>
<td>&gt;5000 tcd cane crushing capacity</td>
<td>General Condition shall apply</td>
</tr>
<tr>
<td><strong>5 (k)</strong> Induction/arc furnaces/cupola furnaces 5TPH or more</td>
<td>All projects</td>
<td>General Condition shall apply</td>
</tr>
<tr>
<td><strong>6</strong> Service Sectors</td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>6 (a)</strong> Oil &amp; gas transportation pipeline (crude and refinery/petrochemical products), passing through national parks/sanctuaries/coral reefs/ecologically sensitive areas including LNG Terminal</td>
<td>All projects</td>
<td>General Condition shall apply</td>
</tr>
<tr>
<td><strong>6 (b)</strong> Isolated storage &amp; handling of hazardous chemicals (as per threshold planning quantity indicated in column 3 of schedule 2 &amp; 3 of MSIHC rules 1989 amended 2000)</td>
<td>All projects</td>
<td>General Condition shall apply</td>
</tr>
<tr>
<td><strong>7</strong> Physical Infrastructure including Environmental Services</td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>7 (a)</strong> Air ports</td>
<td>All projects</td>
<td></td>
</tr>
<tr>
<td><strong>7 (b)</strong> All ship breaking yards including ship breaking units</td>
<td>All projects</td>
<td></td>
</tr>
<tr>
<td><strong>7 (c)</strong> Industrial estates/parks/complexes/areas, export processing Zones (EPZs), Special Economic Zones (SEZs), Biotech Parks, Leather Complexes.</td>
<td>If at least on industry in the proposed industrial estate falls under the Category A, entire industrial area shall be treated as Category A, irrespective of the area. Industrial estates with area greater than 500 ha. And housing at least one Category B industry.</td>
<td>Industrial estates housing at least one Category B industry and area &lt;500 ha.</td>
</tr>
<tr>
<td><strong>7 (d)</strong> Common hazardous waste treatment, storage and disposal facilities (TSDFs)</td>
<td>All integrated facilities having incineration &amp; landfill or incineration alone</td>
<td>All facilities having landfill only</td>
</tr>
<tr>
<td><strong>7 (e)</strong> Ports, Harbours</td>
<td>&gt; 5 million TPA of cargo handling capacity (excluding fishing harbours)</td>
<td>&lt;5 million TPA of cargo handling capacity and/or ports/harbours &gt; 10,000 TPA of fish handling capacity</td>
</tr>
<tr>
<td><strong>7 (f)</strong> Highways</td>
<td>i) New National High ways; and ii) Expansion of National high ways greater than 30 KM, involving additional right of way greater than 20m involving land acquisition and passing through more than one</td>
<td>i) New National High ways; and ii) Expansion of National high ways greater than 30 KM, involving additional right of way greater than 20m involving land acquisition</td>
</tr>
</tbody>
</table>
### ENVIRONMENTAL AND SOCIAL POLICY & PROCEDURES

<table>
<thead>
<tr>
<th></th>
<th>State.</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>7 (g)</td>
<td>Aerial ropeways</td>
<td>All projects</td>
</tr>
<tr>
<td>7 (h)</td>
<td>Common Effluent Treatment Plants (CETPs)</td>
<td>All projects</td>
</tr>
<tr>
<td>7 (i)</td>
<td>Common Municipal Solid Waste Management Facility (CMSWMF)</td>
<td>All projects</td>
</tr>
</tbody>
</table>

**8** Building / Construction projects/Area Development projects and Townships

<table>
<thead>
<tr>
<th></th>
<th>Building and Construction projects</th>
<th>&gt;20000 sq. mtrs and &lt;1,50,000 sq.mtrs. of built-up area#</th>
<th># (built up area for covered construction; in the case of facilities open to the sky, it will be the activity area)</th>
</tr>
</thead>
<tbody>
<tr>
<td>8 (b)</td>
<td>Townships and Area Development projects.</td>
<td>Covering an area&gt; 50 ha and or built up area &gt; 1,50,000 sq. mtrs ++</td>
<td>++ All projects under item 8 (b) shall be appraised as category B I</td>
</tr>
</tbody>
</table>

**Note:**

**General Condition (GC):**

Any project or activity specified in category ‘B’ will be treated as category ‘A’, if located in whole or in part within 10 km from the boundary of: (i) Protected Areas notified under the Wild life (Protection) Act, 1972, (ii) Critically Polluted areas as notified by the Central Pollution Control Board from time to time, (iii) Notified Eco-sensitive areas. (iv) Inter-state boundaries and international boundaries.

**Specific Condition (SC):**

If any Industrial Estate/Complex/Export processing Zones/Special Economic Zones/Biotech Parks/Leather Complex with homogeneous type of industries such as items 4 (d), 4(f), 5(e), (5(f), or those industrial estates with pre-defined set of activities (not necessarily homogeneous, obtains prior environmental clearance, individual industries including proposed industrial housing within such estates/complexes will not be required to take prior environmental clearance, so long as the Terms and Conditions for the industrial estate/complex are complied with. Such estates/complexes must have a clearly identified management with the legal responsibility of ensuring adherence to the Terms and Conditions of prior environmental clearance, who may be held responsible for violation of the same throughout the life of the complex/estate).
From
Addi.PCCF (Wildlife),
Cum-Chief Wildlife Warden,
Haryana, Panchkula.

To
Mr. R. Anandakumar
Advisor,
Ministry of Environment & Forests, Govt. of India,
Paryavaranm Bhawan, CGO Complex, Lodhi Road,
New Delhi,
No. WL - III-78/355
Dated: - 06.12.2007

Subject: - Declaration of eco-sensitive zones.
The State Govt. vide its letter No. 1417-ft-4-2007/3281 dated 06.03.2007 has submitted a proposal to Ministry of Environment & Forests, Govt. of India for declaration of eco-sensitive zones around all the National Parks & Wildlife Sanctuaries in the State. The notification of the eco-sensitive zone prohibiting certain activities is yet to be issued by Govt. of India under Environment Protection Act.

Certain constructional activities which have been restricted/prohibited under the eco-sensitive zone areas are taking place around certain protected areas in the State. In the absence of the notification it is not possible to take any legal action in the matter.

It is therefore, requested that the eco-sensitive zone notification may please be issued at he earliest so that the protected areas in the State can be protected from the disturbance in the surrounding eco-sensitive areas.

Addl.PCCF. (WL)
Cum-Chef Wildlife Warden.
Haryana, Panchkula.

Endst. No. WL-III-78/356 Dated. 06.12.2007
A copy is forwarded to the Addl. Director General (WL), Govt. of India. A copy of Environment & Forests, CGO Complex, Lodhi Road, New Delhi w.r. to this office letter 256 dated 27.07.2007 (copy enclosed) for further necessary action.

SD/-
Addl.PCCF. (WL)
Cum-Chief Wildlife Warden. Haryana, Panchkula.

From
Financial Commissioner & Principal Secretary,
To Govt. Haryana, Forest Department.

To
Dr. Anmol Kumar
Deputy Inspector General (WL),
Govt. of India,
Ministry of Environment & Forests,
Paryavaran Bhawan, CGO Complex,
Lodhi Road, New Delhi.

Sir,

I am directed to refer to your letter No. 6-1/2003-WL-I dated 27.05.2005. F.No. 6-1/2003 WL-I dated 16.11.2006 and subsequent D.O. No. 1-9/2007 WL-I dated 02.02.2007 received from Sh. Prodipto Ghosh, Secretary, Ministry of Environment and Forests, GOI to Chief Secretary. Haryana on the subject cited above and to enclose the proposal for the declaration of Ecofragile/Ecosensitive Zones around the protected areas (National Parks and Wildlife Sanctuaries) in Haryana for further necessary action.

Yours Faithfully
SD/-
Deputy Secretary
For Financial Commissioner & Principal Secretary.
PROPOSED ECOFRAGILE / ECOSENSITIVE ZONES AROUND NATIONAL PARKS AND WILDLIFE SANCTUARIES

A. NATIONAL PARKS

   a) From the boundary to a distance of 500 m no construction of any kind will be allowed except cultivation of land for agriculture purposes and construction of tube well chamber of minimum dimension (not more than 10 X 10 X 10).
   In the area falling between 500 m to 700 m no construction of any building more than two stores (25 ft) will be allowed.
   b) No mining up to 500 m and crushing activity upto 2 (two) km will be allowed from the boundary of the protected area.
   c) No wood based industry upto 2 (two) km from the boundary of the protected area will be allowed to be established.
   d) No construction of any polluting industry will allowed upto 3 (three) km from the boundary of the protected area.
   e) No construction of any highly polluting industry will be allowed upto 5 (five) kilometers from the boundary of the protected area.

2. Sultanpur National Park, Gurgaon.
   a) From the boundary to a distance of 300 m no construction of any kind will be allowed except cultivation of land for agriculture purposes and construction of tube well chamber of minimum dimension (not more than 10 X 10 X 10).
   In the area falling between 300 meters to 500 m from the boundary no construction of any building more than two stores (25 ft) will be allowed.
   b) No laying of high tension wire will be allowed in the area upto 500 m from the boundary of the protected area.
   c) No mining up to 1000 m and crushing activity upto 2 (two) km will be allowed from the boundary of the protected area.
   d) No wood based industry upto 2 (two) km from the boundary of the protected area will be allowed to be established.
   e) No construction of any polluting industry will be allowed upto 3 (three) kilometers from the boundary of the protected area.
   f) No construction of any highly polluting industry will be allowed upto 5 (five) kilometers from the boundary of the protected area.

B. WILDLIFE SANCTUARIES.

1. Bhindawas Sanctuary, Jhajjar.
ENVIRONMENTAL AND SOCIAL POLICY & PROCEDURES.

a) From the boundary to a distance of 100 m no construction of any kind will be allowed except cultivation of land for agriculture purposes and construction of tube well chamber of minimum dimension (not more than 10 X 10 X 10).

In the area falling between 100 m to 300 m no construction of any building more than two storeys (25ft) will be allowed.

b) No laying of high tension wire will be allowed in the area up to 500 m from the boundary of the protected area.

c) No mining up to 1000 m and crushing activity up to 2 (two) km will be allowed from the boundary of the protected area.

d) No wood based industry up to 2 (two) km from the boundary of the protected area will be allowed to be established.

e) No construction of any polluting industry will be allowed up to 3 (three) kilometers from the boundary of the protected area.

f) No construction of any highly polluting industry will be allowed up to 5 (five) kilometers from the boundary of the protected area.

2. Nahar Sanctuary, Rewari.

a) From the boundary to a distance of 100 m no construction of any kind will be allowed except cultivation of land for agriculture purposes and construction of tube well chamber of minimum dimension (not more than 10 X 10 X 10).

In the area falling between 100 m to 300 m no construction of any building more than two storeys (25ft) will be allowed.

b) No mining up to 1000 m and crushing activity up to 2 (two) km will be allowed from the boundary of the protected area.

c) No wood based industry up to 2 (two) km from the boundary of the protected area will be allowed to be established.

d) No construction of any polluting industry will be allowed up to 3 (three) kilometers from the boundary of the protected area.

3. Chhilchhila Sanctuary, Kurukshetra.

a) From the boundary to a distance of 100 m no construction of any kind will be allowed except cultivation of land for agriculture purposes and construction of tube well chamber of minimum dimension (not more than 10 X 10 X 10).

In the area falling between 100 m to 300 m no construction of any building more than two storeys (25ft) will be allowed.

b) No laying of high tension wire will be allowed in the area up to 500 m from the boundary of the protected area.

c) No mining up to 1000 m and crushing activity up to 2 (two) km will be allowed from the boundary of the protected area.

d) No wood based industry up to 2 (two) km from the boundary of the protected area will be allowed to be established.
e) No construction of any polluting industry will be allowed upto 3 (three) kilometers from the boundary of the protected area.

f) No construction of any highly polluting industry will be allowed upto 5 (five) kilometers from the boundary of the protected area.

4. Bir Shikargah Sanctuary, Panchkula.
   a) From the boundary to a distance of 100 m no construction of any kind will be allowed except cultivation of land for agriculture purposes and construction of tube well chamber of minimum dimension (not more than 10 X 10 X 10).
      In the area falling between 100 m to 300 m no construction of any building more than two storeys (25ft) will be allowed.
   b) No mining up to 1000 m and crushing activity upto 2 (two) km will be allowed from the boundary of the protected area.
   c) No wood based industry upto 2 (two) km from the boundary of the protected area will be allowed to be established.
   d) No construction of any polluting industry will be allowed upto 3 (three) kilometers from the boundary of the protected area.
   e) No construction of any highly polluting industry will be allowed upto 5 (five) kilometers from the boundary of the protected area.

5. Abubshehar Sanctuary, Sirsa.
   a) No mining up to 1000 m and crushing activity upto 2 (two) km will be allowed from the boundary of the protected area.
   b) No construction of any polluting industry will be allowed upto 3 (three) kilometers from the boundary of the protected area.
   c) No construction of any highly polluting industry will be allowed upto 5 (five) kilometers from the boundary of the protected area.

   a) No mining up to 1000 m and crushing activity upto 2 (two) km will be allowed from the boundary of the protected area.
   b) No wood based industry upto 2 (two) km from the boundary of the protected area will be allowed to be established.
   c) No construction of any polluting industry will be allowed upto 3 (three) kilometers from the boundary of the protected area.
   d) No construction of any highly polluting industry will be allowed upto 5 (five) kilometers from the boundary of the protected area.

7. Khaparwas Sanctuary, Jhajjar.
   a) From the boundary to a distance of 100 m no construction of any kind will be allowed except cultivation of land for agriculture purposes and construction of tube well chamber of minimum dimension (not more than 10 X 10 X 10).
      In the area falling between 100 m to 300 m no construction of any building more than two storeys (25ft) will be allowed.
b) No laying of high tension wire will be allowed in the area upto 500 m from the boundary of the protected area.
c) No mining up to 1000 m and crushing activity upto 2 (two) km will be allowed from the boundary of the protected area.
d) No wood based industry upto 2 (two) km from the boundary of the protected area will be allowed to be established.
e) No construction of any polluting industry will be allowed upto 3 (three) kilometers from the boundary of the protected area.
f) No construction of any highly polluting industry will be allowed upto 5 (five) kilometers from the boundary of the protected area.

8. **Bir Bara Ban, Jind**

From the boundary to a distance of 50 m no construction of any kind will be allowed except cultivation of land for agriculture purposes and construction of tube well chamber of minimum dimension (not more than 10 X 10 X 10).

9. **Kalesar Sanctuary, Yamunanagar**

a) From the boundary to a distance of 100 m no construction of any kind will be allowed except cultivation of land for agriculture purposes and construction of tube well chamber of minimum dimension (not more than 10 X 10 X 10).

In the area falling between 100 m to 300 m no construction of any building more than two storeys (25ft) will be allowed.

b) No mining up to 500 m and crushing activity upto 2 (two) km will be allowed from the boundary of the protected area.

c) No wood based industry upto 2 (two) km from the boundary of the protected area will be allowed to be established.

d) No construction of any polluting industry will be allowed upto 3 (three) kilometers from the boundary of the protected area.

e) No construction of any highly polluting industry will be allowed upto 5 (five) kilometers from the boundary of the protected area.

10. **MORNI Sanctuary, Panchkula**

From the boundary to a distance of 50 m no construction of any kind will be allowed except cultivation of land for agriculture purposes and construction of tube well chamber of minimum dimension (not more than 10 X 10 X 10).

The proposed restrictions will not be applicable to anything done by the forest Department for the development of wildlife / forests in the area in accordance with the provisions of law.

These restrictions will come into effect from the date of their publication in Government notification, and will not be applicable on the structures already present.

In case some activities are required to be undertaken in public interest within the restricted areas the permission of the competent authority would be obtained for the said purpose.
FORM – VIII
[see rule 10 (2)(ii)]

FORM FOR FILING RETURNS BY RECYCLERS OF USED BATTERIES
[To be submitted by the bulk consumer to the State Board by 30th June (for the period October-March) and 31st December (for the period April-September) every year]

<p>| | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Name and address of the bulk consumer</td>
</tr>
<tr>
<td>2</td>
<td>Name of the Authorised person and full address with telephone and fax number</td>
</tr>
<tr>
<td>3</td>
<td>Number of new batteries of different categories purchased from the manufacturer / importer / dealer or any other agency during October-March and April-September Category: Automotive four wheeler two wheeler Industrial UPS Motive Power Stand-by Others (i) No. of Batteries (ii) Approximate weight (in Metric Tonnes)</td>
</tr>
<tr>
<td>4</td>
<td>Number or used batteries of categories mentioned in Sl. No. 3 and Tonnage of scrap sent to manufacturer / dealer / importer / registered recycler / or any other agency to whom the used batteries scrap was sent</td>
</tr>
</tbody>
</table>
### Annexure – VIII

**SPECIFICATIONS OF USED OIL FOR REFINING AND WASTE OIL FOR RECYCLING**

#### Schedule-5

**Specifications for Used oil Suitable for Re-refining**

<table>
<thead>
<tr>
<th>Sr No.</th>
<th>Parameter</th>
<th>Max Permissible Limit</th>
</tr>
</thead>
<tbody>
<tr>
<td>1.</td>
<td>Colour</td>
<td>8 hazen units</td>
</tr>
<tr>
<td>2.</td>
<td>Water</td>
<td>15%</td>
</tr>
<tr>
<td>3.</td>
<td>Density</td>
<td>0.85 to 0.95</td>
</tr>
<tr>
<td>4.</td>
<td>Kinematic Viscosity cSt at 100°C</td>
<td>1.0 to 32</td>
</tr>
<tr>
<td>5.</td>
<td>Diluents</td>
<td>15% vol</td>
</tr>
<tr>
<td>6.</td>
<td>Neutralisation No.</td>
<td>3.5 mg KOH/g</td>
</tr>
<tr>
<td>7.</td>
<td>Saponification value</td>
<td>18 mg KOH/g</td>
</tr>
<tr>
<td>8.</td>
<td>Total halogens</td>
<td>4000 ppm</td>
</tr>
<tr>
<td>9.</td>
<td>Polychlorinated biphenyls (PCBs)</td>
<td>Below detection limit</td>
</tr>
<tr>
<td>10.</td>
<td>Lead</td>
<td>100 ppm</td>
</tr>
<tr>
<td>11.</td>
<td>Arsenic</td>
<td>5 ppm</td>
</tr>
<tr>
<td>12.</td>
<td>Cadmium+Chromium+Nickel</td>
<td>500 ppm</td>
</tr>
<tr>
<td>13.</td>
<td>Polyaromatic hydrocarbons (PAH)</td>
<td>6%</td>
</tr>
</tbody>
</table>

#### Schedule-6

**Specifications for Waste Oil Suitable for Recycling**

<table>
<thead>
<tr>
<th>Sr No.</th>
<th>Parameter</th>
<th>Max Permissible Limit</th>
</tr>
</thead>
<tbody>
<tr>
<td>1.</td>
<td>Sediment</td>
<td>5% (maximum)</td>
</tr>
<tr>
<td>2.</td>
<td>Heavy Metals (cadmium+chromium+nickel+lead+arsenic)</td>
<td>605 ppm maximum</td>
</tr>
<tr>
<td>3.</td>
<td>Polyaromatic hydrocarbons (PAH)</td>
<td>6% maximum</td>
</tr>
<tr>
<td>4.</td>
<td>Total halogens</td>
<td>4000 ppm maximum</td>
</tr>
<tr>
<td>5.</td>
<td>Polychlorinated biphenyls (PCBs)</td>
<td>Below Detection Limit</td>
</tr>
</tbody>
</table>
Annexure - IX

FORM – 13 FOR DISPOSAL OF REFUSED OIL
MINISTRY OF ENVIRONMENT AND FORESTS NOTIFICATION. NEW DELHI, THE
20th May, 2003
Form - 13

[See rule 20 (5)]
Form for Filling Returns of Auction/ Sale of Non-Ferrous Metal Wastes/ Used Oil/Waste Oil
[To be submitted by waste generators / auctioneers to the concerned State Pollution Control
Board / Committee by 31st January of every year]

<table>
<thead>
<tr>
<th>1.</th>
<th>Name and address of the waste generator/auctioneer</th>
</tr>
</thead>
</table>
| 2. | Total quantity of wastes auctioned / sold during the period | Non-ferrous Metal Wastes [indicate type and quantity in metric tonnes along with the name(s)/address(s) of registered recycler(s)]:
Used oil/waste oil [indicate type and quantity in metric tonnes along with the name(s)/address(s) of registered recycler(s)/re-refiner(s)] |

Cut off whichever is not applicable

Place : ………………………
Date : ………………………
Signature:

Designation: ……………..
Annexure X

FOR MAINTENANCE STAFF

Do’s

1. Check all the Bays to any loose joint or hot spot.
2. Check Oil level of Transformers CT’s & PT’s.
3. Check all the Bays/ Equipments for their cleanliness.
4. Check all the equipment for any oil leakage.
5. Always carry out maintenance as per schedule.
6. Keep all the T&P in working condition.
7. Always pour acid into the water.
8. Always provide temporary earths on both sides of equipment under PTW.
9. Always use safety Precautions during work.
10. Keep all the Fire Fighting Equipment in proper working condition.
11. Follow safety rules & instructions.
12. Before getting the PTW cancelled it has to be ensured that all temporary earths are removed.
13. Always use sand/ soil, CO₂ gas or Dry powder chemical to extinguisher fire in Electrical Equipments.

FOR MAINTENANCE STAFF

Don’ts

1. Don’t allow growth of grass in near equipment bays.
2. Don’t allow the workers to smoke near the equipment or work place.
3. Don’t work on any equipment without proper P.T.W.
4. Don’t allow work on high gantries/ structures without safety belt etc.
5. Don’t lose concentration while working.
6. Don’t wear loose/ synthetic clothes on duty.
7. Don’t panic in case of fire.
8. Don’t allow outsiders in the switch yard.
9. Don’t forget to close tightly all the doors of MK’s after work.
10. Don’t keep loose flammable material near equipments/ bays.
FOR OPERATION STAFF

Do’s

1. Check the healthy trip.
2. Check D.C. supply of panels.
3. Check healthiness of emergency D.C. Light system.
4. Always carry out operation with cool mind.
5. Check for any D.C. Leakage.
6. Check all the control panels for door sealing and cleanliness.
7. Check floats voltage and condition of battery.
8. Drain moisture from compressor and SF-6 breaker air tank.
9. Check availability of sufficient quantity of fire fighting equipment.
10. Check DG Set for healthiness if installed.
11. Follow safety rules and instructions during operation.
12. Always follow proper operation sequence.
13. After tripping of equipment, record all the FACIA/RELA indications properly.
14. Reset all the relays while receiving the system after tripping.
15. Always ensure to have a certificate at the time of cancellation of PTW that all men, material, T&P and temporary earths have been removed form the site of work under PTW physically checks before cancellation of PTW all temporary earths are removed.
16. Check that no PTW is pending before energizing any equipment.
17. Check hot spots during night after switching off the yard light.
18. Check that the communication system is in working condition.
19. Display the important telephone numbers in the control room.

FOR OPERATION STAFF

Don’ts

1. Don’t ignore any alarm/induction.
2. Don’t forget to put caution/PTW plates on controlling panel of the equipment/feeder under shut down.
3. Don’t carry out any operation in haste.
4. Don’t operate the isolators without opening the controlling breakers.
5. Don’t allow the outsiders without permission.
6. Don’t allow the work on equipment without proper PTW.
7. Don’t allow under/over charging of DC Battery.
8. Don’t lose concentration while working.
9. Don’t smoke inside the control room.
10. Don’t be panic in case of Fire.
11. Don’t come on duty after taking liquor.
12. Don’t come energize the equipment without proper investigation/testing, in case of tripping on operation of Buchholz/differential/restricted earth fault & bus bar protection relays.
13. Don’t wear loose clothes while working.
<table>
<thead>
<tr>
<th>Project activity/stage</th>
<th>Potential Impact</th>
<th>Proposed mitigation measures</th>
<th>Parameter to be monitored</th>
<th>Measurement and frequency</th>
<th>Institutional responsibility</th>
<th>Implementation schedule</th>
<th>Compliance Report</th>
</tr>
</thead>
<tbody>
<tr>
<td>Pre construction</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Location of distribution poles and distribution line alignment and design</td>
<td>Exposure to safety related risks</td>
<td>Setback of dwelling to overhead line route designed in accordance with permitted level of power frequency and the regulation of supervision at sites.</td>
<td>Poles location and line alignment selection with respect to nearest dwellings</td>
<td>Setback distance to nearest houses-once</td>
<td>UHBVNL</td>
<td>Part of pole sitting survey and detailed alignment survey and design</td>
<td></td>
</tr>
<tr>
<td>Equipment specifications and design parameters</td>
<td>Release of chemicals and gases in receptors (air, water, land)</td>
<td>PCBs not used in substation transformers or other project facilities or equipment.</td>
<td>Transformer design</td>
<td>Exclusion of PCBs in transformer stated in tender specifications-Once</td>
<td>UHBVNL</td>
<td>Part of tender specifications for the equipment</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>Process, equipment and system not to use chlorofluorocarbons (CFCs) including halon, and their use, if any, in existing processes and systems should be phased out and to be disposed of in a manner consistent with the requirement of the Government.</td>
<td>Process, equipment and system design</td>
<td>Exclusion of CFCs in transformer stated in tender specifications-Once</td>
<td>UHBVNL</td>
<td>Part of tender specifications for the equipment</td>
<td></td>
</tr>
<tr>
<td></td>
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</tr>
</tbody>
</table>

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<table>
<thead>
<tr>
<th>Distribution Line Design</th>
<th>Exposure to Electromagnetic</th>
<th>Distribution Line Design to Comply with the Limits of Electromagnetic Interference from Overhead Power Lines</th>
<th>Electromagnetic Field Strength for Proposed Line Design</th>
<th>Line Design Compliance with Relevant Standards - Once</th>
<th>UHBVNL</th>
<th>Part of Detailed Alignment Survey and Design</th>
</tr>
</thead>
<tbody>
<tr>
<td>Location of Distribution Poles and Distribution Line Alignment and Design</td>
<td>Impact on Water Bodies and Land</td>
<td>Consideration of Pole Location at Where They Could Be Located to Avoid Water Bodies</td>
<td>Poles Location and Line Alignment Selection (Distance) to Water Bodies</td>
<td>Consultation with Local Authorities and Avoiding Pole Foundation in Water Bodies</td>
<td>UHBVNL</td>
<td>Part of Pole Sitting Survey and Detailed Alignment Survey and Design</td>
</tr>
<tr>
<td>Social Inequities</td>
<td>Careful Route Selection to Avoid Existing Settlements / Removed along ROW</td>
<td>Pole Location and Line Alignment Selection (Distance) (Estimated Area to Be Trimmed / Removed)</td>
<td>Consultation with Local Authorities and Land Owner - Once</td>
<td>Statutory Approvals for Tree Trimming / Removal</td>
<td>UHBVNL</td>
<td>Part of Pole Sitting Survey and Detailed Alignment Survey and Design</td>
</tr>
<tr>
<td>Avian Hazards from Sub-Stations and Poles.</td>
<td>Nearest Bird Sanctuary / Lake and Distance</td>
<td>There is No Bird Sanctuary or Lake etc. Near the Line Which May Result into Any Avian Hazards.</td>
<td>Distance from Nearest Bird Sanctuary / Lake and Distance</td>
<td>Appropriate Distance from Bird Sanctuary / Lake</td>
<td>UHBVNL</td>
<td>Part of Detailed Siting and Alignment Survey/Design</td>
</tr>
<tr>
<td>Air Craft Hazards from Sub-Stations and Poles.</td>
<td>Nearest Airport and Distance</td>
<td>The Site Should Be at Appropriate Distance from Nearest Air Port / Air Force Stations etc.</td>
<td>Distance from Nearest Airport and Distance</td>
<td>The Site Should Be at Appropriate Distance from Nearest Air Port / Air Force Stations etc</td>
<td>UHBVNL</td>
<td>Part of Detailed Alignment Survey</td>
</tr>
<tr>
<td>Rain water harvesting</td>
<td>Positive impact</td>
<td>No mitigation measure</td>
<td>Part of equipment and process design</td>
<td></td>
<td></td>
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<tr>
<td>-----------------------</td>
<td>-----------------</td>
<td>-----------------------</td>
<td>---------------------------------------</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Tree cutting on private land</td>
<td>Impact to environment</td>
<td>Tree plantation in case of cutting trees on private land</td>
<td>UHBVNL</td>
<td>Part of detailed alignment survey</td>
<td>A MoU is being signed with Forest department for compensatory afforestation for UHBVNL projects not falling in forest area.</td>
<td></td>
</tr>
<tr>
<td>Socially, Culturally and Archaeologically sensitive areas</td>
<td>Nearest distance</td>
<td>The site should be at appropriate distance</td>
<td>Nearest distance from these sensitive areas</td>
<td>The site should be at appropriate distance</td>
<td>UHBVNL</td>
<td>Part of detailed alignment survey</td>
</tr>
</tbody>
</table>

**Construction**

<table>
<thead>
<tr>
<th>Equipment layout and installation</th>
<th>Noise and vibration</th>
<th>Construction techniques and machinery selection seeking to minimize ground disturbance</th>
<th>Construction techniques and machinery</th>
<th>Construction techniques and machinery creating minimal ground disturbance- once at the start of each construction phase</th>
<th>UHBVNL</th>
<th>Construction period</th>
</tr>
</thead>
<tbody>
<tr>
<td>Physical construction</td>
<td>Disturbed farming activity</td>
<td>Construction activities on cropping land timid to avoid disturbance of field crops (within one month of harvest)</td>
<td>Timing of start of construction</td>
<td>Crop disturbance- Post harvest as soon as possible but before next crop- once par site</td>
<td>UHBVNL</td>
<td>Construction period</td>
</tr>
</tbody>
</table>

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<table>
<thead>
<tr>
<th>Mechanized construction</th>
<th>Noise Vibration and operation safety, efficient operation</th>
<th>Construction equipment to be well maintained</th>
<th>Construction equipment-estimated noise emissions</th>
<th>Complaints received by local authorities – every 2 weeks</th>
<th>UHBVNL</th>
<th>Construction period</th>
</tr>
</thead>
<tbody>
<tr>
<td>Noise Vibration, equipment wear and tear</td>
<td>Turning off plant not in use</td>
<td>Construction equipment-estimated noise emissions and operating schedules</td>
<td>Complaints received by local authorities – every 2 weeks</td>
<td>UHBVNL</td>
<td>Construction period</td>
<td></td>
</tr>
<tr>
<td>Construction of roads for accessibility</td>
<td>Increase in airborne dust particles</td>
<td>Existing roads and tracks used for construction and maintenance access to the line wherever possible</td>
<td>Access roads, routes(length and width of new access roads to be constructed) nearest dwelling or social institution</td>
<td>Use of established roads wherever possible – every 2 weeks</td>
<td>UHBVNL</td>
<td>Construction period</td>
</tr>
<tr>
<td></td>
<td>Minimize need to acquire agriculture land</td>
<td>Pole location and line alignment selection (distance to agricultural land)</td>
<td>Consultation with local authorities and land owners—once</td>
<td>UHBVNL</td>
<td>Part of pole sitting and detailed alignment survey and design.</td>
<td></td>
</tr>
<tr>
<td>Encroachment into precious ecological area</td>
<td>Loss of precious ecological values/damages to precious species</td>
<td>Avoid encroachment by careful site and alignment selection</td>
<td>Pole location and line alignment selection (distance to nearest designated ecological protection area)</td>
<td>Consultation with local forest authorities to avoid/ minimize forest involvement-once</td>
<td>UHBVNL</td>
<td>Part of detailed sitting and alignment survey/design Construction period</td>
</tr>
<tr>
<td>Environmental and Social Policy &amp; Procedures</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
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</tr>
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</tr>
</tbody>
</table>
| **Encroachment into farmland**  
Loss of agricultural productivity  | **Use existing pole footings/ poles wherever possible**  
Pole location and line alignment selection  | **Consultation with local authorities and design engineer-once**  
**UHBVNL**  | **Part of detailed alignment survey and design**  |
| **Avoid siting new poles on farm land wherever feasible**  | **Pole location and line alignment selection**  | **Consultation with local authorities and design engineer-once**  
**UHBVNL**  | **Part of detailed siting and alignment survey/design**  |
| **Farmers compensated for any permanent loss of productive land**  | **Design of Implementation of Crop Compensation (based on affected area)**  | **Consultation with affected parties-once in a quarter**  
**UHBVNL**  | **Prior to construction phase**  |
| **Farmers/ land owners compensated for significant trees that need to be trimmed**  | **Design of Implementation of Tree Compensation**  | **Consultation with affected parties-once in a quarter**  
**UHBVNL**  | **Prior to construction phase**  |
| **Site clearance**  
Vegetation  | **Marking of vegetation to be removed prior to clearance and strict control on clearing activities to ensure minimal clearance**  
Vegetation marking and clearance control (area in m²)  | **Clearance strictly limited to target vegetation-every 2 weeks**  
**UHBVNL**  | **Construction period**  |
|  |  | **Presence of target species in ROW following vegetation clearance-once per site**  
**UHBVNL**  | **Construction period**  |
<table>
<thead>
<tr>
<th>Topic</th>
<th>Description</th>
<th>UHBVNL</th>
<th>Construction period</th>
</tr>
</thead>
<tbody>
<tr>
<td>Trimming/cutting of trees within ROW</td>
<td>Fire Hazards</td>
<td>UHBVNL</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Trees allowed growing up to a height within the ROW by maintaining adequate clearance between the top of tree and the conductor as per the regulations</td>
<td>UHBVNL</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Species-specific tree retention as approved by statutory authorities (average and maximum tree height at maturity in meters)</td>
<td>UHBVNL</td>
<td></td>
</tr>
<tr>
<td>Wood/ Vegetation harvesting</td>
<td>Loss of vegetation and deforestation</td>
<td>UHBVNL</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Illegal wood/vegetation harvesting (area in m3 number of incident reported)</td>
<td>UHBVNL</td>
<td></td>
</tr>
<tr>
<td>Construction schedule</td>
<td>Noise nuisance to neighboring properties</td>
<td>UHBVNL</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Construction activities only undertaken during the day and local communities informed of the construction schedule</td>
<td>UHBVNL</td>
<td></td>
</tr>
<tr>
<td>Provision of facilities for construction</td>
<td>Contamination of receptors (land, water, air)</td>
<td>UHBVNL</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Construction workforce facilities to include proper</td>
<td>UHBVNL</td>
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</tr>
<tr>
<td></td>
<td>Amenities for workforce facilities</td>
<td>UHBVNL</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Presence of proper sanitation, water supply and waste</td>
<td>UHBVNL</td>
<td></td>
</tr>
</tbody>
</table>

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<table>
<thead>
<tr>
<th>Environmental &amp; Social Policy &amp; Procedures</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Workers</strong></td>
</tr>
<tr>
<td><strong>Encroachment into farmland</strong></td>
</tr>
<tr>
<td><strong>Ensure existing irrigation facilities are maintained.</strong></td>
</tr>
<tr>
<td><strong>Inadequate construction stage monitoring</strong></td>
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<td></td>
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<tr>
<td><strong>Operation &amp; maintenance</strong></td>
</tr>
</tbody>
</table>

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<table>
<thead>
<tr>
<th>Location of distribution poles and distribution line alignment and design</th>
<th>Exposure to safety related risks</th>
<th>Setback of dwellings to overhead line route designed in accordance with permitted level of power frequency and the regulation of supervision at sites.</th>
<th>Compliance with setback distance (“as build” diagram)</th>
<th>Setback distances to nearest houses—once in quarter</th>
<th>UHBVNL</th>
<th>During operation</th>
</tr>
</thead>
<tbody>
<tr>
<td>Oil spillage</td>
<td>Contamination of land/nearby water bodies</td>
<td>Substation transformers located within secure and impervious sump areas with a storage capacity of at least 100% of the capacity of oil in transformers and associated reserve tanks.</td>
<td>Substain bunding (oil sump) (“as build” diagram)</td>
<td>Bunding (oil sump) capacity and permeability—once</td>
<td>UHBVNL</td>
<td>During operation</td>
</tr>
<tr>
<td>Inadequate provision of staff/workers health and safety during operations</td>
<td>Injury and sickens of staff/workers</td>
<td>Careful design using appropriate technologies to minimize hazards</td>
<td>Usage of appropriate technologies (lost work days due to illness and injuries)</td>
<td>Preparedness level for using these technologies in crisis once each year</td>
<td>UHBVNL</td>
<td>Design and operation</td>
</tr>
<tr>
<td></td>
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<td>Safety awareness raising for staff</td>
<td>Training/awareness program and mock drills Status of facilities in m3</td>
<td>Number of program and percent of staff/worker covered—once each year</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

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<table>
<thead>
<tr>
<th>Uncontrolled erosion/ silt runoff</th>
<th>Soil loss, downstream siltation</th>
<th>Need for access tracks minimized, use of existing roads. Limit site clearing to work areas.</th>
<th>Design basis and construction procedure</th>
<th>Incorporating good design and construction management practices-once for each site</th>
<th>UHBVNL</th>
<th>Construction period</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td>Regeneration of vegetation to stabilize works areas on completion (where applicable)</td>
<td></td>
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<td></td>
<td></td>
<td>Avoidance of excavation in wet seasons</td>
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<td></td>
<td></td>
<td>Water courses protected from siltation through use of bunds and sediment ponds</td>
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<tr>
<td>Category</td>
<td>Description</td>
<td>Details</td>
<td>UHBVNL</td>
<td>Construction period</td>
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<tr>
<td>Nuisance to nearby properties</td>
<td>Losses to neighboring land uses/values</td>
<td>Contract clauses specifying careful construction practices.</td>
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<td></td>
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<td>Contract clauses</td>
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<td>Incorporating good construction management practices-once for each site</td>
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<td>As much as possible existing access ways will be used</td>
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<td>Design basis and layout</td>
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<td>Incorporating good design engineering practice-once for each site.</td>
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<td>Construction period</td>
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<td>Productivity land will be reinstated following completion of construction</td>
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<td></td>
<td></td>
<td>Reinstatement of land status (area affected, m2)</td>
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<td></td>
<td></td>
<td>Consultation with affected parties-twice-immediately after completion if construction and after the first harvest</td>
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<td>Construction period</td>
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<tr>
<td>Social inequities</td>
<td>Compensated will be paid for loss of production, if any</td>
<td>Implementation of Tree/crops compensation (amount paid)</td>
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<td>Consultation with affected parties – Once in a quarter</td>
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<td>UHBVNL</td>
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<td>Construction period</td>
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<tr>
<td>Health and safety</td>
<td>Injury and sickness of workers</td>
<td>Contract provisions specifying minimum requirement for construction camps</td>
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<td>Contract clauses</td>
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<td>Contract clauses</td>
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<td></td>
<td>Incorporating good construction management practices-once for each site</td>
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<td></td>
<td></td>
<td>Contract clauses compliance-once every quarter</td>
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<td>UHBVNL</td>
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<td></td>
<td></td>
<td>Construction period</td>
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<tr>
<td>Inadequate provision of staff/ workers health and safety during operation</td>
<td>Injury and sickness of staff workers</td>
<td>Careful design using appropriate technologies to minimize hazards</td>
<td>Usage of appropriate technologies (lost work day due to illness and injuries)</td>
<td>Preparedness level for using these technologies in crises-once each year</td>
<td>UHBVNL</td>
<td>Construction period</td>
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<tr>
<td>Safety awareness raising for staff</td>
<td>Training/awareness program and mock drills</td>
<td>Number of programs and percent of staff/ workers covered- once each year</td>
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<tr>
<td>Preparation of fire emergency action plan and training given to staff on implanting emergency action plan.</td>
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</tbody>
</table>

<table>
<thead>
<tr>
<th>Electric shock hazards</th>
<th>Injury/morality to staff and public</th>
<th>Careful design using appropriate technologies to minimize hazards</th>
<th>Usage of appropriate technologies (number of injury incidents, lost work days)</th>
<th>Preparedness level for using these technologies in crises- once a month</th>
<th>UHBVNL</th>
<th>Construction period</th>
</tr>
</thead>
<tbody>
<tr>
<td>Security fences around substation</td>
<td>Maintenance of fences</td>
<td>Report on maintenance-every 2 weeks</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Barriers to prevent climbing on/ dismantling of distribution poles</td>
<td>Maintenance of Barriers</td>
<td></td>
<td></td>
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<td></td>
<td></td>
</tr>
<tr>
<td>Equipment specifications and design parameters</td>
<td>Release of chemicals and gases in receptor (air, water, land)</td>
<td>Process, equipment and system using chlorofluorocarbons (CFCs), including halon, should be phased out and to be disposed of in a manner consistent with the requirements of the Government.</td>
<td>Process, equipment and system design</td>
<td>Phase out schedule to be prepared in case still in use – Once in a quarter</td>
<td>UHBVNl</td>
<td>Construction period</td>
</tr>
<tr>
<td>Distribution line maintenance</td>
<td>Exposure to electromagnetic interference</td>
<td>Distribution line design to comply with the limits of electromagnetic interference overhead power lines</td>
<td>Required ground clearance (meters)</td>
<td>Ground clearance- once</td>
<td>UHBVNl</td>
<td>Construction period</td>
</tr>
<tr>
<td>Noise related</td>
<td>Nuisance to neighboring properties</td>
<td>Substation sited and designed to ensure noise will not be a nuisance</td>
<td>Noise Level (dB(a))</td>
<td>Noise level at boundary nearest to properties ad consultation with affected parties if</td>
<td>UHBVNl</td>
<td>Construction period</td>
</tr>
</tbody>
</table>
Table: Responsibility Allocation framework for the E&S Assessment & Management Process

<table>
<thead>
<tr>
<th>Milestone</th>
<th>Process</th>
<th>Output/ Indicators</th>
<th>Responsibility</th>
</tr>
</thead>
<tbody>
<tr>
<td>Internal</td>
<td>External</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Preparation/ Execution</td>
<td>Review</td>
<td>Approval after review</td>
<td>Preparation</td>
</tr>
</tbody>
</table>

### 1. Project Conceptualization

1. **Environmental & Social Screening and Scoping for distribution lines.**
   - Screen and scope distribution lines from an environmental & social perspective
   - E&S screening and scoping documents as part of FR
   - ESIC ESMU
   - ER&R
   - Internal Management Approval
   - Initial Environment Assessment Report

### 2. Environmental & Social approval
   - Submit FR (with E&S Screening & scoping details) to Internal Management Approval
   - Submit FR (with E&S Screening & scoping details) with Internal Management Approval for pre-appraisal by FA
   - Internal Mgt. Approval
   - Concurrence of funding agencies
   - ESIC ESMU
   - ER&R
   - Internal Management Approval
   - Pre-appraisal by FA

### 2. Project Planning

1. **Environmental & Social Screening and Scoping for Sub-stations**
   - Screen and scope Sub-stations sites from an environmental & social perspective
   - Public consultation
   - E & S Screening and Scoping reports for Sub-station sites
   - ESIC ESMU
   - ER&R
   - Internal Management Approval
   - Ext. Agency like revenue, forest dept etc. for social Screening & Scoping
### Environmental and Social Policy & Procedures

#### 2. Environmental Assessment & Management Planning
- To prepare an environmental & social management plan
  - distribution Lines
  - Sub-Station
  - Public Consultation

<table>
<thead>
<tr>
<th>Step</th>
<th>Activity</th>
<th>Responsible Authority</th>
</tr>
</thead>
<tbody>
<tr>
<td>1.</td>
<td>Environmental assessment management plan</td>
<td>ESIC, ESMU, ER&amp;R</td>
</tr>
<tr>
<td>2.</td>
<td>Internal Management Approval</td>
<td>State Forest Dept</td>
</tr>
</tbody>
</table>

#### 3. Forest Clearance
- Submit Forest Proposal to state Government Forest Proposal to MoEF for conditional approval
- Forward FP to MoEF for Final Forest Clearance

<table>
<thead>
<tr>
<th>Step</th>
<th>Activity</th>
<th>Responsible Authority</th>
</tr>
</thead>
<tbody>
<tr>
<td>1.</td>
<td>Final Forest Clearance by MoEF</td>
<td>ESIC, ESMU, ER&amp;R</td>
</tr>
<tr>
<td>2.</td>
<td>Internal Management Approval</td>
<td>RMoEF/MoEF</td>
</tr>
</tbody>
</table>

#### III Project Approval

<table>
<thead>
<tr>
<th>Step</th>
<th>Activity</th>
<th>Responsible Authority</th>
</tr>
</thead>
<tbody>
<tr>
<td>1.</td>
<td>Submit FR (with environmental assessment management plan and social screening and scoping details) to Funding Agencies</td>
<td>ESMU, ER&amp;R</td>
</tr>
<tr>
<td>2.</td>
<td>EAMP and social screening &amp; scoping (concurred as part of feasibility report) by FA</td>
<td>ESMU, ER&amp;R</td>
</tr>
<tr>
<td>3.</td>
<td>Internal Management Approval</td>
<td>Detailed appraisal and concurrence</td>
</tr>
</tbody>
</table>

#### IV Detailed Design & Award

<table>
<thead>
<tr>
<th>Step</th>
<th>Activity</th>
<th>Responsible Authority</th>
</tr>
</thead>
<tbody>
<tr>
<td>1.</td>
<td>To prepare a social assessment and management plan for -distribution Lines -Substations -Public Disclosure</td>
<td>ESMU, ER&amp;R, BOD</td>
</tr>
<tr>
<td>2.</td>
<td>Concurrence of FA for SAMP</td>
<td>ESMU, ER&amp;R</td>
</tr>
</tbody>
</table>

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## V. Project Implementation

<table>
<thead>
<tr>
<th>Step</th>
<th>Description</th>
<th>Environmental Management</th>
<th>ESIC</th>
<th>ER&amp;R</th>
<th>Internal Management Approval</th>
</tr>
</thead>
<tbody>
<tr>
<td>1.</td>
<td>Execution of Environmental Management works</td>
<td>Execute environmental management works</td>
<td>Environmental management measures executed</td>
<td>ESIC</td>
<td>ER&amp;R</td>
</tr>
<tr>
<td>2.</td>
<td>Execution of Social Management works</td>
<td>Execute social management works - distribution lines - Substations</td>
<td>Social management measures executed</td>
<td>ESIC</td>
<td>ER&amp;R</td>
</tr>
</tbody>
</table>

## VI. Operation & Maintenance

<table>
<thead>
<tr>
<th>Description</th>
<th>Environmental &amp; Social Monitoring</th>
<th>Periodic monitoring report</th>
<th>ESIC</th>
<th>ER&amp;R</th>
<th>Internal Management Approval.</th>
</tr>
</thead>
<tbody>
<tr>
<td>Monitor environmental assessment management plan measures.</td>
<td>Monitor social assessment &amp; management plan measures.</td>
<td></td>
<td>ESIC</td>
<td>ER&amp;R</td>
<td>Internal Management Approval.</td>
</tr>
</tbody>
</table>

## VII. Project Review

<table>
<thead>
<tr>
<th>Description</th>
<th>Annual Environmental &amp; Social Review</th>
<th>Periodic monitoring report</th>
<th>ESIC</th>
<th>ER&amp;R</th>
<th>Internal Management Approval.</th>
</tr>
</thead>
<tbody>
<tr>
<td>Review and report on environmental and social performance of project during construction operation and maintenance.</td>
<td>Annual environmental and social review report</td>
<td></td>
<td>ESIC</td>
<td>ER&amp;R</td>
<td>Internal Management Approval.</td>
</tr>
</tbody>
</table>
CHAIRPERSONS

b. The chairperson is the head of the 3 Power Utilities including UHBVN.

MANAGING DIRECTOR

c. The Managing Director is the Chief Executive Officer of the company and all the officers/officials of the Company exercise their power/perform their duties respectively under the superintendence & controlling of Managing Director and the Managing Director reports to the Chairman/Chairperson of Power Utilities.

OTHTER DIRECTORS

Director / Finance
d. Director/Finance is entrusted with the job of Controlling Commercial, Administration, Regulatory Finance, Accounts & Audit Function through General Manager/Commercial, Chief Accounts Officers, Chief Auditor, FA (Hqrs.), FA&CAO/MM,G.M./Regulatory Affairs/G.M./Admin. & Company Secretary. He/She reports to the Managing Director.

Director / Technical-I
e. The Director/Technical-I is entrusted with controlling the Construction, System Operation & Operational Work of the Company through CGM/PD&C, CGM/SO & Chief Engineer (OP), UHBVN, Panchkula. He also report to the Managing Director.

Director / Technical-II
f. The Director/Technical-II is entrusted with the job of Controlling the Material Management, Commercial Operations & other Operational work through CGM/MM, G.M./Comm.l.& Chief Engineer (OP), UHBVN, Rohtak. He also reports to the Managing Director.

Chief Engineer (OP), UHBVN, Panchkula
g. He is entrusted with the job of Controlling the Distribution of Power, Operation and Maintenance of 33/11 KV sub-stations and lines in (OP) Circles at Ambala, Yamuna Nagar, Kurukshetra, Karnal and Kaithal and he is assisted by the 5 SEs posted in these Circles. He is also the Cadre Controlling Authority of Technical Non-Gazetted employees of Field Cadre. He reports to Director/Technical-I.

Chief Engineer (OP), UHBVN, Rothak
h. He is responsible for distribution of Power (OP) & Maintenance of 33/ 11 KV sub-stations and lines in (OP) Circles at Rohtak, Sonepat, Jind, Panipat and Jhajjar. He is assisted by these SEs. In addition to this, he also control the M&P functions through SE/M&P, Delhi. He is the Cadre Controlling Authority of clerical non-gazetted employees. He reports to Director/Technical-II.

CGM/PD&C
i. He is entrusted with the control job of the development of the proposals for augmenting and the Distribution System through General Manager/ Planning & Design, G.M./Construction, Panchkula & Rohtak and XEN / Reforms.
CGM/MM

j. He is entrusted with job of compilation & finalization of Material Budget, Procurement of Material and its proper storage repair of damaged distribution transformers, procurement of printing and stationary and medicines for the Nigam. He is assisted by G.M./P-I&II at Panchkula and SE/S&W at Dhulkote (Ambala).

Chief Accounts Officer

k. He manages the Accounts of the Company and is responsible for monthly, annual, profits & loss account of the company and gets its audited from CAG. He is Cadre Controlling Authority of Section Officer & Accounts. He is assisted by the Sr. AOs & AOs. He reports to Director / Finance.

Chief Auditor

l. He is looking after internal audit functions and coordination work of audit reports of C&AG of India and COPU paras. Two Senior Accounts Officers and Two Accounts Officer assist him for carrying out the above functions. The Organization chart of Chief Auditor is attached as (Annexure-II-A).

Financial Advisor / Headquarters

m. He is looking after the work of Managing Funds from Financial Institutions and Banks. Besides this, he is looking after the Finance and Budget of the Company and monitors the Revenue collection of the Company. FA / Hqrs. is assisted by three Senior Accounts Officers and Two Accounts Officers for managing of receipt of funds, disbursement of Funds and other allied banking and loan matters. The organizational chart of FA / Hqrs is attached as (Annexure-III).

Financial Advisor & Chief Accounts Officer/MM

n. FA&CAO / MM is heading the pre-audit and central payment cell Section of Material Management Organization. He is responsible for pre-audit and other financial regularities in respect of the Tender received, pre-auditing of the Purchase orders issued by the Chief Engineer / MM, releasing of funds from FA/Hqrs. for payment of the material and custodian of bank guarantees/agreements if any, furnished by the Firms.

General Manager/Administration

o. The function of General Manager/Admn. at present are being performed by the Company Secretary of the Nigam. He is responsible for all the Administrative functions which include the settlement of all Estt. matters of the employees Viz rectt., promotions, transfers, regulating the ACRs, Pension family pension, crossing of EB issuing of SCN/CS/Explanations, Punishment & aped and other Estt. work involving legal matters and for this he reports to Managing Director & respective Directors for his function and duties.
Company Secretary

p. Company Secretary looks after the work of compliance of the legal provisions of the Companies Act, 1956. He deals with the Registrar of companies, Comptroller and Auditor General of India, Department of Company Affairs and various Central and State Government Department. He arranges various meetings i.e. meeting of Board of Directors, Whole-Time-Directors, Audit Committee and share holders of the company. He is also preparing and maintaining the minutes of the above meetings. He is also looking after other Board matters from time to time.

Chief Public Relations Officer.

q. Public Relations wing is responsible for liaison with the media in-order to manage fair image of the organization and to create awareness among the electricity consumers. It manages inaugurations/foundation stones laying ceremonies for setting up new power sub-stations, besides printing of Brochures folders and other publicity material and publication of notices inviting tenders in newspapers for procurement of material.

Legal Wing

r. Legal matters of UHBVN are being looked after by L.R., HVPN who is common for all the Power Utilities. He is advising the Company on all legal matters. He is assisted by One Under Secretary, Three Law Officers allocated to UHBVN for advising on legal matters.

Medical Wing

s. There are two Health Centres in the UHBVN one at Karnal and the other at Rohtak. Medical Wing of UHBVN is looked after by CMO, HVPN who is looking after the medical facilities of all Power Utilities. CMO, HVPN being the Head of the Medical Wing is coordination the work of all Health Centres. He is arranging/procuring the medicines besides looking after the administrative and other functions of the Medical Wing.

Vigilance & Security Wing

t. Vigilance & Security of UHBVN is looking after DIG/Vigilance & Security HVPN who is common for all the Power Utilities.

DGM-IT/MIS & Monitoring

u. DGM-IT/MIS& Monitoring is responsible for building an IT infrastructure that is complementary to the organization’s requirements, particularly in the direction of increasing commercial focus and customer orientation and developing formats of MIS for regular reporting to the Board of Directors for the commercial, finance, accounts operations and projects related areas.

Dy. Secretary Technical/Transport & Maintenance

The post of Dy. Secretary is of the level of Executive Engineer. He is assisting the Director/Technical by compiling all the technical data. He is looking after the work of Assembly questions, works relating to maintenance of Head Office buildings, vehicles at Head Office and the hospitality affairs at Head Office.