**United Nations Office for Project Services**

**(UNOPS)**

**Zimbabwe Idai Recovery Project (P154861)**

**ENVIRONMENTAL and SOCIAL**

**COMMITMENT PLAN (ESCP)**

**12.June 2019**

**UNOPS**

**Zimbabwe Idai Recovery Project (P154861)**

**ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN**

1. The United Nations Office for Project Services (UNOPS) is planning to implement the Zimbabwe Idai Recovery Project (the **Project**), with the involvement of the following agencies as service providers/technical leads: World Food Programme (WFP), World Health Organization (WHO), UN Food and Agricultural Organization (FAO), United Nations Children’s Fund (UNICEF). The International Development Association (hereinafter the Bank or the World Bank) has agreed to provide financing for the Project.
2. UNOPS will implement material measures and actions so that the Project is implemented in accordance with the World Bank Environmental and Social Standards (**ESSs**). This Environmental and Social Commitment Plan (**ESCP**) sets out a summary of the material measures and actions.
3. Where the ESCP refers to specific plans or other documents, whether they have already been prepared or are to be developed, the ESCP requires compliance with all provisions of such plans or other documents. In particular, the ESCP requires compliance with the provisions set out in the Environmental and Social Management Framework (ESMF) that will be developed for the Project.
4. The table below summarizes the material measures and actions that are required as well as the timing of the material measures and actions. UNOPS is responsible for compliance with all requirements of the ESCP even when implementation of specific measures and actions is conducted by the Ministry, agency or unit referenced in 1. above.
5. Implementation of the material measures and actions set out in this ESCP will be monitored and reported to the Bank by UNOPS as required by the ESCP and the conditions of the legal agreement, and the Bank will monitor and assess progress and completion of the material measures and actions throughout implementation of the Project.
6. As agreed by the Bank and UNOPS, this ESCP may be revised from time to time during Project implementation, to reflect adaptive management of Project changes and unforeseen circumstances or in response to assessment of Project performance conducted under the ESCP itself. In such circumstances, UNOPS will agree to the changes with the Bank and will update the ESCP to reflect such changes. Agreement on changes to the ESCP will be documented through the exchange of letters signed between the Bank and the UNOPS. The UNOPS will promptly disclose the updated ESCP. Depending on the project, the ESCP may also specify the funding necessary for completion of a measure or action.
7. Where Project changes, unforeseen circumstances, or Project performance result in changes to the risks and impacts during Project implementation, the UNOPS shall provide additional funds, if needed, to implement actions and measures to address such risks and impacts, which may include environmental, health, and safety impacts, and gender-based violence.

| **Zimbabwe Idai Recovery Project (P154861)**  **ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN**  **DATE 22.5.2019** | | | | | | |
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| **Summary of the Material Measures and Actions to Mitigate the Project’s Potential Environmental and Social Risks and Impacts** | | | **Timeframe** | **Responsibility / Authority and Resources/Funding Committed** | | **Date of Completion** |
| **ESCP Monitoring and Reporting** | | | | | | |
|  | **REGULAR REPORTING**: Prepare and submit regular monitoring reports on the implementation of the ESCP. | | Quarterly reporting throughout Project implementation to the World Bank.  Service Providers/technical leads report to UNOPS on a monthly basis. | Responsibility: UNOPS Environment & Social Team  Accountability: UNOPS PMU Team Leader.  Funding: Project Funds | | Quarterly throughout the Project implementation |
|  | **INCIDENTS AND ACCIDENTS NOTIFICATION**: Promptly notify any incident or accident related or having an impact on the Project which has, or is likely to have, a significant adverse effect on the environment, the affected communities, the public or workers. Provide sufficient detail regarding the incident or accident, indicating immediate measures taken to address it, and include information provided by any contractor and supervising entity, as appropriate.  Following the UNOPS classification of incidents (UNOPS EOI.CSG.2017.02 HSE Incident Reporting) | | Report Class 1[[1]](#footnote-1) incidents to the World Bank within 48 hours after an incident or accident has occurred in line with UNOPS procedures and the World Bank’s  Environment and Social Incidence Response Toolkit (ESIRT) to the World Bank, after taking notice of the incident or accident.  Report Class 2 incidents to the World Bank in quarterly reports. | Responsibility: UNOPS Environment & Social Team  Accountability: UNOPS PMU Team Leader.  Funding: Project Funds | | Throughout the Project implementation |
| **SUMMARY ASSESSMENT** | | | | | | |
| **ESS 1: ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIAL RISKS AND IMPACTS** | | | | | | |
| 1.1 | **ORGANIZATIONAL STRUCTURE**: Appoint an experienced Environmental and Social Safety Specialist with more than eight (8) years of experience in carrying out environmental and social assessments of infrastructure projects, and an Environmental and Social Safety Officer, to be full time dedicated to the project.  Mobilize additional staff needed on short-term or long-term assignment in accordance with ESMF institutional assessment/needs, including subject matter specialists on GBV/SEA, labor conditions (health & safety), impact on river systems, and social inclusion. | | 14 days after World Bank Board approval of the Project.  30 days after World Bank Board approval and before commencement of project activities.  Maintain throughout project implementation. | Accountability: UNOPS PIU Fund Manager / UNOPS Team at Kenya Multi Country Office  Funding: Project Funds | | Environmental and Social Safety Specialist and Environmental and Social Safety Officer to be retained until the Project is completed |
| 1.2 | **ENVIRONMENTAL AND SOCIAL ASSESSMENT:** Scoping of key environmental and social risks and impacts of the Project and identify appropriate mitigation measures to be included in the Environmental and Social Management Framework (ESMF) described in 1.3.  Undertake site-specific environmental and social risk screening and impact assessments for sub-projects | | Prior to World Bank Board presentation scheduled for July 8th, 2019.  Prior to commencement of sub-projects | Responsibility: UNOPS PIU Fund Manager / UNOPS Team at Kenya Multi Country Office  Funding: Project Funds | | As part of ESMF revisions and ongoing throughout Project assessing the impacts according to ESMF procedures |
| 1.3 | **MANAGEMENT TOOLS AND INSTRUMENTS:** Prepare, consult on and disclose an Environmental and Social Framework (ESMF) which establishes procedures for screening E&S risks and impacts of sub-projects, preparation of site-specific ESIA/ESMPs, including management and monitoring of mitigation measures, Construction ESMPS (CESMPs) and Environmental Codes of Practices (ECOPs) satisfactory to the World Bank.  Develop, adopt and disclose a Stakeholder Engagement Plan (SEP) satisfactory to the World Bank  Update the Stakeholder Engagement Plan (SEP) with detailed GRM  Prepare site-specific ESIAs/ESMPs in line with the screening and assessment guidelines provided in in the ESMF described in 1.3. | | Prior to World Bank Board Presentation scheduled for 8 July 2019  17 June 2019  Prior to effectiveness and throughout project implementation  Prior to commencement of civil works/project activities | Responsibility: UNOPS PIU Fund Manager/ UNOPS Team at Kenya Multi Country Office  Funding: Project Funds | | Implemented throughout Project implementation |
| 1.4 | **MANAGEMENT OF CONTRACTORS:** Develop and implement procedures for managing contractors and subcontractors by the following:   * environmental and social requirements will be included in the procurement and contracting process including bidding documents, for potential civil works. * relevant requirements are included in contracts and subcontracts consistent with the requirements of ESSs; * codes of conduct are required for contractors and subcontractors and their workers; * preparation of a detailed construction-ESMP (C-ESMP) that is costed, with sufficient budget to mitigate E&S risks * monitor contractor commitment and compliance with ESSs * providing grievance redress mechanisms for contractor and subcontractor, communities and other stakeholders * ensure contractors provide details on contractor’s oversight on environmental, social, health and safety (ESHS) performance * contractor to develop a grievance mechanism to handle concerns of their employees * contractor shall prepare a Labor Management Plan (LMP) | | Prior to the preparation of procurement documents.  Maintain procedures throughout Project implementation | Responsibility: UNOPS Environment & Social Team  Accountability: UNOPS PIU Fund Manager.  Funding: Project Funds | | *Ongoing throughout Project implementation* |
| 1.5 | **PERMIT, CONSENTS AND AUTHORIZATIONS**: Obtain or assist in obtaining, as appropriate, the permits, consents and authorizations that are applicable to the Project from relevant national authorities.  Comply or cause to comply, as appropriate, with the conditions established in these permits, consents and authorizations throughout Project implementation.  Obtain Environmental Compliance Certificate from EMA in line with the Zimbabwe Environmental Management Act. | | Prior to initiating activities that require permits, consents and authorizations.  Prior to procurement of contractors. | Responsibility: UNOPS Environment & Social Team  Accountability: UNOPS PIU Fund Manager.  Funding: Project Funds | | Ongoing throughout Project implementation |
| 1.6 | **THIRD PARTY MONITORING**:  An Independent Verification Agent (IVA) will be engaged to provide independent review of environmental and social performance of the sub-projects, including adherence to all aspects of the Project Operations Manual. | | With commencement of Project activities | Responsibility: UNOPS Environment & Social Team  Accountability: UNOPS PIU Fund Manager.  Funding: Project Funds | | Throughout Project implementation |
| **ESS 2: LABOR AND WORKING CONDITIONS** | | | | | | |
| 2.1 | **LABOR MANAGEMENT PROCEDURES**:  Develop outline of Labor Management Procedures (LMP) as part of the ESMF  Refine LMP based on assessment results | | Prior to World Bank Board presentation scheduled for July 8th, 2019.  Prior to effectiveness | Responsibility: UNOPS Environment & Social Team  Accountability: UNOPS PIU Fund Manager.  Funding: Project Funds | | *Throughout Project implementation* |
| 2.2 | **GRIEVANCE MECHANISM FOR PROJECT WORKERS**:  Develop and maintain a grievance redress mechanism (GRM) for direct project workers, including contracted and community workers as part of the LMP | | GRM operational prior to engaging Project workers. | Responsibility: UNOPS Environment & Social Team  Accountability: UNOPS PIU Fund Manager.  Funding: Project Funds | | Maintained throughout Project implementation |
| 2.3 | **OHS MEASURES**:  Develop and implement occupational, health and safety (OHS) measures, based on World Bank EHS Guidelines, as part of the ESMF | | Prior to World Bank Board presentation scheduled for July 8th, 2019.  Maintained throughout Project implementation | Responsibility: UNOPS Environment & Social Team  Accountability: UNOPS PIU Fund Manager.  Funding: Project Funds | | *Maintained throughout Project implementation.* |
| 2.4 | **EMERGENCY PREPAREDNESS AND RESPONSE**: As part of the OHS measures specified in 2.3, include measures on Emergency Preparedness and Response, and ensure coordination with measures under 4.5. Ensure workers and contractors are trained and implement the plan. | | Prior to World Bank Board presentation scheduled for July 8th, 2019.  Maintained throughout Project implementation | Responsibility: UNOPS Environment & Social Team  Accountability: UNOPS PIU Fund Manager  Funding: Project Funds | | *Maintained throughout Project implementation.* |
| 2.5 | **PROJECT WORKERS TRAINING**: Implement training of Project workers designed to heighten awareness of risks and to mitigate impacts on local communities, as defined in ESMF, LMP and GBV action plan. | | Prior to initiating construction, with regular refresher training.  Maintained throughout Project implementation | Responsibility: UNOPS Environment & Social Team  Accountability: UNOPS PIU Fund Manager.  Funding: Project Funds | | *Maintained throughout Project implementation* |
| **ESS 3: RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT** | | | | | | |
| 3.1 | **MANAGEMENT OF WASTE AND HAZARDOUS MATERIALS:**  As part of the ESMF, develop and implement measures and procedures for managing waste and hazardous materials during demolition, construction and disposal. Contractors will prepare a waste management plan as part of the CESMP. | | Prior to initiating construction.  Maintained throughout Project implementation | Responsibility: UNOPS Environment & Social Team  Accountability: UNOPS PIU Fund Manager.  Funding: Project Funds | | *Maintained throughout Project implementation.* |
| **ESS 4: COMMUNITY HEALTH AND SAFETY** | | | | | | |
| 4.1 | **TRAFFIC AND ROAD SAFETY**:  In consultation with the host communities, develop and implement road safety management measures as part of the ESMF. Contractors will develop road safety management plan as part of the CESMP to address the impacts on local communities of moving construction equipment. | | General approach will be outlined in the ESMF, site-specific plans prior to initiating construction.  Maintained throughout Project implementation | Responsibility: UNOPS Safeguards Team  Accountability: UNOPS PIU Fund Manager.  Funding: Project Funds | | Maintained  throughout Project implementation. |
| 4.2 | **COMMUNITY HEALTH AND SAFETY:** Measures and actions to assess and manage specific risks and impacts outlined in the ESMF and subsequent ESMPs. Contractors will prepare a Health and Safety Plan as part of the CESMP. | | Prior to initiating construction.  Maintained throughout Project implementation | Responsibility: UNOPS Environment & Social Team  Accountability: UNOPS PIU Fund Manager.  Funding: Project Funds | | Maintained throughout Project implementation. |
| 4.3 | **GBV AND SEA RISKS**: Undertake gap assessment of GBV/SEA/Child Protection risks and identify mitigation measures and actions and develop and implement a GBV/SEA/Child Protection Action Plan.  Conduct a quality assessment of the current GBV and child protective referral pathway service providers make available in the project-affected communities using good practice standards of care. | | Prior to effectiveness  Prior to initiating construction and maintained throughout implementation | Responsibility: UNOPS Environment & Social Team  Accountability: UNOPS PIU Fund Manager.  Funding: Project Funds | | *Maintained throughout Project implementation.* |
| 4.4 | **GBV AND SEA RISKS DURING PROJECT IMPLEMENTATION**: There will be GBV procedures in place, including training and monitoring, pre-deployment and during project implementation. This will be defined in the ESMF and through a GBV action plan | | Prior to initiating construction and maintained throughout Project implementation | Responsibility: UNOPS Environment & Social Team  Accountability: UNOPS PIU Fund Manager.  Funding: Project Funds | | *Maintained throughout Project implementation.* |
| 4.5 | **EMERGENCY RESPONSE MEASURES**: Measures will be defined in the ESMF and subsequent ESMPs and included as part of the SEP | | Prior to initiating construction.  Maintained throughout Project implementation | Responsibility: UNOPS Environment & Social Team  Accountability: UNOPS PIU Fund Manager.  Funding: Project Funds | | Maintained throughout Project implementation. |
| 4.6 | **SECURITY PERSONNEL**: No expectation of security personnel, however, procedures will be outlined in the ESMF in particular the LMP  In the event that security personnel is used for the sub-projects, the contractor shall develop and implement measures and actions to assess and manage the risks to human security of project-affected communities and project workers that could arise from the use of security personnel. | | Prior to initiating construction  Maintained throughout Project implementation | Responsibility: UNOPS Environment & Social Team  Accountability: UNOPS PIU Fund Manager.  Funding: Project Funds | | *Maintained throughout Project implementation.* |
| 4.7 | **TRAINING FOR THE COMMUNITY:** Outlined in SEP   * UNOPS and Technical Advisors shall conduct trainings for the community designed to heighten awareness of environmental and social risks and impacts and mitigation measures including trainings on (not exhaustive): * Communicable diseases/HIV-AIDS/STI/GBV awareness and prevention * Community grievance redress mechanism as described in the SEP/ ESMF * Road Safety Awareness | | Prior to initiating construction, with regular refresher training. | Responsibility: UNOPS Environment & Social Team  Accountability: UNOPS PIU Fund Manager.  Funding: Project Funds | | *Maintained throughout Project implementation.* |
| **ESS 5: LAND ACQUISITION, RESTRICTIONS ON LAND USE AND INVOLUNTARY RESETTLEMENT** | | | | | | |
| 5.1 | **LAND ACQUISITION AND RESETTLEMENT:** The project activities are not expected to require land acquisition, restrictions on land use or involuntary resettlement. Any proposed activities that will induce such adverse impacts will be ineligible for project financing. In the event that land is needed, it will be identified through a participatory community process. The ESMF will outline screening procedures and voluntary approaches to this effect. | | Before start of activities and release of funds  Maintained throughout Project implementation | Responsibility: UNOPS Environment & Social Team  Accountability: UNOPS PMU Team Leader.  Funding: Project Funds | | Maintained throughout Project implementation. |
| **ESS 6: BIODIVERSITY CONSERVATION AND SUSTAINABLE MANAGEMENT OF LIVING NATURAL RESOURCES** | | | | | | |
| 6.1 | BIODIVERSITY RISKS AND IMPACTS:  As part of the ESMF, develop and implement screening procedures for biodiversity risks and impacts likely to occur from implementing project activities. | | Prior to World Bank Board presentation scheduled for July 8th, 2019.  Prior to commencing project activities. | Responsibility: UNOPS Environment & Social Team  Accountability: UNOPS PIU Fund Manager.  Funding: Project Funds | |  |
| **ESS 7: INDIGENOUS PEOPLES/SUB-SAHARAN AFRICAN HISTORICALLY UNDERSERVED TRADITIONAL LOCAL COMMUNITIES** | | | | | | |
| This standard is not relevant currently as there are no distinct social and cultural groups have been identified in accordance with ESS7 and in the national context in the project area | | | | | | |
| **ESS 8: CULTURAL HERITAGE** | | | | | | |
| 8.1 | **CHANCE FINDS**: Develop and implement Chance Find Procedures as part of the ESMF. | | Prior to and maintained  throughout construction. | Responsibility: UNOPS Environment & Social Team  Accountability: UNOPS PIU Fund Manager  Funding: Project Funds | | Maintained throughout Project implementation. |
| **ESS 9: FINANCIAL INTERMEDIARIES** | | | | | | |
| This standard is not relevant, as the project has no financial intermediaries | | | | | | |
| **ESS 10: STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE** | | | | | | |
| 10.1 | **SEP PREPARATION**:  Prepare and disclose SEP, and continuously update.  Develop specific plans per sector. | | 17 June 2019 and updated regularly throughout Project implementation  Prior to commencement of activities | Responsibility: UNOPS Team | | Throughout project implementation |
| 10.2 | **SEP IMPLEMENTATION**: Implement the SEP. | | Following disclosure | Responsibility: UNOPS Environment & Social Team  Accountability: UNOPS PIU Fund Manager.  Funding: Project Funds | | Throughout project implementation |
| 10.3 | **PROJECT GRIEVANCE MECHANISM**: Develop the framework for the grievance mechanism, as part of the SEP  Finalize and implement a detailed GRM based on assessment of existing systems | | 17 June 2019  Prior to effectiveness | Responsibility: UNOPS Environment & Social Team  Accountability: UNOPS PIU Fund Manager.  Funding: Project Funds | | Throughout Project implementation |
| **Capacity Support** | | | | | | |
| **Specify Training to be provided** | | **Specify Targeted Groups and Timeframe for Delivery** | | | **Specify Training Completed** | |
| All capacity building activities and trainings will be further detailed in the ESMF, trainings may be required in:   * Stakeholder engagement * Subprojects Environmental and Social screening * Occupational and Community Health and Safety * Emergency preparedness and response * Gender-Based Violence Risk Mitigation * Disability inclusion training * Grievance Management * Implementation, Monitoring and reporting of ESMPs * Labor management procedures | | UNOPSand Technical Leads, Project Consultants, Contractors and workers, communities. This will be done before commencement of civil works. | | | To date one UNOPS colleague was were trained on the new ESF during the development partners training workshop in Frankfurt in April 2018. This training will need to be extended to UNOPS team soon after effectiveness of the project. | |

1. Class one incidents are major incidents which are fatal, may result to lost time or major environmental issues will class two are those which may cause minor damage e.g. reportable social, minor environmental and or minor injuries. [↑](#footnote-ref-1)