PROCUREMENT PLAN

WEST BENGAL MAJOR IRRIGATION & FLOOD MANAGEMENT PROJECT

IRRIGATION & WATERWAYS DEPARTMENT WEST BENGAL

I. <u>General</u>

1. Project information

Country : India

Borrower : Government of India

Project Name : West Bengal Major Irrigation & Flood management

Project

Project Identification No (ID) : P162679

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Project Implementing Agency (PIA): Irrigation & Waterways Department

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2. Bank's approval Date of the Initial:

Procurement Plan

3. Date of General Procurement Notice: January 17,2017

4. Applicable Bank Guideline:

For Goods ,Works ,Consultancy and Non-consulting Services : Procurement Regulations for IPF Borrowers , July 2016

Period covered by this procurement plan: 18 months from ----- to ------.

II. Goods, Works and Non-Consulting Services

Category	Method of Procurement	Threshold (US\$ Equivalent)*	Prior review threshold
Goods and Non- consulting	International competitive Bidding	Contracts more than the equivalent of US\$ 3 million per contract	All ICB, LIB and Framework
services	Limited International Bidding	As per para 6.12 of the Procurement regulations for IPF Borrowers ,July 2016 .	Contracts . All other contracts
	National competitive Bidding	Contracts more than the equivalent of US\$ 100,000 but less than the equivalent of US\$ 3 million per contract and a s per para 6.15 to 6.18 of the Procurement regulations for IPF Borrowers ,July 2016 (with NCB conditions)	equal to or greater than US\$1.5million equivalent;
	Shopping **	Contracts equivalent of US\$ 100,000 or less per contract.	
	Direct Selection	Proprietary software; educational journals and other procurement justified as per para 6.8 to 6.10 of the <i>Bank procurement regulation for IPF Borrowers</i> .	
	Force Account	As per para 3.9 of Guidelines	
	Community Driven Development #	As per para 6.52-6.53 of Bank procurement regulation for IPF Borrowers	
	Framework Agreements@	As per para 6.57 to 6.59 of Bank procurement regulation for IPF Borrowers Guidelines	
Works	International Competitive Bidding	Civil works more or equivalent of US\$40,000,000 per contract	All ICB contracts and Force Account
	National competitive Bidding	Civil works more than \$10000 and Up to \$40,000,000 per contract (with NCB conditions)	contracts. All other contracts
	Shopping**	Civil works Up to \$100,000 per contract	equal to or greater than US\$5 million
	Direct Selection	as per para 6.8 to 6.10 of the Bank procurement regulation for IPF Borrowers.	equivalent
	Community Driven Development (CDD)#	As per para 6.52-6.53 of Bank procurement regulation for IPF Borrowers	
	Force Account	As per para 6.54 to 6.55 of Bank procurement regulation for IPF Borrowers	

Category	Method of Procurement	Threshold (US\$ Equivalent)*	Prior review threshold
Consultan ts' Services	Consultant Qualification /Least cost selection	Contracts Up to \$300,000 or less per contract	All contracts equal to or greater than US\$500,000 equivalent for
	Direct selection	As per para 7.13 to 7.15 of Bank procurement regulation for IPF Borrowers	firms; and equal to or greater than
	Individual Contracts Quality and cost Based selection /Quality Based Selection /Fixed	As per para 7.34 to 7.39 of Bank procurement regulation for IPF Borrowers for All other Contracts	US\$200,000 equivalent for individuals
	Based Selection (i) International shortlist	>\$800,000	
	(ii) Shortlist may comprise national consultants only	Up to \$800,000	

^{*} If a transaction comprises several contracts, lots or slices, the aggregate estimated value of all contracts, lots or slices will determine the applicable threshold amount#

- **.#Community Driven Development would be based on World Bank** "Guidance Note For Management of Procurement Responsibilities In Community-Driven Development Projects dated December 2009 and as included in the Procurement manual.
- 2. Pre-qualification: Not Applicable
- 3. Reference to Project Procurement Manual: A Procurement Manual based on World Bank Procurement Regulations for IPF Borrowers, July 2016 shall be prepared. All procurements in the project will be governed by the procedures described in the Manual. In case of any inconsistency between the Procurement Manual and the World Bank Procurement Regulation for IPF Borrower, July 2016, the provisions of the Regulation shall prevail.
- 4. Any Other Special Procurement Arrangements (Goods & Works):

^{**}State Rate Contracts cannot be used at par with Shopping. If state rate contract exists for an item, the same can be considered as one of the 3 quotations to be sought under shopping procedures.

- A. Contracts procured in advance will be financed under retroactive financing within the specified limits, as agreed to in the Credit Agreement provided they are procured in accordance with agreed processes and procedures for the project and have been cleared as part of procurement plan.
- B. National Competitive Bidding (NCB) method for procurement of goods, works and non-consulting services as per the above value thresholds will be conducted in accordance with paragraph 3.3 and 3.4 of the World Bank Procurement Guidelines and the following provisions:
 - (i) Only the model bidding documents for NCB agreed with the GOI Task Force (and as amended for time to time), shall be used for bidding;
 - (ii) Invitations to bid shall be advertised in at least one widely circulated national daily newspaper (or on a widely used website or electronic portal with free national and international access) along with an abridged version of the said advertisement published in a widely circulated national daily inter-alia giving the website/electronic portal details from which the details of the invitation to bid can be downloaded), at least 30 days prior to the deadline for the submission of bids:
 - (iii) No special preference will be accorded to any bidder either for price or for other terms and conditions when competing with foreign bidders, state-owned enterprises, small-scale enterprises or enterprises from any given State.
 - (iv) Except with the prior concurrence of the Bank, there shall be no negotiation of price with the bidders, even with the lowest evaluated bidder;
 - (v) Extension of bid validity shall not be allowed with reference to contracts subject to prior review without the prior concurrence of the World Bank (i) for the first request for extension if it is longer than four weeks; and (ii) for prior approved packages, all subsequent requests for extension irrespective of the period. (Such concurrence will be considered by the Bank only in cases of Force Majeure and circumstances beyond the control of the Purchaser/Employer);
 - (vi) Re-bidding shall not be carried out with reference to Contracts subject to Bank prior review without the prior concurrence of the Bank.
 - (vii) The system of rejecting bids outside a pre-determined margin or "bracket" of prices shall not be used in the project.
 - (viii) Rate contracts entered into by Directorate General of Supplies and Disposals will not be acceptable as a substitute for NCB procedures unless agreed with the Bank on case to case basis. Such contracts will be acceptable however for any procurement under the Shopping procedures.
 - (ix) Two or three envelop system will not be used (except when using e-Procurement system assessed and agreed by the Bank).
 - (x) As per 1.1(e) of World Bank Procurement Guidelines, the Association's right to inspect accounts and records of the bidders, suppliers and contractors will be included in the bidding document.

III) Selection of Consultants

1. Methods and Value thresholds for Consultancy Services

Consulting Services	Methods	Value Threshold*/Regulation Provision	Review Arrangements
Consulting Services (Firms)	 i) Quality and Cost Based Selection (QCBS) ii) Quality Based Selection (QBS) iii) Selection based on a Fixed Budget (FBS) iv) Selection Based on Least Cost Basis (LCS) 		First QCBS Contract irrespective of value and all subsequent contracts valued above US\$ 500,000 will be subject to Prior Review by the World Bank. All other contracts will be subject to post review. All Direct selection in accordance with para 7.13 to
	v) (Selection based on Consultant's Qualification (CQS)	Contracts estimated to cost equivalent of US\$ 300,000 or less per contract.	7.15 of the Bank Procurement Regulation for IPF Borrowers.
	vi) Direct Selection	In accordance with para 7.13 to 7.15	
Individual Consultants	i) Competitive Selection	Procurement to be in accordance with para 7.34 to 7.38 of Bank procurement regulation for IPF Borrowers	All contracts valued above US\$ 500,000 will be subject to Prior Review by the World Bank. All other contracts will be subject to post review.
	ii) Direct Selection #	as per para 7.39 of Bank procurement regulation for IPF Borrowers	All Direct selection to be Prior approved in procurement plan and in accordance with para 7.39 of the Bank Procurement Regulation for IPF Borrowers.

^{*} If a transaction comprises several packages, lots or slices, the aggregate estimated value of contracts will determine the applicable threshold amount.

- **2. Short list comprising entirely of national consultants**: Short list of consultants for services, estimated to cost less than <u>US \$800,000</u> equivalent per contract, may comprise entirely of national consultants in accordance with the provisions of Para 2.7 the World Bank Consultant Guidelines.
- 3. Any Other Special Selection Arrangements (Consulting Services):

[#] Irrespective of contract value any hiring of Government or Research Institutes of unique and exceptional nature requires prior no objection of the World Bank on case to case basis.

- (a) Contracts procured in advance will be financed under retroactive financing within the specified limits, as agreed to in the Credit Agreement provided procurement is in accordance with agreed processes and procedures for the project.
- (b) Requests for Expression of Interest (REOI) for assignments expected to cost more than US\$ 300,000 shall be advertised in UNDB online in accordance with Para 2.5 of the Consultant Guidelines.
- (c) With respect to each contract for the employment of individual consultants estimated to cost the equivalent of US \$ 30,000 or more, the qualifications, experience, terms of reference and terms of employment of the consultants shall be furnished to the World Bank for its prior review and No Objection.

iv) Implementing Agency Capacity Building Activities with Time Schedule

S. No.	Expected outcome / Activity Description	Start Date	Comments
1	Training in World Bank online STEP system	June 2017	Completed. 12 officials received STEP training
2	Trainings in Bank Procurement at ASCI	December 2017	
3	Training in New Procurement Framework	December 2017	

PROCUREMENT India: West Bengal Major Irrigation and Flood Management Project General Information

India Benke Approval Date of the Original Procurement Plan: 2017-08-02
P162679 GPN Date:
West Bengal Major Irrigation and Flood Management Project

Project ID: P162679
Project Name: West Bengal Major Irrigation and Flood
Loan / Credit No:
Executing Agency(lee): Irrigation and Waterways Department

WORKS																											
Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Procurement Process	Prequalification (Y/N)	Estimated Amount (US\$)	Actual Amount (US\$)														tion Report nmendation ward	Signed	Contract	Contract (Completic
										Planned	Actual	Planned	Actual	Planned	Acti												

GOODS																		
Activity Reference No. / Description Loan / C	Credit No.	Component	Review Type	Method	Market Approach	Procurement Process	Prequalification (Y/N)	Estimated Amount (US\$)	Actual Amount (US\$)							Bid Evaluation Report and Recommendation for Award		Contract Completion
										Planned Actual	Planned Actual	Planned Actual						

NON CONSULTI	NG SERVICES																											
Activity Reference Description		No. C	Component	Review Type	Method	Market Approach	Procurement Process	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Draft Pre-q Docum			lification on Report	Draft Biddin / Justif	ng Document lication	Notice / I	nvitation	Issu		Proposal So Opening		Bid Evalua and Recon for A	mendation	Signed (Contract	Contract C	Completion
											Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual

CONSULTING FIRMS	3																										
Activity Reference No./ Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Contract Type	Estimated Amount (US\$)			itus i rerms of Reference				Short List and Draft Request for Proposals			r Proposals ssued	Opening o	of Technical s / Minutes	Evaluation Prop		Combined Report a Negotiate	and Draft	Signed	Contract	Contract 0	Completion
										Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual			Planned	Actual	Planned	Actual
IN-IWD-18735-CS-QCBS / Preparation of feasibility study, detailed engineering design and bidding documents for imigation rejuvenation and flood management in the Damodar Command areas and Lower Damodar Sub-basin in the State of West Bengal in India.			Prior	Quality And Cost- Based Selection	Open - International		597,015.00	0.00	Under Implementation	2017-06-21	2017-08-07	2017-06-23	2017-08-25	2017-06-27	2017-08-29			2017-06-29	2017-04-05	2017-07-01	2017-09-05	2017-07-05		2017-07-22		2018-10-15	
IN-IWD-18748-CS-QCBS / Environmental and Social Impac Analysis by a separate consultancy organisation to supplement the feasibility study report of WBMIFMP.	ž		Prior	Quality And Cost- Based Selection	Open - International		373,134.00	0.00	Under Implementation	2017-06-21	2017-08-09	2017-07-01	2017-08-14	2017-08-05	2017-10-25			2017-09-02	2017-11-24	2017-10-02	2017-12-31	2017-11-06		2017-12-11		2018-07-09	
IN-IWD-44796-CS-LCS / Selection of Consultancy firm for "Providing support to the State Project Management Unit (SPMU) of WBMIFMP during project preparation period" in the State of West Bengal in India.			Post	Least Cost Selection	n Open - National		183,456.00	0.00	Canceled	2018-01-19	2018-01-19	2018-01-19	2018-02-21	2018-02-01	2018-02-05			2018-03-07	2018-04-03	2018-03-14	2018-04-03	2018-03-28		2018-04-25		2019-02-19	

INDIVIDUAL CONSU	TANTS																		
Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Contract Type	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Terms of F	Reference	Invitat Identified Cons	Selected	Draft Ne Con		Signed (Contract	Contract Co	ompletion
										Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual