# IN: UTTARAKHAND DISASTER RECOVERY PROJECT (UDRP) (P146653)

## I. General

- 1. Bank's approval Date of the procurement Plan: Original: October 4, 2013
- 2. **Date of General Procurement Notice**: November 13, 2013 (revised)
- 3. **Period covered by this procurement plan**: The procurement period of project covered from Year October 2013 to March 2015

# II. Goods and Works and non-consulting services.

**1. Prior Review Threshold**: Procurement Decisions subject to Prior Review by the Bank as stated in Appendix 1 to the Guidelines for Procurement:

	Procurement Method	Prior Review Threshold US\$	Comments
1.	ICB (Goods)	Above US\$ 4 million	All
2.	NCB (Goods)	Above US\$ 4 million	All
3.	ICB (Works)	Above US\$ 15 million	All
4.	NCB (Works)	Above US\$ 15million	All
5.	Services (non-consultant)	Above US\$ 4 million	All
6.	LIB	Above US\$ 30,000	

## **Procurement Methods and Threshold**

Category	Method of Procurement	Threshold (US\$ Equivalent)
Goods and Non-consultant	ICB	>3,000,000
services	LIB	Wherever agreed by the Bank
	NCB	Up to 3,000,000 (with NCB conditions)
	Shopping	Up to 500,000
	DC	As per Para 3.7 of the Bank Guidelines
	Force Account	As per Para 3.9 of Guidelines
	Framework Agreements	As per Para 3.6 of Guidelines
Works	ICB	>40,000,000
	NCB	Up to 40,000,000 (with NCB conditions)
	Shopping	Up to 1,000,000
	Force Account	As per Para 3.9 of Guidelines
	DC	As per Para 3.7 of Guidelines
	Community Participation	As per Para 3.19 of Guidelines

Prior Review by the Bank. The Bank will prior review the following contracts:

- Works: All contracts more than US\$ 15.0 million equivalent;
- Goods: All contracts more than US\$ 4.0 million equivalent;
- Non-Consulting Services: All contracts more than US\$ 4.0 million equivalent;

The above threshold value applies to single/direct contract as well. There is no separate threshold set for single source or direct contract. The PMU will ensure that Bank procurement procedures are followed for all the post review contracts. The above thresholds were revised after the Mid-Term Review (MTR) mission and will be in effect till further modification. In addition, the Bank will carry out an annual ex-post procurement review for those contracts which fall below the prior review threshold mentioned above.

All contracts below the specified prior review threshold value shall be subject to Post Procurement Review (PPR). For the avoidance of doubts, the Bank shall be entitled to conduct, at any time, Independent Procurement Reviews (IPR) of all the contracts financed under the Credit. The IA shall prepare a list of contract and submit it to the Bank for conducting PPR. The PPR will be conducted on annual basis. All contracts not covered under prior review will be subject to post review during supervision missions, and/or review by consultants to be appointed by the by Bank.

- **2. Pre-qualification**. Not applicable
- 3. Proposed Procedures for CDD Components (as per paragraph. 3.17 of the Guidelines: Not Applicable.
- **4. Reference to Project Operational/Procurement Manual:** The Project Operations Manual was prepared by the project and agreed by the Bank. All the contracts issued under the project will follow the World Bank's Guidelines: Bank's "Guidelines: Procurement under IBRD Loans and IDA Credits" dated January 2011and revised in July 2014and "Guidelines: Selection and Employment of Consultants by World Bank Borrowers" dated January 2011 and revised in July 2014. In case of any inconsistency between the Operations Manual and the Bank's Guidelines, the latter shall prevail.
- 5. **Any Other Special Procurement Arrangements**: This is a Disaster Recovery Project and the project preparation was triggered by emergency situation (OP10.00) and therefore, provisions under paragraph 20 of OP 11.00; procurement under emergency situation is applied.

Procurement of goods, works and non-consulting services shall be conducted through e-procurement portal <a href="http://www.uktenders.gov.in">http://www.uktenders.gov.in</a> using Bank's standard bidding documents.

International Competitive Bidding (ICB) is the preferred method for procurement of goods, works and non-consulting services. However, it is unlikely that there will be any ICB contract. All National Competitive Bidding (NCB) shall be conducted in accordance with paragraph 3.3 and 3.4 of the Guidelines. In addition, following additional provisions as agreed with GOI task force (as amended form time to time) shall apply:

- Only the model bidding documents for NCB as agreed with the GoI Task Force (and as amended from time to time), shall be used for bidding;
- Invitation to bid shall be advertised in at least one widely circulated national daily newspaper (or on a widely used website or electronic portal with free national and international access along with an abridged version of the said advertisement published in a widely circulated national daily inter-alia giving the website/electronic portal details from which the details of the invitation to bid can be downloaded), at least 30 days prior to the deadline for the submission of bids. For smaller packages (lesser than US\$1 million for Works and US\$ 500,000 for Goods), advertisement in state newspapers will be accepted with at least 15 days given for submission of bids;
- No special preference will be accorded to any bidder either for price or for other terms and conditions when competing with foreign bidders, state-owned enterprises, small-scale enterprises or enterprise from any given State;
- Except with the prior concurrence of the Bank, there shall be no negotiation of price with the bidders, even with the lowest evaluated bidder;
- For prior review contracts, extension of bid validity shall not be allowed with reference to Contracts subject to Bank prior review without the prior concurrence of the Bank (i) for the first request for extension if it is longer than four weeks; and (ii) for all subsequent requests for extension irrespective of the period (such concurrence will be considered by Bank only in cases of Force Majeure and circumstance beyond the control of the Purchaser/Employer);
- For prior review contracts, re-bidding shall not be carried out with reference to Contracts subject to Bank prior review without the prior concurrence of the Bank;
- The system of rejecting bids outside a pre-determined margin or "bracket" of prices shall not be used in the project;
- Rates contract entered into by Directorate General of Supplies and Disposal (DGS & D) will not be acceptable as a substitute for NCB procedures unless agreed with the Bank on case to case basis. Such contracts will be acceptable however, for any procurement under the Shopping procedure;
- Two or three envelop system will not be used (expect when suing e-procurement system assessed and agreed by the Bank.

To meet the Bank's requirements for right to audit and F&C, these clauses may be included in the Purchase Orders, in case the purchasers are directly placing the purchase orders to DGS&D rate contract holders and government e-Marketplace. On the other hand, if indent is placed through DGS&D, the Purchaser has the option to sign a separate undertaking with DGS&D rate contract holder, where Bank's right to audit and F&C clauses could be mentioned;

**6. Summary of the Procurement Packages planned during the first 18 months after project effectiveness:** Available in separate Excel file for the past contrasts and in STEP for the new contract packages.

#### **III. Selection of Consultants**

**1. Prior Review Threshold**: Selection decisions subject to Prior Review by Bank as stated in Appendix 1 to the Guidelines Selection and Employment of Consultants:

	Selection Method	Prior Review Threshold	Comment
1.	Competitive Methods (Firms)	Above US\$ 2 million	All contract
2.	Single Source (Firms)	Above US\$ 2 million	All contract
3.	Individual	Above US\$ 400,000	All contracts
4.	CQS/LCS	Up to US\$ 300,000	All contracts

- **2. Short list comprising entirely of national consultants**: Short list of consultants for services, estimated to cost less than \$800,000 equivalent per contract, may comprise entirely of national consultants in accordance with the provisions of paragraph 2.7 of the Consultant Guidelines.
- **3. Any Other Special Selection Arrangements:** the disaster recovery project activities are spread across the states. Majority of the sites are in remote areas and therefore, it is likely that there will be no contractors to participate in bidding process in remote areas. For those contracts, it is likely that project may have to use force account. Such cases, if any, shall be taken up separately on case by case basis. Further, it is likely that project may use the service of government institutions such as IIT to review the reports prepared by the consultants. Such cases, if required, will be taken up case by case basis.
- **4. Consultancy Assignments with Selection Methods and Time Schedule:** Available on STEP.

PROCUREMENT India: Uttarakhand Disaster Recovery Project

General Information

Country: India Bank's Approval Date of the Original Procurement Plan: 2016-08-26

Revised Plan Date(s): (comma delineated, leave blank if non 2019-06-18

Project ID: P146653 GPN Date:

Project Name: Uttarakhand Disaster Recovery Project

Loan / Credit No: IDA / 53130, IBRD / 89210

Executing Agency(iee) Project Implementation Unit (Resilient Housing and Public Building)

WORKS																											
Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Procurement Process	Prequalification (Y/N)	Actual Amount (US\$)	Process Status	Draft Pre-q Docum	qualification ments	Prequali Evaluation		Draft Docum Justifi		Specific Pr Notice / I			ocuments sued	Proposal S Opening				Signed (	Contract	Contract Co	ompletion
										Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual
AFOL/BC/FIU/PB/UDR/P2018 / CONSTRUCTION OF BUILDINGS AND OTHER ALLEE SERVICES FOR THE PROJECT OF SDRF BATTALION HEAD QUARTER CUM CUATER CUM CUATER COM TRAINING CENTRE AT JOLLYGRANT DEHRADUN, UTTARAKHAND	IDA / 53130		Prior	Request for Bids	Open - National	Single Stage - Two Envelope		0.00	Canceled					2018-01-25		2018-01-30				2018-03-13		2018-06-10		2018-07-15		2020-01-11	
AF01A/BC/PIU/PB/UDR/P201 8 / CONSTRUCTION OF BUILDINGS AND OTHER ALLIED SERVICES FOR THE PROJECT OF SDRF BATTALION HEAD QUARTER CUM TRAINING CENTRE AT JOLLYGRANT DEHRADUN, UTTARAKHAND	IDA / 53130		Post	Request for Bids	Open - National	Single Stage - Two Envelope		0.90	Canceled					2018-03-26		2018-03-31				2018-05-12		2018-08-05		2018-09-09		2020-03-10	
17/BC/PIU/BRIDCUL/PB/2018 / Construction of USDMA Building at IT Park Sahastradhara Road Dehradun	IDA / 53130		Post	Request for Bids	Open - National	Single Stage - Two Envelope		0.00	Canceled					2018-05-28		2018-06-02				2018-07-14		2018-09-26		2018-10-31		2020-05-03	
18/BC/PIU/BRIDCUL/PB/2018 / Retrofitting and Renovation work for different existing public buildings in district Rudraprayag, Uttarakhand			Post	Request for Bids	Open - National	Single Stage - Two Envelope		0.00	Canceled					2018-08-20		2018-08-25				2018-10-04		2018-12-02		2019-01-01		2019-06-30	
AFOIR BECPTUPBUDBUDRP201 8 / CONSTRUCTION OF BUILDINGS AND OTHER ALLIED SERVICES FOR THE PROJECT OF BATTALION HEAD QUARTER CUNT HANING CENTRE AT JOLLY GRANT DEHRADUN LUTTARAKHAND	IDA / 53130		Post	Request for Bids	Open - National	Single Stage - Two Envelope		0.90	Under Implementati on					2018-08-25		2018-08-30				2018-10-11		2019-01-08		2019-02-12		2020-08-13	
18A/BC/PIU/BRIDCUL/PB/201 S / Seismie Retrofitting and Renovation work for existing public building of GIC Peeradhanpur, Block - Augustymuni in district Rudraprayag, Uttarakhand			Post	Request for Quotations	Open - National	Single Stage - One Envelope		0.00	Under Implementati on							2018-12-15								2019-01-31		2019-05-31	

ISB/BC/PIU/BRIDCUL/PB/201 8 / Seismic Retrofitting and Renovation work for existing public buildings of GIC Kotgi and PS Kottalla , Block - Augustymuni in district Rudraprayag, Uttarakhand			Request for Quotations	Open - National	Single Stage - One Envelope		Under Implementati on				2018-12-15				2019-01-31	2019-06-30	
ISC/BC/PIU/BRIDCUL/PR201 8 / Seismic Retrofitting and Renovation work for existing public building of GIC Ghimtoli, Block - Augustymuni, PS Panjana and PS Timil Badma, Block - Jakholi in district Rudraprayag, Uttarakhand	IDA / 53130		Request for Quotations	Open - National	Single Stage - One Envelope	0.00	Under Implementati on				2018-12-15				2019-01-31	2019-06-30	
17/BCPHUAUDRP/PB2018 / Construction of Uttarakhand State Disaster Management Authority (USDMA) Building At IT Park, Sahastradhara Road, Dehradun, Uttrakhand	IDA / 53130	Post	Request for Bids	Open - National	Single Stage - Two Envelope	0.00	Canceled			2018-12-24	2018-12-29		2019-02-09	2019-04-24	2019-05-29	2021-05-28	
AF02/BC/PIU/PB4UDRP/2019  Rebidding - Construction of Uttarnkhand State Disaster Management Authority (USDMA) Building at IT Park, Sahastradhara Road, Dehradun, Uttarakhand		Post	Request for Bids	Open - National	Single Stage - Two Envelope		Pending Implementati on			2019-05-17	2019-05-20		2019-07-01	2019-09-13	2019-10-18	2021-10-17	

GOODS																											
Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Procurement Process	Prequalification (Y/N)	Actual Amount (US\$)	Process Status		-qualification iments	Prequal Evaluation		Docus	Bidding ment / fication	Specific Pr			Documents ssued		ubmission / / Minutes		t and idation for	Signed	Contract	Contract	Completion
										Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual
OLGPPIUSRIDCULPB2017  / This is regarding procurement of furniture in 25 public Buildings in different district of Uttarnkhand i.e. Rudhrapsryng.Pauri.Chamoli, Bageshwar and Pithoragarh, which are being constructed under UDRP Project so that the building can be functional.	IDA / 53130		Post	Request for Bids	Open - National	Single Stage - Two Envelope		465,631.51	Signed					2017-10-17		2017-10-22				2017-12-03		2018-03-02		2018-04-06		2021-12-08	
04GPPIURRIDCUL/PB/2017  / This is regarding procurement of Computer procurement of Computer and Accessories in 10 public Buildings in different district of Uttarakhard in Kudhraparya, Pauri and Chamoli, which are being constructed under UDRP Project so that the building can be functional.			Post	Request for Bids	Open - National	Single Stage - Two Envelope		0.00	Canceled					2017-10-17		2017-10-22				2017-12-03		2018-03-02		2018-04-06		2021-12-08	

OGOPPIUBRIDCULPB2017 This is regarding precurement of electronic items in 15 public Buildings in different district of Ultrarakhand i. Rudfraparyag-Pauri, Chamboli, Bageshwar and Pubragarch, which are being constructed under UIBR Project so that the building can be functional.	IDA / 53130	Post	Request for Bids	Open - National	Single Stage - Two Envelope	0.00	Canceled		2017-10-17	s	2017-10-22	2017-12-03	2018-03-02	2018-04-06	2021-12-08
OZOPPIU/BRIDCUL/PI/2017  / This is regarding precurement of medical equipment in 3 public upon the property of	IDA / 53130	Post	Request for Bids	Open - National	Single Stage - Two Envelope	0.00	Canceled		2017-10-17	S	1017-10-22	2017-12-03	2018-03-02	2018-04-06	2021-08-05
02A/GPPIU/BRIDCUL/PB201 7 / Procurement of medical equipment in 3 public Buildings in different districts (Chamobi,Bageshwar and Pithoragarrh)Uttarakhand under UDRP .	IDA / 53130	Post	Request for Bids	Open - National	Single Stage - Two Envelope	72,934.80	Under Implementati on		2018-03-26	S	1018-03-31	2018-05-12	2018-08-05	2018-09-09	2019-01-07
03A/GPPIU/BRIDCUL/PB201 7 / Procurement of electronic items in 15 public Buildings in different districts (Rudrapary-Buri-Chamoli, fageshwar and Pithoragarh) of Uttarakhand under UDRP	IDA / 53130	Post	Request for Bids	Open - National	Single Stage - Two Envelope	0.00	Canceled		2018-03-26	5	1018-03-31	2018-05-12	2018-08-05	2018-09-09	2019-01-07
04AGP/PIU/BRIDCUL/PB/201 7 / Procurement of Computer and Accessories in 10 public Buildings in different districts (Rudraparyag,Pauri and Chamoli) of Uttarakhand under UDRP	IDA / 53130	Post	Request for Bids	Open - National	Single Stage - Two Envelope	0.00	Canceled		2018-03-26	s	1018-03-31	2018-05-12	2018-08-05	2018-09-09	2019-01-07
OLGOPPIURIEIDCULPB-2016  FROCUERMENT AND INSTALLATION OF COMPUTER AND ACCESSORIES IN DUFFERENT PUBLIC BUILDINGS OF DISTRICT RUDRAPRAYAG & UNDARRAYAG & PROCEDURES FOR UTTARAKHAND DISASTER RECOVERLY PROJECT (UDDP)	IDA / 53130	Post	Request for Quotations	Open · National	Single Stage - One Envelope	0.00	Canceled			S	9018-07-25			2018-09-20	2018-11-19

OSGOPPIUSBIDCULPP2018  / PROCUEEMENT AND INSTALLATION OF ELECTRONICS ITEMS IN DIFFERENT PUBLIC BUILDINGS OF RUIDEAPRAYAG, CHAMOLI, PAUR, PHINGAGARI & BAGESHWAR DISTRICTS IN UTTAMASHAND UNDER ANTIONAL SHOPPING PROCEDURES FOR UTTAMASHAND DISASTER RECOVERY PROJECT (UDRY)		Post	Request for Quotations	Open - National	Single Stage - One Envelope	0.90	Canceled			2018-07-25				2018-09-20	2018-11-19	
03A/GPPIU/UDRPPB2019 / Supply & installation of Electronic items (LED TV, Refrigerator, Washing Machine, Halogen Room Heater) in different public buildings of District Rudraprayag, Pauri and Chamoli	IDA / \$3130	Post	Request for Quotations	Open - National	Single Stage - One Envelope	0.00	Canceled			2019-05-27				2019-08-12	2019-06-27	
03C/GP/PIU/UDRP/PB2019 / Supply & installation of Refrigerator and Halogen Room Heater at sub centre Balwakot, Distt Pithoragarh	IDA / 53130	Post	Request for Quotations	Open - National	Single Stage - One Envelope	0.00	Canceled			2019-05-27				2019-06-12	2019-06-27	
03D/GP/PIU/UDRP/PB/2019 / Supply and installation of Sanitary pad Incinirator at various public buildings of Distt. Rudraprayag & Pauri	IDA / 53130	Post	Request for Quotations	Open - National	Single Stage - One Envelope	0.00	Canceled			2019-05-27				2019-06-12	2019-06-27	
03B/GP/PIU/UDRP/PB/2019 / Supply & installation of Deep Freezer at various public buildings of Distt, Pauri and Rudraprayag	IDA / 53130	Post	Request for Quotations	Open - National	Single Stage - One Envelope	0.00	Canceled			2019-05-27				2019-08-12	2019-06-27	
04/GP/PIU/UDRP/PB/2019 / Supply and installation of Computer and Accessories for Public Buildings in District Rudraprayag, Chamoli & Pauri	IDA / 53130	Post	Request for Quotations	Open - National	Single Stage - One Envelope	0.00	Canceled			2019-05-28				2019-06-12	2019-06-27	

NON CONSUI	TING SERV	TCES																										
Activity Reference Description	Loan	/ Credit No.	Component	Review Type	Method	Market Approach	Procurement Process	Prequalification (Y/N)	Actual Amount (US\$)	Process Status	Draft Pre-q Docur	·	Prequal Evaluation		Draft Docum Justifi	nent /	Specific P Notice /		Bidding I as Is		Proposal So		Bid Evs Repor Recommen	t and dation for	Signed	Contract	Contract (	Completion
											Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual

CONSULTING FIRM	ts																									
Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Contract Type	Actual Amount (US\$)	Process Status	Terms of	Reference	Expression Not		Short List Request for		Request for		Opening of Proposals			ation of Proposal	Combined Report a Negotiated	nd Draft	Signed (	Contract	Contract C	Completion
									Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual

02/USIDCL/Public Building- UDRP/2014 / Design Consultancy for Reconstruction of Public Buildings under UDRP (Package-3)	IDA / 53130	Prior	Quality And Cost- Based Selection	Open - International	33,274.09	Signed										
IN PIU PB 03 CS QCBS / Consultancy services for Construction of USDMA Building having Task- Li-DPR/Design stage on Lumpsum contract amounting US\$151470.00 and Task-2 Le. Supervision stage on Tme Base contact amounting US\$134559.00	IDA / 53130	Post	Quality And Cost- Based Selection	Open - National	210,792.05	Signed	2017-01-17	2017-02-07	2017-03-23		2017-04-20	2017-05-20	2017-08-24	2017-07-29	2022-05-28	
AF01/CSC/PIU/PI/UDR/201 8 / Providing Construction Supervision consultant for the construction of State Disaster Response Force(SDRF) Battalion Headquarter cum Training center at Jolly grant, Dehradun Uttarakhand	IDA / 53130	Post	Quality And Cost- Based Selection	Open - International	0.00	Canceled	2018-02-01	2018-02-22	2018-05-07		2018-06-04	2018-07-19	2018-09-01	2018-10-06	2020-10-05	
AFOIA/CSCPIU/PB/UDRP/20 16 / Providing Construction Supervision consultant for the construction of State Disaster Response Force(SDRF) Battalion Headquarter cum Training center at Jelly grant, Dehradun Uttarakhand	IDA / 53130	Post	Quality And Cost- Based Selection	Open - International		Under Implementation	2018-06-25	2018-07-16	2018-09-13		2018-10-11	2018-11-25	2018-12-30	2019-02-03	2021-02-02	

INDIVIDUAL CONSULTANTS