



Uttarakhand Water Supply Program for Peri Urban Areas (P158146)

SOUTH ASIA | India | Water Global Practice | Requesting Unit: SACIN | Responsible Unit: GWA06
IBRD/IDA | Program-for-Results Financing | FY 2018 | Team Leader(s): Smita Misra

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Program Development Objectives

Program Development Objective (from Program Appraisal Document)

The Program Development Objective (PDO) is to increase access to improved water supply services in peri-urban areas in Uttarakhand.

Description: In recent years Uttarakhand has experienced rapid urbanization which has led to the rise of significant peri-urban areas that, while classified as rural, are effectively urban in nature (in terms of density of population, the structure of the economy, and aspirations of the people). Improving water supply services in these peri-urban areas is a priority for the state as it is struggling with rapidly growing population and poor water supply services in these areas. These peri-urban areas of cities, for example Dehradun, Haridwar, Rishikesh, Roorkee, Haldwani, have very low coverage of about 30% to 40% household connections for water supply. This project will incentivize the water supply service improvement program for such rapidly expanding peri-urban areas in Uttarakhand and also show the way for such challenging areas that are increasingly part of the landscape of India and other countries.

Overall Ratings

Name	Previous Rating	Current Rating
Progress towards achievement of PDO	● Satisfactory	● Satisfactory
Overall Implementation Progress (IP)	● Satisfactory	● Satisfactory

Implementation Status and Key Decisions

There is good progress in the preparation of the water supply program for peri-urban areas under this project. The program is focusing on improving connections and water supply services in peri-urban areas, supported by improved water policy, water supply master-plans and monitoring and evaluation program for the peri-urban areas.

Data on Financial Performance

Disbursements (by loan)

Project	Loan/Credit/TF	Status	Currency	Original	Revised	Cancelled	Disbursed	Undisbursed	% Disbursed
P158146	IBRD-88050	Effective	USD	120.00	120.00	0.00	0.30	119.70	0.3%

Key Dates (by loan)

Project	Loan/Credit/TF	Status	Approval Date	Signing Date	Effectiveness Date	Orig. Closing Date	Rev. Closing Date
P158146	IBRD-88050	Effective	04-Jan-2018	22-Jan-2018	08-Mar-2018	31-Dec-2023	31-Dec-2023



Program Action Plan

Action Description	Safeguards: Develop and implement environment and social risk screening mechanisms for assessing impact of program investments				
Responsibility	Recurrent	Frequency	Due Date	DLI#	Status
SWSM/SPSU	No		08-Jun-2018		Completed
Comments	ESSA risk screening mechanisms have been prepared and approved by Bank team.				

Action Description	Fiduciary: (a) Develop and institute robust grievance redressal mechanism.				
Responsibility	Recurrent	Frequency	Due Date	DLI#	Status
SWSM/SPSU	No		08-Mar-2019		In Progress
Comments	GRM is under preparation.				

Action Description	Fiduciary (b) Develop and implement vigilance system at all levels of Implementing Agencies				
Responsibility	Recurrent	Frequency	Due Date	DLI#	Status
SWSM/SPSU	No		08-Mar-2019		In Progress
Comments	Vigilance system is under preparation.				

Action Description	Fiduciary (c) Develop and implement Integrated Program MIS (procurement, finance and contract management system)				
Responsibility	Recurrent	Frequency	Due Date	DLI#	Status
SWSM/SPSU	No		08-Mar-2019		In Progress
Comments					

Action Description	(d) Implement computerized accounting systems and accounting reforms in UJN and UJS in all divisions				
Responsibility	Recurrent	Frequency	Due Date	DLI#	Status
UJN, UJS, and SWSM/SPSU	No		08-Mar-2019		In Progress
Comments					

Action Description	(e) Develop and operationalise MTEF for the sector				
Responsibility	Recurrent	Frequency	Due Date	DLI#	Status



SWSM/SPSU	No		08-Mar-2019		In Progress
Comments					

Action Description	Timely Reporting on progress and achievements of DLIs				
Responsibility	Recurrent	Frequency	Due Date	DLI#	Status
SWSM/SPSU	Yes	SEMIANNUAL			In Progress
Comments					

Risks

Systematic Operations Risk-rating Tool

Risk Category	Rating at Approval	Previous Rating	Current Rating
Political and Governance	● Moderate	● Moderate	● Moderate
Macroeconomic	● Low	● Low	● Low
Sector Strategies and Policies	● Substantial	● Substantial	● Substantial
Technical Design of Project or Program	● Moderate	● Moderate	● Moderate
Institutional Capacity for Implementation and Sustainability	● Substantial	● Substantial	● Substantial
Fiduciary	● Substantial	● Substantial	● Substantial
Environment and Social	● Moderate	● Moderate	● Moderate
Stakeholders	● Moderate	● Moderate	● Moderate
Other	● Substantial	● Substantial	● Substantial
Overall	● Substantial	● Substantial	● Substantial

Comments

Other Risk. The other risk includes shift from traditional infrastructure program to results based PforR program.

Results

PDO Indicators by Objectives / Outcomes

Increased access to improved water supply services in peri-urban areas

► Number of people receiving improved water supply services in peri-urban areas (Number, Custom)



	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	516,222.00
Date	08-Mar-2018	09-Apr-2018	27-Nov-2018	31-Dec-2023
Comments				
►Number of people receiving improved water supply services in peri-urban areas- female (Number, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	258,111.00
Date	08-Mar-2018	09-Apr-2018	27-Nov-2018	31-Dec-2023
Comments				
Increased access to improved water supply services in peri-urban areas				
►Number of beneficiaries (Number, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	516,222.00
Date	08-Mar-2018	09-Apr-2018	27-Nov-2018	31-Dec-2023
Comments				
►Number of beneficiaries- female (Number, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	258,111.00
Date	08-Mar-2018	09-Apr-2018	27-Nov-2018	31-Dec-2023
Comments				
(iii) Improved policy, planning and M&E systems for water supply program in peri-urban areas				
►Number of peri-urban areas with improved policy, planning and M&E systems implemented (Number, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	30.00
Date	08-Mar-2018	09-Apr-2018	27-Nov-2018	31-Dec-2023
Comments				

Intermediate Results Indicators by Results Areas



Result Area 1: Increased access to improved water supply services in peri-urban areas				
▶Number of water connections providing improved water supply services in peri-urban areas (Number, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	103,244.00
Date	08-Mar-2018	09-Apr-2018	27-Nov-2018	31-Dec-2023
Comments				
▶Sustainability of water supply service delivery in peri-urban areas (Number, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	96.20
Date	08-Mar-2018	09-Apr-2018	27-Nov-2018	31-Dec-2023
Comments				
▶Customer satisfaction with improved water supply services (Percentage, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	70.00
Date	08-Mar-2018	09-Apr-2018	27-Nov-2018	31-Dec-2023
Comments				
▶Number of hours per day per household saved due to water connection – female (Hours, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	0.50
Date	08-Mar-2018	09-Apr-2018	27-Nov-2018	31-Dec-2023
Comments				

Result Area 2: Improved policy, planning and M&E systems for water supply program in peri-urban area				
▶Improved policy for water supply program in peri-urban areas (Text, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	No specific water supply policy for peri-urban areas	In progress	In progress	Volumetric tariffs and water connections policies implemented in all targeted peri-urban areas
Date	08-Mar-2018	09-Apr-2018	27-Nov-2018	31-Dec-2023



Comments				
▶Strengthened M&E systems for water supply program in peri-urban areas (Text, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	Existing M&E system is limited in scope and does not cover per-urban areas	In progress	In progress	Review implementation of the M&E systems and identify required changes and future needs
Date	08-Mar-2018	09-Apr-2018	27-Nov-2018	31-Dec-2023
Comments				
▶Number of approved master-plans for water supply in peri-urban areas (Number, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	3.00
Date	08-Mar-2018	09-Apr-2018	27-Nov-2018	31-Dec-2023
Comments				
▶Annual report on water services performance in peri-urban areas (Text, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	No report on service performance	Not yet due	Not yet due	Annual report prepared and published
Date	08-Mar-2018	09-Apr-2018	27-Nov-2018	31-Dec-2023
Comments				
▶Number of training programs for women to enhance their capacity for involvement in water supply prog (Number, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	30.00
Date	08-Mar-2018	09-Apr-2018	27-Nov-2018	31-Dec-2023
Comments	Training programs for women are under preparation.			

Disbursement Linked Indicators

▶DLI 1 Number of water connections providing improved water supply services in peri-urban areas (Output, 48,000,000.00, 0%)				
	Baseline	Actual (Previous)	Actual (Current)	2018 to 2023



Value	0.00	0.00	0.00	--
Date	--	09-Apr-2018	27-Nov-2018	--
Comments	Not yet due			

►DLI 2 Sustainability of water supply service delivery in peri-urban areas (Output, 39,000,000.00, 0%)				
	Baseline	Actual (Previous)	Actual (Current)	2018 to 2023
Value	0.00	0.00	0.00	--
Date	--	09-Apr-2018	27-Nov-2018	--
Comments				

►DLI 3 Improved policy for water supply program in peri-urban areas (Output, 10,000,000.00, 0%)				
	Baseline	Actual (Previous)	Actual (Current)	2018 to 2023
Value	No specific WSS policy for peri-urban areas	In progress	In progress	--
Date	--	09-Apr-2018	27-Nov-2018	--
Comments				

►DLI 4 Strengthened M&E system for water supply program in peri-urban areas (Output, 13,000,000.00, 0%)				
	Baseline	Actual (Previous)	Actual (Current)	2018 to 2023
Value	Existing M&E systems limited in scope and do not cover peri-urban areas	In progress	In progress	--
Date	--	09-Apr-2018	27-Nov-2018	--
Comments				

►DLI 5 Number of approved master-plans for water supply in peri-urban areas (Output, 9,700,000.00, 0%)				
	Baseline	Actual (Previous)	Actual (Current)	2018 to 2023
Value	0.00	0.00	0.00	--
Date	--	09-Apr-2018	27-Nov-2018	--
Comments				