Coordinating Association of Indigenous and Community Agroforestry in Central America (ACICAFOC)

Improving the Livelihoods of Miskito indigenous peoples in la Moskitia, P167767

ENVIRONMENTAL and SOCIAL COMMITMENT PLAN (ESCP)

[April, 2019]
Coordinating Association of Indigenous and Community Agroforestry in Central America (ACICAFOC)/
Coordinating Association of Indigenous and Community Agroforestry in Central America (ACICAFOC)
Improving the Livelihoods of Miskito indigenous peoples in la Moskitia, P167767
ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN

1. ACICAFOC is planning to implement the Improving the Livelihoods of Miskito Indigenous Peoples in la Moskitia, P167767 Project (the Project.) with the involvement of community-based groups who are going to manage the sub-projects. The International Bank for Reconstruction and Development hereinafter the Bank has agreed to oversee execution of the Project on behalf of the grantor, the Japanese Social Development Fund.

2. [ACICAFOC] will implement material measures and actions so that the Project is implemented in accordance with the World Bank Environmental and Social Standards (ESSs). This Environmental and Social Commitment Plan (ESCP) sets out a summary of the material measures and actions.

3. Where the ESCP refers to specific plans or other documents, whether they have already been prepared or are to be developed, the ESCP requires compliance with all provisions of such plans or other documents. In particular, the ESCP requires compliance with the provisions set out in the Environmental and Social Assessment (ESA), the Environmental and Social Management Framework (including Labour Management Procedures) and the Stakeholder Engagement Plan (including Grievance Redress Mechanism) that have been developed for the project.

4. The table below summarizes the material measures and actions that are required as well as the timing of the material measures and actions. [ACICAFOC] is responsible for compliance with all requirements of the ESCP even when implementation of specific measures and actions is conducted by the Ministry, agency or unit referenced in 1. above.

5. Implementation of the material measures and actions set out in this ESCP will be monitored and reported to the [Bank] by [ACICAFOC] as required by the ESCP and the conditions of the legal agreement, and the [Bank] will monitor and assess progress and completion of the material measures and actions throughout implementation of the Project.

6. As agreed by the [Bank] and [ACICAFOC], this ESCP may be revised from time to time during Project implementation, to reflect adaptive management of Project changes and unforeseen circumstances or in response to assessment of Project performance conducted under the ESCP itself. In such circumstances, [ACICAFOC] will agree to the changes with the [Bank] and will update the ESCP to reflect such changes. Agreement on changes to the ESCP will be documented through the exchange of letters signed between the [Bank] and ACICAFOC. ACICAFOC will promptly disclose the updated ESCP. Depending on the project, the ESCP may also specify the funding necessary for completion of a measure or action.

7. Where Project changes, unforeseen circumstances, or Project performance result in changes to the risks and impacts during Project implementation, the [ACICAFOC] shall provide additional funds, if needed, to implement actions and measures to address such risks and impacts, which may include risks and impacts that are relevant to the Project, such as environmental, health, and safety impacts, gender-based violence among others.
**Honduras: Improving the Livelihoods of the Miskito Indigenous Peoples in la Moskitia (P167767)**

**DRAFT ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN**

March, 2019

<table>
<thead>
<tr>
<th><strong>Summary of the Material Measures and Actions to Mitigate the Project’s Potential Environmental and Social Risks and Impacts</strong></th>
<th><strong>Timeframe</strong></th>
<th><strong>Responsibility / Authority and Resources/Funding Committed</strong></th>
<th><strong>Date of Completion</strong></th>
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</thead>
<tbody>
<tr>
<td><strong>ESCP Monitoring and Reporting</strong></td>
<td>Every six-months throughout Project implementation. Coordinate with ISR Report.</td>
<td>ACICAFOC</td>
<td>An estimate of 12 staff weeks per year and funded as part of overall project management cost</td>
</tr>
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</table>

**REGULAR REPORTING:** Prepare and submit regular monitoring reports on the implementation of the ESCP.

**INCIDENTS AND ACCIDENTS NOTIFICATION:** Promptly notify any incident or accident related or having an impact on the Project which has, or is likely to have, a significant adverse effect on the environment, the affected communities, the public or workers [including] [specify relevant risks based on the environmental and social assessment]. Provide sufficient detail regarding the incident or accident, indicating immediate measures taken to address it, and include information provided by any contractor and supervising entity, as appropriate.

Promptly after taking notice of the incident or accident, no later than 12 hours after the incident or accident. The client will report to the bank in a period of no more than 3 calendar days on any incident causing serious injury or fatality.

ACICAFOC funded as part of overall project management cost

**SUMMARY ASSESSMENT**

**ESS 1: ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIAL RISKS AND IMPACTS**

<p>| <strong>1.1 ORGANIZATIONAL STRUCTURE:</strong> Establish an organizational structure with qualified staff to support management of E&amp;S risks. This will include, as part of the Project Implementing Unit within ACICAFOC: | <strong>Environmental and Social Specialists to be contracted prior to project effectiveness, and will function throughout project implementation</strong> | ACICAFOC | Funded as part of overall project management cost |
| Environmental and Social Specialists (1 national consultant in baseline and M&amp;E, and 1 international consultant in M&amp;E) | | | |</p>
<table>
<thead>
<tr>
<th><strong>1.2 ENVIRONMENTAL AND SOCIAL ASSESSMENT:</strong> Carry out environmental and social assessment to identify and assess the environmental and social risks and impacts of the Project and appropriate mitigation measures.</th>
<th>Prior to appraisal (completed)</th>
<th>ACICAFOC</th>
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</thead>
</table>
| **1.3 MANAGEMENT TOOLS AND INSTRUMENTS:**  
- Develop Environmental and Social Management Framework (ESMF).  
- Implement ESMF for all subprojects. | Develop - Prior to appraisal (completed).  
Implement throughout life of project. | ACICAFOC  
An estimate of 12 staff weeks per year funded as part of overall project management cost |
| **1.4 MANAGEMENT OF CONTRACTORS:** Develop and implement procedures for managing contractors and subcontractors.  
General procedures are included in ESMF. Contract-specific procedures to be specified for each subproject as part of subproject-level screening, assessment, and management planning, in accordance with the ESMF. | General procedures – prior to appraisal, as part of ESMF (completed)  
Contract-specific procedures and requirements to be specified prior to issuing contract bid documents.  
Procedures to be implemented and monitored for duration of implementation of each corresponding subproject. | ACICAFOC  
Implementation requirements to be funded as part of works contracts  
Oversight to be funded as part of overall project management cost |
| 1.5 | **PERMIT, CONSENTS AND AUTHORIZATIONS**: Obtain or assist in obtaining, as appropriate, the permits, consents and authorizations that are applicable to the Project from relevant national authorities. Comply or cause to comply, as appropriate, with the conditions established in these permits, consents and authorizations throughout Project implementation. | As applicable, to be obtained prior to initiating activities that require permits, consents and authorizations. | ACICAFOC
An estimate of 12 staff weeks funded as part of overall project management cost |

**ESS 2: LABOR AND WORKING CONDITIONS**

| 2.1 | **LABOR MANAGEMENT PROCEDURES**: Develop and implement labor management procedures, as part of the ESMF. Requirements applicable to contractors and subcontractors to be specified in each subproject-specific contract document.  
- Specific provisions for in-kind community workers specified in the procedures as per Footnote 14 of ESS2.  
- All contractors and workers must comply with the applicable official regulations of the country, established in the Labor Code of Honduras, No. 189 and regulated by the Ministry of Labor and Social Security.  
- All personnel (including community workers), without exception, must use appropriate personal safety equipment such as: safety glasses, gloves and protective footwear, according to the activity carried out and in accordance with current labor regulations. | General procedures – prior to appraisal, as part of ESMF (completed). To be updated as required throughout life of project.  
Subproject specific requirements – defined and included in bid and contract documents for corresponding subproject. | ACICAFOC
Implementation to be funded as part of works contracts / subproject agreements  
Oversight to be funded as part of overall project management cost |

| 2.2 | **GRIEVANCE MECHANISM FOR PROJECT WORKERS**: Develop and maintain a grievance mechanism for Project workers – separate from project GRM for broad stakeholders (both outlined in project ESMF). | Grievance mechanism operational prior to engaging any project workers and maintained throughout Project implementation. | ACICAFOC
Planning and oversight to be funded as part of overall project management cost |
| 2.3 | **OHS MEASURES**: Develop and implement occupational, health and safety (OHS) measures (included in ESMF and applied/implemented as applicable for all subprojects). | General measures – defined prior to appraisal as part of ESMF (completed). Subproject-specific measures: defined and included in bid and contract documents for corresponding subproject, on the basis of the general measures. Implemented and monitored throughout Project implementation. | ACICAFOC Implementation to be funded as part of works contracts / subproject agreements Planning and oversight to be funded as part of overall project management cost |
| 2.4 | **EMERGENCY PREPAREDNESS AND RESPONSE**: As part of the OHS measures specified in 2.3, include measures on emergency preparedness and response, and ensure coordination with measures under ESS4. | General measures – defined prior to appraisal as part of ESMF (completed). Subproject-specific measures: defined and included in bid and contract documents for corresponding subprojects, on the basis of the general measures. Implemented and monitored as applicable throughout Project implementation. | ACICAFOC |
| 2.5 | **PROJECT WORKERS TRAINING**: Implement training of Project Workers designed to heighten awareness of risks and to mitigate impacts on local communities. | Prior to initiating construction activities for corresponding subproject, with refresher as required throughout the course of construction works. | ACICAFOC An estimate of 12 staff weeks per year funded as part of overall project management cost |

**ESS 3: RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT**
### 3.1 MANAGEMENT OF WASTE AND HAZARDOUS MATERIALS:

- The Project will ensure the least possible use of hazardous substances, promoting their substitution by less polluting products and more friendly to the environment. In particular, this will apply to pest management techniques, with Integrated Pest Management and avoidance of chemical pesticides being promoted through the project, as per the ESMF.
- For any waste and hazardous materials under any subproject, the Project will ensure appropriate management and disposal.
- 1 day of mandatory e-waste management training will be required of all contractors.

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<tr>
<th>ACICAFOC</th>
<th>Implementation to be funded as part of works contracts / subproject agreements</th>
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<td>Planning and oversight to be funded as part of overall project management cost</td>
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### 3.2 LAND, WATER AND OTHER RESOURCES MANAGEMENT

As part of subproject planning and implementation for all subprojects, the following objectives will be pursued:

- promote the rational and appropriate use of land / geographical space to be developed in small works (strictly necessary area of land is used for this purpose)
- promote the application of direct measures and actions for the rational use of water in all stages and activities
- Include both constructive and operational concrete actions that avoid the inappropriate and irrational use of all resources, under the fundamental premise that "all waste is pollution".

<table>
<thead>
<tr>
<th>Subproject-specific measures defined during subproject assessment and design, and reflected in bid/contract documents prior to initiating construction activities for corresponding subproject.</th>
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<tbody>
<tr>
<td>Implemented and monitored as applicable throughout Project implementation.</td>
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## ESS 4: COMMUNITY HEALTH AND SAFETY

### 4.1 COMMUNITY HEALTH AND SAFETY

Develop and implement measures and actions to assess and manage specific risks and impacts to the community arising from Project activities, including in relation to Project Workers and any risks of labor influx.

- Project will minimize risks related to labor influx by utilizing community labor or local contractors wherever possible.
- Subprojects will follow pest management requirements as outlined in the ESMF, including trainings for beneficiaries on minimizing pesticide use as well as appropriate application and management of fertilizers and pesticides, to minimize risks to community health and safety.
- Any other subproject-specific risks and measures, where applicable, to be defined as part of subproject level screening, assessment, and management planning process, in accordance with ESMF.

### 4.2 GBV AND SEA RISKS DURING PROJECT IMPLEMENTATION

The project will identify GBV risks and generic measures to avoid, minimize, reduce or mitigate such risks if were to occur. In the project context GBV could manifests at the individual, relationship, community, and institutional levels. These include male-dominated household decision-making and income, local institutional norms that discriminate against women, and cultural norms that justify or condone the use of violence against women and girls as a form of conflict resolution or discipline. For this project:

- Potential risks and measures will be outlined in ESA and ESMF.
- Subproject-specific risks and measures, where applicable, to be defined as part of subproject level screening, assessment, and management planning process, in accordance with ESMF.
- All labor provisions for workers who have a direct and indirect relationship with the Project or any subproject will be applied in the same manner by ACICAFOC as part of its own institutional

| Analysis of key risks and impacts – prior to appraisal, as part of ESA (completed) | ACICAFOC |
| Generic measures and subproject procedures – prior to appraisal, as part of ESMF (completed) | Implementation to be funded as part of works contracts / subproject agreements |
| Subproject-specific measures (where required): defined prior to initiating construction activities for corresponding subproject. | Planning and oversight to be funded as part of overall project management cost |
| Implemented and monitored as applicable throughout Project implementation. | |
policy and in accordance with its Internal Administrative and Human Resources Manual.

### 4.3 TRAINING FOR THE COMMUNITY

Conduct training for the community designed to heighten awareness of risks and to mitigate impacts specified in this section.

- Workers and other community members will be trained through the project about the types, characteristics and health impacts and consequences of all potential hazardous products they could use in the different activities of the Project.

Trainings to be given prior to initiating construction activities for corresponding subproject.

ACICAFOC

Implementation to be funded as part of overall project management cost

### ESS 6: BIODIVERSITY CONSERVATION AND SUSTAINABLE MANAGEMENT OF LIVING NATURAL RESOURCES

#### 6.1 BIODIVERSITY RISKS AND IMPACTS

Develop and implement measures and actions to assess and manage risks and impacts on biodiversity.

- All subprojects to follow ESMF rules and measures for avoidance and minimization of impacts to biodiversity, including:
  - Negative listing of any subproject which has the potential to cause impacts to critical habitat areas, including all legally established protected areas within the project influence area;
  - Prohibitions on hunting or plant or animal species extraction by project workers;
  - Promotion, to the extent possible, of the use of natural barriers (plants or topographic) that may occur in the project area, in order to avoid a greater landscape impact;
  - Promotion of the rational use of composting and production of organic fertilizer from vegetation pruning and undergrowth remotion, which can later be used in the recovery or environmental restoration activities;
  - Additional measures for each type of subproject, as outlined in the ESMF.

Subproject-specific measures to be: defined in accordance with the ESMF, prior to issuing of bid/contract documents for corresponding subprojects. Implemented and monitored as applicable throughout Project implementation.

ACICAFOC

Implementation requirements to be funded as part of works contracts

Oversight to be funded as part of overall project management cost

### ESS 7: INDIGENOUS PEOPLES/SUB-SAHARAN AFRICAN HISTORICALLY UNDERSERVED TRADITIONAL LOCAL COMMUNITIES
### 7.1 INDIGENOUS PEOPLES PRESENT OR COLLECTIVELY ATTACHED TO PROJECT AREA:

As the entire project aims to benefit indigenous peoples and will be carried out where indigenous peoples are present in and have collective attachment to the area, the project itself has been designed in accordance with the requirements of this standard. No stand-alone Indigenous Peoples Plan is required.

**Assessment of presence of indigenous peoples, and measures required to ensure consistency with this standard, to be carried out as part of ESA, prior to project appraisal (completed).**

**ACICAFOC**

An estimate of 4 staff weeks funded as part of overall project management cost.

### ESS 8: CULTURAL HERITAGE

**8.1 CHANCE FINDS:** Develop and implement a chance finds procedure for all activities involving civil or earth works.

- To be included in all works contracts, based on requirements in ESMF.
- In case of presence of a possible archaeological site, the recommendation of a professional in archeology must be met, including conducting a more detailed and timely study to determine how to rescue or to preserve this site, and guarantee the delimited area is not impacted or altered.

**Chance find procedures to be incorporated into all works contracts prior to issuing bid documents.**

**ACICAFOC**

Implementation requirements to be funded as part of works contracts

Oversight to be funded as part of overall project management cost

### 8.2 CULTURAL HERITAGE:

Implement measures as required in ESMF to screen each subproject area for identification of any known cultural heritage, so as to avoid any impacts to that resource, and ensure appropriate management as applicable.

**Subproject-specific measures (where required):** defined as part of subproject screening, and included in bid/contract documents, to be implemented at outset of any construction activities for corresponding subproject.

**Implemented and monitored as applicable throughout Project implementation.**

**ESS 10: STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE**
10.1 **SEP PREPARATION**: Prepare and disclose a Stakeholder Engagement Plan (SEP), building on early project design stage consultations

- Prior to appraisal (completed).

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10.2 **SEP IMPLEMENTATION**: Implement the SEP. This includes developing detailed communication strategy for the project.

- Throughout Project implementation.
  - Communication strategy to be developed during first semester of implementation, once communication specialist is hired.

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10.3 **PROJECT GRIEVANCE MECHANISM**: Develop and implement the arrangements for the grievance mechanism.

- The mechanism will have several channels of access, such as: presentations in person, by telephone, text message, email, website or through the community promoters expected to be hired by the Project. It will include a claim record; bring transparency regarding complaint procedure and have an effective management structure.

- Develop prior to appraisal (completed)
- Implement prior to initiation of first subproject
- Maintain throughout Project implementation

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**Capacity Support**

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<tr>
<th>Specify Training to be provided</th>
<th>Specify Targeted Groups and Timeframe for Delivery</th>
<th>Specify Training Completed</th>
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<tbody>
<tr>
<td>- Environmental and social risks and impacts</td>
<td></td>
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<tr>
<td>- Participatory Monitoring and Evaluation</td>
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<td>- Farm Field Schools</td>
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<tr>
<td>- Ecosystem-based Adaptation (AbE)</td>
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- Training to project workers and contractors will be conducted by the Project Implementation Unit (PIU) specialist consultants for the duration of the project and start within the first six months of project effectiveness.

- Training to Community based organizations will be conducted after sub projects approved, within the first six months of project effectiveness.