

## Sample Procurement Plan

### I. General

1. **Bank's approval Date of the procurement Plan February 7, 2014.** Revision of the Procurement Plan, February 8, 2017
2. **Date of General Procurement Notice:** Updated April 20, 2017
3. **Period covered by this procurement plan:** The procurement period of project covered from year June 2017 to December 2018

### II. Goods and Works and non-consulting services.

1. **Prior Review Threshold:** Procurement Decisions subject to Prior Review by the Bank as stated in Appendix 1 to the Guidelines for Procurement:

	Procurement Method	Prior Review Threshold US\$	Comments
1.	ICB and LIB (Goods)	Above US\$ 5 million	All
2.	NCB (Goods)	Above US\$ 4 million	All
3.	ICB (Works)	Above US\$ 15 million	All
4.	NCB (Works)	Above US\$ 15 million	All
5.	ICB and LIB (Non-Consultant Services)	Above US\$ 5 million	All
6.	NCB (Non-Consultant Services)	Above US\$ 4 million	All

2. **Prequalification.** Not applicable
3. **Proposed Procedures for CDD Components (as per paragraph. 3.17 of the Guidelines:** Not applicable
4. **Reference to (if any) Project Operational/Procurement Manual:** Project Implementation Manual for World Bank Loan IBRD 8353-BR was approved on January 8, 2014 and revised on December 23, 2015 by the Government of the State of Espirito Santo.

**Any Other Special Procurement Arrangements:** Retroactive Financing - an aggregate amount not to exceed \$20,000,000 equivalent may be made for payments made prior to this date but on or after October 1, 2013, for Eligible Expenditures.

5. **Summary of the Procurement Packages planned during the first 18 months after project effectiveness**

June 5, 2017

<b>Goods and Works</b>	<b>Estimated Cost</b>	<b>Review by Bank</b>	<b>Comments</b>
<b>Description of Assignment</b>	<b>US\$ million</b>	<b>(Prior / Post)</b>	
SEAMA-1F2 / Aquisição de Equipamentos para o Sistema de Informações Ambiental	4.9	Prior	Single Stage
CESAN-2B5 / Works at Vila Velha and Cariacica	164	Prior	

### **III. Selection of Consultants**

1. **Prior Review Threshold:** Selection decisions subject to Prior Review by Bank as stated in Appendix 1 to the Guidelines Selection and Employment of Consultants:

	<b>Selection Method</b>	<b>Prior Review Threshold</b>	<b>Comments</b>
1.	Competitive Methods (Firms)	Above US\$ 2 million	All
2.	Single Source (Firms)	Above US\$ 2 million	All
3.	Individual	Above US\$ 0.4 million	All

2. **Short list comprising entirely of national consultants:** Short list of consultants for services, estimated to cost less than \$1.5 million equivalent per contract, may comprise entirely of national consultants in accordance with the provisions of paragraph 2.7 of the Consultant Guidelines.
3. **Any Other Special Selection Arrangements:** *[including advance procurement and retroactive financing, if applicable or delete if not applicable]*
4. **Consultancy Assignments with Selection Methods and Time Schedule**

<b>Consultant Services</b>	<b>Estimated Cost</b>	<b>Review by Bank</b>	<b>Comments</b>
<b>Description of Assignment</b>	<b>US\$ million</b>	<b>(Prior / Post)</b>	
SEAMA-1F1 / Study and Projects for the development of the Environmental Management System.	2.34	Prior	QCBS
CESAN-2B1 / Supervision of works and execution of socio-environmental of the sewage systems in nine municipalities of the countryside of the State of Espirito Santo	2.8	Prior	QCBS - Initiated
CESAN-4A1 / Management of the RMGV Works Supervision Program	18	Prior	QCBS - Initiated
ARSP-4B1 / Revision and Tariff Restructuring of the Sanitation Services and Regulatory Accounting	1.8	Prior	QCBS

June 5, 2017

**PROCUREMENT PLAN** **Brazil : BR Espírito Santo Integrated Sustainable Water Management Project**

**General Information**  
**Country:** Brazil **Bank / Approval Date of the Original Procurement Plan:** 2017-02-08  
**Project ID:** P130682 **Revised Plan Date(s): (comma delineated, leave blank if none)** 2018-02-06  
**Project Name:** BR Espírito Santo Integrated Sustainable Water Management Project **OPN Date:** 2017-04-20  
**Loan / Credit No.:** IBRD / 83530  
**Executing Agency(ies):** Executive Secretariat of the State of Espírito Santo

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Procurement Process	Prequalification (Y/N)	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Draft Pre-qualification Documents		Prequalification Evaluation Report		Draft Bidding Document / Justification		Specific Procurement Notice / Invitation		Bidding Documents as Issued		Proposal Submission / Opening / Minutes		Bid Evaluation Report and Recommendation for Award		Signed Contract		Contract Completion	
											Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual
CESAN-286 / Contratacao Obras de Cariacica	IBRD / 83530	Efficient Water Supply Services and Increased Access to Sanitation	Prior	Request for Bids	Open - International	Single Stage - One Envelope		51,515,151.52	0.00	Cancelled					2017-06-30		2017-09-04					2017-10-16		2017-11-15		2017-12-20		2018-06-16
CESAN-283 / Execução de obras e serviços de Implantação, Reabilitação e Ampliação de Sistemas de Esgoto Sanitário de Conceição do Castelo, Divino de São Lourenço e Marçal Freixo	IBRD / 83530	Efficient Water Supply Services and Increased Access to Sanitation	Post	Request for Bids	Open - National	Single Stage - One Envelope		8,600,000.00	6,059,384.19	Signed					2017-04-28	2017-09-11	2017-05-03					2017-08-14		2017-07-14		2017-08-18	2017-12-18	2018-02-14
SEAMA-386 / Adequação e recuperação de Estradas vicinais de terra, com confecção de caixas secas	IBRD / 83530	Watershed Management and Restoration of Forest Cover	Post	Request for Bids	Open - National	Single Stage - One Envelope		2,000,000.00	0.00	Pending Implementation					2017-07-31		2017-08-05					2017-09-16		2017-10-16		2017-11-20		2018-05-15
CESAN-284 / Execução de obras e serviços de Implantação, Reabilitação e Ampliação de Sistemas de Esgoto Sanitário de Santa Leopoldina e Santa Maria de Jetiba	IBRD / 83530	Efficient Water Supply Services and Increased Access to Sanitation	Post	Request for Bids	Open - National	Single Stage - One Envelope		12,000,000.00	0.00	Under Implementation					2017-06-10		2017-06-15					2017-07-27		2017-08-26		2017-09-30		2018-03-29
SEAMA-382 / Construção de equipamentos sociais a margem do Mangara	IBRD / 83530	Watershed Management and Restoration of Forest Cover	Post	Request for Bids	Open - National	Single Stage - One Envelope		300,000.00	0.00	Pending Implementation					2018-03-15		2018-03-20					2018-05-01		2018-05-31		2018-07-05		2019-01-01
CESAN-382 / Construção de equipamentos sociais a margem do Mangara	IBRD / 83530	Watershed Management and Restoration of Forest Cover	Post	Request for Bids	Open - National	Single Stage - One Envelope		300,000.00	0.00	Cancelled					2018-03-15		2018-03-20					2018-05-01		2018-05-31		2018-07-05		2019-01-01
CESAN-386 / Adequação e recuperação de Estradas vicinais de terra, com confecção de caixas secas	IBRD / 83530	Watershed Management and Restoration of Forest Cover	Post	Request for Bids	Open - National	Single Stage - One Envelope		1,500,000.00	0.00	Cancelled					2017-03-15		2017-03-20					2017-05-01		2017-05-31		2017-07-05		2018-01-01
CESAN-285 / Contratacao Obras de Vila Velha e Cariacica	IBRD / 83530	Efficient Water Supply Services and Increased Access to Sanitation	Prior	Request for Bids	Open - International	Single Stage - One Envelope		164,800,000.00	0.00	Cancelled					2017-05-30		2017-06-04					2017-07-16		2017-08-15		2017-09-19		2018-03-16
CESAN-287 / DBO de Vila Velha.	IBRD / 83530	Efficient Water Supply Services and Increased Access to Sanitation	Prior	Request for Proposals	Open - International	Single Stage - One Envelope	Y	100,000,000.00	0.00	Under Implementation	2017-12-18	2017-11-18	2018-04-05		2018-04-05		2018-02-07	2017-12-18				2018-05-20		2018-06-04		2018-07-09		2024-06-07
CESAN-288 / Contratacao de Obras Cariacica	IBRD / 83530	Efficient Water Supply Services and Increased Access to Sanitation	Prior	Request for Bids	Open - International	Single Stage - One Envelope		54,500,000.00	0.00	Cancelled					2018-01-15		2018-01-20					2018-03-03		2018-04-02		2018-05-07		2021-04-21
CESAN-2810 / Contratação das Obras de ampliação do sistema de coleta de esgoto da ETE Bandeirantes para Bairros Cariacica e Viana, em dois Lotes.	IBRD / 83530	Efficient Water Supply Services and Increased Access to Sanitation	Prior	Request for Bids	Open - International	Single Stage - One Envelope		67,500,000.00	0.00	Pending Implementation					2018-02-05		2018-02-10					2018-03-24		2018-04-23		2018-05-28		2018-11-24

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Procurement Process	Prequalification (Y/N)	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Draft Pre-qualification Documents		Prequalification Evaluation Report		Draft Bidding Document / Justification		Specific Procurement Notice / Invitation		Bidding Documents as Issued		Proposal Submission / Opening / Minutes		Bid Evaluation Report and Recommendation for Award		Signed Contract		Contract Completion	
											Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual
CESAN-242 / Reforma ou substituição das bombas de grande porte da CESAN, com intuito de recuperação do rendimento elétrico dos equipamentos (Item 6 Efic Energética)	IBRD / 83530	Efficient Water Supply Services and Increased Access to Sanitation	Post	Request for Bids	Open - National	Single Stage - One Envelope		3,974,515.15	0.00	Pending Implementation					2018-03-20		2018-03-25					2018-05-06		2018-06-05		2018-07-10		2019-01-06
AGERH-1C2 / Aquisição de 03 medidores de vazão para condutas forçadas, 01 medidor de vazão para pequenas profundidades, 01 medidor de vazão para médias e grandes profundidades e 04 sondas multiparametros	IBRD / 83530	Integrated Water Management and Disaster Risk Management	Post	Request for Bids	Open - National	Single Stage - One Envelope		420,000.00	0.00	Pending Implementation					2017-05-22		2017-05-27					2017-07-08		2017-08-07		2017-09-11		2018-03-10
DEFESA CIVIL-1H31 / Aquisição de mobiliário para Coordenadorias Regionais e Municipais de Proteção e Defesa Civil	IBRD / 83530	Integrated Water Management and Disaster Risk Management	Post	Request for Quotations	Open - National	Single Stage - One Envelope		60,606.06	0.00	Under Implementation					2017-07-20		2017-07-20								2017-09-14		2018-03-13	
SEAMA-1F6 / Aquisição de Software para o Sistema de Informações Ambientais	IBRD / 83530	Integrated Water Management and Disaster Risk Management	Post	Request for Quotations	Open - National	Single Stage - One Envelope		56,275.00	0.00	Pending Implementation					2017-07-09		2017-07-09								2017-09-03		2018-03-02	



ARSP-4B1 / Revisão e Reestruturação Tarifária dos Serviços de Saneamento e Contabilidade Regulatória	BRD / 83530	Project Management, Supervision and Institutional Strengthening	Prior	Quality And Cost-Based Selection	Open - International	1,818,181.82	0.00	Under Implementation	2017-04-10	2017-05-01	2017-06-16	2017-06-14	2017-11-05	2017-07-12	2017-08-11	2017-09-15	2017-10-20	2018-10-20		
SEAMA-1F1 / Estudos e Projetos para o desenvolvimento de Sistema de Informação de Gestão Ambiental	BRD / 83530	Integrated Water Management and Disaster Risk Management	Prior	Quality And Cost-Based Selection	Open - International	2,341,000.00	0.00	Pending Implementation	2017-09-15	2017-10-06		2017-11-19		2017-12-17	2018-01-16	2018-02-20	2018-03-27	2019-03-27		
SEDURB-1G1 / Elaboração de Estudos e desenvolvimento Plano Diretor de Águas Urbanas da RMQV - Fase 1	BRD / 83530	Integrated Water Management and Disaster Risk Management	Post	Quality And Cost-Based Selection	Open - International	1,839,000.00	0.00	Pending Implementation	2017-09-20	2017-10-11		2017-11-24		2017-12-22	2018-01-21	2018-02-25	2018-04-01	2019-04-01		
CESAN-2B1 / Supervisão de obras e execução de Serviços Socioambientais dos Sistemas de Esgotamento Sanitário em 09 (nove) municípios do interior do Espírito Santo	BRD / 83530	Efficient Water Supply Services and Increased Access to Sanitation	Prior	Quality And Cost-Based Selection	Open - International	2,800,000.00	0.00	Under Implementation	2017-05-22	2017-09-13	2017-06-12			2017-08-23	2017-09-22	2017-10-27	2017-12-01	2018-12-01		
CESAN-2A1 / 1a. Etapa do Plano Diretor de Conservação de Energia Elétrica para Sistemas de Coleta e Tratamento de Esgoto da CESAN no Espírito Santo (Item VI. Efic. Energética)	BRD / 83530	Efficient Water Supply Services and Increased Access to Sanitation	Post	Quality And Cost-Based Selection	Open - National	600,000.00	0.00	Pending Implementation	2018-05-21	2018-06-11				2018-08-22	2018-09-21	2018-10-26	2018-11-30	2019-11-30		
CESAN-4A1 / Gerenciamento do Programa e Supervisão de Obras RMQV	BRD / 83530	Project Management, Supervision and Institutional Strengthening	Prior	Quality And Cost-Based Selection	Open - International	18,000,000.00	0.00	Under Implementation	2017-04-15	2017-06-23	2017-05-06			2017-06-19	2017-10-16	2017-07-17	2017-08-16	2017-09-20	2017-10-25	2018-10-25
SEAMA-3A1 / Contratação de Serviços de Aperfeiçoamento do Sistema de Gestão Técnica e Financeira do Programa Reflorestar - PORTAL REFLORESTAR	BRD / 83530	Watershed Management and Restoration of Forest Cover	Post	Quality And Cost-Based Selection	Open - National	273,000.00	0.00	Pending Implementation	2017-06-15	2017-07-06		2017-08-19		2017-09-16	2017-10-16	2017-11-20	2017-12-25	2018-12-25		
SEAMA-1D1 / Determinação da verçao temporal da linha de costa do Espírito Santo	BRD / 83530	Integrated Water Management and Disaster Risk Management	Post	Quality And Cost-Based Selection	Open - National	166,667.67	0.00	Under Implementation	2017-06-16	2017-07-07				2017-08-20	2017-09-17	2017-10-17	2017-11-21	2017-12-26	2018-12-26	
AGERH-1C1 / Projeto executivo de microlocalização (identificação dos pontos e referências de nível), Teste de Intensidade de sinais GSM, anulação de instalação e croqui de estrutura de locação (50 pros)	BRD / 83530	Integrated Water Management and Disaster Risk Management	Post	Consultant Qualification Selection	Open - National	90,909.00	0.00	Under Implementation	2017-06-05	2017-08-26				2017-10-09		2017-11-08	2017-12-13	2018-06-11		
SEAMA-1F5 / Modelagem Institucional da Agência Ambiental	BRD / 83530	Integrated Water Management and Disaster Risk Management	Post	Quality And Cost-Based Selection	Open - National	300,000.00	0.00	Pending Implementation	2017-09-20	2017-10-11				2017-11-24	2017-12-22	2018-01-21	2018-02-25	2018-04-01	2019-04-01	
SEAMA-3B4 / Avaliação Socioambiental da Bacia e Monitoramento de Impacto de Resultados	BRD / 83530	Watershed Management and Restoration of Forest Cover	Post	Quality And Cost-Based Selection	Open - National	600,000.00	0.00	Pending Implementation	2018-03-15	2018-04-05				2018-05-19	2018-06-16	2018-07-16	2018-08-20	2018-09-24	2019-09-24	
SEAMA-3B5 / Elaboração de Diagnóstico Socioeconômico de 800 propriedades rurais	BRD / 83530	Watershed Management and Restoration of Forest Cover	Post	Quality And Cost-Based Selection	Open - National	1,000,000.00	0.00	Pending Implementation	2017-09-15	2017-10-06				2017-11-19	2017-12-17	2018-01-16	2018-02-20	2018-03-27	2019-03-27	
CESAN-3B4 / Avaliação Socioambiental da Bacia e Monitoramento de Impacto de Resultados	BRD / 83530	Watershed Management and Restoration of Forest Cover	Post	Quality And Cost-Based Selection	Open - National	600,000.00	0.00	Cancelled	2017-05-15	2017-06-05				2017-07-19	2017-08-16	2017-09-15	2017-10-20	2017-11-24	2018-11-24	
INCAPER-3B5 / Elaboração de Diagnóstico Socioeconômico de 800 propriedades rurais	BRD / 83530	Watershed Management and Restoration of Forest Cover	Post	Quality And Cost-Based Selection	Open - National	200,000.00	0.00	Cancelled	2017-06-15	2017-07-06				2017-08-19	2017-09-16	2017-10-16	2017-11-20	2017-12-25	2018-12-25	
CESAN-4C1 / Auditoria Externa do Projeto	BRD / 83530	Project Management, Supervision and Institutional Strengthening	Post	Least Cost Selection	Open - National	230,000.00	0.00	Cancelled	2017-05-22	2017-06-12				2017-07-26	2017-08-23	2017-09-22	2017-10-27	2017-12-01	2018-05-30	
SEAMA-3B8 / Assistência Técnica Rural - ATER Mangaral	BRD / 83530	Watershed Management and Restoration of Forest Cover	Post	Quality And Cost-Based Selection	Open - National	2,200,000.00	0.00	Under Implementation	2017-11-14	2017-12-05				2018-01-18	2018-02-15	2018-03-17	2018-04-21	2018-05-26	2019-05-26	
SEAMA-3B9 / Contratação de consultoria técnica especializada em Mobilização Social e Educação Ambiental no âmbito do Projeto Piloto Mangaral	BRD / 83530	Watershed Management and Restoration of Forest Cover	Post	Quality And Cost-Based Selection	Open - National	1,000,000.00	0.00	Pending Implementation	2018-01-30	2018-02-20				2018-04-05	2018-05-03	2018-06-02	2018-07-07	2018-08-11	2019-08-11	

**INDIVIDUAL CONSULTANTS**

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Contract Type	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Terms of Reference		Invitation to Identified/Selected Consultant		Draft Negotiated Contract		Signed Contract		Contract Completion	
										Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual
CESAN-4C4 / Contratação de profissional para serviços de tradução para apoio ao Projeto	BRD / 83530	Project Management, Supervision and Institutional Strengthening	Post	Individual Consultant Selection	Open		40,000.00	0.00	Pending Implementation	2017-03-15		2017-05-03		2017-05-24		2017-06-28		2017-12-25	
AGERH-1E1 / Contratação de Hidrologista para elaboração de TDR e acompanhamento dos trabalhos do Levantamento.	BRD / 83530	Integrated Water Management and Disaster Risk Management	Post	Individual Consultant Selection	Open		60,606.06	0.00	Cancelled	2017-03-15		2017-07-10		2017-07-31		2017-09-04		2018-03-03	
SEAMA-1F4 / Elaboração de Termo de Referência para o desenvolvimento da Modelagem Institucional da Agência Ambiental	BRD / 83530	Integrated Water Management and Disaster Risk Management	Post	Individual Consultant Selection	Open		4,545.45	0.00	Pending Implementation	2017-06-20		2017-08-08		2017-08-29		2017-10-03		2018-04-01	

CESAN-4C3 / Contratacao de um especialista em licitacoes para apoio ao Projeto	BRD / 83530	Project Management, Supervision and Institutional Strengthening	Post	Individual Consultant Selection	Open		40,000.00	0.00	Under Implementation	2017-12-08		2017-12-13		2018-01-09		2018-01-08		2018-04-08
SEAMA-1E1 / Contratacao de Hidrologo para elaboracao de Termo de Referencia para a Execucao do Levantamento e Sistematizacao de dados e de estudos Hidrologico do ES	BRD / 83530	Integrated Water Management and Disaster Risk Management	Post	Individual Consultant Selection	Open		60,606.06	0.00	Pending Implementation	2018-01-30		2018-03-20		2018-04-10		2018-05-15		2018-11-11