

UNITED REPUBLIC OF TANZANIA

Procurement Plan

General

1. Project Information

Country: Tanzania

Project Name: Roads to Inclusion and Social Economic Opportunities (RISE)

Project ID: P164920

2. Project Implementation Agency: TARURA

3. Date of the Procurement Plan: TBD

4. Period Covered by this procurement plan:

I. Preamble

In accordance with paragraph 5.9 of the “World Bank Procurement Regulations for IPF Borrowers” (July 2016) (“Procurement Regulations”) the Bank’s Systematic Tracking and Exchanges in Procurement (STEP) system will be used to prepare, clear and update Procurement Plans and conduct all procurement transactions for the Project.

This textual part along with the Procurement Plan tables in STEP constitutes the Procurement Plan for the Project. The following conditions apply to all procurement activities in the Procurement Plan. The other elements of the Procurement Plan as required under paragraph 4.4 of the Procurement Regulations are set forth in STEP.

II. Bank’s Standard Procurement Documents:

The Bank’s Standard Procurement Documents shall be used for all contracts subject to international competitive procurement and those contracts as specified in the Procurement Plan tables in STEP.

III. National Procurement Arrangements: In accordance with paragraph 5.3 of the Procurement Regulations, when approaching the national market (as specified in the Procurement Plan tables in STEP), the country’s own procurement procedures may be used.

When the Borrower uses its own **national open competitive procurement** arrangements as set forth in *The Public Procurement Act, 2011, The Public Procurement (Amendment) Act, 2016 and the Regulations, 2016*, such arrangements shall be subject to paragraph 5.3 and 5.4 of the Procurement Regulations and the following conditions:

- o There should be no mandatory requirements for inclusion of local experts and firms for the consulting assignments;

- o There should be no exclusive preference based on threshold prescribed in PPA and its Regulations;
- o Negotiations with the lowest evaluated bidder to reduce price in the case of goods, works and non-consulting services where competitive methods have been used shall not be allowed;
- o The fixed budget method shall not be used for goods, works and non-consulting services;
- o Established and approved procurement standards by the government may be used, provided that they are not restrictive

When **other national procurement arrangements** other than national open competitive procurement arrangements are applied by the Borrower, such arrangements shall be subject to paragraph 5.5 of the Procurement Regulations.

In accordance with paragraph 5.4(c) and 5.5 of the Procurement Regulations, the request for bids/request for proposals/request for quotations document shall require that Bidders/Proposers submitting Bids/Proposals/quotations present a signed acceptance at the time of bidding, to be incorporated in any resulting contracts, confirming application of, and compliance with, the Bank's Anti-Corruption Guidelines, including without limitation the Bank's right to sanction and the Bank's inspection and audit rights. The form of the Letter of Acceptance is attached in Appendix 1.

IV. Prequalification: Not Applicable

V. Leased Assets as specified under paragraph 5.10 of the Procurement Regulations: Not Applicable

VI. Procurement of Second Hand Goods as specified under paragraph 5.11 of the Procurement Regulations: Not Applicable

VII. Domestic preference as specified under paragraph 5.51 of the Procurement Regulations (Goods and Works). Not Applicable

Domestic preference is not applicable for National Open Competitive Procurement

VIII. Hands-on Expanded Implementation Support (HEIS) as specified under paragraphs 3.10 and 3.11 of the Procurement Regulations is Applicable. Not Applicable

IX. Other Relevant Procurement Information Not Applicable

X. Threshold for Prior Review, Procurement Approaches and Methods – Good, Works and Non-Consulting Services

Category	Prior Review (US\$ millions)	Procurement Approaches and Methods (US\$ millions)		
		Open International	Open National	Request for Quotation (RfQ)
Works	≥ 5	≥ 15	< 15	≤ 0.2
Goods, IT, and non-consulting services	≥ 1.5	≥ 5	< 5	≤ 0.1

Post Review: All contracts not subject to prior review shall be subject to post review.

Summary of the procurement packages for Good, Works and Non-Consulting Services (Planned for the first 18 months after project effectiveness):

Sr. No	Contract Description	Estimated Cost (US\$)	Review by Bank (Prior/ Post)	Market Approach (Open-National/ Limited etc.)	Selection Method (RFP, RFB, RFQ, DS etc.)	Evaluation Options (Most Advantageous Bid, BAFO, Rated Criteria etc.)	Procurement Process (Single stage-single envelope etc.)	Prequalification (Yes/ No)	Domestic Preference (Yes/No)	Expected date of bid/proposal opening	Expected date of Contract Signature
GOODS											
Component 2: Institutional Strengthening, Technical Assistance and Project Management and monitoring											
1.	Procurement of Vehicles (Lot 1) and Odometer and GPS for Inventory and Condition Survey (Lot 2).	280,000	Post	Open - National	RFB	Most Advantageous Bid (MAB)	Single stage One-Envelope	No	No	20 Feb 2019	8 April 2019
2.	Procurement of Desktop Computers and Laptops (Lot 1) and heavy duty photocopy/printing machine (Lot 2)	160,000	Post	Open - National	RFB	MAB	Single stage One-Envelope	No	No	20 Feb 2019	8 April 2019
3.	Procurement of Survey Equipment	30,000	Post	Limited - National	RFQ	MAB	Single stage One-Envelope	No	No	13 Feb 2019	1 April 2019
4.	Tender for the Supply of Video Conferencing Facilities	100,000	Post	Limited - National	RFQ	MAB	Single stage One-Envelope	No	No	13 Feb 2019	1 April 2019
Total Goods		570,000									

Commented [RJM1]: Dates will be updated when finalizing the PP.

XI. Thresholds for Prior Review, Selection Approaches and Methods – Consulting Services

Category	Prior Review (US\$ millions)	Short List of National Consultants	
		Consulting Services	Engineering and Construction Supervision
Consultants (Firms)	≥ 0.5	≤ 0.3	≤ 0.3
Individual Consultants	≥ 0.2	n.a.	n.a.

Note: Consultancy services estimated to cost equivalent to US \$ 300,000 and above per contract shall be advertised in the United Nations Development Business (UNDB) online in addition to advertising in national newspaper(s) of wide circulation and/or regional newspaper.

XII. Summary of the procurement packages for Consulting Services -Planned for the first 18 months after project effectiveness):

Sr. No	Contract Description	Estimated Cost (US\$)	Review by Bank (Prior/ Post)	Market Approach (Open - International/ National/ etc.)	Selection Method (QCBS, FBS, LCBS, QBS, CQS, DS etc.)	Shortlisting (Yes/ No)	Expected date of bid/ proposal opening	Expected date of Contract Signature	Duration (months)
Component 1: Rural Road Development and Maintenance									
1	Consulting Services for Road Safety Specialist to (i) Carry out Road Safety audit of pilot studies and (ii) Capacity Building for Road Safety Engineering (including vulnerable groups) and Road Safety Audits; (iii) Preparation of Road Safety Design Manuals/Guideline; (iv) Preparation of Road Safety Audit Manual	180,000	Post	Open - National	IC	No	11 Feb 19	13 March 2019	12
Component 2: Institutional Strengthening, Human Capital and Project Management and monitoring									
2	Consulting Services for Procurement and Contract Management Specialist to (i) Advise TARURA on Procurement and contract management matters (ii) Capacity Building in Procurement and Contracts Management (iii) Preparation of Procurement and (iv) Preparation of Contracts Management Manual/ Guideline	200,000	Post	Open - National	IC	No	4 Feb 19	6 March 2019	12
3	Consulting Services for (i) Review of TARURA Strategic Plan, Organization Structure and Job Analysis, (ii) Preparation of Human Resources Plan and Training Strategy (iii) Assessment of facilities required for efficient functioning of	200,000	Post	Open - National	CQS	No	18 Feb 2019	20 March 2019	12

	TARURA								
4	Consulting Services for Communications Specialist for (i) Preparing TARURA Communication Policy and Strategy and (ii) Capacity building of TARURA Staff	80,000	Post	Open - National	CQS	No	18 Feb 2019	20 March 2019	6
5	Consulting Services for Preparation Quality Control Manuals/Guideline	40,000	Post	Open - National	IC	No	11 Feb 19	13 March 2019	6
6	Consulting Services for Updating of Low Volume Design Manual and Maintenance Manual + Training	60,000	Post	Open - National	IC	No	18 Feb 2019	20 March 2019	6
7	Consulting Services of Financial Management Expert to (i) Prepare Financial Management Manual) –(ii) Capacity building in Financial Management and Auditing	90,000	Post	Open - National	IC	No	18 Feb 2019	20 March 2019	6
8	Consulting Services for Transport Economist expert	90,000	Post	Open - National	IC	No	18 Feb 2019	20 March 2019	12
9	Consulting Services for Preparation of Project Operations Manual	30,000	Post	Open - National	IC	No	18 Feb 2019	20 March 2019	6
10	Consulting Services for Monitoring and Evaluation Specialist to Prepare Baseline for M&E, and Impact Evaluation	80,000	Post	Open - National	IC	No	18 Feb 2019	20 March 2019	6
11	Consulting Services to Update defect identification and data collection manual 2005- DROMAS	50,000	Post	Open - National	IC	No	18 Feb 2019	20 March 2019	6
Component 3: Community Engagement, Inclusion and Protection									
12	Consulting Services for Developing and Advising on Labor Based Road Maintenance Model for TARURA	90,000	Post	Open - National	IC	No	11 Feb 19	13 March 2019	12
13.	Consulting Services for Environmental and Social safeguard specialist for (i) Preparation of Community Engagement Policy and Plan (ii) capacity Building in Gender Mainstreaming Approaches including Review of Gender, Sexual Harassment, HIV-AIDS, OHS policies.	150,000	Post	Open - National	IC	No	4 Feb 19	6 March 2019	12
Total Consultancy Services		1,340.00							
GRAND TOTAL (Goods +Consultancy Services)		1,910.00							

Appendix 1

Letter of Acceptance of the World Bank's Anti-Corruption Guidelines and Sanctions Framework

Date: __

Invitation of Bids/Proposals No. _____

To: _____

We, along with our sub-contractors, sub-consultants, service providers, suppliers, agents (whether declared or not) consultants and personnel, acknowledge and agree to abide by the World Bank's policy regarding Fraud and Corruption (corrupt, fraudulent, collusive, coercive, and obstructive practices), as set out and defined in the World Bank's Anti-Corruption Guidelines¹ in connection with the procurement and execution of the contract (in case of award), including any amendments thereto.

We declare and warrant that we, along our sub-contractors, sub-consultants, service providers, suppliers, agents (whether declared or not), consultants and personnel, are not subject to, and are not controlled by any entity or individual that is subject to, a temporary suspension, early temporary suspension, or debarment imposed by a member of the World Bank Group, including, inter alia, a cross-debarment imposed by the World Bank Group as agreed with other international financial institutions (including multilateral development banks), or through the application of a World Bank Group finding of non-responsibility on the basis of Fraud and Corruption in connection with World Bank Group corporate procurement. Further, we are not ineligible under the laws or official regulations of *[Insert name of Employer as per bidding document]* or pursuant to a decision of the United Nations Security Council.

We confirm our understanding of the consequences of not complying with the World Bank's Anti-Corruption Guidelines, which may include the following:

- a. rejection of our Proposal/Bid for award of contract;
- b. in the case of award, termination of the contract, without prejudice to any other remedy for breach of contract; and
- c. sanctions, pursuant to the Bank's Anti-corruption Guidelines and in accordance with its prevailing sanctions policies and procedures as set forth in the Bank's Sanctions Framework. This may include a public declaration of ineligibility, either indefinitely or for a stated period of time, (i) to be awarded or otherwise benefit from a Bank-financed contract, financially or in any other manner;² (ii) to be a nominated³ sub-contractor, consultant, manufacturer or supplier, or service provider of an otherwise eligible firm being awarded a Bank-financed contract; and (iii) to receive the proceeds of any loan made by the Bank or otherwise to participate further in the preparation or implementation of any Bank-financed project.

We understand that we may be declared ineligible as set out above upon:

- a. completion of World Bank Group sanctions proceedings according to its prevailing sanctions procedures;
- b. cross-debarment as agreed with other international financial institutions (including multilateral development banks);

¹*Guidelines on Preventing and Combating Fraud and Corruption in Projects Financed by International Bank for Reconstruction and Development Loans and the International Development Agency Credits and Grants*, dated October 15, 2006, and revised in January 2011 and July 2016, as they may be revised from time to time.

² For the avoidance of doubt, a sanctioned party's ineligibility to be awarded a contract shall include, without limitation, (i) applying for pre-qualification, expressing interest in a consultancy, and bidding, either directly or as a nominated sub-contractor, nominated consultant, nominated manufacturer or supplier, or nominated service provider, in respect of such contract, and (ii) entering into an addendum or amendment introducing a material modification to any existing contract.

³ A nominated sub-contractor, nominated consultant, nominated manufacturer or supplier, or nominated service provider (different names are used depending on the particular bidding document) is one which has been: (i) included by the bidder in its pre-qualification application or bid because it brings specific and critical experience and know-how that allow the bidder to meet the qualification requirements for the particular bid; or (ii) appointed by the Borrower.

- c. the application of a World Bank Group finding of non-responsibility on the basis of Fraud and Corruption in connection with World Bank Group corporate procurement; or
- d. temporary suspension or early temporary suspension in connection with an ongoing World Bank Group sanctions proceeding.

For avoidance of doubt, the foregoing effects of ineligibility do not extend to a sanctioned firm's or individual's execution of its ongoing Bank-financed contracts (or its ongoing sub-agreements under such contracts) that are not the subject of a material modification, as determined by the Bank.

We shall permit, and shall cause our sub-contractors, sub-consultants, agents (whether declared or not), personnel, consultants, service providers or suppliers, to permit the Bank to inspect⁴ all accounts, records, and other documents relating to the procurement process and/or contract execution (in the case of award), and to have them audited by auditors appointed by the Bank.

We agree to preserve all accounts, records, and other documents (whether in hard copy or electronic format) related to the procurement and execution of the contract.

Name of the Bidder/Consultant: _____

Name of the person duly authorized to sign the Bid/Proposal on behalf of the Bidder/Consultant:

Title of the person signing the Letter: _____

⁴ Inspections in this context are usually investigative (i.e., forensic) in nature: they involve fact-finding activities undertaken by the Bank or persons appointed by the Bank to address specific matters related to investigations/audits, such as evaluating the veracity of an allegation of possible Fraud and Corruption, through the appropriate mechanisms. Such activity includes but is not limited to accessing and examining a firm's or individual's financial records and information, and making copies thereof as relevant; accessing and examining any other documents, data, and information (whether in hard copy or electronic format) deemed relevant for the investigation/audit, and making copies thereof as relevant; interviewing staff and other relevant individuals; performing physical inspections and site visits; and obtaining third-party verification of information.

PROCUREMENT PLAN Tanzania : Tanzania Roads to Inclusion and Socioeconomic Opportunities (RISE) Program

General Information
Country: Tanzania **Bank's Approval Date of the Original Procurement Plan:** 2019-04-01
Revised Plan Date(s): (comma delineated, leave blank if not) 2019-04-17
Project ID: P164920 **GFN Date:**
Project Name: Tanzania Roads to Inclusion and Socioeconomic Opportunities (RISE) Program
Loan / Credit No.: /
Executing Agency(ies): Tanzania Rural and Urban Roads Agency

WORKS																												
Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Procurement Process	Prequalification (Y/N)	Actual Amount (US\$)	Process Status	Draft Pre-qualification Documents		Prequalification Evaluation Report		Draft Bidding Document / Justification		Specific Procurement Notice / Invitation		Bidding Documents as Issued		Proposal Submission / Opening / Minutes		Bid Evaluation Report and Recommendation for Award		Signed Contract		Contract Completion		
										Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned

GOODS																												
Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Procurement Process	Prequalification (Y/N)	Actual Amount (US\$)	Process Status	Draft Pre-qualification Documents		Prequalification Evaluation Report		Draft Bidding Document / Justification		Specific Procurement Notice / Invitation		Bidding Documents as Issued		Proposal Submission / Opening / Minutes		Bid Evaluation Report and Recommendation for Award		Signed Contract		Contract Completion		
										Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned
TZ-TARURA-107280-GO-RFB / Procurement of Motor Vehicles, Odometer and GPS for inventory and condition survey (2 lots)	/		Post	Request for Bids	Open - National	Single Stage - One Envelope		0.00	Pending Implementation					2019-04-25		2019-05-04					2019-06-15		2019-07-15			2019-08-19		2020-02-15
TZ-TARURA-107284-GO-RFB / Procurement of Desktop computers and Laptops and heavy duty Photocopy/Printing machines (2lots)	/		Post	Request for Bids	Open - National	Single Stage - One Envelope		0.00	Pending Implementation					2019-04-29		2019-05-06					2019-06-17		2019-07-17			2019-08-21		2020-02-17
TZ-TARURA-107378-GO-RPQ / Procurement of Survey Equipment	/		Post	Request for Quotations	Open - National	Single Stage - One Envelope		0.00	Pending Implementation							2019-05-02										2019-06-27		2019-12-24
TZ-TARURA-107379-GO-RPQ / Tender for Supply of Video Conferencing Facilities	/		Post	Request for Quotations	Limited	Single Stage - One Envelope		0.00	Pending Implementation							2019-04-28										2019-06-24		2019-12-21

NON CONSULTING SERVICES																												
Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Procurement Process	Prequalification (Y/N)	Actual Amount (US\$)	Process Status	Draft Pre-qualification Documents		Prequalification Evaluation Report		Draft Bidding Document / Justification		Specific Procurement Notice / Invitation		Bidding Documents as Issued		Proposal Submission / Opening / Minutes		Bid Evaluation Report and Recommendation for Award		Signed Contract		Contract Completion		
										Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned

CONSULTING FIRMS																												
Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Contract Type	Actual Amount (US\$)	Process Status	Terms of Reference		Expression of Interest Notice		Short List and Draft Request for Proposals		Request for Proposals as Issued		Opening of Technical Proposals / Minutes		Evaluation of Technical Proposal		Combined Evaluation Report and Draft Negotiated Contract		Signed Contract		Contract Completion			
									Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual

TZ-TARURA-107383-CS-CQS / Consulting Services for Communications Specialist for (i) Preparing TARURA Communication Policy and Strategy and (ii) Capacity Building of TARURA Staff	/	Post	Consultant Qualification Selection	Open - National		0.00	Pending Implementation	2019-04-25		2019-05-16		2019-06-29							2019-07-29		2019-09-02		2020-02-29	
TZ-TARURA-107381-CS-CQS / Consulting Services for (i) Review of TARURA strategic plan, Organization Structure and Job Analysis, (ii) Preparation of Human Resources plan and Training Strategy, (iii) Assessment of Facilities Required for Efficient Functioning of TARURA	/	Post	Consultant Qualification Selection	Open - National		0.00	Pending Implementation	2019-04-29		2019-05-20		2019-07-03							2019-08-02		2019-09-06		2020-09-05	

INDIVIDUAL CONSULTANTS