

Developing Market-based Energy Efficiency Program in China Procurement Plan

I. General

1. **Bank's approval Date of the procurement Plan:**
2. **Date of General Procurement Notice:** [September 19, 2017](#)
3. **Period covered by this procurement plan:** [The procurement period of project covered from year February 2017 to October 2017](#)

II. Goods and Works and non-consulting services.

1. **Prior Review Threshold:** Procurement Decisions subject to Prior Review by the Bank as stated in Appendix 1 to the Guidelines for Procurement:

Expenditure Category	Contract Value Threshold (US\$)	Procurement Method	Prior Review Threshold (US\$)
1. Goods and non-consulting services	≥ 10,000,000	ICB	All ≥ 4,000,000 (moderate risk)
	< 10,000,000	NCB	All ≥ 2,000,000 (substantial risk)
	< 250,000	Shopping	
	None	Direct Contracting	

2. **Prequalification.** Bidders for [Not applicable](#) shall be prequalified in accordance with the provisions of paragraphs 2.9 and 2.10 of the Guidelines.
3. **Proposed Procedures for CDD Components (as per paragraph. 3.17 of the Guidelines:** [Not applicable](#)
4. **Reference to (if any) Project Operational/Procurement Manual:** [Not applicable](#)
5. **Any Other Special Procurement Arrangements:** [Not applicable](#)

6. **Summary of the Procurement Packages planned during the first 18 months after project effectiveness (including those that are subject to retroactive financing and advanced procurement)**

1	2	3	4	5	6	7
Ref. No.	Description	Estimated Cost US\$1,000	Packages	Domestic Preference (yes/no)	Review by Bank (Prior / Post)	Comments

III. Selection of Consultants

1. **Prior Review Threshold:** Selection decisions subject to Prior Review by Bank as stated in Appendix 1 to the Guidelines Selection and Employment of Consultants:

Expenditure Category	Contract Value Threshold (US\$)	Procurement Method	Prior Review Threshold (US\$)
2. Consultants services	≥ 300,000	QCBS/QBS	Consultant (firms):
	< 300,000	CQS	All ≥ 2,000,000 (moderate risk)
	None	Individual Consultant	All ≥ 1,000,000 (substantial risk)
	None	SSS (firm)	Consultant (individuals):
	None	SSS (individual)	All ≥ 400,000 (moderate risk)
			All ≥ 300,000 (substantial risk)

Note: CQS = Selection Based on the Consultants' Qualifications; ICB = International Competitive Bidding; QCBS = Quality- and Cost-Based Selection; QBS = Quality-Based Selection.

2. **Short list comprising entirely of national consultants:** Short list of consultants for services, estimated to cost less than \$500,000 equivalent per contract, may comprise entirely of national consultants in accordance with the provisions of paragraph 2.7 of the Consultant Guidelines.

3. **Any Other Special Selection Arrangements:** Not applicable

4. Consultancy Assignments with Selection Methods and Time Schedule

1	2	3	4	5	6
Ref. No.	Description of Assignment	Estimated Cost US\$1,000	Estimate Date of Open Tendering	Review by Bank (Prior / Post)	Comments
NDRC-2017-1	Strategic research of promoting energy conservation and environment protection service industry	100	9/2017	Post	CQS
NDRC-2017-2	Planning and implementation of "Energy Conservation Promotion of 2017"	180	9/2017	Post	CQS
NDRC-2017-3	Implementation plan of coal consumption reduction and substitution program	150	9/2017	Post	CQS
NDRC-2017-4	Implementation plan of coal boiler high efficiency and low emission retrofit in "2+26 cities of prevention and control of air pollution" in Jing-Jin-Ji region	100	9/2017	Post	CQS
NDRC-2017-5	Mechanism design of energy efficiency network group	80	9/2017	Post	CQS
NDRC-2017-6	Implementation plan of district energy system	80	9/2017	Post	CQS
PMO-2017-1	Project Manager 1 (12 months)	30	N/A	Post	SSS
PMO-2017-2	Project Manager 2 (12 months)	30	N/A	Post	SSS
PMO-2017-3	Project Procurement Manager (12 months)	30	N/A	Post	SSS
PMO-2017-4	Financial Manager (12 months)	30	N/A	Post	SSS
PMO-2017-5	International Expert (12 months)	60	10/2017	Post	IC

HBGEP.2017-4 / Verifying DLI 4 of the result-based program in Hebei: Number of hectares with increased NUE of at least 37 percent due to the application of formula fertilizer based on soil testing	TF / A4256		Post	Consultant Qualification Selection	Open - National		0.00	Canceled	2017-10-10	2017-10-12	2017-11-02							2017-11-20	2017-11-30	2018-01-14	
HBGEP.2017-5 / Verifying DLIS of the result-based program in Hebei: Number of clean energy bus replacing diesel buses, which are disposed of, in accordance with the national regulation	TF / A4256		Post	Consultant Qualification Selection	Open - National		0.00	Canceled	2017-10-10	2017-10-12	2017-11-02							2017-11-20	2017-11-30	2018-01-14	
HBGEP.2017-5A / Hebei PforR DLI 5 verification/1st round: Number of Clean Energy Buses replacing diesel buses, which are disposed of, in accordance with the National Regulations	TF / A4256		Post	Consultant Qualification Selection	Open - National		0.00	Pending Implementation	2019-05-20	2019-06-03	2019-06-17							2019-07-01	2019-07-18	2019-07-25	
HBGEP.2017-5A / Hebei PforR DLI 3 verification: Number of clean stoves installed	TF / A4256		Post	Consultant Qualification Selection	Open - National		0.00	Pending Implementation	2019-05-20	2019-06-03	2019-06-17							2019-07-01	2019-07-18	2019-07-25	
HBGEP.2017-4A / Hebei PforR DLI 4 verification/1st round: Hectares with increased NUE of at least 37 percent due to the application of formula fertilizer based on soil testing	TF / A4256		Post	Consultant Qualification Selection	Open - National		0.00	Pending Implementation	2019-05-20	2019-06-03	2019-06-17							2019-07-01	2019-07-18	2019-07-25	

INDIVIDUAL CONSULTANTS