

Procurement Plan for ASPIRe Project

I. General

1. **Project information:**

| | | |
|---|---|---|
| Country | : | India |
| Borrower | : | Republic of India |
| Project Name | : | Assam State Public Finance Institutional Reforms (Project) |
| Loan / Credit No. | : | |
| Project Implementing Agency (PIA), etc. | : | Assam Society for Comprehensive Financial Management System |

2. **Bank's approval Date of the procurement Plan** [Original:; Revision 1:.....]

3. **Date of General Procurement Notice:** 13th December 2016

4. **Period covered by this procurement plan:** First 18 months

II. Goods and Works and non-consulting services

1. **Prior Review Threshold:** Procurement Decisions subject to Prior Review by the Bank as stated in Appendix 1 to the Guidelines for Procurement.

World Bank review of procurement: The World Bank will prior review (thresholds are based on “Substantial” rating, will be modified if risk rating is changed after assessment by Bank during the project implementation) the following contracts:

- a) Works including turnkey Supply & Installation of Plant and Equipment and PPP: All contracts more than US\$ 10 million equivalent
- b) Goods, Information Technology and Non-consulting Services: All contracts more than US\$ 2 million equivalent

First contract issued by implementing agency [IA] will be subject to prior review irrespective of their value. In addition, the justifications for all contracts to be issued on the basis of LIB, single-source or direct contracting will be subject to prior review. The above thresholds are for the initial 18 months implementation period; based on the procurement performance of the project these thresholds may be subsequently modified by Bank. **In case of Post Review contracts, the inputs of Bank on Technical Specifications will be obtained by project.** The procurement plan will be subsequently updated annually (or at any other time if required) and will reflect any change in prior review thresholds. The World Bank will carry out an annual ex-post procurement review of the procurement falling below the prior review thresholds provided above. To avoid any doubts, the Bank may conduct at any time Independent Procurement Reviews (IPRs) of all

the contracts financed under the loan. Domestic preference is allowed only for Procurement of Goods and Information Technology and not for procurement of Works.

| Category | Method of Procurement | Method Threshold (US\$ Equivalent) |
|---|---|---|
| Works | International Competitive Bidding (ICB) | > 40,000,000 |
| | National Competitive Bidding (NCB) | Up to 40,000,000 (with NCB conditions) |
| | Shopping | Up to 100,000 |
| | Direct Contracting (DC) | As per paragraph 3.7 of Guidelines |
| | Public-Private Partnership (PPP) for Works | As per paragraph 3.14 of Guidelines |
| | Force Account | As per paragraph 3.9 of Guidelines |
| | Framework Agreement (FA) | As per paragraph 3.6 of Guidelines |
| Goods and non-consultant services (NCS) | International Competitive Bidding (ICB) | > 3,000,000 |
| | Limited International Bidding (LIB) | wherever agreed by Bank |
| | National Competitive Bidding (NCB) | Up to 3,000,000 (with NCB conditions) |
| | Shopping | Up to 100,000 |
| | Direct Contracting (DC) | As per paragraph 3.7 of Guidelines |
| | Public-Private Partnership (PPP) Services | As per paragraph 3.14 of Guidelines |
| | Force Account (only for NCS) | As per paragraph 3.9 of Guidelines |
| | Framework Agreement (FA) ¹ | As per paragraph 3.6 of Guidelines |
| | Procurement from United Nations (UN) Agencies | As per paragraph 3.10 of Guidelines |

2. **Prequalification.** Pre-qualification is not envisaged for any package under the Project.
3. **Proposed Procedures for CDD Components (as per paragraph. 3.17 of the Guidelines:** Not Applicable
4. **Reference to (if any) Project Implementation Plan:** Project Implementation Plan dated _____. (to be updated)
5. **Retroactive Financing :** The GoA will be seeking retroactive financing, not exceeding US\$ 1 million for technical and US\$ 3 million for the EEP, in respect of payments for project-related work made by GoA during project preparation, on or after April 01, 2016.

^{1/} DGS&D rate contracts may be used as framework agreement (FA) subject to the conditions mentioned under NCB conditions

This includes payments to consultants for various preparatory studies, which inform the design of the information solutions and institutional strengthening, training and exposure visits (under technical assistance part) and EEP incurred prior to loan signing.

- 6. NCB Condition:** National competition for the procurement of goods and works according to the established thresholds will be conducted in accordance with paragraphs 3.3 & 3.4 of Section III of the Guidelines and the following provisions:
- a) Only the model bidding documents for NCB agreed with the GoI Task Force (and as amended from time to time), shall be used for bidding;
 - b) Invitations to bid shall be advertised in at least one widely circulated national daily newspaper (or on a widely used website or electronic portal with free national and international access along with an abridged version of the said advertisement published in a widely circulated national daily inter-alia giving the website/electronic portal details from which the details of the invitation to bid can be downloaded), at least 30 days prior to the deadline for the submission of bids;
 - c) No special preference will be accorded to any bidder either for price or for other terms and conditions when competing with foreign bidders, state-owned enterprises, small-scale enterprises or enterprises from any given State;
 - d) Except with the prior concurrence of the Bank, there shall be no negotiation of price with the bidders, even with the lowest evaluated bidder;
 - e) Extension of bid validity shall not be allowed with reference to Contracts subject to Bank prior review without the prior concurrence of the Bank (i) for the first request for extension if it is longer than four weeks; and (ii) for all subsequent requests for extension irrespective of the period (such concurrence will be considered by Bank only in cases of Force Majeure and circumstances beyond the control of the Purchaser/Employer);
 - f) Re-bidding shall not be carried out with reference to contracts subject to Bank prior review without the prior concurrence of the Bank. The system of rejecting bids outside a pre-determined margin or "bracket" of prices shall not be used in the project;
 - g) Rate contracts entered into by Directorate General of Supplies and Disposals (DGS&D) will not be acceptable as a substitute for NCB procedures unless agreed with the Bank on case to case basis. Such contracts will be acceptable however for any procurement under the Shopping procedures;
 - h) Use of DGS&D rate contracts as Framework Agreement must be reflected in the procurement plan agreed by the Bank for particular goods. Before issuing the purchasing order, the Bank will have to advise the government on carrying out a price analysis on the specific good that is intended to be purchased. If after this due diligence the borrower concludes [and Bank agrees] that the DGS&D rate contract is not suitable, then the borrower will have to proceed using NCB or shopping depending on the value. To meet the Bank's requirements for right to audit and F&C, these clauses may be included in the

Purchase Orders [in case the purchasers are directly placing the purchase orders to DGS&D rate contract holders]. On the other hand, if indent is placed through DGS&D, the Purchaser has the option to sign a separate undertaking with DGS&D rate contract holder, where Bank's right to audit and F&C clauses could be mentioned.

Two or three envelope system will not be used (except when using e-procurement system assessed and agreed by the Bank).

7. Procurement Packages with Methods and Time Schedule as per Table A
[attached]

III. Selection of Consultants

1. Prior Review Threshold: Selection decisions subject to Prior Review by Bank as stated in Appendix 1 to the Guidelines Selection and Employment of Consultants:

- a) Consultancy Services for selection of firms : All contracts more than US\$ 1.0 million equivalent;
- b) Consultancy services for selection of individuals: All contracts above US\$ 0.3 million.
- c) First contract issued by implementing agency [IA] will be subject to prior review irrespective of their value. In addition, the justifications for all contracts to be issued on the basis of single-source or direct contracting will be subject to prior review. The above thresholds are for the initial 18-month implementation period; based on the procurement performance of the project these thresholds may be subsequently modified by Bank. **In case of Post Review contracts, the inputs of Bank on TORs will be obtained by project.** The procurement plan will be subsequently updated annually (or at any other time if required) and will reflect any change in prior review thresholds. The World Bank will carry out an annual ex-post procurement review of the procurement falling below the prior review thresholds provided above. To avoid any doubts, the Bank may conduct at any time Independent Procurement Reviews (IPRs) of all the contracts financed under the loan.

| | Selection Method | Method Threshold (USD) |
|-----------------------|---|---|
| Consultants' Services | Selection Based on Consultants' Qualifications (CQS)/Least-Cost Selection (LCS) | Up to 300,000 |
| | Single-Source Selection (SSS) | As per paragraphs 3.9-3.11 of Guidelines |
| | Individuals | As per Section V of Guidelines |
| | Particular Types of Consultants | As per paragraphs 3.15-3.21 of Guidelines |
| | Quality- and Cost-Based Selection (QCBS)/ Quality-Based Selection (QBS)/ Selection under a Fixed Budget (FBS) | for all other cases |
| | (i) International shortlist (ii) Shortlist may comprise national consultants only | > 800,000 Up to 800,000 |

2. Short list comprising entirely of national consultants: Short list of consultants for services, estimated to cost less than US \$ 800,000 equivalent per contract, may comprise entirely of

national consultants in accordance with the provisions of paragraph 2.7 of the Consultant Guidelines.

3. Any Other Special Selection Arrangements:

First consultancy selection shall be prior reviewed irrespective of threshold.

Refer to sl. No. 4 under Section-II of the procurement plan

4. Consultancy Assignments with Selection Methods and Time Schedule as per Table A [attached]

Table A**Assam State Public Finance Institutional Reforms Project : 18 month Procurement Plan**

| Ref. No. | Contract Description | Estimated Cost (INR) | Estimated Cost (USD) | Procurement Method | Prior/post Review | Bid/REOI Advert | Bid / Proposal Opening Date | Contract Signing Date | Delivery / Completion Date | Remarks |
|--|---|----------------------|----------------------|--------------------|-------------------|-----------------|-----------------------------|-----------------------|----------------------------|--|
| CONSULTANT SERVICES | | | | | | | | | | |
| Technical Assistance | | | | | | | | | | |
| Sub- Component 1.1: Strengthening Cash & Debt Management | | | | | | | | | | |
| CS-1 | Advisor – Debt and Cash Management | 27,75,000 | 41,500 | SSS | post | - | - | Jan 2017 | Jan 2018 | Contract Awarded May be extended by another 3 years annually. |
| CS-2 | Data Analytics Consultants (2) | 67,00,000 | 100,000 | IC | post | Sep 2017 | Oct 2017 | Dec 2017 | Dec 2021 | For 4 years |
| Strengthening Public Procurement Framework, Procurement Capacity and Scaling Up E-Procurement | | | | | | | | | | |
| CS-3 | Consultant for developing Procurement Rules (4 Nos.) | 15,00,000 | 23,000 | IC | post | NA | NA | May 2017 | March 18 | Contract Awarded |
| CS-4 | Consultants for developing Standard Bidding Documents (SBDs)- 7 Nos | 54,00,000 | 80,000 | IC's | post | NA | NA | Jun 2017 | Feb 2018 | 1 year |

| Ref. No. | Contract Description | Estimated Cost (INR) | Estimated Cost (USD) | Procurement Method | Prior/post Review | Bid/REOI Advert | Bid / Proposal Opening Date | Contract Signing Date | Delivery / Completion Date | Remarks |
|----------|--|----------------------|----------------------|--------------------|-------------------|-----------------|-----------------------------|-----------------------|----------------------------|--|
| CS-5 | Consultants for State Procurement Facilitation Cell | 100,00,000 | 149,000 | IC's | post | NA | NA | Nov 2017 | Oct 2021 | 3-4 individual consultants for 4 years |
| CS-6 | Consultant for study of the implementation & scale up of e-procurement in Assam | 10,00,000 | 15,000 | IC | post | NA | NA | Nov 2016 | Mar 2017 | |
| CS-7 | Consultancy on Procurement Training Needs Analysis, Training Strategy & Curriculum Development | 75,00,000 | 112,000 | CQS/SSS | post | July 2017 | Aug2017 | Sept 2017 | June 2018 | Justification to be prior reviewed by Bank |
| CS-8 | Consultancy for MOOC Development | 50,00,000 | 75,000 | QCBS | post | June 2018 | Aug 2018 | Nov 2018 | Apr 2019 | |
| CS-9 | Senior E-Procurement Project Mgt Consultant | 90,00,000 | 135,000 | IC | post | July 2017 | Aug 2017 | Sept 2017 | Jun 2020 | For 3 years |
| CS-10 | E-Procurement Facility Mgt Cell and Training | 72,60,000 | 108,000 | IC | post | Apr2017 | May 2017 | Jun 2017 | Jun 2021 | Contract awarded |

| Ref. No. | Contract Description | Estimated Cost (INR) | Estimated Cost (USD) | Procurement Method | Prior/post Review | Bid/REOI Advert | Bid / Proposal Opening Date | Contract Signing Date | Delivery / Completion Date | Remarks |
|--|---|----------------------|----------------------|--------------------|-------------------|-----------------|-----------------------------|-----------------------|----------------------------|------------------|
| Strengthening PPP Framework | | | | | | | | | | |
| CS – 11 | Consultancy for Policy and Institutional Reform for Strengthening PPP Framework | 60,00,000 | 90,000 | QCBS | post | Jul 2016 | Dec 2016 | Feb 2017 | Sep 2017 | Contract Awarded |
| CS – 12 | Consultancy- Project Mgmt and Support Unit for PPP | 2,00,00,000 | 298,000 | QCBS | post | Oct 2017 | Dec 2017 | Feb 2018 | Mar 2022 | |
| Institutional Strengthening of Finance Dept | | | | | | | | | | |
| CS-13 | Consultancy for Review of Org'n Structure and file work flow process in Finance Dept and selected Line Dept | 56,00,000 | 83,000 | IC | post | July 2017 | Aug 2017 | Sept 2017 | Aug 2018 | 1 year |
| CS-14 | Consultancy to review the Budget & FRBM Disclosures | 20,00,000 | 30,000 | IC | post | Aug 2017 | Sep 2017 | Sep 2017 | Jan 2018 | 5 months |
| Training and Capacity Building in Finance | | | | | | | | | | |
| CS-15 | Consultancy on Training Needs Analysis for officials in Finance and Line dept, Training Strategy & Curriculum Development | 100,00,000 | 150,000 | LCS | post | July 2017 | Sep 2017 | Dec 2017 | Jun 2018 | |

| Ref. No. | Contract Description | Estimated Cost (INR) | Estimated Cost (USD) | Procurement Method | Prior/post Review | Bid/REOI Advert | Bid / Proposal Opening Date | Contract Signing Date | Delivery / Completion Date | Remarks |
|---|--|----------------------|----------------------|--------------------|-------------------|-----------------|-----------------------------|-----------------------|----------------------------|--|
| CS-16 | Consultancy for MOOC Development for Finance Cadres in Finance and Line Dept | 100,00,000 | 150,000 | QCBS | post | Mar 2018 | Jun 2018 | Aug 2018 | Mar 2019 | |
| Strengthening Expenditure & Revenue Information Systems | | | | | | | | | | |
| Design of Financial Management Information Systems | | | | | | | | | | |
| CS-17 | Consultants for Designing of Financial Management Information System for the Government of Assam and support in selection of the System Integrator | 1,60,00,000 | 238,000 | QCBS | post | Feb 2016 | Jul 2016 | Jan 2017 | Feb 2018 | Contract Awarded |
| CS-18 | Project Management Consultancy to oversee implementation of FMIS | 5,00,00,000 | 745,000 | QCBS | post | Dec 2017 | Mar 2018 | Jun 2018 | Sep 2022 | 4 years contract |
| CS-19 | Security Audit of FMIS E-Governance Solution | 1,00,00,000 | 149,000 | LCS | post | Aug 2018 | Nov 2018 | Jan 2019 | Dec 2021 | Periodic Audit of various modules -3 years |
| Designing Information Systems and Institutional Strengthening of Commercial Tax Dept | | | | | | | | | | |
| CS-20 | Consultancy Study for preparation of RFP and selection of Systems Integrator for new IT application for CTD | 72,00,000 | 107,000 | SSS | prior | Nov 15 | | Apr 2016 | Jun 2017 | Contract Awarded |

| Ref. No. | Contract Description | Estimated Cost (INR) | Estimated Cost (USD) | Procurement Method | Prior/post Review | Bid/REOI Advert | Bid / Proposal Opening Date | Contract Signing Date | Delivery / Completion Date | Remarks |
|--|---|----------------------|----------------------|--------------------|-------------------|-----------------|-----------------------------|-----------------------|----------------------------|------------------|
| CS-21 | Consultancy services for Institutional and GST readiness assessment in the Commissionerate of Taxes, Govt. of Assam | 70,00,000 | 105,000 | QCBS | post | Jan 2016 | Jul 2016 | Jan 2017 | Feb 2018 | Contract Awarded |
| CS-22 | Project Management Consultancy to oversee implementation of E-Governance Solution in CTD | 1,62,00,000 | 241,000 | QCBS | post | June 2017 | Aug 2017 | Sep 2017 | Sep 2020 | 3 years contract |
| CS-23 | Security Audit of CTD E-Governance Solution | 70,00,000 | 104,000 | LCS | post | Apr 2018 | Jun 2017 | Aug 2018 | Sep 2019 | |
| CS-24 | Service Tax Advisor (I/C) | 54,00,000 | 80,000 | IC | post | Sep 2017 | Oct 2017 | Nov 2017 | Dec 2017 | For 3 years |
| Design Development & Implementation of E-Governance Solution in Excise Dept | | | | | | | | | | |
| CS-25 | Consultancy services for designing an e-Governance system for the Department of Excise, Government of Assam, India. | 1,00,00,000 | 150,000 | QCBS | post | Feb 2016 | Jul 2016 | Jan 2017 | Feb 2018 | Contract Awarded |
| CS-26 | Project Management Consultancy to oversee implementation of E-Governance Solution in Excise | 3,00,00,000 | 447,000 | QCBS | post | Nov 2017 | Mar 2018 | Jun 2018 | Sep 2022 | 4 years contract |

| Ref. No. | Contract Description | Estimated Cost (INR) | Estimated Cost (USD) | Procurement Method | Prior/post Review | Bid/REOI Advert | Bid / Proposal Opening Date | Contract Signing Date | Delivery / Completion Date | Remarks |
|---|--|----------------------|----------------------|--------------------|-------------------|-----------------|-----------------------------|-----------------------|----------------------------|--|
| CS-27 | Security Audit of Excise E-Governance Solution | 70,00,000 | 104,000 | LCS | post | Aug 2018 | Nov 2018 | Jan 2019 | Dec 2020 | Periodic Audit of various modules -2 years |
| CS-28 | Website Upgrade for Excise Department | 20,00,000 | 30,000 | LCS | post | Aug 2017 | Nov 2017 | Dec 2017 | Mar 2018 | |
| Project Management & Coordination | | | | | | | | | | |
| CS-29 | CA for AS-CFMS | 15,00,000 | 23,000 | QCBS | post | Sep 2016 | Dec 2016 | Feb 2017 | Apr 2018 | Contract Awarded |
| CS-30 | Senior Procurement Consultant | 28,00,000 | 42,500 | SSS | post | N/A | N/A | Aug 2016 | Mar 2018 | Contract Awarded |
| CS-31 | Procurement Support Specialist | 30,00,000 | 45,500 | SSS | post | N/A | N/A | June 2017 | Mar 2019 | Justification to be prior reviewed For 2 years |
| CS-32 | IT Project Manager | 1,20,00,000 | 180,000 | IC | post | July 2017 | Aug 2017 | Sept2017 | Sept 2022 | For 5 years |
| CS-33 | Change Management Specialist | 85,00,000 | 126,000 | IC | post | July2017 | Aug 2017 | Sept 2017 | Sept 2022 | For 5 years |
| CS-34 | Communication Specialist | 81,00,000 | 120,000 | IC | post | July 2017 | Aug 2017 | Sept 2017 | Sept 2022 | For 5 years |
| Part B: Eligible Expenditure under the Project | | | | | | | | | | |
| CS-35 | DoAT Short term Programmers/ DBA (2 years) | 43,40,000 | 65,000 | IC | post | Feb 2017 | Apr 2017 | May 2017 | Apr 2019 | Contract Awarded |
| CS-36 | Programmers for development of E-Colln Portal and its roll out | 1,00,00,000 | 150,000 | IC | post | Jun 2017 | Aug 2017 | Sep 2017 | Aug 2019 | |

| Ref. No. | Contract Description | Estimated Cost (INR) | Estimated Cost (USD) | Procurement Method | Prior/post Review | Bid/REOI Advert | Bid / Proposal Opening Date | Contract Signing Date | Delivery / Completion Date | Remarks |
|----------|---|----------------------|----------------------|--------------------|-------------------|-----------------|-----------------------------|-----------------------|----------------------------|---------|
| CS-37 | CTD Short term Programmers/ DBA (2 years) | 43,40,000 | 65,000 | IC | post | July 2017 | Aug 2017 | Sept 2017 | Apr 2019 | |
| | SUB TOTAL - A | 33,21,15,000 | 4,956,500 | | | | | | | |

NON-CONSULTANT SERVICES

| | | | | | | | | | | |
|-------|--|--------------|-----------|-----|------|-----------|-----------|----------|-----------|------------------|
| NCS-1 | Strengthening network connectivity for CTD offices - Secondary Service Provider | 10,00,00,000 | 1,492,000 | NCB | post | July 2017 | Sept 2017 | Nov 2017 | Sept 2022 | 5 years contract |
| NCS-2 | Strengthening network connectivity for DoAT offices - Secondary Service Provider | 7,00,00,000 | 1,044,000 | NCB | post | Dec 2017 | Mar 2018 | Jun 2018 | Sept 2022 | 5 years contract |
| NCS-3 | Procurement MOOC Hosting and Maintenance | 25,00,000 | 37,000 | NCB | post | Mar 2019 | May 2019 | Jul 2019 | Sept 2022 | |
| NCS-4 | Upgrade and Maintenance of CS-DRMS | 20,00,000 | 30,000 | NCB | post | July 2017 | Sept 2017 | Oct 2017 | Sept 2022 | |
| | SUB TOTAL - B | 17,45,00,000 | 2,603,000 | | | | | | | |

| Ref. No. | Contract Description | Estimated Cost (INR) | Estimated Cost (USD) | Procurement Method | Prior/post Review | Bid/REOI Advert | Bid / Proposal Opening Date | Contract Signing Date | Delivery / Completion Date | Remarks |
|--------------|--|----------------------|----------------------|--------------------|-------------------|-----------------|-----------------------------|-----------------------|----------------------------|--|
| GOODS | | | | | | | | | | |
| GD -1 | Field Level Hardware Procurement (Treasury) | 10,00,00,000 | 1,492,000 | NCB | post | Apr 2018 | Jun 2018 | Aug 2018 | Feb 2019 | |
| GD-2 | Hardware (Peripherals) for CTD | 13,65,00,000 | 2,037,000 | NCB | prior | Nov 2016 | Feb 2017 | June 2017 | Sept 2017 | Contract Awarded |
| GD-3 | Hardware (Peripherals) for Excise | 15,00,00,000 | 2,238,000 | NCB | post | Apr 2018 | Jun 2018 | Aug 2018 | Feb 2019 | |
| GD-4 | SI for FMIS Solution Development of FMIS solution, O & M, including cost of Core Server Hardware | 44,00,00,000 | 6,565,000 | LIB | prior | Oct 2017 | Jan 2017 | Mar 2018 | Sept 2022 | Procurement will be initiated only after the recommendations from the agency hired under CS - 17 |
| GD-5 | SI for implementation for Excise: New e-governance system System Development, O & M | 35,00,00,000 | 5,223,000 | ICB | prior | Oct 2017 | Jan 2017 | Mar 2018 | Sept 2022 | Procurement will be initiated only after the recommendations from the agency hired under CS - 25 |
| GD-6 | SI for CTD - development cost, O&M and hardware cost (servers/ license fee) | 35,00,00,000 | 5,223,000 | LIB | prior | Jan 2017 | May 2017 | July 2017 | Sept 2022 | |

| Ref. No. | Contract Description | Estimated Cost (INR) | Estimated Cost (USD) | Procurement Method | Prior/post Review | Bid/REOI Advert | Bid / Proposal Opening Date | Contract Signing Date | Delivery / Completion Date | Remarks |
|--------------------|--|----------------------|----------------------|--------------------|-------------------|-----------------|-----------------------------|-----------------------|----------------------------|---------|
| GD-7 | Procurement of Equipment for Test labs | 3,00,00,000 | 447,000 | NCB | post | Jun 2019 | Aug 2019 | Nov 2019 | Jun 2020 | |
| | SUB TOTAL - C | 155,65,00,000 | 23,225,000 | | | | | | | |
| MINOR WORKS | | | | | | | | | | |
| | Refurbishment of Server Room | 450,00,000 | 670,000 | NCB | post | Jan 2017 | Apr 2017 | June 2017 | Aug 2017 | |
| | Refurbishment of DoAT incl Training Room | 100,00,000 | 150,000 | NCB | post | Dec 2018 | Mar 2019 | Jun 2019 | Mar 2020 | |
| | Refurbishment of Finance Dept Divisions | 150,00,000 | 150,000 | NCB | post | Dec 2017 | Mar 2018 | Jun 2018 | Mar 2019 | |
| | Refurbishment of AS-CFMS Office | 40,00,000 | 60,000 | NCB | post | Aug 2017 | Oct 2017 | Nov 2017 | Mar 2018 | |
| | SUB TOTAL - D | 690,00,000 | 1,030,000 | | | | | | | |
| | Totals Estimated Costs: (A + B + C) | 213,21,15,000 | 31,814,5000 | | | | | | | |

PROCUREMENT PLAN India : Assam State Public Finance Institutional Reforms (ASPIRe) Project

General Information

Country: India **Bank / Approval Date of the Original Procurement Plan:** 2016-12-12
Revised Plan Date(s): (comma delineated, leave blank if none) 2018-05-27
Project ID: P157198 **GPN Date:** 2016-12-12
Project Name: Assam State Public Finance Institutional Reforms (ASPIRe) Project
Loan / Credit No.: IBRD / 87650
Executing Agency(ies): Assam Society for Comprehensive Financial Management System

WORKS

| Activity Reference No. / Description | Loan / Credit No. | Component | Review Type | Method | Market Approach | Procurement Process | Prequalification (Y/N) | Estimated Amount (US\$) | Actual Amount (US\$) | Process Status | Draft Pre-qualification Documents | | Prequalification Evaluation Report | | Draft Bidding Document / Justification | | Specific Procurement Notice / Invitation | | Bidding Documents as Issued | | Proposal Submission / Opening / Minutes | | Bid Evaluation Report and Recommendation for Award | | Signed Contract | | Contract Completion | |
|--|-------------------|---|-------------|-----------------------|-----------------|-----------------------------|------------------------|-------------------------|----------------------|------------------------|-----------------------------------|--------|------------------------------------|--------|--|------------|--|--------|-----------------------------|--------|---|------------|--|------------|-----------------|------------|---------------------|--------|
| | | | | | | | | | | | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual |
| IN-AS-CFMS-5984-CW-RFP / Rehabilitation of Server Room | | Strengthening Expenditure and Revenue Information Systems | Post | Request for Proposals | Open - National | Single Stage - Two Envelope | | 670,000.00 | 0.00 | Pending Implementation | | | | | 2017-02-10 | 2017-02-10 | 2017-02-15 | | | | | 2017-03-17 | 2017-04-16 | 2017-04-16 | 2017-05-12 | 2017-05-12 | 2017-09-05 | |

GOODS

| Activity Reference No. / Description | Loan / Credit No. | Component | Review Type | Method | Market Approach | Procurement Process | Prequalification (Y/N) | Estimated Amount (US\$) | Actual Amount (US\$) | Process Status | Draft Pre-qualification Documents | | Prequalification Evaluation Report | | Draft Bidding Document / Justification | | Specific Procurement Notice / Invitation | | Bidding Documents as Issued | | Proposal Submission / Opening / Minutes | | Bid Evaluation Report and Recommendation for Award | | Signed Contract | | Contract Completion | |
|--|-------------------|---|-------------|------------------|-----------------|-----------------------------|------------------------|-------------------------|----------------------|------------------------|-----------------------------------|--------|------------------------------------|--------|--|------------|--|------------|-----------------------------|--------|---|------------|--|------------|-----------------|------------|---------------------|------------|
| | | | | | | | | | | | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual |
| IN-AS-CFMS-6169-GO-RFB / S for CTD - development cost, O&M and hardware cost (server license fee) | BRD / 87650 | Strengthening Expenditure and Revenue Information Systems | Prior | Request for Bids | Limited | Single Stage - Two Envelope | | 5,223,000.00 | 0.00 | Under Implementation | | | | | 2017-02-03 | 2017-02-08 | 2017-02-08 | | | | | 2017-03-23 | 2017-06-06 | 2017-06-20 | 2018-04-05 | 2017-07-25 | | 2022-07-24 |
| IN-AS-CFMS-4577-GO-RFP / Hardware (Peripherals) for CTD | | Strengthening Expenditure and Revenue Information Systems | Prior | Request for Bids | Open - National | Single Stage - Two Envelope | | 2,037,000.00 | 0.00 | Cancelled | | | | | 2017-01-30 | 2017-06-30 | 2017-01-30 | 2017-06-30 | | | | 2017-01-30 | 2016-12-30 | 2017-01-30 | | 2017-02-19 | | 2017-08-18 |
| IN-AS-CFMS-4577-GO-RFB / Hardware (Peripherals) for CTD | BRD / 87650 | Strengthening Expenditure and Revenue Information Systems | Prior | Request for Bids | Open - National | Single Stage - One Envelope | | 2,037,000.00 | 2,841,038.80 | Signed | | | | | 2017-07-27 | | 2017-08-01 | 2017-08-10 | | | | 2017-08-08 | 2016-12-30 | 2017-08-11 | 2017-08-18 | 2017-08-16 | 2017-07-19 | 2022-08-15 |
| IN-AS-CFMS-27940-GO-RFB / National Competitive Bidding for Supply of Server, Software and Network Hardware at the Directorate of Accounts and Treasuries | BRD / 87650 | Strengthening Expenditure and Revenue Information Systems | Post | Request for Bids | Open - National | Single Stage - Two Envelope | | 1,230,770.00 | 0.00 | Cancelled | | | | | 2017-09-14 | 2017-09-20 | 2017-09-15 | 2017-09-21 | | | | 2017-10-28 | | 2018-01-08 | | 2018-02-12 | | 2023-02-11 |
| IN-AS-CFMS-45485-GO-RFB / National Competitive Bidding for Supply of Server and its Peripherals, Software including Migration Activity at the Directorate of Accounts and Treasuries. | BRD / 87650 | Strengthening Expenditure and Revenue Information Systems | Post | Request for Bids | Open - National | Single Stage - Two Envelope | | 616,000.00 | 0.00 | Pending Implementation | | | | | 2018-02-09 | | 2018-02-14 | | | | | 2018-03-28 | | 2018-06-10 | | 2018-07-15 | | 2023-07-14 |
| IN-AS-CFMS-52090-GO-RFB / National Competitive Bidding for Supply of Network Hardware at the Directorate of Accounts and Treasuries | BRD / 87650 | Strengthening Expenditure and Revenue Information Systems | Post | Request for Bids | Open - National | Single Stage - Two Envelope | | 500,000.00 | 0.00 | Pending Implementation | | | | | 2018-03-19 | | 2018-03-24 | | | | | 2018-05-05 | | 2018-07-18 | | 2018-08-22 | | 2023-08-21 |
| IN-AS-CFMS-57404-GO-RFB / CoE, NCB for Supply of Computers, Network Hardware and Peripherals, Including the Work of Power Supply, LAN, and Network Cabling at the Commissionerate of Excise, Assam | BRD / 87650 | Strengthening Expenditure and Revenue Information Systems | Post | Request for Bids | Open - National | Single Stage - Two Envelope | | 550,000.00 | 0.00 | Pending Implementation | | | | | 2018-04-30 | | 2018-05-05 | | | | | 2018-06-16 | | 2018-08-29 | | 2018-10-03 | | 2024-04-04 |

NON CONSULTING SERVICES

| Activity Reference No. / Description | Loan / Credit No. | Component | Review Type | Method | Market Approach | Procurement Process | Prequalification (Y/N) | Estimated Amount (US\$) | Actual Amount (US\$) | Process Status | Draft Pre-qualification Documents | | Prequalification Evaluation Report | | Draft Bidding Document / Justification | | Specific Procurement Notice / Invitation | | Bidding Documents as Issued | | Proposal Submission / Opening / Minutes | | Bid Evaluation Report and Recommendation for Award | | Signed Contract | | Contract Completion | |
|--|-------------------|---|-------------|------------------|-----------------|---------------------|------------------------|-------------------------|----------------------|------------------------|-----------------------------------|--------|------------------------------------|--------|--|--------|--|--------|-----------------------------|--------|---|--------|--|--------|-----------------|--------|---------------------|--|
| | | | | | | | | | | | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual | | |
| IN-AS-CFMS-41198-NC-DIR / Security Audit for eGRAS | BRD / 87650 | Strengthening Expenditure and Revenue Information Systems | Post | Direct Selection | Direct | | | 5,000.00 | 0.00 | Pending Implementation | | | | | 2017-12-29 | | 2018-01-03 | | | | | | | | 2018-02-07 | | 2018-05-08 | |

CONSULTING FIRMS

| Activity Reference No. / Description | Loan / Credit No. | Component | Review Type | Method | Market Approach | Contract Type | Estimated Amount (US\$) | Actual Amount (US\$) | Process Status | Terms of Reference | | Expression of Interest Notice | | Short List and Draft Request for Proposals | | Request for Proposals as Issued | | Opening of Technical Proposals / Minutes | | Evaluation of Technical Proposal | | Combined Evaluation Report and Draft Negotiated Contract | | Signed Contract | | Contract Completion | |
|--|-------------------|---|-------------|----------------------------------|-----------------|---------------|-------------------------|----------------------|----------------------|--------------------|------------|-------------------------------|--------|--|------------|---------------------------------|--------|--|------------|----------------------------------|------------|--|------------|-----------------|------------|---------------------|--------|
| | | | | | | | | | | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual |
| IN-AS-CFMS-4524-CS-QCBS / Selection of Consultants for consultancy services for designing an e-Governance system for the Department of Excise, Government of Assam, India. | | Strengthening Expenditure and Revenue Information Systems | Post | Quality And Cost-Based Selection | Open - National | | 148,236.00 | 0.00 | Under Implementation | 2016-12-13 | 2016-02-03 | 2016-12-13 | | 2016-12-13 | 2016-06-15 | | | 2016-12-13 | 2016-12-13 | 2016-12-13 | 2016-12-13 | 2016-12-21 | 2017-01-17 | | 2018-01-17 | | |
| IN-AS-CFMS-4525-CS-QCBS / Selection of Consultants for consultancy services for Institutional and GST readiness assessment in the Commissionerate of Taxes, Govt of Assam | | Strengthening Expenditure and Revenue Information Systems | Post | Quality And Cost-Based Selection | Open - National | | 96,353.39 | 0.00 | Under Implementation | 2016-12-13 | 2016-01-29 | 2016-12-13 | | 2016-12-13 | 2016-06-15 | | | 2016-12-13 | 2016-07-22 | 2016-12-13 | 2016-10-04 | 2016-12-13 | 2016-12-02 | 2017-01-17 | | 2018-01-17 | |
| IN-AS-CFMS-4526-CS-QCBS / Consultancy for Policy and Institutional Reform for Strengthening PPP Framework and enabling Environment for the Government of Assam | | Strengthening Public Finance Institutional Capacity | Post | Quality And Cost-Based Selection | Open - National | | 88,941.00 | 302,641.56 | Under Implementation | 2016-12-14 | 2016-07-22 | 2016-12-14 | | 2016-12-14 | 2016-11-22 | | | 2016-12-30 | 2016-12-30 | 2017-01-14 | 2017-02-02 | 2017-02-18 | 2017-02-20 | 2017-03-25 | | 2018-03-25 | |

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|---|-------------|--|------|------------------------------------|-----------------|--|------------|-----------|----------------------|------------|------------|------------|------------|------------|------------|------------|------------|------------|------------|------------|------------|------------|------------|------------|------------|
| IN-AS-CFMS-4483-CS-QCBS / Selection of Consultants for Designing of Financial Management Information System for the Government of Assam and support in selection of the System Integrator | | Strengthening Expenditure and Revenue Information Systems | Post | Quality And Cost-Based Selection | Open - National | | 252,223.90 | 0.00 | Under Implementation | 2016-12-13 | 2016-06-01 | 2016-12-13 | | 2016-12-13 | 2016-12-13 | 2016-12-13 | | 2017-01-03 | 2018-01-03 | | | | | | |
| IN-AS-CFMS-4579-CS-LCS / Engaging Chartered Accountant for AS-CFMS | | Project Management, Monitoring & Evaluation and Coordination | Post | Least Cost Selection | Open - National | | 22,235.40 | 4,846.94 | Signed | 2016-12-15 | 2016-09-29 | 2016-12-15 | 2016-12-15 | 2016-11-22 | 2016-12-30 | 2016-12-30 | 2017-01-29 | 2017-01-28 | 2017-02-05 | 2017-02-17 | 2017-02-12 | 2017-02-21 | 2018-02-12 | | |
| IN-AS-CFMS-19057-CS-QCBS / Communications Consultant | BRD / 87650 | Project Management, Monitoring & Evaluation and Coordination | Post | Quality And Cost-Based Selection | Open - National | | 50,000.00 | 0.00 | Under Implementation | 2017-07-14 | 2017-08-18 | 2017-08-04 | 2017-08-21 | 2017-09-17 | | | 2017-10-15 | 2017-11-14 | | 2017-12-19 | | 2018-01-23 | 2019-01-23 | | |
| IN-AS-CFMS-19056-CS-CDS / Solution Provider for BI & Analytics Dashboard for the Department of Finance, Government of Assam | BRD / 87650 | Project Management, Monitoring & Evaluation and Coordination Strengthening Public Finance Institutional Capacity | Post | Consultant Qualification Selection | Open - National | | 90,000.00 | 0.00 | Under Implementation | 2017-07-28 | 2017-08-03 | 2017-08-11 | | 2017-08-30 | | | | | | 2017-09-29 | | 2017-11-03 | | 2019-03-08 | |
| IN-AS-CFMS-20784-CS-QCBS / Consultancy for Policy and Institutional Reform for Strengthening PPP Framework & Enabling Environment for the Government of Assam | BRD / 87650 | Strengthening Public Finance Institutional Capacity | Post | Quality And Cost-Based Selection | Open - National | | 100,000.00 | 61,565.39 | Signed | 2017-07-28 | 2016-07-22 | 2017-07-28 | | 2017-07-28 | 2016-11-21 | | 2017-07-28 | 2016-12-30 | 2017-07-28 | 2017-02-02 | 2017-07-28 | 2017-02-17 | 2017-07-28 | 2017-03-29 | 2017-12-05 |

INDIVIDUAL CONSULTANTS

| Activity Reference No. / Description | Loan / Credit No. | Component | Review Type | Method | Market Approach | Contract Type | Estimated Amount (US\$) | Actual Amount (US\$) | Process Status | Terms of Reference | | Invitation to Identified/Selected Consultant | | Draft Negotiated Contract | | Signed Contract | | Contract Completion | | |
|---|-------------------|--|-------------|---------------------------------|-----------------|---------------|-------------------------|----------------------|------------------------|--------------------|------------|--|------------|---------------------------|------------|-----------------|------------|---------------------|--------|------------|
| | | | | | | | | | | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual | |
| IN-AS-CFMS-4567-CS-INDV / Consultant for study of the implementation & scale up of e-procurement in Assam | | Strengthening Public Finance Institutional Capacity | Post | Individual Consultant Selection | Direct | | 14,823.60 | 0.00 | Under Implementation | 2016-12-14 | 2015-06-25 | 2016-12-14 | 2016-10-05 | 2016-12-14 | 2016-10-05 | 2016-12-14 | | | | 2017-01-31 |
| IN-AS-CFMS-4568-CS-INDV / Hiring of a short term Senior Procurement Consultant | | Project Management, Monitoring & Evaluation and Coordination | Post | Individual Consultant Selection | Direct | | 10,376.51 | 0.00 | Under Implementation | 2016-12-14 | 2016-08-10 | 2016-12-14 | | 2016-12-14 | | 2016-12-14 | | | | 2017-03-31 |
| IN-AS-CFMS-5689-CS-INDV / ADVISOR - DEBT AND CASH MANAGEMENT | | Strengthening Public Finance Institutional Capacity | Post | Individual Consultant Selection | Direct | | 41,500.00 | 0.00 | Pending Implementation | 2017-01-30 | | 2017-01-30 | | 2017-01-30 | | 2017-02-09 | | | | 2018-02-09 |
| IN-AS-CFMS-5710-CS-INDV / DoT Short term Programmes/ DBA (2 years) | | Strengthening Expenditure and Revenue Information Systems | Post | Individual Consultant Selection | Open | | 65,000.00 | 0.00 | Pending Implementation | 2017-02-15 | | 2017-04-15 | | 2017-05-06 | | 2017-05-28 | | | | 2019-05-27 |
| IN-AS-CFMS-5711-CS-INDV / CTD Short term Programmes/ DBA (2 years) | | Strengthening Expenditure and Revenue Information Systems | Post | Individual Consultant Selection | Open | | 65,000.00 | 0.00 | Pending Implementation | 2017-01-17 | | 2017-03-07 | | 2017-03-28 | | 2017-05-02 | | | | 2019-05-02 |
| IN-AS-CFMS-15352-CS-INDV / Procurement Support Staff for AS-CFMS | | Project Management, Monitoring & Evaluation and Coordination | Post | Individual Consultant Selection | Direct | | 24,000.00 | 23,302.79 | Signed | 2017-05-17 | 2017-05-22 | 2017-05-22 | 2017-05-25 | 2017-05-22 | 2017-05-31 | 2017-05-31 | 2017-06-01 | | | 2018-04-29 |
| IN-AS-CFMS-28106-CS-INDV / IT Project Manager | BRD / 87650 | Project Management, Monitoring & Evaluation and Coordination | Post | Individual Consultant Selection | Open | | 74,000.00 | 0.00 | Pending Implementation | 2017-09-15 | | 2017-11-14 | | 2017-12-05 | | 2018-01-09 | | | | 2020-01-09 |
| IN-AS-CFMS-28107-CS-INDV / ICT Infrastructure Specialist | BRD / 87650 | Project Management, Monitoring & Evaluation and Coordination Strengthening Expenditure and Revenue Information Systems | Post | Individual Consultant Selection | Open | | 27,700.00 | 0.00 | Pending Implementation | 2017-09-15 | | 2017-11-14 | | 2017-12-05 | | 2018-01-09 | | | | 2019-01-09 |
| IN-AS-CFMS-30917-CS-INDV / Programmer (NIC Pune) for e-GRAS Customization | BRD / 87650 | Strengthening Expenditure and Revenue Information Systems | Post | Individual Consultant Selection | Open | | 11,600.00 | 0.00 | Pending Implementation | 2017-10-07 | | 2017-11-25 | | 2017-12-16 | | 2018-01-20 | | | | 2020-01-20 |
| IN-AS-CFMS-40757-CS-CDS / Security Audit of e-GRAS | BRD / 87650 | Strengthening Expenditure and Revenue Information Systems | Post | Direct Selection | Direct | | 5,000.00 | 0.00 | Cancelled | 2017-12-30 | | 2018-01-09 | | | | 2018-03-15 | | | | 2018-06-13 |
| IN-AS-CFMS-40769-CS-INDV / eProcurement Project Manager | BRD / 87650 | Project Management, Monitoring & Evaluation and Coordination | Post | Individual Consultant Selection | Direct | | 11,000.00 | 0.00 | Pending Implementation | 2017-12-26 | | 2018-01-05 | | 2018-01-26 | | 2018-03-02 | | | | 2019-03-02 |
| IN-AS-CFMS-41230-CS-INDV / Cell Support Staff | BRD / 87650 | Strengthening Public Finance Institutional Capacity | Post | Individual Consultant Selection | Direct | | 11,000.00 | 0.00 | Pending Implementation | 2017-12-27 | | 2018-01-06 | | 2018-01-27 | | 2018-03-03 | | | | 2020-03-02 |
| IN-AS-CFMS-47697-CS-INDV / Procurement Consultants (3) for CEPPA | BRD / 87650 | Strengthening Public Finance Institutional Capacity | Post | Individual Consultant Selection | Open | | 92,400.00 | 0.00 | Pending Implementation | 2018-02-25 | | 2018-04-15 | | 2018-05-06 | | 2018-06-10 | | | | 2019-06-10 |
| IN-AS-CFMS-47698-CS-INDV / Legal Consultant for CEPPA | BRD / 87650 | Strengthening Public Finance Institutional Capacity | Post | Individual Consultant Selection | Open | | 37,000.00 | 0.00 | Pending Implementation | 2018-02-25 | | 2018-04-15 | | 2018-05-06 | | 2018-06-10 | | | | 2019-06-10 |
| IN-AS-CFMS-47702-CS-INDV / Financial and Contract Management Consultant for CEPPA | BRD / 87650 | Strengthening Public Finance Institutional Capacity | Post | Individual Consultant Selection | Open | | 37,000.00 | 0.00 | Pending Implementation | 2018-02-25 | | 2018-04-15 | | 2018-05-06 | | 2018-06-10 | | | | 2019-06-10 |
| IN-AS-CFMS-47703-CS-INDV / Data Analytics Consultant for CEPPA | BRD / 87650 | Strengthening Public Finance Institutional Capacity | Post | Individual Consultant Selection | Open | | 37,000.00 | 0.00 | Pending Implementation | 2018-02-25 | | 2018-04-15 | | 2018-05-06 | | 2018-06-10 | | | | 2019-06-10 |
| IN-AS-CFMS-47704-CS-INDV / Junior Procurement Consultants (5) for CEPPA | BRD / 87650 | Strengthening Public Finance Institutional Capacity | Post | Individual Consultant Selection | Open | | 65,000.00 | 0.00 | Pending Implementation | 2018-02-25 | | 2018-04-15 | | 2018-05-06 | | 2018-06-10 | | | | 2019-06-10 |
| IN-AS-CFMS-51610-CS-INDV / Procurement Support Cell (5 members) | BRD / 87650 | Project Management, Monitoring & Evaluation and Coordination Strengthening Public Finance Institutional Capacity Strengthening Expenditure and Revenue Information Systems | Post | Individual Consultant Selection | Direct | | 30,000.00 | 0.00 | Pending Implementation | 2018-03-07 | | 2018-03-07 | | 2018-03-07 | | 2018-03-07 | | | | 2019-03-07 |

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| IN-AS-CFMS-56972-CS-INDV / Tester for Assam e-GRAS | IBRD / 87650 | Project Management, Monitoring & Evaluation and Coordination Strengthening Expenditure and Revenue Information Systems | Post | Individual Consultant Selection | Limited | | 5,600.00 | 0.00 | Pending Implementation | 2018-04-10 | | 2018-04-15 | | 2018-05-06 | | 2018-06-10 | | 2019-06-10 |
| IN-AS-CFMS-62071-CS-INDV / Senior Developer for DoAT | IBRD / 87650 | Strengthening Expenditure and Revenue Information Systems | Post | Individual Consultant Selection | Open | | 9,000.00 | 0.00 | Pending Implementation | 2018-05-18 | | 2018-07-06 | | 2018-07-27 | | 2018-08-31 | | 2019-08-31 |